

SERVICE DATE – JULY 11, 2014

SURFACE TRANSPORTATION BOARD

DECISION

Docket No. EP 542 (Sub-No. 22)

REGULATIONS GOVERNING FEES FOR SERVICES PERFORMED
IN CONNECTION WITH LICENSING AND RELATED SERVICES–2014 UPDATE

Decided: July 8, 2014

Digest:¹ This decision updates the fees that the public must pay to file certain cases and pleadings with the Board to cover the 2014 full cost levels. As compared to the 2013 Fee Update, 13 fees increased by \$50 or less, 46 fees remained at their current level, 39 fees decreased by \$100 or less, and 27 fees decreased by more than \$100.

BACKGROUND

The Surface Transportation Board (STB or Board) is required by regulation at 49 C.F.R. § 1002.3 to update its user fees at least once per year. The Board’s last annual user-fee update was issued in Regulations Governing Fees for Services Performed in Connection with Licensing & Related Services–2013 Update (2013 Fee Update), EP 542 (Sub-No. 21) (STB served Aug. 28, 2013), and became effective September 27, 2013. This decision updates the Board’s fees based on the cost study formula set forth at 49 C.F.R. § 1002.3(d) and other relevant factors.

RESULTS FROM THE 2014 UPDATE

The Board’s current master Schedule of Filing Fees, like last year, contains 125 fee items. Based on the 1% across-the-board salary increase and the various overhead cost changes described in this decision, we find the following: (1) fees for 11 capped items will increase by \$50 while fees for two other capped items will increase by \$1; (2) fees for 46 of the 125 fee items will remain unchanged; (3) fees for 39 items will decrease by \$100 or less; (4) fees for 16 items will decrease by \$200 to \$600; (5) fees for three items will decrease by \$1,500 to \$1,600; (6) fees for four items will decrease by \$6,500; and (7) fees for four items will decrease by \$32,300.²

¹ The digest constitutes no part of the decision of the Board but has been prepared for the convenience of the reader. It may not be cited to or relied upon as precedent. Policy Statement on Plain Language Digests in Decisions, EP 696 (STB served Sept. 2, 2010).

² The four fee items that will decrease by \$32,300 are items 38(i), 39(i), 40(i), and 41(i), which apply to “major” transactions under 49 U.S.C. §§ 11323-11325. The four fee items that

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The following table depicts the breakdown of user-fee changes for this proceeding:

SUMMARY OF USER-FEE CHANGES FOR 2014

Fee Item Change Category:	Number of User Fees	Percent of Total User Fee Schedule
(1)	(2)	(3)
1. Increases of \$1 to \$50	13	10.4%
2. No Fee Change	46	36.8%
3. Decreases of \$1 to \$50	9	7.2%
4. Decrease of \$100	30	24.0%
5. Decreases of \$200 to \$600	16	12.8%
6. Decreases of \$1,500 to \$1,600	3	2.4%
7. Decrease of \$6,500	4	3.2%
8. Decrease of \$32,300	4	3.2%
TOTAL	125	100%

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will decrease by \$6,500 are items 38(ii), 39(ii), 40(ii), and 41(ii), which apply to “significant” transactions under 49 U.S.C. §§ 11323-11325.

2014 UPDATE FACTORS

The factors that bear on the full-cost calculation of the Board's fee items are direct labor costs, government fringe benefit costs, and three types of overhead costs: the Operations Overhead factor, the Office General and Administrative Expense factor (Office Overhead factor), and the Board's Office General and Administrative Expense factor (Board Overhead factor).

Direct Labor. Direct labor costs for 2014 reflect a combined Government-wide one percent general and locality salary increase that took effect in January 2014.³

Government Fringe Benefits. No updates have been instituted with respect to the Government Fringe Benefit Cost Factor. Therefore, the Government Fringe Benefit Cost factor used in the current update formula is applied at the same rate as in last year's decision – 56.25%.⁴

Operations Overhead. The Operations Overhead factor accounts for the time devoted by Office Directors, Deputy Directors, and any Directors' assistants or administrative staff. Based on fiscal year (FY) 2013 labor data, the Operations Overhead factor for 18 employees is set at 19.87%, and is relied upon in this decision.⁵

³ Executive Order 13655 implements the January 2014 pay adjustments. Pursuant to the President's alternative plan issued under 5 U.S.C. §§ 5303(b) and 5304a on August 30, 2013, the Executive order authorizes a one percent across-the-board increase for statutory pay systems and provides that locality percentages will remain at their 2013 levels. See Memorandum for the Heads of Exec. Dep'ts & Agencies, Office of Mgmt. & Budget, Exec. Office of the President (Dec. 23, 2013), available at <http://www.chcoc.gov/transmittals/TransmittalDetails.aspx?TransmittalID=5896>.

⁴ In accordance with instructions from the Office of Management and Budget, and based on past Board precedent, the component parts of the Government Fringe Benefit Cost Factor remain as follows: the Standard Civilian Retirement Benefit remains at 26.10%; the Insurance and Health Benefit remains at 7.00%; the Medicare Benefit remains at 1.45%; the Miscellaneous Fringe Benefit remains at 1.70%; and the Leave and Holiday Benefit remains at 20.00%. See Memorandum for the Heads of Exec. Dep'ts & Agencies, Office of Mgmt. & Budget, Exec. Office of the President (Mar. 11, 2008), available at <http://www.whitehouse.gov/sites/default/files/omb/memoranda/fy2008/m08-13.pdf>; Regulations Governing Fees For Servs. Performed in Connection With Licensing & Related Servs., 1 I.C.C. 2d 60, 74 (1984).

⁵ In 2012 Fee Update, the Operations Overhead factor was 17.81%, based on 16 employees. In 2013 Fee Update, the Board noted that, if it were to enter its FY 2012 payroll cost data into the mechanical formula for calculating Operations Overhead, this figure would increase due to staff realignments involving three of its offices. The Board concluded that, until a review was conducted on how much the duties of the employees affected by the realignments had changed, it would hold the Operations Overhead factor at the 2012 Fee Update level. Thereafter, Board staff performed this review and determined that, of the three employees whose positions

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Office Overhead. The Office Overhead factor accounts for non-labor costs in the following seven categories: (1) Property Movement; (2) Rent & Utilities; (3) Printing; (4) Services to the Board; (5) Materials & Supplies; (6) Equipment Procurement; and (7) Property Indemnities/Other-Legal Settlement. Based on FY 2013 budget data, the Office Overhead factor is 24.80%, a substantial decrease from the FY 2012 figure of 30.94%. The same three categories that most significantly influenced the Office Overhead factor last year are also instrumental in this year's decrease in this factor. Those three cost categories—Services to the Board, Equipment Procurement, and Property Damage Indemnities/Other—Legal Settlement—each significantly decreased from FY 2012 to FY 2013, resulting in a substantial overall reduction in Office Overhead costs in FY 2013 as compared with FY 2012.⁶ Based upon the various increases and decreases to the seven categories that make up this factor, we will apply the 24.80% Office Overhead factor in this decision.⁷

Board Overhead. The Board Overhead factor accounts for the costs of Board-wide support services such as the mailroom staff, the information technology department, the financial services department, and the human resources department. This factor increased slightly from 13.72% to 13.75%.

The 2014 full cost level for each fee item developed from the cost factors discussed above is set forth in column 10 of APPENDIX A.⁸

FULL COST RESULTS

Increases to direct labor costs and two of the Board's three overhead cost factors (Operations Overhead and Board Overhead), coupled with a fairly substantial decrease to the Office Overhead factor, generated the bottom-line results for each fee item in the schedule. The

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changed from non-overhead to overhead as a result of the realignments, and who were not already included in Operations Overhead in 2012 Fee Update, the duties of all three employees changed significantly. We will include these three employees in the Operations Overhead factor. This year, accounting for other staff changes in the meantime, there are 18 employees in positions that contribute to the Operations Overhead factor.

⁶ Office Overhead costs across all seven categories totaled \$4,574,913 in FY 2013, a net decrease of \$1,451,071 from FY 2012. That net decrease resulted from substantial decreases in the Services to the Board, Equipment Procurement, and Property Damage Indemnities/Other—Legal Settlement costs (which collectively fell by \$1,555,273), offset by a slight increase of \$104,202 across the other four categories.

⁷ The 24.80% Office Overhead factor results from dividing the FY 2013 Office Overhead cost of \$4,574,913 by the FY 2013 total office cost of \$18,449,596.

⁸ The exact overhead factors utilized in APPENDIX A are as follows: Operations Overhead Factor = 0.19869825; Office Overhead Factor = 0.24796820; and Board Overhead Factor = 0.13754117.

Board's 125 fee items are being adjusted to cover the complete 2014 full cost levels as compared to the 2013 Fee Update full cost levels.⁹ Listed below is a summary of the full cost percentage decrease changes for the Board's 125 fee items from FY 2012 to FY 2013:

Full Cost Decrease Ranges—FY 2012 to FY 2013

Percentage Decrease Changes:	Number of Items:
0.00%	12
0.00% to 1.79%	18
1.80% to 1.99%	22
2.00% to 2.05%	73
TOTAL	125

FEDERAL REGISTER COST

There is no change in publication costs from the 2013 Fee Update decision. The United States Government Printing Office (GPO) set publication costs at \$159 per column in GPO Circular Letter no. 851 on June 8, 2012. Thus, the 2013 Fee Update decision publication cost levels remain unchanged and are used here.

OTHER FEES

1. Formal Complaint Fees:

On July 7, 2011, the STB adopted a final rule that set the fees for full Stand-Alone Cost (SAC) rate complaints (fee item 56(i)) at \$350; set the fee for Simplified-SAC rate complaints at \$350 (item 56 (ii)); and reduced the fee for all other formal complaints from \$20,600 to \$350 (item 56 (iv)). Regulations Governing Fees For Servs., EP 542 (Sub-No. 18) (STB served July 7, 2011). These fees remain unchanged and are reflected in the Schedule of Filing Fees in APPENDIX B.

2. Other Original Below-Cost Fees (Capped):

Historically, certain fees have been held well below the full cost level, including trail use condition requests—item 27(i); Amtrak conveyance and compensation proceedings—items 47 and 48, respectively; labor arbitration proceedings—item 60; appeals of Board decisions—item 61(i); and motor carrier undercharge proceedings—item 62.¹⁰ The Board has capped these

⁹ Of the 125 fee items contained in the Board's Schedule of Filing Fees, 12 items reflect no increase to the full cost levels. The remaining 113 items fall into two distinct full cost decrease ranges: fee items with publication costs (1.29% to 2.02%), and those items with no publication costs (1.87% to 2.04%).

¹⁰ See Regulations Governing Fees for Servs. Performed in Connection with Licensing & Related Servs.—2002 New Fees (2002 New Fees), EP 542 (Sub-No. 4), slip op. at 20-21 (STB

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fees out of a concern that higher fees could have a chilling effect on the ability of some to bring a matter to the Board's attention, or because certain types of actions were deemed to benefit the general public.

Capped fees are occasionally adjusted, and the Board continues to monitor them. In Regulations Governing Fees for Services Performed in Connection with Licensing & Related Services—2009 Update, EP 542 (Sub-No. 16) (STB served May 5, 2009) (2009 Fee Update), the Board raised each of the six fee items identified above from \$200 to \$250. These fee items were maintained at \$250 in the 2013 Fee Update decision.

However, in keeping with the 2002 New Fees and the 2009 Fee Update decisions, the Board is raising these six fee and sub-fee items from \$250 to \$300. These items have been held constant for the past five years and are for types of cases in which the full costs are substantially greater than the current \$250 fee, thus justifying a minor \$50 increase to each item, consistent with the previous periodic increases in these fees in 2009 and 2004.¹¹ Moreover, adjusting these fees will better meet the letter and spirit of the Independent Offices Appropriations Act, 31 U.S.C. § 9701 (IOAA).¹² The modest increase to these six capped fees is thus justified and reasonable.

Other below-cost fees are: the feeder line development program (\$2,600)—item 13; petition for declaratory order involving a dispute over an existing rate or practice (\$1,000)—item 58(i); and all other petitions for declaratory order (\$1,400)—item 58(ii). These three items will remain at their current fee levels, and are included in the Schedule of Filing Fees in APPENDIX B.

3. Certain Fees from the 2002 New Fees Decision Held Below-Cost (Capped):

In 2002 New Fees, the Board added 19 new fee items to its user-fee schedule. Six of those items were capped below their full costs for reasons discussed either in the 2002 New Fees final decision or in the Notice of Proposed Rulemaking for that proceeding. Those capped items are: request for determination of a dispute involving a rail construction that crosses the line of another carrier—item 12(iv); formal complaint involving rail maximum rates filed under the Three Benchmark methodology—former item 56(ii), now 56(iii); request for an order compelling a rail carrier to establish a common carrier rate—former item 56(v), now 56(vi); request for expedited or temporary relief for service emergency or service inadequacy—items 63(i) and 63(ii), respectively; and basic fee for STB adjudicatory services not otherwise covered—item 88.

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served Aug. 29, 2002) (notice of proposed rulemaking).

¹¹ See 2009 Fee Update, slip op. at 3-4; 2002 New Fees, EP 542 (Sub-No. 4), slip op. at 20-21 (STB served Aug. 29, 2002) (notice of proposed rulemaking); 2002 New Fees, 7 S.T.B. 511, 517-19 (2004)(final rule).

¹² See 2002 New Fees, 7 S.T.B. 511 (2004) (final rule).

In this decision, and for the same reasons given above for raising the six original below-costs fees, the Board raises five out of the six capped items in 2002 New Fees from \$250 to \$300. The filing fee for Three-Benchmark methodology cases (sub-fee item 56(iii)) will remain \$150. These items are also included in the Schedule of Filing Fees in APPENDIX B.

COMPARISON OF FEE LEVELS FOR YEARS 2013 AND 2014

In order to show clearly the changes to user fees, the Board is providing a comparison table between the new 2014 fee levels and last year's 2013 fee levels. The item-by-item comparisons and their resulting differences are reflected in APPENDIX C.

ROUNDING

In Regulations Governing Fees For Services Performed in Connection With Licensing & Related Services, 1 I.C.C. 2d 60 (1984), the Interstate Commerce Commission established a formula for rounding fees downward. See id. at 73-74. This agency has followed that formula ever since. In this decision, the Board is clarifying the fee-rounding regulation at 49 C.F.R. § 1002.3(e) to add the words "all" and "downward," to more accurately reflect the longstanding and consistently applied policy established in 1984.

NOTICE AND COMMENT REQUIREMENT

The fee changes adopted here generally are a product of the mechanical application of the current update formula at 49 C.F.R. § 1002.3(d), which was adopted through notice and comment procedures in Regulations Governing Fees for Services Performed in Connection With Licensing & Related Services—1987 Update, 4 I.C.C. 2d 137 (1987), as well as existing Board policy regarding capped fees. Additionally, this decision does not contain any new proposed fee items, and the amendment to the rounding regulation discussed above codifies a long-established and consistently applied Board formula adopted in a published agency decision. Therefore, we find good cause to conclude that notice and comment are unnecessary for this proceeding. See 5 U.S.C. § 553(b); 2013 Fee Update, slip op. at 6.

It is ordered:

1. 49 C.F.R. Part 1002 of the Code of Federal Regulations is amended as indicated in APPENDIX B. Notice of the final rules adopted here will be transmitted to Congress pursuant to Pub. L. No. 104-121 (Mar. 29, 1996).
2. Notice of this decision will be published in the Federal Register.
3. These rules are effective on August 14, 2014.

By the Board, Chairman Elliott, Vice Chairman Miller, and Commissioner Begeman.

APPENDIX A

FEE	2013 DIRECT LABOR	2014 DIRECT LABOR UPDATE [(2013 LEVEL X INCR. (1.00%)]	(56.25%) GOVT. FRINGES	TOTAL 3+4	(19.87%) OPERATIONS OVERHEAD	(24.80%) OFFICE G&A	(13.75%) BOARD G&A	PUBLICATION COSTS	TOTAL SUM (5-9)
1	2	3	4	5	6	7	8	9	10
1	\$1,734.02	\$1751.36	\$985.14	\$2,736.50	\$543.74	\$813.39	\$563.04	\$4.00	\$4,660.68
2i	731.04	738.35	415.32	1153.67	229.23	342.92	237.37	189.13	2152.33
2ii	1134.28	1145.62	644.41	1790.04	355.68	532.07	368.31	340.96	3387.05
2iii ¹³	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	2801.64
3	10748.49	10855.97	6106.49	16962.46	3370.41	5041.91	3490.08	189.13	29053.99
4i	1734.02	1751.36	985.14	2736.50	543.74	813.39	563.04	189.13	4845.81
4ii	41.67	42.09	23.67	65.76	13.07	19.55	13.53	0.00	111.90
5	195.57	197.53	111.11	308.63	61.33	91.74	63.50	5.00	530.20
6	559.66	565.26	317.96	883.21	175.49	262.53	181.72	273.28	1776.24
11i	2787.96	2815.84	1583.91	4399.75	874.22	1307.78	905.26	159.00	7646.01
11ii	596.99	602.96	339.16	942.12	187.20	280.04	193.84	291.51	1894.71
11iii	4854.51	4903.06	2757.97	7661.02	1522.23	2277.16	1576.28	189.13	13225.82
12i	29134.69	29426.04	16552.15	45978.18	9135.78	13666.51	9460.15	204.43	78445.05
12ii	596.99	602.96	339.16	942.12	187.20	280.04	193.84	291.51	1894.71

¹³ There are several fee items, such as 2iii, which list a total sum in column 10 without broken-out costs in columns 2 through 9. The full costs for these items were not computed through a time-and-motion study. Instead, they were estimated in a prior fee update or in specific decisions, based either on a comparable fee item (e.g., the costs for non-rail-related petitions to revoke were based upon the costs for rail-related petitions to revoke) or through a special calculation intended to lower the user fee below actual full costs (e.g., complaints for small rate cases—Three Benchmark).

FEE	2013 DIRECT LABOR	2014 DIRECT LABOR UPDATE [(2013 LEVEL X INCR. (1.00%)]	(56.25%) GOVT. FRINGES	TOTAL 3+4	(19.87%) OPERATIONS OVERHEAD	(24.80%) OFFICE G&A	(13.75%) BOARD G&A	PUBLICATION COSTS	TOTAL SUM (5-9)
1	2	3	4	5	6	7	8	9	10
12iii	29134.69	29426.04	16552.15	45978.18	9135.78	13666.51	9460.15	204.43	78445.05
12iv	5787.54	5845.42	3288.05	9133.46	1814.80	2714.82	1879.24	0.00	15542.32
13	8948.70	9038.19	5083.98	14122.17	2806.05	4197.66	2905.68	185.50	24217.06
14i	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	189.13	6527.19
14ii	596.99	602.96	339.16	942.12	187.20	280.04	193.84	291.51	1894.71
14iii	2516.97	2542.14	1429.95	3972.09	789.25	1180.66	817.27	208.70	6967.97
15	602.64	608.67	342.37	951.04	188.97	282.69	195.68	162.62	1781.00
16	2280.21	2303.01	1,295.44	3,598.46	715.01	1069.60	740.39	231.78	6355.24
17	8227.48	8309.75	4674.24	12983.99	2579.90	3859.35	2671.49	159.00	22253.73
21i	8620.75	8706.96	4897.66	13604.62	2703.21	4043.82	2799.19	159.00	23309.85
21ii	1286.90	1299.77	731.12	2030.89	403.53	603.66	417.86	403.54	3859.48
21iii	2389.20	2413.09	1357.36	3770.46	749.18	1120.73	775.78	231.78	6647.93
22	186.44	188.30	105.92	294.23	58.46	87.46	60.54	0.00	500.68
23	743.89	751.33	422.62	1173.95	233.26	348.94	241.54	0.00	1997.70
24	711.84	718.96	404.41	1123.37	223.21	333.91	231.14	0.00	1911.63
25	612.30	618.42	347.86	966.29	192.00	287.22	198.82	0.00	1644.32
26	8872.58	8961.31	5040.73	14002.04	2782.18	4161.95	2880.96	0.00	23827.14
27i	459.07	463.66	260.81	724.47	143.95	215.34	149.06	0.00	1232.82
27ii	180.03	181.83	102.28	284.11	56.45	84.45	58.46	0.00	483.47
36	7349.31	7422.80	4175.33	11598.13	2304.53	3447.42	2386.35	189.13	19925.56
37	3935.90	3975.26	2236.08	6211.34	1234.18	1846.25	1278.00	189.13	10758.91
38i	581448.84	587263.33	330335.62	917598.95	182325.31	272746.24	188798.71	5843.36	1567312.56
38ii	116292.10	117455.02	66068.45	183523.47	36465.79	54550.34	37760.50	1168.66	313468.76
38iii	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	1494.06	7832.12

FEE	2013 DIRECT LABOR	2014 DIRECT LABOR UPDATE [(2013 LEVEL X INCR. (1.00%)]	(56.25%) GOVT. FRINGES	TOTAL 3+4	(19.87%) OPERATIONS OVERHEAD	(24.80%) OFFICE G&A	(13.75%) BOARD G&A	PUBLICATION COSTS	TOTAL SUM (5-9)
1	2	3	4	5	6	7	8	9	10
38iv	559.66	565.26	317.96	883.21	175.49	262.53	181.72	273.28	1776.24
38v	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	1494.06	7832.12
38vi	3602.61	3638.64	2046.73	5685.37	1129.67	1689.91	1169.78	189.13	9863.87
38vii	2167.61	2189.29	1231.47	3420.76	679.70	1016.78	703.83	0.00	5821.07
39i	581448.84	587263.33	330335.62	917598.95	182325.31	272746.24	188798.71	5843.36	1567312.56
39ii	116292.10	117455.02	66068.45	183523.47	36465.79	54550.34	37760.50	1168.66	313468.76
39iii	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	1494.06	7832.12
39iv	406.22	410.28	230.78	641.07	127.38	190.55	131.90	294.15	1385.05
39v	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	1,494.06	7832.12
39vi	3602.61	3638.64	2046.73	5685.37	1129.67	1689.91	1169.78	189.13	9863.87
39vii	2167.61	2189.29	1231.47	3420.76	679.70	1016.78	703.83	0.00	5821.07
40i	581448.84	587263.33	330335.62	917598.95	182325.31	272746.24	188798.71	5843.36	1567312.56
40ii	116292.10	117455.02	66068.45	183523.47	36465.79	54550.34	37760.50	1168.66	313468.76
40iii	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	1494.06	7832.12
40iv	376.25	380.01	213.76	593.77	117.98	176.49	122.17	240.51	1250.92
40v	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	1494.06	7832.12
40vi	3602.61	3638.64	2046.73	5685.37	1129.67	1689.91	1169.78	189.13	9863.87
40vii	2167.61	2189.29	1231.47	3420.76	679.70	1016.78	703.83	0.00	5821.07
41i	581448.84	587263.33	330335.62	917598.95	182325.31	272746.24	188798.71	5843.36	1567312.56
41ii	116292.10	117455.02	66068.45	183523.47	36465.79	54550.34	37760.50	1168.66	313468.76
41iii	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	1494.06	7832.12
41iv	447.35	451.82	254.15	705.97	140.28	209.84	145.26	272.57	1473.92
41v	2360.12	2383.72	1340.84	3724.56	740.06	1107.09	766.34	1494.06	7832.12

FEE	2013 DIRECT LABOR	2014 DIRECT LABOR UPDATE [(2013 LEVEL X INCR. (1.00%)]	(56.25%) GOVT. FRINGES	TOTAL 3+4	(19.87%) OPERATIONS OVERHEAD	(24.80%) OFFICE G&A	(13.75%) BOARD G&A	PUBLICATION COSTS	TOTAL SUM (5-9)
1	2	3	4	5	6	7	8	9	10
41vi	2516.97	2542.14	1429.95	3972.09	789.25	1180.66	817.27	208.70	6967.97
41vii	2167.61	2189.29	1231.47	3420.76	679.70	1016.78	703.83	0.00	5821.07
42	807.86	815.94	458.97	1274.90	253.32	378.95	262.32	344.50	2514.00
43	27264.69	27537.34	15489.75	43027.09	8549.41	12789.33	8852.95	189.13	73407.91
44i	4994.99	5044.94	2837.78	7882.72	1566.28	2343.05	1621.89	189.13	13603.08
44ii	41.67	42.09	23.67	65.76	13.07	19.55	13.53	0.00	111.90
45	310.24	313.34	176.26	489.60	97.28	145.53	100.74	0.00	833.14
46	3065.87	3096.53	1741.80	4838.33	961.37	1438.14	995.50	189.13	8422.46
47	58969.06	59558.75	33501.80	93060.55	18490.97	27661.23	19147.48	0.00	158360.23
48	71523.19	72238.42	40634.11	112872.53	22427.58	33550.12	23223.86	0.00	192074.09
56i	163295.72	164928.68	92772.38	257701.06	51204.75	76598.82	53022.76	0.00	438527.38
56ii	16201.70	16363.72	9204.59	25568.31	5080.38	7599.90	5260.76	0.00	43509.34
56iii	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	150.00
56iv	16201.70	16363.72	9204.59	25568.31	5080.38	7599.90	5260.76	0.00	43509.34
56v	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	150.00
56vi	20193.87	20395.81	11472.64	31868.45	6332.21	9472.55	6557.03	0.00	54230.23
57	3475.04	3509.79	1974.26	5484.05	1089.67	1630.07	1128.36	0.00	9332.15
58i	3264.59	3297.24	1854.70	5151.93	1023.68	1531.36	1060.03	596.26	9363.25
58ii	2583.12	2608.95	1467.54	4076.49	809.99	1211.69	838.75	159.00	7095.92
59	2685.43	2712.28	1525.66	4237.94	842.07	1259.68	871.97	189.13	7400.80
60	5321.32	5374.53	3023.17	8397.71	1668.61	2496.13	1727.85	0.00	14290.30
61i	2594.23	2620.17	1473.85	4094.02	813.47	1216.90	842.36	0.00	6966.75

FEE	2013 DIRECT LABOR	2014 DIRECT LABOR UPDATE [(2013 LEVEL X INCR. (1.00%)]	(56.25%) GOVT. FRINGES	TOTAL 3+4	(19.87%) OPERATIONS OVERHEAD	(24.80%) OFFICE G&A	(13.75%) BOARD G&A	PUBLICATION COSTS	TOTAL SUM (5-9)
1	2	3	4	5	6	7	8	9	10
61ii	148.02	149.50	84.09	233.59	46.41	69.43	48.06	0.00	397.50
62	4109.94	4151.04	2334.96	6486.00	1288.76	1927.89	1334.51	0.00	11037.16
63i	7296.94	7369.91	4145.57	11515.48	2288.11	3422.85	2369.34	0.00	19595.79
63ii	8,134.20	8,215.54	4,621.24	12,836.78	2550.65	3815.59	2641.21	0.00	21844.23
64	234.15	236.49	133.03	369.52	73.42	109.84	76.03	0.00	628.81
76	486.38	491.24	276.32	767.57	152.51	228.15	157.93	2.00	1308.16
77	51.45	51.96	29.23	81.19	16.13	24.13	16.71	0.00	138.17
78	9.68	9.78	5.50	15.28	3.04	4.54	3.14	0.00	26.00
79i	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	75.00
79ii	61.30	61.91	34.83	96.74	19.22	28.75	19.90	0.00	164.62
80	239.93	242.33	136.31	378.64	75.24	112.55	77.91	0.00	644.33
81i	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	75.00
81ii	61.30	61.91	34.83	96.74	19.22	28.75	19.90	0.00	164.62
82	97.41	98.38	55.34	153.73	30.54	45.69	31.63	0.00	261.59
83	16.10	16.26	9.15	25.41	5.05	7.55	5.23	0.00	43.24
84	104.36	105.40	59.29	164.69	32.72	48.95	33.89	0.00	280.26
85	448.56	453.05	254.84	707.88	140.66	210.41	145.65	0.00	1204.60
86i	584.44	590.28	332.03	922.32	183.26	274.15	189.77	0.00	1569.50
86ii	2007.25	2027.32	1140.37	3167.69	629.41	941.56	651.76	0.00	5390.43
86iii	205.48	207.53	116.74	324.27	64.43	96.39	66.72	0.00	551.81
87i	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	75.00
87ii	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	75.00

FEE	2013 DIRECT LABOR	2014 DIRECT LABOR UPDATE [(2013 LEVEL X INCR. (1.00%)]	(56.25%) GOVT. FRINGES	TOTAL 3+4	(19.87%) OPERATIONS OVERHEAD	(24.80%) OFFICE G&A	(13.75%) BOARD G&A	PUBLICATION COSTS	TOTAL SUM (5-9)
1	2	3	4	5	6	7	8	9	10
87iii	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	75.00
87iv	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	75.00
87v	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	150.00
88	224.00	226.24	127.26	353.50	70.24	105.07	72.73	0.00	601.55
96	12.59	12.72	7.15	19.87	3.95	5.91	4.09	0.00	33.81
97	9.58	9.68	5.44	15.12	3.00	4.49	3.11	0.00	25.73
98ia	63.78	64.42	36.24	100.65	20.00	29.92	20.71	0.00	171.28
98ib	18.49	18.67	10.50	29.18	5.80	8.67	6.00	0.00	49.65
98iia	100.76	101.77	57.24	159.01	31.60	47.26	32.72	159.00	429.59
98iib	18.49	18.67	10.50	29.18	5.80	8.67	6.00	0.00	49.65
99i	74.88	75.63	42.54	118.17	23.48	35.12	24.31	0.00	201.09
99ii	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	25.00
100i	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	250.00
100ii	71.89	72.61	40.84	113.45	22.54	33.72	23.34	0.00	193.06
102	6.67	6.74	3.79	10.53	2.09	3.13	2.17	0.00	17.91
103	41.31	41.72	23.47	65.19	12.95	19.38	13.41	0.00	110.94
104	28.59	28.88	16.24	45.12	8.96	13.41	9.28	0.00	76.78
105	0.93	0.94	0.53	1.47	0.29	0.44	0.30	0.00	2.50
106	71.89	72.61	40.84	113.45	22.54	33.72	23.34	0.00	193.06

APPENDIX B

For the reasons set forth in the preamble, title 49, chapter X, part 1002, of the Code of Federal Regulations is amended as follows:

Part 1002 - FEES

1. The authority citation for part 1002 continues to read as follows:

Authority: 5 U.S.C. 552(a)(4)(A) and 553; 31 U.S.C. 9701 and 49 U.S.C. 721(a).

2. Section 1002.1 is amended by revising paragraphs (a), (f)(1) and (g)(6) as follows:

§ 1002.1 Fees for record search, review, copying, certification, and related service.

(a) Certificate of the Records Officer, \$17.00.

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(f) * * *

(1) A fee of \$72.00 per hour for professional staff time will be charged when it is required to fulfill a request for ADP data.

* * * * *

(g) * * *

(6) * * *

Grade	Rate	Grade	Rate
GS-1	\$12.13	GS-9	\$28.32
GS-2	13.20	GS-10	31.18
GS-3	14.88	GS-11	34.26
GS-4	16.70	GS-12	41.07
GS-5	18.69	GS-13	48.83
GS-6	20.83	GS-14	57.70
GS-7	23.15	GS-15 and	67.88
GS-8	25.64	over	

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3. In § 1002.2, paragraph (f) is revised as follows:

(f) Schedule of filing fees.

Type of Proceeding	Fee
PART I: Non-Rail Applications or Proceedings to Enter Into a Particular Financial Transaction or Joint Arrangement:	
(1) An application for the pooling or division of traffic	\$4,600.
(2) (i) An application involving the purchase, lease, consolidation, merger, or acquisition of control of a motor carrier of passengers under 49 U.S.C. 14303	\$2,100.
(ii) A petition for exemption under 49 U.S.C. 13541 (other than a rulemaking) filed by a non-rail carrier not otherwise covered	\$3,300.
(iii) A petition to revoke an exemption filed under 49 U.S.C. 13541(d)	\$2,800.
(3) An application for approval of a non-rail rate association agreement. 49 U.S.C. 13703.	\$29,000.
(4) An application for approval of an amendment to a non-rail rate association agreement:	
(i) Significant amendment	\$4,800.
(ii) Minor amendment	\$100.
(5) An application for temporary authority to operate a motor carrier of passengers. 49 U.S.C. 14303(i)	\$500.
(6) A notice of exemption for transaction within a motor passenger corporate family that does not result in adverse changes in service levels, significant operational changes, or a change in the competitive balance with motor passenger carriers outside the corporate family	\$1,700.
(7)-(10) [Reserved]	

PART II: Rail Licensing Proceedings other than Abandonment or Discontinuance Proceedings:	
(11) (i) An application for a certificate authorizing the extension, acquisition, or operation of lines of railroad. 49 U.S.C. 10901	\$7,600.
(ii) Notice of exemption under 49 CFR 1150.31-1150.35	\$1,800.
(iii) Petition for exemption under 49 U.S.C. 10502	\$13,200.
(12) (i) An application involving the construction of a rail line-	\$78,400.
(ii) A notice of exemption involving construction of a rail line under 49 CFR 1150.36	\$1,800.
(iii) A petition for exemption under 49 U.S.C. 10502 involving construction of a rail line	\$78,400.
(iv) A request for determination of a dispute involving a rail construction that crosses the line of another carrier under 49 U.S.C. 10902(d)	\$300.
(13) A Feeder Line Development Program application filed under 49 U.S.C. 10907(b)(1)(A)(i) or 10907(b)(1)(A)(ii)	\$2,600.
(14) (i) An application of a class II or class III carrier to acquire an extended or additional rail line under 49 U.S.C. 10902.	\$6,500.
(ii) Notice of exemption under 49 CFR 1150.41 - 1150.45	\$1,800.
(iii) Petition for exemption under 49 U.S.C. 10502 relating to an exemption from the provisions of 49 U.S.C. 10902	\$6,900.
(15) A notice of a modified certificate of public convenience and necessity under 49 CFR 1150.21-1150.24.....	\$1,700.
(16) An application for a land-use-exemption permit for a facility existing as of October 16, 2008 under 49 U.S.C. 10909	\$6,300.

(17) An application for a land-use-exemption permit for a facility not existing as of October 16, 2008 under 49 U.S.C. 10909	\$22,200.
(18)-(20) [Reserved]	

PART III: Rail Abandonment or Discontinuance of Transportation Services Proceedings:	
(21) (i) An application for authority to abandon all or a portion of a line of railroad or discontinue operation thereof filed by a railroad (except applications filed by Consolidated Rail Corporation pursuant to the Northeast Rail Service Act [Subtitle E of Title XI of Pub. L. 97-35], bankrupt railroads, or exempt abandonments)	\$23,300.
(ii) Notice of an exempt abandonment or discontinuance under 49 CFR 1152.50.....	\$3,800.
(iii) A petition for exemption under 49 U.S.C. 10502	\$6,600.
(22) An application for authority to abandon all or a portion of a line of a railroad or operation thereof filed by Consolidated Rail Corporation pursuant to Northeast Rail Service Act.....	\$500.
(23) Abandonments filed by bankrupt railroads.....	\$1,900.
(24) A request for waiver of filing requirements for abandonment application proceedings.....	\$1,900.
(25) An offer of financial assistance under 49 U.S.C. 10904 relating to the purchase of or subsidy for a rail line proposed for abandonment	\$1,600.
(26) A request to set terms and conditions for the sale of or subsidy for a rail line proposed to be abandoned.....	\$23,800.
(27) (i) Request for a trail use condition in an abandonment proceeding under 16 U.S.C.1247(d).....	\$300.
(ii) A request to extend the period to negotiate a trail use agreement.....	\$450.

(28)-(35) [Reserved]	
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PART IV: Rail Applications to Enter Into a Particular Financial Transaction or Joint Arrangement:	
(36) An application for use of terminal facilities or other applications under 49 U.S.C. 11102	\$19,900.
(37) An application for the pooling or division of traffic. 49 U.S.C. 11322.....	\$10,700.
(38) An application for two or more carriers to consolidate or merge their properties or franchises (or a part thereof) into one corporation for ownership, management, and operation of the properties previously in separate ownership. 49 U.S.C. 11324:	
(i) Major transaction	\$1,567,300.
(ii) Significant transaction	\$313,400.
(iii) Minor transaction	\$7,800.
(iv) Notice of an exempt transaction under 49 CFR 1180.2(d).....	\$1,700.
(v) Responsive application.....	\$7,800.
(vi) Petition for exemption under 49 U.S.C. 10502.....	\$9,800.
(vii) A request for waiver or clarification of regulations filed in a major financial proceeding as defined at 49 CFR 1180.2(a)	\$5,800.
(39) An application of a non-carrier to acquire control of two or more carriers through ownership of stock or otherwise. 49 U.S.C. 11324:	
(i) Major transaction	\$1,567,300.
(ii) Significant transaction	\$313,400.
(iii) Minor transaction	\$7,800.

(iv) A notice of an exempt transaction under 49 CFR 1180.2(d).....	\$1,300.
(v) Responsive application.....	\$7,800.
(vi) Petition for exemption under 49 U.S.C. 10502.....	\$9,800.
(vii) A request for waiver or clarification of regulations filed in a major financial proceeding as defined at 49 CFR 1180.2(a)	\$5,800.
(40) An application to acquire trackage rights over, joint ownership in, or joint use of any railroad lines owned and operated by any other carrier and terminals incidental thereto. 49 U.S.C. 11324:	
(i) Major transaction	\$1,567,300.
(ii) Significant transaction	\$313,400.
(iii) Minor transaction	\$7,800.
(iv) Notice of an exempt transaction under 49 CFR 1180.2(d).....	\$1,200.
(v) Responsive application.....	\$7,800.
(vi) Petition for exemption under 49 U.S.C. 10502.....	\$9,800.
(vii) A request for waiver or clarification of regulations filed in a major financial proceeding as defined at 49 CFR 1180.2(a)	\$5,800.
(41) An application of a carrier or carriers to purchase, lease, or contract to operate the properties of another, or to acquire control of another by purchase of stock or otherwise. 49 U.S.C. 11324:	
(i) Major transaction	\$1,567,300.
(ii) Significant transaction	\$313,400.
(iii) Minor transaction	\$7,800.
(iv) Notice of an exempt transaction under 49 CFR	

1180.2(d).....	\$1,400.
(v) Responsive application.....	\$7,800.
(vi) Petition for exemption under 49 U.S.C. 10502.....	\$6,900.
(vii) A request for waiver or clarification of regulations filed in a major financial proceeding as defined at 49 CFR 1180.2(a)	\$5,800.
(42) Notice of a joint project involving relocation of a rail line under 49 CFR 1180.2(d)(5)	\$2,500.
(43) An application for approval of a rail rate association agreement. 49 U.S.C. 10706	\$73,400.
(44) An application for approval of an amendment to a rail rate association agreement. 49 U.S.C. 10706:	
(i) Significant amendment	\$13,600.
(ii) Minor amendment.....	\$100.
(45) An application for authority to hold a position as officer or director under 49 U.S.C. 11328.....	\$800.
(46) A petition for exemption under 49 U.S.C. 10502 (other than a rulemaking) filed by rail carrier not otherwise covered.....	\$8,400.
(47) National Railroad Passenger Corporation (Amtrak) conveyance proceeding under 45 U.S.C. 562	\$300.
(48) National Railroad Passenger Corporation (Amtrak) compensation proceeding under Section 402(a) of the Rail Passenger Service Act	\$300.
(49)-(55) [Reserved]	

PART V: Formal Proceedings:	
(56) A formal complaint alleging unlawful rates or practices of carriers:	

(i) A formal complaint filed under the coal rate guidelines (Stand-Alone Cost Methodology) alleging unlawful rates and/or practices of rail carriers under 49 U.S.C. 10704(c)(1)	\$350.
(ii) A formal complaint involving rail maximum rates filed under the Simplified-SAC methodology	\$350.
(iii) A formal complaint involving rail maximum rates filed under the Three Benchmark methodology	\$150.
(iv) All other formal complaints (except competitive access complaints)	\$350.
(v) Competitive access complaints	\$150.
(vi) A request for an order compelling a rail carrier to establish a common carrier rate	\$300.
(57) A complaint seeking or a petition requesting institution of an investigation seeking the prescription or division of joint rates or charges. 49 U.S.C. 10705	\$9,300.
(58) A petition for declaratory order:	
(i) A petition for declaratory order involving a dispute over an existing rate or practice which is comparable to a complaint proceeding.....	\$1,000.
(ii) All other petitions for declaratory order	\$1,400.
(59) An application for shipper antitrust immunity. 49 U.S.C. 10706(a)(5)(A).....	\$7,400.
(60) Labor arbitration proceedings	\$300.
(61) (i) An appeal of a Surface Transportation Board decision on the merits or petition to revoke an exemption pursuant to 49 U.S.C. 10502(d).....	\$300.
(ii) An appeal of a Surface Transportation Board decision on procedural matters except discovery rulings	\$350.
(62) Motor carrier undercharge proceedings	\$300.
(63) (i) Expedited relief for service inadequacies: A request for expedited relief under 49 U.S.C. 11123 and 49 CFR	

part 1146 for service emergency.....	\$300.
(ii) Expedited relief for service inadequacies: A request for temporary relief under 49 U.S.C. 10705 and 11102, and 49 CFR part 1147 for service inadequacy.....	\$300.
(64) A request for waiver or clarification of regulations except one filed in an abandonment or discontinuance proceeding, or in a major financial proceeding as defined at 49 CFR 1180.2(a).....	\$600.
(65)-(75) [Reserved]	

PART VI: Informal Proceedings:	
(76) An application for authority to establish released value rates or ratings for motor carriers and freight forwarders of household goods under 49 U.S.C. 14706	\$1,300.
(77) An application for special permission for short notice or the waiver of other tariff publishing requirements	\$100.
(78) The filing of tariffs, including supplements, or contract summaries	\$1 per page. (\$26 minimum charge.)
(79) Special docket applications from rail and water carriers:	
(i) Applications involving \$25,000 or less.....	\$75.
(ii) Applications involving over \$25,000.....	\$150.
(80) Informal complaint about rail rate applications	\$600.
(81) Tariff reconciliation petitions from motor common carriers:	
(i) Petitions involving \$25,000 or less	\$75.
(ii) Petitions involving over \$25,000	\$150.
(82) Request for a determination of the applicability or reasonableness of motor carrier rates under 49 U.S.C. 13710(a)(2) and (3)	\$250.
(83) Filing of documents for recordation.	

49 U.S.C. 11301 and 49 CFR 1177.3(c)	\$43 per document.
(84) Informal opinions about rate applications (all modes)	\$250.
(85) A railroad accounting interpretation	\$1,200.
(86) (i) A request for an informal opinion not otherwise covered	\$1,500.
(ii) A proposal to use a voting trust agreement pursuant to 49 CFR 1013 and 49 CFR 1180.4(b)(4)(iv) in connection with a major control proceeding as defined at 49 CFR 1180.2(a)	\$5,300.
(iii) A request for an informal opinion on a voting trust agreement pursuant to 49 CFR 1013.3(a) not otherwise covered	\$550.

(87) Arbitration of Certain Disputes Subject to the Statutory Jurisdiction of the Surface Transportation Board under 49 CFR 1108:	
(i) Complaint	\$75.
(ii) Answer (per defendant), Unless Declining to Submit to Any Arbitration	\$75.
(iii) Third Party Complaint	\$75.
(iv) Third Party Answer (per defendant), Unless Declining to Submit to Any Arbitration	\$75.
(v) Appeals of Arbitration Decisions or Petitions to Modify or Vacate an Arbitration Award	\$150.
(88) Basic fee for STB adjudicatory services not otherwise covered	\$300.
(89)-(95) [Reserved]	

PART VII: Services:	
(96) Messenger delivery of decision to a railroad carrier's	

Washington, DC, agent	\$33 per delivery.
(97) Request for service or pleading list for proceedings.....	\$25 per list.
(98) Processing the paperwork related to a request for the Carload Waybill Sample to be used in a Board or State proceeding that:	
(i) Does not require a Federal Register notice:	
(a) Set cost portion	\$150.
(b) Sliding cost portion	\$49 per party.
(ii) Does require a Federal Register notice:	
(a) Set cost portion	\$400.
(b) Sliding cost portion	\$49 per party.
(99) (i) Application fee for the Surface Transportation Board's Practitioners' Exam	\$200.
(ii) Practitioners' Exam Information Package	\$25.
(100) Carload Waybill Sample data:	
(i) Requests for Public Use File for all years prior to the most current year Carload Waybill Sample data available, provided on CD-R	\$250 per year.
(ii) Specialized programming for Carload Waybill requests to the Board.....	\$113 per hour.

* * * * *

4. In § 1002.3, paragraph (e) is revised as follows:

(e) All updated fees shall be rounded downward in the following manner: (1) Fees between \$1-\$30 will be rounded to the nearest \$1; (2) fees between \$30-\$100 will be rounded to the nearest \$10; (3) fees between \$100-\$999 will be rounded to the nearest \$50; and (4) fees above \$1,000 will be rounded to the nearest \$100. (This rounding procedure excludes copying, printing and search fees).

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APPENDIX C				
Fee Item	Description	2013 Fee (Sub-No. 21)	2014 Fee (Sub-No. 22)	Difference
(1)	Pooling/Division Traf. Non-Rail	\$4,700	\$4,600	-\$100
(2i)	Appl. Purch. Lease of MC Passen.	\$2,100	\$2,100	\$0
(2ii)	PFE-Not Otherwise Cover. N-Rail	\$3,400	\$3,300	-\$100
(2iii)	Pet. To Revoke-Filing from (2ii)	\$2,800	\$2,800	\$0
(3)	Appl. N-Rail Rate Assoc. Agree	\$29,600	\$29,000	-\$600
(4i)	Appl. Amend. Agree. - Significant	\$4,900	\$4,800	-\$100
(4ii)	Appl. Amend. Agree. - Minor	\$100	\$100	\$0
(5)	Temp. Author. of MC Passenger	\$500	\$500	\$0
(6)	Notice of Exempt. - MC Pass. Corp.	\$1,800	\$1,700	-\$100
(11i)	Appl.-Extension, Acquis. or Opr.	\$7,800	\$7,600	-\$200
(11ii)	NOE - Extension, Acquis. or Opr.	\$1,900	\$1,800	-\$100
(11iii)	PFE - Extension, Acquis. or Opr.	\$13,400	\$13,200	-\$200
(12i)	Appl. Construct New Rail Line	\$80,000	\$78,400	-\$1,600
(12ii)	NOE - Construct New Rail Line	\$1,900	\$1,800	-\$100
(12iii)	PFE - Construct New Rail Line	\$80,000	\$78,400	-\$1,600
(12iv)	Rail Construct Cross Carrier	\$250	\$300	\$50
(13)	Feeder Line Development Appl.	\$2,600	\$2,600	\$0
(14i)	Appl. CL II or III Acquire Rail Ln.	\$6,600	\$6,500	-\$100
(14ii)	NOE - CL II or III Acquire Rail Ln	\$1,900	\$1,800	-\$100
(14iii)	PFE - CL II or III Acquire Rail Ln.	\$7,100	\$6,900	-\$200
(15)	Notice of Modified Certificate	\$1,800	\$1,700	-\$100
(16)	Land-Use-Exemption-Facility	\$6,400	\$6,300	-\$100
(17)	Land-Use-Exemp.-New Facility	\$22,700	\$22,200	-\$500
(21i)	Appl. to Abandon or Discontinue	\$23,700	\$23,300	-\$400
(21ii)	NOE - Abandon or Discontinue	\$3,900	\$3,800	-\$100
(21iii)	PFE - Abandon or Discontinue	\$6,700	\$6,600	-\$100
(22)	Appl. Abandon Line of CR	\$500	\$500	\$0
(23)	Abandonment Filed Bankrupt RR	\$2,000	\$1,900	-\$100
(24)	Waiver Request - Abandon Appl.	\$1,900	\$1,900	\$0
(25)	Offer Financial Assistance (OFA)	\$1,600	\$1,600	\$0
(26)	OFA - Set Terms and Conditions	\$24,300	\$23,800	-\$500
(27i)	Request for Trail Use Condition	\$250	\$300	\$50
(27ii)	Request to Extend Negotiate Trail	\$450	\$450	\$0
(36)	Appl. Use of Terminal Facilities	\$20,300	\$19,900	-\$400

APPENDIX C				
Fee Item	Description	2013 Fee (Sub-No. 21)	2014 Fee (Sub-No. 22)	Difference
(37)	Appl. Pooling/Division Traf. - Rail	\$10,900	\$10,700	-\$200
(38i)	Appl. Consolidation/Merger - Maj	\$1,599,600	\$1,567,300	-\$32,300
(38ii)	Appl. Consolid./Merger-Signif.	\$319,900	\$313,400	-\$6,500
(38iii)	Appl. Consolidation/Merger - Min	\$7,900	\$7,800	-\$100
(38iv)	NOE - Consolidation/Merger	\$1,800	\$1,700	-\$100
(38v)	Consolid./Merger - Responsive	\$7,900	\$7,800	-\$100
(38vi)	PFE - Consolidation/Merger	\$10,000	\$9,800	-\$200
(38vii)	Request for Waiver/Clarification	\$5,900	\$5,800	-\$100
(39i)	Appl. Non-Carrier Acq. - Major	\$1,599,600	\$1,567,300	-\$32,300
(39ii)	Appl. Non-Carrier Acq. - Signif.	\$319,900	\$313,400	-\$6,500
(39iii)	Appl. Non-Carrier Acq. - Minor	\$7,900	\$7,800	-\$100
(39iv)	NOE - Non-Carrier Acquire	\$1,400	\$1,300	-\$100
(39v)	Non-Carrier Acquire - Responsive	\$7,900	\$7,800	-\$100
(39vi)	PFE - Non-Carrier Acquire	\$10,000	\$9,800	-\$200
(39vii)	Request for Waiver/Clarification	\$5,900	\$5,800	-\$100
(40i)	Appl. Trackage Rights - Major	\$1,599,600	\$1,567,300	-\$32,300
(40ii)	Appl. Trackage Rights-Significant	\$319,900	\$313,400	-\$6,500
(40iii)	Appl. Trackage Rights - Minor	\$7,900	\$7,800	-\$100
(40iv)	NOE - Trackage Rights	\$1,200	\$1,200	\$0
(40v)	Trackage Rights-Responsive Appl.	\$7,900	\$7,800	-\$100
(40vi)	PFE - Trackage Rights	\$10,000	\$9,800	-\$200
(40vii)	Request for Waiver/Clarification	\$5,900	\$5,800	-\$100
(41i)	Appl. Purch., Lease, Oper.- Major	\$1,599,600	\$1,567,300	-\$32,300
(41ii)	Appl. Purch., Lease, Oper.- Signif	\$319,900	\$313,400	-\$6,500
(41iii)	Appl. Purch., Lease, Oper. - Minor	\$7,900	\$7,800	-\$100
(41iv)	NOE - Purchase, Lease, Operate	\$1,400	\$1,400	\$0
(41v)	Purch., Lease, Oper.-Responsive	\$7,900	\$7,800	-\$100
(41vi)	PFE - Purchase, Lease, Operate	\$7,100	\$6,900	-\$200
(41vii)	Request for Waiver/Clarification	\$5,900	\$5,800	-\$100
(42)	Notice of Joint Project Relocation	\$2,500	\$2,500	\$0
(43)	Appl. Approval Rate Assoc. Agree	\$74,900	\$73,400	-\$1,500
(44i)	Amend to Rate Agree-Significant	\$13,800	\$13,600	-\$200
(44ii)	Amend to Rate Assoc. Agree-Min	\$100	\$100	\$0
(45)	Appl. Author. Officer/Director	\$850	\$800	-\$50

APPENDIX C				
Fee Item	Description	2013 Fee (Sub-No. 21)	2014 Fee (Sub-No. 22)	Difference
(46)	PFE-Filed Rail-Not Other. Cover.	\$8,500	\$8,400	-\$100
(47)	Amtrak Conveyance Proceeding	\$250	\$300	\$50
(48)	Amtrak Compensation Proceed.	\$250	\$300	\$50
(56i)	Formal Compl.-Coal Rate Guide	\$350	\$350	\$0
(56ii)	Formal Compl.-Simplified-SAC	\$350	\$350	\$0
(56iii)	Formal Compl.-Three Benchmark	\$150	\$150	\$0
(56iv)	Formal Complaint - All Others	\$350	\$350	\$0
(56v)	Competitive Access Complaint	\$150	\$150	\$0
(56vi)	Request to Establish CC Rate	\$250	\$300	\$50
(57)	Request for an Investigation	\$9,500	\$9,300	-\$200
(58i)	Petition Declaratory-Exist Rate	\$1,000	\$1,000	\$0
(58ii)	Pet.Declar.-All Other Petition	\$1,400	\$1,400	\$0
(59)	Appl. Shipper Antitrust Immunity	\$7,500	\$7,400	-\$100
(60)	Labor Arbitration Proceedings	\$250	\$300	\$50
(61i)	Appeal/Merits & Pet. Revoke Ex.	\$250	\$300	\$50
(61ii)	Appeal STB Decision-Procedural	\$400	\$350	-\$50
(62)	Mtr. Carrier Undercharge Cases	\$250	\$300	\$50
(63i)	Service Emergency-Part 1146	\$250	\$300	\$50
(63ii)	Service Emergency-Part 1147	\$250	\$300	\$50
(64)	Req. Waiver/Clarif. O/T Aban/Fin	\$600	\$600	\$0
(76)	Release Value Rates for MC&HG	\$1,300	\$1,300	\$0
(77)	Short Notice of Tariff Publishing	\$100	\$100	\$0
(78)	Filing of Tariffs, Incl. Supplements	\$26	\$26	\$0
(79i)	Spec. Dk (RR&Water)< \$25,000	\$75	\$75	\$0
(79ii)	Spec. Dk (RR&Water)> \$25,000	\$150	\$150	\$0
(80)	Inform. Complaint RR Rate Appl.	\$650	\$600	-\$50
(81i)	Tariff Recon. MC - <\$25,000	\$75	\$75	\$0
(81ii)	Tariff Recon. MC - >\$25,000	\$150	\$150	\$0
(82)	Request Reasonable. MC Rates	\$250	\$250	\$0
(83)	Documents for Recordation	\$44	\$43	-\$1
(84)	Informal Opinions Rate Appl.	\$250	\$250	\$0
(85)	Railroad Accounting Interpretation	\$1,200	\$1,200	\$0
(86i)	A Request Informal Opinion-NOC	\$1,600	\$1,500	-\$100
(86ii)	Voting Trust Agree. Major Cont.	\$5,500	\$5,300	-\$200

APPENDIX C				
Fee Item	Description	2013 Fee (Sub-No. 21)	2014 Fee (Sub-No. 22)	Difference
(86iii)	Informal Opin.-Voting Trust-NOC	\$550	\$550	\$0
(87i)	Arbitration Disputes-Comp	\$75	\$75	\$0
(87ii)	Answer (Per Defendant)	\$75	\$75	\$0
(87iii)	Third Party Complaint	\$75	\$75	\$0
(87iv)	Third Party Answer(Per Defendant)	\$75	\$75	\$0
(87v)	Appeals of Arbitration Decision	\$150	\$150	\$0
(88)	Basic for Adjudicatory Services	\$250	\$300	\$50
(96)	Messenger Delivery - Railroad DC	\$34	\$33	-\$1
(97)	Request Service/Pleadings List	\$26	\$25	-\$1
(98i)(a)	Carload Way. Req.-No FR Set Cost	\$150	\$150	\$0
(98i)(b)	No FR Sliding Portion	\$50	\$49	-\$1
(98ii)(a)	Carload Way. Req.-FR Set Cost	\$400	\$400	\$0
(98ii)(b)	FR Required Sliding Portion	\$50	\$49	-\$1
(99i)	Application STB Practitioners' Exam	\$200	\$200	\$0
(99ii)	Practitioners Exam Package	\$25	\$25	\$0
(100i)	Carld. Waybill-(CD-R)-per yr.-Prior	\$250	\$250	\$0
(100ii)	Special Programming-Waybill	\$112	\$113	\$1
1002.1a	Certificate of the Records Officer	\$18	\$17	-\$1
1002.1b	Exam Tariffs for Certification	\$41	\$41	\$0
1002.1c	Checking Records Authenticity	\$28	\$28	\$0
1002.1d	Electrostatic Copies Tariffs, Recon.	\$1.40	\$1.40	\$0
1002.1f1	Pro Staff Time for ADP Data Req.	\$71	\$72	\$1
No Item	Courier Service Cost – Elsewhere		NA	\$0