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SEC

SERVICE DATE - FEBRUARY 12, 1997

SURFACE TRANSPORTATION BOARD

DECISION

No. 41295

PENNSYLVANIA POWER AND LIGHT COMPANY
v.
CONSOLIDATED RAIL CORPORATION, CSX TRANSPORTATION, INC.,
AND NORFOLK SOUTHERN CORPORATION

Decided: February 11, 1997

This proceeding involves a complaint by Pennsylvania Power and Light Company (PP&L) challenging the reasonableness of defendants' coal rates to four of PP&L's generating plants in Pennsylvania. Pursuant to 49 CFR 1114.21(c), PP&L and defendants Consolidated Rail Corporation, CSX Transportation, Inc., and Norfolk Southern Railway Company jointly request the Board to issue a protective order to safeguard the confidentiality of information that may be produced during the course of this proceeding. PP&L and defendants state that improper disclosure and use of information and data could cause serious commercial or competitive injury to the parties.

The requested protective order will be granted. The protective order is substantially similar to those the Board and the Interstate Commerce Commission have entered in previous railroad rate complaint proceedings involving, as here, the submission of traffic, cost and valuation evidence. Issuance of the protective order ensures that the parties' confidential, proprietary or commercially sensitive information will be used solely for this proceeding and not for other purposes.

It is ordered:

1. The motion is granted. The parties to this proceeding must comply with the protective order in the Appendix.
2. This decision is effective on the date of service.

By the Board, Vernon A. Williams, Secretary.

Vernon A. Williams
Secretary

APPENDIX

STIPULATED PROTECTIVE ORDER

1. This Order shall apply to all documents, information and other products of discovery (including testimony and transcripts of testimony taken at depositions) obtained by any party to this proceeding pursuant to discovery requests (including workpaper requests), whether directed to another party or to a person not a party to this proceeding, or through evidentiary filings in this proceeding.

2. Any party or person responding to a discovery request (including a workpaper request) may designate as "Confidential Information" any response (including production of documents) or portion thereof that it in good faith contends contains confidential, proprietary or commercially sensitive information. Any party or person responding to such a request may also designate as "Highly Confidential Information" any "Confidential Information" that it in good faith contends contains such highly sensitive information (e.g., the non-public terms of transportation or coal supply agreements involving any of the parties, customer-specific traffic, revenue, price or cost information, purchase power minimums or demand-side management plans) that disclosure to employees or agents of another party or person, even subject to the restrictions of this Order governing the use and dissemination of "Confidential Information," could reasonably threaten significant economic or competitive harm to the producing party or person. Except as provided by Paragraph 5, "Confidential Information" and "Highly Confidential Information" as used herein include all such designated responses, any copies, extracts, abstracts or summaries of all or part of such responses, and all or any portion of information contained in such responses.

3. Responses to discovery requests (including workpaper requests) may be designated as "Confidential Information" or "Highly Confidential Information" as follows:

(a) Responses or portions of responses to interrogatories, written deposition interrogatories, requests for admission or workpaper requests may be designated by stamping or printing "Confidential" or "Confidential Information," or "Highly Confidential" or "Highly Confidential Information," in the front thereof and, if only portions of the response are to be so designated, clearly marking the confidential or highly confidential portions.

(b) Prior to the production of copies to the requesting party, documents may be designated by separating them from other documents and informing the requesting party that they are "Confidential Information" or "Highly Confidential Information." Copies of documents or portions of documents produced to the parties may be designated by producing such documents in separate containers clearly marked as containing "Confidential Information" or "Highly

Confidential Information" or stamping "Confidential" or "Confidential Information" on each page containing "Confidential Information," or stamping "Highly Confidential" or "Highly Confidential Information" on each page containing "Highly Confidential Information," and, if only portions of a document page are to be so designated, clearly marking the confidential portions.

(c) A deponent or the attorney for a deponent may designate the deponent's entire testimony and the transcript thereof to be treated as "Confidential Information" or "Highly Confidential Information" by so requesting on the record prior to the conclusion of the deposition. Such designation shall be effective only until 15 days after the availability of the transcript of the deposition, after which portions of the deposition testimony may be designated "Confidential Information" or "Highly Confidential Information" only by informing each party in writing of the pages, and the portions thereof, that contain "Confidential Information" or "Highly Confidential Information."

4. If a party or person inadvertently fails to designate discovery or other material as "Confidential Information" or "Highly Confidential Information" in a timely fashion as provided herein, it may make such a designation subsequently by notifying the parties in writing. After receipt of such notification, such materials and information shall be treated as if they had been designated in a timely fashion.

5. Any party at any time may by written notice request that the producing party or person cancel the "Confidential Information" or "Highly Confidential" designation of any transcript, document or discovery response or portion thereof. Such request should identify particularly the designated responses it contends should not be treated as "Confidential Information" or "Highly Confidential Information," provide the reasons therefor, and explicitly state that the request is made pursuant to this paragraph. Such request shall be deemed granted ten (10) days after receipt of the request, unless the producing party or person, prior to the end of the ten (10) day period, sends by certified mail or commercial messenger service a written denial of the request. If such request is denied in whole or in part, the requesting party may file a motion with the Board to have the "Confidential Information" or "Highly Confidential Information" designation removed as to the discovery responses listed in the request. The burden of establishing that the responses should not be afforded the protections of this Order shall be on the moving party.

6. Other than as provided in Paragraph 7 below, "Confidential Information" and "Highly Confidential Information" may only be disclosed to "Authorized Persons." An "Authorized Person" is a person who, prior to the receipt of any "Confidential Information" or "Highly Confidential Information," has signed an undertaking (in the form attached to this Order) stating his or her identity, title and employer and that he or she has read and understands this Order and agrees to abide by it, and is:

(a) an attorney actively involved in this proceeding, or a legal assistant or clerical employee under such attorney's supervision;

(b) a person who is an employee or agent of a party and is actively involved in this proceeding;

(c) a person who is an outside consultant (that is, not a regular employee of a party) actively involved in this proceeding and who has been employed by any of the parties to provide advice, expertise or assistance in this proceeding, or an assistant or clerical employee under such person's supervision; or

(d) a reporter employed to record depositions;

or who, in the case of "Highly Confidential Information," is:

(w) an outside attorney (that is, not a regular employee of a party) actively involved in this proceeding, or a legal assistant or clerical employee under such attorney's supervision; or

(x) one of the following in-house attorneys for the parties or their designated successor (but no other employee of a party):

Theresa M. Brennan
Fred R. Birkholz
George A. Aspatore
James L. Howe
Anne E. Treadway

(y) a person who is an outside consultant (that is, not a regular employee of a party) actively involved in this proceeding and who has been employed by any of the parties to provide advice, expertise or assistance in this proceeding, or an assistant or clerical employee under such person's supervision; or

(z) a reporter employed to record depositions.

Each such undertaking by an "Authorized Person" shall be kept for the duration of this proceeding and any related court litigation or judicial appeals by the party with which such "Authorized Person" is affiliated or associated, and a copy of each such undertaking shall be delivered to counsel of record for each party no later than ten (10) days after such undertaking is executed.

7. "Confidential Information" and "Highly Confidential Information" may also be disclosed to:

(a) an employee of the producing party during a deposition of such employee;

(b) a deponent employed by an organization that also employs the person who produced the "Confidential Information" or "Highly Confidential Information" to be disclosed to the deponent; or

(c) any person so authorized either (i) in writing by the party or person that produced the "Confidential Information" or "Highly Confidential Information" to be disclosed to such person or (ii) by the Board upon motion by any party for good cause.

8. Storage, transmission or communication of "Confidential Information" and "Highly Confidential Information" must be such as to reasonably ensure that the "Confidential Information" and "Highly Confidential Information" will not be disclosed, accidentally or otherwise, to non-authorized persons.

9. No person may be present at a deposition during the discussion of "Confidential Information" or "Highly Confidential Information" who has not been authorized by this Order to review the "Confidential Information" or "Highly Confidential Information" to be discussed.

10. "Confidential Information" and "Highly Confidential Information" may be used by the receiving party, and by any "Authorized Person," solely for purposes of this proceeding and any related court litigation or judicial appeals, and not for any other purpose whatsoever (including any business, or commercial purpose). "Confidential Information" and "Highly Confidential Information" may not be used in any other litigation unless obtained in that litigation.

11. All "Confidential Information" and "Highly Confidential Information" filed with the Board, and any pleading, motion, or other paper filed with the Board that contains or discloses "Confidential Information" or "Highly Confidential Information" shall be filed under seal and kept under seal until further order of the Board.

12. All documents containing "Confidential Information" or "Highly Confidential Information" shall, at the option of the producing party/person, be destroyed or returned to the producing party/person at the termination of this proceeding, including all appeals. However, outside counsel may retain file copies of any unredacted pleadings and materials filed with the Board or a court.

13. The provisions of this Order that restrict the handling, communication and use of "Confidential Information" and "Highly Confidential Information" shall continue to be binding after the termination of this proceeding, including any related court litigation or judicial appeals, unless the Board or the producing party/person authorizes in writing alternative handling, communication or use of the information.

14. This Order shall not bar or otherwise restrict:

(a) a party or producing person from opposing production of any information under the Board's Rules of Practice;

(b) an "Authorized Person" from making copies, abstracts, digests and analyses of "Confidential Information" and "Highly Confidential Information" for use in connection with this proceeding, subject to the requirement that all such copies, abstracts, digests

and analyses be treated as "Confidential Information" or "Highly Confidential Information," as the case may be, and clearly marked as such;

(c) an "Authorized Person" from rendering advice or opinions with respect to this proceeding to his or her client or employer based upon his or her examination of "Confidential Information" or "Highly Confidential Information" as long as such person does not disclose the "Confidential Information" or "Highly Confidential Information" itself to a person not authorized by this Order to have access to the "Confidential Information" or "Highly Confidential Information," as the case may be;

(d) a party from using any "Confidential Information" or "Highly Confidential Information" during hearings in this proceeding, subject to any further order of the Board;

(e) a party or producing person from using its own "Confidential Information" or "Highly Confidential Information" in any manner it sees fit, or from revealing such "Confidential Information" or "Highly Confidential Information" to whomever it chooses, without the prior consent of any other party or of the Board; and

(f) a party or producing person from applying to the Board at any time for additional protection, or to relax or rescind the restrictions of this Order, when convenience or necessity requires.

15. If "Confidential Information" or "Highly Confidential Information" in the possession of any party is subpoenaed by any court, administrative or legislative body, or any other person purporting to have authority to subpoena such information, the party to whom the subpoena is directed will not produce such information without first giving written notice (including the delivery of a copy thereof) to the producing party/person or the attorneys for the producing party/person, within 24 hours after receipt of the subpoena. If a subpoena purports to require production of such "Confidential Information" or "Highly Confidential Information" on less than four (4) business days' notice, the party to whom the subpoena is directed shall also give immediate notice by telephone of the receipt of such subpoena.

16. Information that is publicly available or obtained outside of this proceeding from a person with the right to disclose it shall not be subject to this Order even if the same information is produced and designated as "Confidential Information" or "Highly Confidential Information" in this proceeding.

17. To the extent that "Confidential Information" or "Highly Confidential Information" is produced by a party or other person in this proceeding and held and used by the receiving party in compliance with the terms of this Order, such production, disclosure and use of such "Confidential Information" or "Highly Confidential Information" are deemed essential for the

disposition of this proceeding and shall not be deemed a violation of 49 U.S.C. § 11904.

18. This Order shall be effective on the date served.

BEFORE THE
SURFACE TRANSPORTATION BOARD

_____)
PENNSYLVANIA POWER AND LIGHT COMPANY,)
)
<u>Complainant,</u>)
)
v.)
	Docket No. 41295)
)
CONSOLIDATED RAIL CORPORATION,)
CSX TRANSPORTATION, INC., AND)
NORFOLK SOUTHERN CORPORATION)
)
<u>Defendants.</u>)
_____)

CONFIDENTIALITY UNDERTAKING

I, [Name] , am [Position or Job Title]
 , of [Company, Firm or Employer] , [Address of
Company, Firm or Employer] . I am:

- () an outside attorney actively involved in this proceeding, or a legal assistant or clerical employee under such attorney's supervision;
- () an attorney who is a regular employee of a party and is actively involved in this proceeding;
- () a person who is an employee or agent of a party and is actively involved in this proceeding;
- () a person who is an outside consultant (that is, not a regular employee of a party) actively involved in this proceeding and who has been employed by any of the parties to provide advice, expertise or assistance in this proceeding, or an assistant or clerical employee under such person's supervision;
- () a court reporter employed to record depositions in the captioned proceeding,

and affirm that I have read and understand the Protective Order served _____, 1997 in the captioned proceeding, and that I agree to abide by the terms of such Protective Order.

Dated: _____
