

ENTERED
Office of Proceedings
August 19, 2016
Part of
Public Record

Surface Transportation Board
Washington, D.C. 20423-0001
Office of Economics

August 12, 2016

Mr. Timothy J. Strafford
Association of American Railroads
425 3rd Street, SW, Suite 1000
Washington, D.C. 20024

Dear Mr. Strafford,

You represent the Association of American Railroads (AAR) in *Review of General Purpose Costing System*, Docket No. EP 431 (Sub-No. 4). We are in receipt of your request, dated August 5, 2016, seeking access to the Board's workpapers as part of your participation in that proceeding. The Surface Transportation Board (STB) will release to you the items specified in the Board's decision in EP 431 (Sub-No. 4) served August 4, 2016. These items are sample calculations and supporting data related to the proposals in that decision. Use of this information is limited to this proceeding. *This approval becomes effective when your signed agreement is received by the STB.*

The workpapers rely on subsets of Waybill Data from 2013 and 2012. The rules for release of Waybill Data are codified at 49 C.F.R. § 1244.9. Waybill Data are commercially sensitive and have the potential for competitive harm to shippers and railroads, including third-party railroads. This Waybill Data may be accessed only by AAR employees working on this proceeding, and by outside counsel or consultants working with or assisting AAR who are not regular employees of the AAR and have a need to know, review, or handle the confidential material for purposes of the proceeding, including testifying and consulting experts, provided each such person has been given and has read a copy of this letter, agrees to be bound by its terms, and executes the attached undertaking prior to receiving access to such materials.

Therefore, the following agreement must be signed before the workpapers can be released. Your signature acknowledges your agreement to comply with the following:

1. Waybill Data and supplemental material may be disclosed only to AAR employees working on this proceeding, and to outside counsel or consultants working with or assisting AAR who are not regular employees of the AAR and have a need to know, review, or handle the Waybill Data and supplemental materials for purposes of the proceeding, including testifying and consulting experts, provided each such person has been given and has read a copy of this Confidentiality Agreement, agrees to be bound by its terms, and executes the attached Undertaking for Waybill Data and supplemental materials prior to receiving access to such materials.

2. Each Undertaking for Waybill Data and supplemental materials executed by a person authorized to receive access to Waybill Data shall be kept for the duration of this proceeding and any related court litigation or judicial appeals by the party with which such

person is affiliated or associated, and a copy of each such Undertaking shall be provided to the STB's Office of Economics no later than 10 days after such Undertaking is executed.

3. Waybill Data and supplemental materials shall be used by a receiving party solely for the purpose of this proceeding and any judicial review proceeding arising therefrom, and not for any other business, commercial, or competitive purpose.

4. All copies of the Waybill Data and supplemental materials must be destroyed at the completion of this proceeding and any judicial review proceeding arising therefrom, except that outside counsel (but not outside consultants) for each party are permitted to retain file copies of all pleadings and evidence filed with the Board and file copies of all work products.

5. Waybill Data, if contained in any pleading or evidence filed with the Board, shall, in order to be kept confidential, be filed only in pleadings or evidence submitted in a package clearly marked on the outside "Highly Confidential Materials Containing Waybill Data Subject to Protective Order." See 49 C.F.R. § 1104.14.

6. If any party intends to use Waybill Data at hearings in this proceeding, or in any judicial review proceeding arising therefrom, the party so intending shall submit any proposed exhibits or other documents setting forth or revealing such Waybill Data to the Board, a court, or an Administrative Law Judge, with a written request that the Board, the court, or the Administrative Law Judge: (a) restrict attendance at the hearings during discussion of such Waybill Data; and (b) restrict access to the portion of the record or briefs reflecting discussion of such Waybill Data in accordance with the terms of this Confidentiality Agreement.

7. If any party intends to use Waybill Data in the course of any deposition in this proceeding, the party so intending shall so advise counsel for the deponent, and all other counsel attending the deposition. Attendance at any portion of the deposition at which any such Waybill Data is used shall be restricted to persons who may review the material under this Confidentiality Agreement. All portions of deposition transcripts and/or exhibits that consist of or disclose Waybill Data shall be kept under seal and treated as Highly Confidential Waybill Data in accordance with the terms of this Confidentiality Agreement.

8. To the extent that material reflecting the terms of contracts, shipper-specific traffic data, other traffic data, or other proprietary information is produced or otherwise disclosed by a party in this or any related proceedings and is held and used by the receiving person in compliance with this Confidentiality Agreement, such production, disclosure, and use of the material and of the data that the material contains will be deemed essential for the disposition of this and any related proceedings and will not be deemed a violation of 49 U.S.C. § 11904.

9. Except for this proceeding, if a party is required by law or order of a governmental or judicial body to release Waybill Data, the party so required shall notify the Board in writing within 3 working days of the determination that the Waybill Data are to be released or within 3 working days prior to such release, whichever is soonest.

10. Failure to request an extension, return the data, or destroy the data may prevent any future release of Waybill Data from the STB.

11. Violations of this Confidentiality Agreement are subject to sanctions by the Board under 49 C.P.R. 1244.9(g).

12. Egregious violations of this Confidentiality Agreement, as determined by the Board, may be subject to sanctions including, but not limited to, disbarment from representing or presenting evidence on behalf of any client in any Board proceeding or matter for a period of time determined by the Board.

13. Improper disclosure of Waybill Data is also subject to civil penalties under 49 U.S.C. § 11904.

We would appreciate your signing and returning this agreement as soon as possible.

Sincerely,



William Huneke
Director / Chief Economist.

Agreed:

AAR


Signature

Timothy J. Stafford
Name - Please Print

Associate General Counsel
Title

8/12/16
Date

Each approved consultant must sign a copy of this agreement

I have read and understand the conditions for release of the confidential information and waybill data. I agree to comply fully with these conditions and the provisions of this confidential agreement. At the conclusion of this proceeding, *Review of General Purpose Costing System* Docket No. EP 431 (Sub-No. 4), and any judicial appeal, I will return or destroy all waybill data and certify that I have no copies of the data. I understand that failure to return or destroy the data may prevent the Association of American Railroads from receiving any future waybill data from the STB. I further understand that violations of the agreement are subject to sanctions by the Board and civil penalties.

FTI CONSULTING

Company

Benton V. Fisher

Signature

BENTON V. FISHER

Name - Please Print

SENIOR MANAGING DIRECTOR

Title

AUGUST 9, 2016

Date

For STB use only:

Signed Agreement Returned:

Each approved consultant must sign a copy of this agreement

I have read and understand the conditions for release of the confidential information and waybill data. I agree to comply fully with these conditions and the provisions of this confidential agreement. At the conclusion of this proceeding, *Review of General Purpose Costing System* Docket No. EP 431 (Sub-No. 4), and any judicial appeal, I will return or destroy all waybill data and certify that I have no copies of the data. I understand that failure to return or destroy the data may prevent the Association of American Railroads from receiving any future waybill data from the STB. I further understand that violations of the agreement are subject to sanctions by the Board and civil penalties.

FTI Consulting
Company


Signature

KAUSTUV CHAKRABARTI
Name – Please Print

SENIOR DIRECTOR
Title

8-9-2016
Date

For STB use only:

Signed Agreement Returned:

Each approved consultant must sign a copy of this agreement

I have read and understand the conditions for release of the confidential information and waybill data. I agree to comply fully with these conditions and the provisions of this confidential agreement. At the conclusion of this proceeding, *Review of General Purpose Costing System* Docket No. EP 431 (Sub-No. 4), and any judicial appeal, I will return or destroy all waybill data and certify that I have no copies of the data. I understand that failure to return or destroy the data may prevent the Association of American Railroads from receiving any future waybill data from the STB. I further understand that violations of the agreement are subject to sanctions by the Board and civil penalties.

FTI CONSULTING

Company

Michael Baranowski

Signature

MICHAEL BARANOWSKI

Name - Please Print

SENIOR MANAGING DIRECTOR

Title

Aug. 10, 2016

Date

For STB use only:

Signed Agreement Returned:

Each approved consultant must sign a copy of this agreement

I have read and understand the conditions for release of the confidential information and waybill data. I agree to comply fully with these conditions and the provisions of this confidential agreement. At the conclusion of this proceeding, *Review of General Purpose Costing System* Docket No. EP 431 (Sub-No. 4), and any judicial appeal, I will return or destroy all waybill data and certify that I have no copies of the data. I understand that failure to return or destroy the data may prevent the Association of American Railroads from receiving any future waybill data from the STB. I further understand that violations of the agreement are subject to sanctions by the Board and civil penalties.

FTI CONSULTING
Company

Signature
NATHAN ZEBROWSKI
Name - Please Print
DIRECTOR
Title
AUG. 10, 2016
Date

For STB use only:

Signed Agreement Returned: