

**Report on Pending STB Regulatory Proceedings  
Fourth Quarter 2022**

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**Surface Transportation Board**

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**1. Expanding Access to Rate Relief**

**Popular Title:** Expanding Access to Rate Relief

**RIN 2140-AB37**

**Stage:** Proceeding Terminated

**Previous Stage:** ANPRM served 8/31/2016; End of Comment Period 12/19/2016. Decision served 3/28/2018 waiving the prohibition on ex parte communications in this proceeding. In conjunction with a NPRM in Docket No. EP 755, served 9/12/2019, the Board requested additional comment on this proceeding, including whether to close it; End of Comment Period 1/10/2020. SNPRM served 11/15/2021, including a proposal to close this docket; End of Comment Period 4/15/2022. Proceeding terminated by decision served 12/19/2022.

**Abstract:** The Board considered procedures that could comprise a new rate reasonableness methodology for use in very small disputes, which would be available to shippers of agricultural products and all other commodities. Actions taken in Docket No. EP 755 supplanted the solutions under consideration here and this proceeding was terminated.

**Effects:**

None

**Prompting action:** Board Initiative

**Legal Deadline:** None

**Rulemaking Project Initiated:** 08/31/2016

**Docket Number:** EP 665 (Sub-No. 2) [the decision issuing the SNPRM also requested comments in Final Offer Rate Review, Docket No. EP 755]

**Dates for Final Action**

<b>Milestone</b>	<b>Scheduled Date</b>	<b>New Projected Date</b>	<b>Actual Date</b>
Service Date	9/2022	11/2022	12/19/2022

**Explanation for any delay:** The complexity of the interrelated proceedings in Docket No. EP 755, Final Offer Rate Review, and Docket No. EP 765, Joint Petition for Rulemaking to Establish a Voluntary Arbitration Program for Small Rate Disputes, delayed the final action here.

**Federal Register Citation for Final Action:** \_\_ Fed. Reg. \_\_ (Jan. \_\_, 2023)

## Surface Transportation Board

### 2. Review of Commodity, Boxcar, and TOFC/COFC Exemptions

**Popular Title:** Commodity Exemptions

**RIN 2140-AB29**

**Stage:** Proposed Rule

**Previous Stage:** NPRM served 3/23/2016; End of Comment Period 8/26/2016. Decision served 3/19/2019 waiving the prohibition on ex parte communications in this proceeding and providing a 90-day period for meetings with Board members; End of Comment Period in response to memoranda summaries of ex parte meetings 7/16/2019. Decision served 9/30/2020 requesting comments from interested parties. Board staff held technical conference on 12/18/2020 and 1/15/2021. End of Comment Period 3/1/2021.

**Abstract:** In this proceeding, the Board issued a notice of proposed rulemaking seeking public comment on its proposal to revoke the existing class exemptions under 49 C.F.R. Part 1039 for crushed or broken stone or rip rap; hydraulic cement; and coke produced from coal, primary iron or steel products, and iron or steel scrap, wastes or tailings. The Board is committed to ensuring that a robust analysis be conducted when determining whether to proceed with any commodity exemptions or exemption revocations. Therefore, following the feedback received during the course of this proceeding, the Board's Office of Economics developed an approach for possible use in considering class exemption and revocation issues and the Board is seeking comments on the approach from interested parties.

**Effects:** Regulatory Flexibility Act

**Prompting action:** Board Initiative

**Legal Deadline:** None

**Rulemaking Project Initiated:** 3/23/2016

**Docket Number:** EP 704 (Sub-No. 1)

**Dates for Next Action:**

Milestone	Scheduled Date	New Projected Date	Actual Date
Next Action	TBD	N/A	N/A

**Explanation for any delay:** By decision served 11/25/2020, the Board granted the Association of American Railroads' request for a technical conference, which was held on 12/18/2020 and reconvened on 1/15/2021. The procedural schedule was extended to accommodate the technical conference.

Please note that on 2/28/2020, the Board issued a final rule related to this proceeding in Exclusion of Demurrage Regulation from Certain Class Exemptions, Docket No. EP 760.

**Federal Register Citation for Next Action:** N/A

## Surface Transportation Board

### 3. Reciprocal Switching

**Popular Title:** Competitive Switching Rules

**RIN 2140-AB32**

**Stage:** Proposed Rule

**Previous Stage:** NPRM served 7/27/2016; End of Comment Period 1/13/2017.

**Abstract:** The Board proposes regulations which would allow a party to seek a reciprocal switching prescription that is either practicable and in the public interest or necessary to provide competitive rail service, in accordance with 49 U.S.C. § 11102(c)(1).

**Effects:**

None

**Prompting action:** Petition from the National Industrial Transportation League

**Legal Deadline:** None

**Rulemaking Project Initiated:** 7/27/2016

**Docket Number:** EP 711 (Sub-No. 1)

**Dates for Next Action:**

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	8/2022	TBD	N/A

The Board held a hearing on this matter on 3/15/2022 and 3/16/2022. Ex parte meetings on this matter were paused between 1/24/2022 and 3/17/2022, and were scheduled to close on 4/22/2022, but were extended until 5/6/2022.

**Explanation for any delay:** During the past year, acute rail service issues have resulted in continuing and further in-depth study on this matter. The Board expects to have a revised projected date in the next report.

**Federal Register Citation for Next Action:** N/A

## Surface Transportation Board

### 4. Railroad Revenue Adequacy

**Popular Title:** Revenue Adequacy

**RIN 2140-AB19**

**Stage:** Pre-Rule

**Previous Stage:** Notice served 4/2/2014; End of Comment Period 11/4/2014; Hearing 7/22/2015 and 7/23/2015. Hearing 12/12/2019 and 12/13/2019; Hearing record closed 2/13/2020.

**Abstract:** The Board is exploring its methodology for determining railroad revenue adequacy, as well as the revenue adequacy component used in judging the reasonableness of rail freight rates.

**Effects:**

None

**Prompting action:** Board Initiative

**Legal Deadline:** None

**Rulemaking Project Initiated:** 4/2/2014

**Docket Number:** EP 722

**Dates for Next Action:**

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	TBD	N/A	N/A

On 3/28/2018, the Board issued a decision clarifying that a prohibition on ex parte communications in this proceeding is not applicable.

The subject matter of this proceeding was under review by the Board's Rate Reform Task Force. The Task Force issued its findings and recommendations in its 4/25/19 report, which was publicly released on 4/29/2019. The Board held a public hearing on 12/12 and 12/13/2019, to receive input from interested stakeholders on the Task Force's options regarding possible changes to revenue adequacy. The hearing record closed on 2/13/2020.

Please note that, on 12/30/2020, in response to a joint petition for rulemaking, the Board opened a rulemaking proceeding regarding the Board's annual revenue adequacy determinations and requested supplemental information.

**Explanation for any delay:** Prior quarterly submissions available on the Board's website provide information regarding the status of this matter relevant at the time of each submission.

**Federal Register Citation for Next Action:** N/A

**Surface Transportation Board**

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**5. Final Offer Rate Review**

**Popular Title:** FORR, Final Offer

**RIN 2140-AB46**

**Stage:** Final Rule

**Previous Stage:** NPRM served 9/12/2019; End of Comment Period 1/10/2020. Decision served 5/15/2020 waiving the prohibition on ex parte communications between 6/1/2020 and 7/15/2020. SNPRM issued 11/15/2021. Decision served 12/29/2021 extending waiver of the Board’s ex parte communications until 3/28/2022. End of Comment Period 4/15/2022. Final Rule served 12/19/2022.

**Abstract:** The Board adopted a final rule establishing a new procedure for challenging the reasonableness of railroad rates in smaller cases. Under this rate review procedure, the Board will decide a case by selecting either the complainant’s or the defendant’s final offer, subject to an expedited procedural schedule that adheres to firm deadlines.

**Effects:**

None

**Prompting action:** Recommendation by the Board’s Rate Reform Task Force

**Legal Deadline:** None

**Rulemaking Project Initiated:** 9/12/2019

**Docket Number:** EP 755 [the decision issuing the SNPRM also requested comments in [Expanding Access to Rate Relief](#), Docket No. EP 665 (Sub-No. 2)]

**Dates for Final Rule:**

<b>Milestone</b>	<b>Scheduled Date</b>	<b>New Projected Date</b>	<b>Actual Date</b>
Service Date	9/2022	11/2022	12/19/2022

**Explanation for any delay:** The complexity of the interrelated proceedings here and in Docket No. EP 765, Joint Petition for Rulemaking to Establish a Voluntary Arbitration Program for Small Rate Disputes, delayed the Final Rule here.

**Federal Register Citation for Final Rule:** \_\_ Fed. Reg. \_\_\_\_ (Jan. \_\_, 2023).

**Surface Transportation Board**

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**6. Joint Petition for Rulemaking to Establish a Voluntary Arbitration Program for Small Rate Disputes**

**Popular Title:** Voluntary Arbitration for Small Rate Disputes

**RIN 2140-AB53**

**Stage:** Final Rule

**Previous Stage:** Proceeding instituted 11/25/2020. NPRM issued 11/15/2021. End of Comment Period 4/15/2022. Final Rule served 12/19/2022.

**Abstract:** The Board adopted a final rule modifying its regulations to establish a voluntary arbitration program for small rate disputes.

**Effects:**

None

**Prompting action:** Joint petition for rulemaking.

**Legal Deadline:** None

**Rulemaking Project Initiated:** 11/25/2020

**Docket Number:** EP 765

**Dates for Final Rule:**

<b>Milestone</b>	<b>Scheduled Date</b>	<b>New Projected Date</b>	<b>Actual Date</b>
Service Date	9/2022	11/2022	12/19/2022

**Explanation for any delay:** The complexity of the interrelated proceedings here and in Docket No. EP 755, Final Offer Rate Review, delayed the Final Rule here.

**Federal Register Citation for Final Rule:** \_\_ Fed. Reg. \_\_\_\_ (Jan. \_\_, 2023).

**Surface Transportation Board**

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**7. Joint Petition for Rulemaking—Annual Revenue Adequacy Determinations**

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**Popular Title:** N/A

**RIN 2140-AB52**

**Stage:** Pre-rule

**Previous Stage:** Rulemaking proceeding initiated 12/30/2020.

**Abstract:** The Board opened a rulemaking proceeding to consider a petition by several Class I railroads to change the Board’s procedures for annually determining whether Class I rail carriers are revenue adequate and requested public comment on the petition and several specific related issues.

**Effects:**

None

**Prompting action:** Joint petition for rulemaking

**Legal Deadline:** None

**Rulemaking Project Initiated:** 12/30/2020.

**Docket Number:** EP 766

**Dates for Next Action:**

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	TBD	N/A	N/A

**Explanation for any delay:** By decision served 1/4/2021, the Board granted an unopposed petition to extend the procedural schedule. By decision served 6/4/2021, the Board granted a motion for a 30-day extension of time to file replies, extending the due date from 6/16/2021 to 7/16/2021. By decision served 7/7/2021, the Board granted an additional motion to extend the due date for replies to 8/16/2021.

**Federal Register Citation for Next Action:** N/A



**Surface Transportation Board**

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**8. First-Mile / Last-Mile Service**

**Popular Title:** N/A

**RIN 2140-AB55**

**Stage:** Pre-Rule

**Previous Stage:** Request for comments served 9/2/2021; End of Comment Period 2/17/2022.

**Abstract:** The Board issued a decision seeking comments on issues regarding first-mile / last-mile (FMLM) service, particularly on whether additional metrics to measure such service might have utility that exceeds any associated burden. FMLM service refers to the movement of railcars between a local railroad serving yard and a shipper receiver facility.

**Effects:**

None

**Prompting action:** Stakeholder feedback and Board initiative

**Legal Deadline:** None

**Rulemaking Project Initiated:** N/A

**Docket Number:** EP 767

**Dates for Next Action:**

<b>Milestone</b>	<b>Scheduled Date</b>	<b>New Projected Date</b>	<b>Actual Date</b>
Service Date	08/2022	N/A	N/A

**Explanation for any delay:** The interrelationship between this proceeding and the proceeding in Docket No. EP 770, Urgent Issues in Freight Rail Service has delayed the next action here.

**Federal Register Citation for Next Action:** N/A

**Surface Transportation Board**

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**9. Petition for Rulemaking to Adopt Rules Governing Private Railcar Use by Railroads**

**Popular Title:** Private Railcar Usage

**RIN 2140-AB56**

**Stage:** Pre-Rule

**Previous Stage:** Proceeding instituted. Request for comments served 4/1/2022. End of comment period 9/8/2022.

**Abstract:** The Board seeks public comment on a petition by the North American Freight Car Association, The National Grain and Feed Association, The Chlorine Institute, and The National Oilseed Processors Association to adopt regulations governing railroads' use of private freight cars and several specific related issues.

**Effects:**

None

**Prompting action:** Petition for rulemaking.

**Legal Deadline:** None

**Rulemaking Project Initiated:** N/A

**Docket Number:** EP 768

**Dates for Next Action:**

<b>Milestone</b>	<b>Scheduled Date</b>	<b>New Projected Date</b>	<b>Actual Date</b>
Service Date	TBD	N/A	N/A

**Explanation for any delay:** N/A

**Federal Register Citation for Next Action:** N/A

**Surface Transportation Board**

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**10. Revisions to Regulations for Expedited Relief for Service Emergencies**

**Popular Title:** Emergency Service Regs.

**RIN 2140- AB58**

**Stage:** Proposed Rule

**Previous Stage:** NPRM served 4/22/2022; End of Comment Period 6/6/2022.

**Abstract:** The Board proposes to amend its emergency service regulations. Specifically, the Board proposes to: (1) amend procedures for parties seeking a Board order directing an incumbent carrier to take action to remedy a service emergency; (2) indicate that the Board may act on its own initiative to direct emergency service; (3) modify the informational requirements for parties in emergency service proceedings; (4) shorten the filing deadlines in emergency service proceedings and establish a timeframe for Board decisions; and (5) establish an accelerated process for certain acute service emergencies.

**Effects:**

None

**Prompting action:** Feedback received during hearings and informal stakeholder communications.

**Legal Deadline:** None

**Rulemaking Project Initiated:** N/A

**Docket Number:** EP 762

**Dates for Next Action:**

<b>Milestone</b>	<b>Scheduled Date</b>	<b>New Projected Date</b>	<b>Actual Date</b>
Service Date	10/2022	3/2023	N/A

**Explanation for any delay:** The need to reallocate Board resources to address recent rail service issues and other resource-intensive matters has delayed the next action here.

**Federal Register Citation for Next Action:** N/A