SURFACE TRANSPORTATION BOARD

CLASSIFICATION INDEX SURVEY FORM

OMB Control No. 2140-0043
Expiration Date 01-31-2026

The Surface Transportation Board (Board) categorizes rail carriers into three classes based on the carrier's annual operating revenues: Class I, Class II, and Class III. To account for inflation, the Board calculates revenue deflator factors for the railroads to adjust their gross annual operating revenues for classification purposes. The Board publishes the annual deflator factor and classification thresholds on its website at www.stb.gov/reports-data/economic-data/ and in Docket No. EP 748, Indexing the Annual Operating Revenues of Railroads at www.stb.gov/proceedings-actions/search-stb-records/.

Railroads with a Class I classification must file Annual Reports (Form R-1). Railroads with a Class II and Class III classification are not required to file a Form R-1 but must compute their adjusted operating revenues using the railroad revenue deflator factor. If the adjusted operating revenues indicate a change in carrier classification for three consecutive years, the carrier must submit this form to the Office of Economics by March 31 of the year following the end of the three-year period to which it relates. Upward and downward reclassification will be effective as of January 1 in the year immediately following the third consecutive year of revenue qualification. Newly organized carriers will be classified on the basis of their annual carrier operating revenues after railroad revenue deflator adjustment for the latest period of operation. If actual data are not available, new carriers shall be classified on the basis of their carrier operating revenues known and estimated for a year after railroad revenue deflator adjustment.

Instructions:

(1) Enter the three previous calendar years in column (a).
(2) Enter carrier operating revenues for each calendar year in column (b).[1]
(3) Enter the annual deflator factor in column (c).
(4) Multiply columns (b) and (c) to calculate the adjusted operating revenues in column (d) (*e.g., b x c = d*). If the adjusted operating revenues exceed the carrier's current classification for each of the three years, submit this form to the Office of Economics (stb-data@stb.gov) by March 31 of the year following the end of the period to which it relates.

[1] Carrier operating revenues include the following revenue types: Freight, passenger, passenger-related, switching, water transfers, demurrage, incidental, joint facility (debit and credit), transfers from government authorities for current operations and amortization of deferred transfers from government authorities.

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Carrier Name:			
Carrier Address:			
Year (a)	Annual Carrier Operating Revenues (b)	Annual Deflator Factor (c)	Adjusted Operating Revenues (d)
	$		$
	$		$
	$		$

CERTIFICATION

I the undersigned state that this report was prepared by me or under my supervision; that I have carefully examined it; and on the basis of my knowledge, belief, and verification (when necessary) I declare it to be a full, true, and correct statement of the operating revenues, and that the various items reported were determined in accordance with the rules promulgated by the Surface Transportation Board.

Name _____

Title _____

Date _____

Signature _____