ANNUAL REPORT 1977 ALUMINUM COMPANY OF AMERICA

Ø. 1977

COMMERCE COMMISSION

JUN 5

ANNUAL REPORT FORM C-1

Approved by GAO 8-180230 (R0256) Expires 12-31-78

Date Due: March 31, 1978

ADMINISTRATIVE SERVICES
INTERSTATE COMMERCE COMMISSION

CORRECT NAME AND ADDRESS IF DIFFERENT THAN SHOWN.

PC000110 ALUMINUCOMP 2 0 2 9625 ALUMINUM COMPANY OF AMERICA 1501 ALCOA BLDG

FOLLOW ALL INSTRUCTIONS CAREFULLY

- 1. Remove the mailing label from the cover and attach the label to the top of page 1 of the report form to be filed. The mailing label should NOT be altered. If the name and address on the mailing label are incorrect, insert your correct name and address in the space provided to the left. The carrier mailing address is the company address where correspondence regarding accounting and reporting matters is to be directed, but not the address of an independent auditor or CPA.
- 2. All persons furnishing cars to railroads or express companies, other than refrigerator car lines owned or controlled by railroad companies, and owning or operating 10 or more cars, are required to file annual report Form C-1. This Form for annual report should be filled out in triplicate and two copies, the original of which must be the copy containing the mailing label, returned to the Interstate Commerce Commission, Bureau of Accounts, Washington, D. C. 20423, by March 31, of the year following that for which the report is made. One copy of the report should be retained by the respondent. Attention is directed to Sections 1(3), 20(6), and 20(7) of Part I of the Interstate Commerce Act.
- 3. The instructions in this Form should be carefully observed, and each question should be answered fully and accurately. If any inquiry does not apply to the respondent, such fact should be shown on the inquiry by the words "Not applicable." Where the word "None" truly and completely states the fact, it should be given as the answer to any particular inquiry or any particular portion of any inquiry. Where dates are called for, the month and day should be stated as well as the year. Customary abbreviations may be used in stating dates.
- 4. If it be necessary or desirable to insert additional statements, typewritten or other, in a report, they should be legibly made on durable paper and, wherever practicable, on sheets not larger than a page of the Form. The inserts should be securely bound in the report.
- 5. All entries should be made in a permanent black ink. Those of a contrary and unusual character should be indicated in parentheses. Money items throughout this annual report form should be shown in units of dollars adjusted to accord with footings.
- 6. Throughout this report the respondent means the person or company in whose behalf the report is made; the year means the year ended December 31 for which the report is made; the close of the year means the close of business on December 31 of the year for which the report is made or, in case the report is made for a shorter period than one year, it means the close of the period covered by the report; the beginning of the year means the beginning of business on January 1 of the year for which the report is made or, in case the report is made for a shorter period than one year, it means the beginning of the period covered by the report; the preceding year means the year ended December 31 of the year next preceding the year for which the report is made.
- 7. Annual report form B-1 is prescribed for refrigerator car lines owned or controlled by railroad companies. No report form has been prescribed for persons furnishing cars to railroad and express companies and owning less than 10 cers.
- 8. Should there be doubt as to the reporting of any item or items or parts thereof, or advice is desired relative to the preparation of the report, address an inquiry to the Bureau of Accounts for consideration and decision.

ALL RESPONDENTS MUST ANSWER QUESTIONS | THROUGH 5

2. Give a brief description of business engaged in by the Manufacture and sale of alum		
3. Give titles, names, and addresses of three to five prin	cipal officers of the respondent at the close of the year:	
Title	Name	Address
Presi/ent /	W. B. Renner	1501 Alcoa Building, Pittsburgh, PA 15219
Executive Vice-President	J. S. Pasman, Jr.	1501 Alcoa Building, Pittsburgh, PA 15219
Executive Vice-President	J. C. Bates	1501 Alcoa Building, Pittsburgh, PA 1521
Executive Vice-President	F. C. Irving, Jr.	1501 Alcoa Building, Pittsburgh, PA 1521
Executive Vice-President	M. E. Gantz, Jr.	1501 Alcoa Building, Pittsburgh, PA 1521

INSTRUCTIONS FOR PAGE 3

- 1. General: Furnish data with respect to privately owned cars used by railroads and express companies engaged in interstate commerce and not such cars used in exclusive plant service. If the respondent does not have records which give the information requested or any part therof, such missing information should be estimated and marked "Est."
- 2. Investment in Cars: Show on line 1 the "book cost" of cars as recorded in the accounts of the company without deduction of any related reserves or other items; included therein should be only units owned (and units leased to others) by respondent.
- 3. Equipment: On line 6 show the total weight in tons of 2,000 pounds. The weight of the equipment acquired should be the empty weight. Show on line 8 the number of cars owned, and on lines 23 and 26 the number of cars leased of record as of the close of the year. Cars shown on line 23, cars leased to others, should be included on line 8. Line 26, cars leased at close of the year from others, does not include short-term rentals. Show on line 9 the cars undergoing and awaiting repairs, and bad order cars in or out of shops awaiting disposition. On line 14, show total

load capacity of all cars on line 8. (Do not show tons carried during year). TOFC cars, col. (t), refers to type FC flat cars.

- 4. Mileage, Revenues and Expenses: Show on lines 13, 18, and 19 the miles travelled, revenues received and expenses incurred (revenues and expenses on accrual basis) by cars owned by the respondent during the year, distributed according to type of cars indicated by column headings. If the mileage cannot be distributed separately for loaded and empty cars it should be shown on line 12, and the reason therefore stated in a footnote. If mileage is not available, an estimate should be made on basis of revenue received.
- 5. Employees: On line 27 furnish the average number of employees, the major porton of whose time is assignable to the car and protective service operations. Add the number of such employees on the payroll at the close of the pay period containing the 12th day of each month and divide by 12. Every count should cover not only employees actually on duty during the period of the count, but also employees under pay not on duty.

Line No.	Item (a)	Refriger- ator cars	Tank cars			TOPE	Gondola &		
			Petroleum (c)	Other (Specify) (d)	Box cars	TOFC cars	open top hopper cars	Other* cars (h)	Total .
_	Investment in cars at close of '/ear	-					1.	, 2, 242, 633	. 2.242.633
2.	Reserve for depreciation	Control Control Approximation	s			1		1,352,890	
1	NET TOTAL		1	. /			1	889,743	
	Inventory of Rolling Stock								
4.	Number owned at beginning of year							(1) 112	112
	Number acquired during the year								
	Total weight (tons) of units acquired during the year		6						
7.	Number retired during the year								
8.	TOTAL CARS OWNED AT CLOSE OF YEAR			Manager Strategy of the Strate				112	112
9.	Unserviceable cars at close of year								
	Miles Made by Cars Owned by Respondent							6,426,667	6,426,667
10.	Loaded		-			-		THE RESERVE OF THE RESERVE OF THE PERSON NAMED IN COLUMN TWO PERSONS NAMED IN COLUMN TRANSPORT NAMED IN COLUMN TWO PERSONS NAMED IN COLUMN TRANSPORT NAMED IN COLU	
11	Empty		-					6,431,452	6,431,452
12.	Not separable							10 050 110	10 050 11
13.	TOTAL							12,858,119	12,858,119
14.	Aggregate capacity of units reported, in tons of 2,000 pounds								
	Revenues from Car Service			/				(0) 010	(0) 010
15.	Car mileage basis	s ———	- s	5	s ———	s	- 5	s (2) 313	s (2) 313
16.	Car rental basis		-				-		
17.	Other car service basis		-			-	-		
18.	TOTAL	s	- s	s ———	s ———	s	- 5	219,045	\$ -010 0/5
19.	Expenses for car service	5	- 5	s ——	s	- 5	- 5	A CONTRACTOR OF THE PARTY OF TH	\$ 219,045
20.	NET TOTAL—CAR SERVICE	5	5	S	<u> </u>	<u>S</u>	\$	s (218,732	(218,732
	Cars Leased at Close of Year to:								N/A
21.	Railroad and express companies					-	-		N/A
22.	All others						-		N/A
23.	TOTAL						=		N/A
	Cars Leised at Close of Year from:	-	\				1 -		
24.	Railroad and express companies			7 (1)		-		(0)	
25.	All others	-	20	7 (4)		-		(3) 83	11(
26.	TOTAL	-	20			-	-	83	110

[&]quot;Specify the basic types and number of each type owned at close of year:-

^{(1) 86} Aluminum Covered Hopper Cars, 18 Flat Cars w/Covers, 8 Flat Cars; (2) Majority of cars operated in service under tariff provisions which disallow mileage allowance; (3) 23 Pressure differential or air assisted Hoppers, 60 covered Hopper cars; (4) Spent caustic soda and muriatic acid.

NAME C. E. W	Vinckler	—TITLE——	Vice-President
TELEPHONE NUMBER -	412 (Area o	ode)	553-3112 (Telephone number)
OFFICE ADDRESS	.501 Alcoa Building (Street and num	iber)	Pittsburgh, Pennsylvania 15219 (City, State, and ZIF Code)
		ОАТН	
	(To be made by officer having	control of the acc	counting of the respondent)
State of Pennsylvani			
County of Allegheny	<u>/</u>		
C. E. Winckl	ler makes o	ath and says that I	ne is Vice-President (insert here the official title of the affinal)
of —	ALUMINUM COMPANY	Y OF AMERICA	
he has carefully examined t relate to matters of account other statements of fact co	he said report and to the best of his been accurately taken from the said ntained in the said report are true, a respondent during the period of	knowledge and belie books of account a and that the said rep	and to control the manner in which such books are kept; that lef the entries contained in the said report have, so far as they are in exact accordance therewith; that he believes that all boot is a correct and complete statement of the business and cluding anuary 1, 19, to and (Signature of affain)
Subscribed and sworn to b	pefore me, a Notary Public	, in and for the	State and county above named.
this 26th day of Place Impression Seal Here	DARIA L. SVALINE, NOTARY PUR PITTSBURGH, ALLEGHENY COUNTY COMMISSION EXPIRES MAR. 5 Member, Pennsylvania Association of	BLIC NTY ————————————————————————————————————	March 5, 1979 Muca Levaline (Signature of officer authorized to administer onths)

REMARKS