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**DUPLICATE**  
OFFICE OF ECONOMICS  
SECTION OF  
AUDIT & ACCOUNTING  
REPORTS BRANCH

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INTERSTATE COMMERCE

**ACAA-R-1**

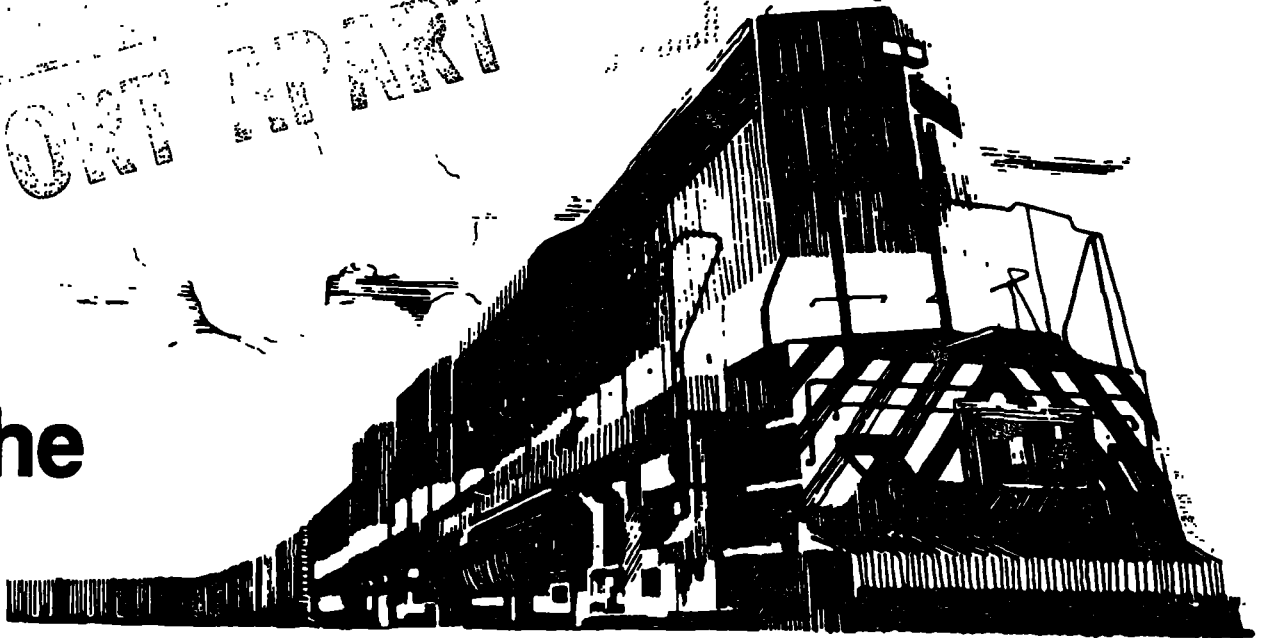
APPROVED BY OMB  
3120-0029  
EXPIRES 3-31-96

# annual report

	<p>RCD000352 R186</p> <p>KANSAS CITY SOUTHERN RAILWAY COMPANY 114 WEST 11th STREET KANSAS CITY, MISSOURI 64105</p>
<p>Correct name and address if different than shown.</p>	<p>Full name and address of reporting carrier. (Use mailing label on original, copy in full on duplicate.)</p>

DO NOT  
REPORT REPORT

to the



## Interstate Commerce Commission

FOR THE YEAR ENDED DECEMBER 31, 1993

# NOTICE

1. This Form for annual report should be filled out in triplicate and two copies returned to the Interstate Commerce Commission, Office of Economics, Washington, D.C. 20423, by March 31 of the year following that for which the report is made. One copy should be retained in respondent's files. Attention is specially directed to the following provisions of Part 1 of the Interstate Commerce Act.

**(49) U.S.C. 11143, Reports by carriers, lessors, and associations**

(a) The Interstate Commerce Commission may require—

(1) carriers, brokers, lessors, and associations, or classes of them as the Commission may prescribe, to file annual, periodic, and special reports with the Commission containing answers to questions asked by it; and

(2) a person furnishing cars or protective services against heat or cold to a rail or express carrier providing transportation subject to this subtitle, to file reports with the Commission containing answers to questions about those cars or services.

(b)(1) An annual report shall contain an account, in as much detail as the Commission may require, of the affairs of the carrier, broker, lessor, or association for the 12-month period ending on the 31st day of December of each year. However, when an annual report is made by a motor carrier, a broker, or a lessor or an association maintained by or interested in one of them, the person making the report may elect to make it for the 13-month period accounting year ending at the close of one of the last 7 days of each calendar year if the books of the person making the report are kept by that person on the basis of that accounting year.

(2) An annual report shall be filed with the Commission by the end of the 3rd month after the end of the year for which the report is made unless the Commission extends the filing date or changes the period covered by the report. The annual report and, if the Commission requires, any other report made under this section, shall be made under oath.

(c) The Commission shall streamline and simplify, to the maximum extent practicable, the reporting requirements applicable under this subchapter to motor common carriers of property with respect to transportation provided under certificates to which the provisions of section 10922(b)(4)(E) of this title apply and to motor contract carriers of property with respect to transportation provided under permits to which the provisions of section 10923(b)(5) of this title apply. Pub. L. 95-473, Oct. 17, 1978, 92 Stat. 1427; Pub. L. 96-296, § 5(b), July 1, 1980, 94 Stat. 796.

(49) U.S.C. 11941. (g) A person required to make a report to the Commission, answer a question, or make, prepare, or preserve a record under this subtitle concerning transportation subject to the jurisdiction of the Commission under subchapter II of chapter 105 of this title, or an officer, agent, or employee of that person that (1) does not make the report, (2) does not specifically, completely, and truthfully answer the question, (3) does not make, prepare, or preserve the record in the form and manner prescribed by the Commission, or (4) does not comply with section 10921 of this title, is liable to the United States Government for a civil penalty of not more than \$500 for each violation and for not more than \$250 for each additional day the violation continues. After the date of enactment of this sentence, no penalties shall be imposed under this subsection for a violation relating to the transportation of household goods. Any such penalties that were imposed prior to such date of enactment shall be collected only in accordance with the provisions of subsection (h) of this section.

The term "carrier" means a Common carrier subject to this part, and includes a receiver or trustee of such carrier, and the term "lessor" means a person owning a railroad, a water line, or a pipe line, leased to and operated by a common carrier subject to this part, and includes a receiver or trustee of such lessor.\*\*\*

The respondent is further required to send to the Office of Economics immediately upon preparation, two copies of its latest annual report to stockholders. See schedule B, page 2.

2. The instructions in this Form should be carefully observed, and each question should be answered fully and accurately, whether it has been answered in a previous annual report or not. Except in cases where they are specifically authorized, cancellations, arbitrary check marks, and the like should not be used either as partial or as entire answers to inquiries. If any inquiry, based on a preceding inquiry in the present report form is, because of the answer rendered to such preceding inquiry,

inapplicable to the person or corporation in whose behalf the report is made, such notation as "Not applicable; see page \_\_\_\_\_, schedule (or line) number \_\_\_\_\_" should be used in answer thereto, giving precise reference to the portion of the report showing the facts which make the inquiry inapplicable. Where the word "none" truly and completely states that fact, it should be given as the answer to any particular inquiry or any particular portion of an inquiry. Where dates are called for, the month and day should be stated as well as the year. Customary abbreviations may be used in stating dates.

3. Every annual report should, in all particulars, be complete in itself, and references to the returns of former years should not be made to take the place of required entries except as herein otherwise specifically directed or authorized.

4. If it be necessary or desirable to insert additional statements, typewritten or other, in a report, they should be legibly made on durable paper and, wherever practicable, on sheets not larger than a page of the Form. Inserted sheets should be securely attached, preferable at the inner margin; attachments by pins or clips is insufficient.

5. All entries should be made in a permanent black ink. Those of a contrary character should be indicated in parentheses.

6. Money items, except averages, throughout the annual report form should be shown in thousands of dollars adjusted to accord with footings. Totals for amounts reported in subsidiary accounts included in supporting schedules must be in agreement with related primary accounts. For purposes of rounding, amounts of \$500 but less than \$1000 should be raised to the nearest thousand dollars, and amounts of less than \$500 should be lowered.

7. Railroad corporations, mainly distinguished as operating companies and lessor companies, are for the purpose of report to the Interstate Commerce Commission divided into classes. An operating company is one whose officers direct the business of transportation and whose books contain operating as well as financial accounts, a lessor company, the property of which being leased to and operated by another company, is one that maintains a separate legal existence and keeps financial but not operating accounts.

Operating companies are broadly classified, with respect to their operating revenues, according to the following general definitions:

Class I companies are those having operating revenues of \$250,000,000 or more. For this class, Annual Report Form R-1 is provided.

Class II companies are those having annual operating revenues less than \$250,000.00 but in excess of \$20,000,000

Class III companies are those having annual operating revenues of \$20,000,000 or less

All switching and terminal companies will be designated class III railroads.

8. Except where the context clearly indicates some other meaning, the following terms when used in this Form have the meanings stated below:

Commission means the Interstate Commerce Commission. Respondent means the person or corporation in whose behalf the report is made. Year means the year ended December 31 for which the report is made. The Close of the Year means the close of business on December 31 of the year for which the report is made; or, in case the report is made for a shorter period than one year, it means the close of the period covered by the report. The Beginning of the Year means the beginning of business on January 1 of the year for which the report is made; or, in case the report is made for a shorter period than one year, it means the beginning of the period covered by the report. The Preceding Year means the year ended December 31 of the year preceding the year for which the report is made. The Uniform System of Accounts for Railroad Companies means the system of accounts in Part 1201 or Title 49, Code of Federal Regulations, as amended.

# ANNUAL REPORT

OF

THE KANSAS CITY SOUTHERN RAILWAY AND CONTROLLED COMPANIES

The Kansas City Southern Railway Company

Carland, Inc.

TO THE

## INTERSTATE COMMERCE COMMISSION

FOR THE

YEAR ENDED DECEMBER 31, 1993

Name, official title, telephone number, and office address of officer in charge of correspondence with the Commission regarding this report:

(Name) R. L. BROWN II (Title) SENIOR VICE PRESIDENT - FINANCE

(Telephone number) 816 556 - 0244  
(Area code) (Telephone number)

(Office address) 114 WEST 11th STREET, KANSAS CITY, MISSOURI 64105  
(Street and number, city, State, and ZIP code)

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#### SPECIAL NOTICE

Docket No. 38559 Railroad Classification Index, served January 20, 1983 modified the reporting requirements for class. II, III and all Switching and terminal companies. These carriers will notify the Commission only if the calculation results in a different revenue level than its current classification.

The dark border on the schedules represents data that are captured for processing by the Commission.

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It is estimated that an average of 800 burden hours per response are required to complete this collection of information. This estimate includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Comments concerning the accuracy of this burden estimate or suggestions for reducing this burden should be directed to the Section of Administrative Services, Interstate Commerce Commission and the Office of Information and Regulatory Affairs, Office of Management and Budget.

## A. SCHEDULES OMITTED BY RESPONDENT

- 1 The respondent, at its option, may omit pages from this report provided there is nothing to report or the schedules are not applicable.
2. Show below the pages excluded and indicate the schedule number and title in the space provided below.
3. If no schedules were omitted indicate "NONE "

Page	Schedule No.	Title
10 - 15	200	Comparative Statement of Financial Position  Explanatory Notes  Blank Pages

## B. IDENTITY OF RESPONDENT

Answers to the questions asked should be made in full, without reference to data returned on the corresponding page of previous reports. In case any changes of the nature referred to under inquiry 4 on this page have taken place during the year covered by this report, they *should be explained in full detail*.

1. Give in full the exact name of the respondent. Use the words "The" and "Company" only when they are parts of the corporate name. Be careful to distinguish between *railroad* and *railway*. The corporate name should be given uniformly throughout the report, notably on the cover, on the title page, and in the "Verification." If the report is made by receivers, trustees, a committee of bondholders, or individuals otherwise in possession of the property, state names and facts with precision. If the report is for a consolidated group, pursuant to Special Permission from the Commission, Accounting and Valuation Board, indicate such fact on line 1 below and list the consolidated group on page 4.

2. If incorporated under a special charter, give date of passage of the act; if under a general law, give date of filing certificate of organizations; if a reorganization has been effected, give date of reorganization. If a receivership or other trust, give also date which such receivership or other possession began. If a partnership, give date of formation and also names in full of present partners.

3. State the occasion for the reorganization, whether by reason of foreclosure of mortgage or otherwise, according to the fact. Give date of organization of original corporation and refer to laws under which organized.

1. Exact name of common carrier making this report The Kansas City Southern Railway Company

2. Date of incorporation March 19, 1900

3. Under laws of what Government, State or Territory organized? If more than one, name all. If in bankruptcy, give court of jurisdiction and dates of beginning of receivership or trusteeship and of appointment of receivers or trustees

State of Missouri

Article II Chapter XII Revised Statutes of Missouri 1899

4. If the respondent was reorganized during the year, involved in a consolidation or merger, or conducted its business under a different name, give full particulars

See Footnote on Page 9.

## STOCKHOLDERS REPORTS

5. The respondent is required to send to the Bureau of Accounts, immediately upon preparation, two copies of its latest annual report to stockholders.

Check appropriate box:

☐ Two copies are attached to this report.

☐ Two copies will be submitted \_\_\_\_\_  
(date)

☒ No annual report to stockholders is prepared.

## C. VOTING POWERS AND ELECTIONS

1. State the par value of each share of stock: Common, \$ No Par per share; first preferred, \$ 350,000 per share; second preferred, \$ None per share; debenture stock, \$ None per share.
2. State whether or not each share of stock has the right to one vote; if not, give full particulars in a footnote Yes
3. Are voting rights proportional to holdings? Yes If not, state in a footnote the relation between holdings and corresponding voting rights.
4. Are voting rights attached to any securities other than stock? No If so, name in a footnote each security, other than stock to which voting rights are attached (as of the close of the year), and state in detail the relation between holdings and corresponding voting rights, stating whether voting rights are actual or contingent, and if contingent, showing the contingency.
5. Has any class or issue of securities any special privileges in the election of directors, trustees, or managers, or in the determination of corporate action by any method? No If so, describe fully in a footnote each such class or issue and give a succinct statement showing clearly the character and extent of such privileges.
6. Give the date of the latest closing of the stock book prior to the actual filing of this report, and state the purpose of such closing Stock book was not closed in 1993. Last closed April 3, 1981
7. State the total voting power of all security holders of the respondent at the date of such closing, if within one year of the date of such filing; if not, state as of the close of the year. 9,840,057 votes, as of December 31, 1993  
(Date)
8. State the total number of stockholders of record, as of the date shown in answer to inquiry No. 7. 1 stockholder.
9. Give the names of the thirty security holders of the respondent who, at the date of the latest closing of the stock book or compilation of list of stockholders of the respondent (if within 1 year prior to the actual filing of this report), had the highest voting powers in the respondent, showing for each his address, the number of votes to which he was entitled, which respect to securities held by him, such securities being classified as common stock, second preferred stock, first preferred stock, and other securities, stating in a footnote the names of such other securities (if any). If any such holder held in trust, give (in a footnote) the particulars of the trust. In the case of voting trust agreements, give as supplemental information the names and addresses of the thirty largest holders of the voting trust certificates and the amount of their individual holdings. *If the stock book was not closed or the list of stockholders compiled within such year, show such thirty security holders as of the close of the year.*

Line No.	Name of security holder	address of security holder	Number of votes to which security holder was entitled	NUMBER OF VOTES, CLASSIFIED WITH RESPECT TO SECURITIES ON WHICH BASED			Line No.
				Common (d)	Stock		
					PREFERRED		
					Second (e)	First (f)	
1	Kansas City Southern Industries, Inc.	114 West 11th Street Kansas City, Missouri 64105	9,840,057	9,840,000		57	1
2							2
3							3
4							4
5							5
6							6
7							7
8							8
9							9
10							10
11							11
12							12
13							13
14							14
15							15
16							16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25
26							26
27							27
28							28
29							29
30							30



## C. VOTING POWERS AND ELECTIONS – Continued

10. State the total number of votes cast at the latest general meeting for the election of directors of the respondent. 9,840,057  
votes cast.

11. Give the date of such meeting. May 4, 1993

12. Give the place of such meeting. Kansas City, Missouri 64105

## NOTES AND REMARKS

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - ASSETS

(Dollars in Thousands)

Line No	Cross Check	Account	Title (a)	Balance at close of year ** (b)	Balance at Beginning of year (c)	Line No.
<b>Current Assets</b>						
1		701	Cash	3,731	1,122	1
2		702	Temporary Cash Investments		123	2
3		703	Special Deposits	190	123	3
4		704	Accounts Receivable - Loan and Notes			4
5		705	- Interline and Other Balances	2,389	1,896	5
6		706	- Customers	36,855	39,024	6
7		707	- Other	8,039	6,465	7
8		709, 708	- Accrued Accounts Receivables	21,661	12,930	8
9		708.5	- Receivables from Affiliated Companies	4,638	(1)	9
10		709.5	- Less: Allowance for Uncollectible Accounts	(1,732)	(2,698)	10
11		710, 711, 714	Working Funds Prepayments Deferred Income Tax Debits	8,075	7,185	11
12		712	Materials and Supplies	25,756	17,064	12
13		713	Other Current Assets	771	(35)	13
14			<b>TOTAL CURRENT ASSETS</b>	<b>110,373</b>	<b>83,198</b>	<b>14</b>
<b>Other Assets</b>						
15		715, 716, 717	Special Funds			15
16		721, 721.5	Investments and Advances Affiliated Companies (Schedule 310 & 310A)	14,869	19,449	16
17		722, 723	Other Investments and Advances	4	4	17
18		724	Allowances for Net Unrealized Loss on Noncurrent Marketable Equity Securities—Cr.			18
19		737, 738	Property Used in Other than Carrier Operation (less Depreciation) \$1,599	11,233	11,477	19
20		739, 741	Other Assets	28,952	2	20
21		743	Other Deferred Debits	3,097	6,925	21
22		744	Accumulated Deferred Income Tax Debits		54	22
23			<b>TOTAL OTHER ASSETS</b>	<b>58,155</b>	<b>37,911</b>	<b>23</b>
<b>Road and Equipment</b>						
24		731, 732	Road (Schedule 330) L-30 Col h & b	652,832	611,421	24
25		731, 732	Equipment (Schedule 330) L-39 Col h & b	326,186	310,479	25
26		731, 732	Unallocated Items	50,704	56,843	26
27		733, 735	Accumulated Depreciation and Amortization (Schedules 335, 342, 351)	(416,713)	(401,206)	27
28			<b>Net Road and Equipment</b>	<b>613,009</b>	<b>577,537</b>	<b>28</b>
29			<b>TOTAL ASSETS</b>	<b>781,537</b>	<b>698,646</b>	<b>29</b>

## NOTES AND REMARKS

\*\* See Footnote on Page 9.

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - LIABILITIES AND SHAREHOLDERS' EQUITY

(Dollars in Thousands)

Line No.	Cross Check	Account	Title (a)	Balance at close of year ** (b)	Balance at Beginning of year (c)	Line No.
<b>Current Liabilities</b>						
30	751		Loans and Notes Payable			30
31	752		Accounts Payable: Interline and Other Balances	1,777	915	31
32	753		Audited Accounts and Wages	29,183	25,186	32
33	754		Other Accounts Payable	3,583	1,088	33
34	755, 756		Interest and Dividends Payable	1,902	2,125	34
35	757		Payables to Affiliated Companies	329	200	35
36	759		Accrued Accounts Payable	60,481	61,082	36
37	760, 761, 761.5, 762		Taxes Accrued	5,504	229	37
38	763		Other Current Liabilities	89	126	38
39	764		Equipment Obligations and Other Long-Term Debt due Within One Year	18,115	20,940	39
40			<b>TOTAL CURRENT LIABILITIES</b>	<b>120,963</b>	<b>111,891</b>	<b>40</b>
<b>Non-Current Liabilities</b>						
41	765, 767		Funded Debt Unmatured	101	145	41
42	766		Equipment Obligations	64,665	80,493	42
43	766 5		Capitalized Lease Obligations	4,768	5,528	43
44	768		Debt in Default			44
45	769		Accounts payable: Affiliated Companies	69,121	24,564	45
46	770.1, 770.2		Unamortized Debt Premium			46
47	781		Interest in Default			47
48	783		Deferred Revenues-Transfers from Government Authorities			48
49	786		Accumulated Deferred Income Tax Credits	121,356	105,555	49
50	771, 772, 774, 775, 782, 784		Other Long-Term Liabilities and Deferred Credits	40,554	38,512	50
51			<b>TOTAL NONCURRENT LIABILITIES</b>	<b>300,565</b>	<b>254,797</b>	<b>51</b>
<b>Shareholders' Equity</b>						
52	791, 792		Total Capital Stock: (Schedule 230) (L 53 & 54)	57,514	57,514	52
53			Common Stock	36,514	36,514	53
54			Preferred Stock	21,000	21,000	54
55			Discount on Capital Stock			55
56	794, 795		Additional Capital (Schedule 230)	29,327	29,327	56
<b>Retained Earnings:</b>						
57	797		Appropriated			57
58	798		Unappropriated (Schedule 220)	276,955	248,904	58
59	798.1		Net Unrealized Loss on Noncurrent Marketable Equity Securities			59
60	798.5		Less Treasury Stock	(3,787)	(3,787)	60
61			<b>Net Stockholders Equity</b>	<b>360,009</b>	<b>331,958</b>	<b>61</b>
62			<b>TOTAL LIABILITIES AND SHAREHOLDERS EQUITY</b>	<b>781,537</b>	<b>698,646</b>	<b>62</b>

## NOTES AND REMARKS

\*\* See Footnote on Page 9.

## (Dollars in Thousands)

Railroad Annual Report R-1

## SCHEDULE 200 – COMPARATIVE STATEMENT OF FINANCIAL POSITION – EXPLANATORY NOTES

7 Give particulars with respect to contingent assets and liabilities at the close of the year, in accordance with Instruction 5–6 in the Uniform System of Accounts for Railroad Companies, that are not reflected in the amounts of the respondent.

Disclose the nature and amount of contingency that is material.

Examples of contingent liabilities are items which may become obligations as a result of pending or threatened litigation, assessments or possible assessments of additional taxes and agreements or obligations to repurchase securities or property. Additional pages may be added if more space is needed. (Explain and/or reference to the following pages.)

The Company is a defendant (along with the Burlington Northern Railroad) in a lawsuit filed in the District Court of Bowie County, Texas by Southwestern Electric Power Company ("SWEPCO"). SWEPCO alleges that Burlington Northern and the Company are required to reduce SWEPCO's coal transportation rates, due to changed circumstances that allegedly create a "gross inequity" to SWEPCO under the language of the coal transportation contract among SWEPCO, the Company and Burlington Northern. SWEPCO is the largest single customer of the Company.

Although the suit is pending, the Company and SWEPCO are negotiating an agreement to settle the major issues which are the subject of this litigation. Management is confident that the matter will be concluded without material adverse effect on the financial condition or future results of operation of the Company.

The Company has been named as a "potentially responsible party" by the Louisiana Department of Environmental Quality regarding a location near Bossier City, Louisiana, which was the site of a wood preservative treatment plant (Lincoln Creosoting). The Company is a former owner of part of the land in question. This matter was the subject of a trial in the United States District Court in Shreveport, Louisiana which was concluded in July of 1993. The Court found that Joslyn Manufacturing Company, an operator of the plant, is required to indemnify the Company for damages arising out of plant operations. (The Company's potential liability is as a property owner rather than as a generator or transporter of contaminants.) The case has been appealed to the United States Court of Appeals for the Fifth Circuit.

On January 18, 1994, the Environmental Protection Agency (EPA) published a list of potential sites that may be placed on the Federal Comprehensive Environmental Response, Compensation & Liability Act (CERCLA, also known as the superfund law), national priority list. The Lincoln Creosoting site was included. Since major remedial work has been performed at this site by Joslyn and the Company has been held by the Federal Court to be entitled to indemnity for such costs, it would appear that the Company should not incur significant remedial liability. At this time, it is not possible to meaningfully evaluate the potential consequences of remediation at the site, since the EPA has made no announcement other than listing of the Lincoln Creosoting site for "potential" inclusion on the national list.

In the opinion of management, other claims or lawsuits incidental to the business of the Company and its subsidiaries have been adequately provided for in the consolidated financial statements.

(a) Changes in Valuation Accounts

8. Marketable Equity Securities.

		Cost	Market	Dr (Cr) to Income	Dr. (Cr) to Stockholders Equity
(Current Yr.)	Current Portfolio				N / A
as of / /	Noncurrent Portfolio			N / A	\$
(Previous Yr.)	Current Portfolio			N / A	N / A
as of / /	Noncurrent Portfolio			N / A	N / A

(b) AT / / , gross unrealized gains and losses pertaining to marketable equity securities were as follows:

	Gains	Losses
Current	\$ _____	\$ _____
Noncurrent	_____	_____

(c) A net unrealized gain (loss) of \$ \_\_\_\_\_ on the sale of marketable equity securities was included in net income for \_\_\_\_\_ (year). The cost of securities sold was based on the \_\_\_\_\_ (method) cost of all the shares of each security held at time of sale.

Significant net realized and net unrealized gains and losses arising after date of the financial statements but prior to the filing, applicable to marketable equity securities owned at balance sheet date shall be disclosed below:

NOTE: / / (date) Balance sheet date of reported year unless specified as previous year.

## SCHEDULE 200 – COMPARATIVE STATEMENT OF FINANCIAL POSITION – EXPLANATORY – Concluded

## NOTES TO FINANCIAL STATEMENTS

\*\* In April 1992, KCSR signed a letter of intent for the purchase of all of the capital stock of The Graysonia, Nashville, and Ashdown Railroad Company (GNA) from Holnam, Inc. for \$4,059,000. The GNA, which was wholly-owned by Holnam, Inc., connects with KCSR at Ashdown, Arkansas and extends 32 miles east. Acquisition of the GNA closed on December 31, 1992 and was operated in trust until ICC approval was obtained.

The Kansas City Southern Railway Company received approval from the Interstate Commerce Commission in Finance Docket No. 32318 to merge the Kansas City Southern Railway Company and its wholly owned subsidiary -- The Graysonia, Nashville, and Ashdown Railroad Company (GNA). In July 1993 the GNA was merged into the KCSR.

## SCHEDULE 210 - RESULTS OF OPERATIONS

(Dollars in Thousands)

1. Disclose the requested information for the respondent pertaining to the results of operations for the year.

2. Report total operating expenses from Schedule 410 of this report. Any disparities in expense amounts shown in this schedule and expense amounts reported in Schedule 410 must be fully explained on page 18

3 List dividends from investments accounted for under the cost method on the appropriate line No. 19 for Account No. 513. "Dividend Income " List dividends accounted for by the equity method on the appropriate dividend line No. 25 under the "Income from Affiliated Companies" subsection of this schedule.

4 All contra entries hereunder should be indicated in parentheses.

5 Cross-checks

Schedule 210

Line 15, column (b)

Line 47 plus 48 plus 49, column (b)

Line 50, column (b)

Line 14, column (b)

Line 14, column (d)

Line 14, column (e)

Line 49, column (b)

Schedule 210

= Line 62, column (b)

= Line 63, column (b)

= Line 64, column (b)

Schedule 410

= Line 620, column (h)

= Line 620, column (f)

= Line 620, column (g)

Line No.	Cross Check	Item (a)	Amount for current year ** (b)	Amount for preceding year (c)	Freight-related revenue & expenses (d)	Passenger-related revenue & expenses (e)	Line No
		<b>ORDINARY ITEMS</b>					
		<b>OPERATING INCOME</b>					
		<b>Railway Operating Income</b>					
1		(101) Freight	328,376	318,366	328,376		1
2		(102) Passenger					2
3		(103) Passenger-Related					3
4		(104) Switching	12,551	13,206	12,551		4
5		(105) Water Transfers					5
6		(106) Demurrage	3,909	3,197	3,909		6
7		(110) Incidental	690	869	690		7
8		(121) Joint Facility-Credit					8
9		(122) Joint Facility-Debit					9
10		(501) Railway operating revenues (Exclusive of transfers from Government Authorities-lines 1-9)	345,526	335,638	345,526		10
11		(502) Railway operating revenues-Transfers from Government Authorities for current operations					11
12		(503) Railway operating revenues-Amortization of deferred transfers from Government Authorities					12
13		<b>TOTAL RAILWAY OPERATING REVENUES (lines 10-12)</b>	345,526	335,638	345,526		13
14		(531) Railway operating expenses	266,097	276,223	266,097		14
15		<b>Net revenue from railway operations</b>	79,429	59,415	79,429		15
		<b>OTHER INCOME</b>					
16		(506) Revenue from property used in other than carrier operations	1,425	525			16
17		(510) Miscellaneous rent income	1,312	1,245			17
18		(512) Separately operated properties-Profit					18
19		(513) Dividend Income (cost method)					19
20		(514) Interest Income	493	899			20
21		(516) Income from sinking and other funds					21
22		(517) Release of premiums on funded debt					22
23		(518) Reimbursements received under contracts and agreements					23
24		(519) Miscellaneous income	2,333	3,324			24
		<b>Income from affiliated companies: 519</b>					
25		a. Dividends (equity method)					25
26		b. Equity in undistributed earnings (losses)	704	843			26
27		<b>TOTAL OTHER INCOME (lines 16-26)</b>	6,267	6,836			27
28		<b>TOTAL INCOME (lines 15, 27)</b>	85,696	66,251			28
		<b>MISCELLANEOUS DEDUCTIONS FROM INCOME</b>					
29		(534) Expenses of property used in other than carrier operations	(403)	1,721			29
30		(544) Miscellaneous taxes					30
31		(545) Separately operated properties-Loss					31
32		(549) Maintenance of investment organization					32
33		(550) Income Transferred under contracts nad agreements					33
34		(551) Miscellaneous income charges	1,005	804			34
35		(553) Uncollectible accounts	(300)	200			35
36		<b>TOTAL MISCELLANEOUS DEDUCTIONS (lines 29-35)</b>	302	2,725			36
37		<b>Income available for fixed charges (lines 28, 36)</b>	85,394	63,526			37

## SCHEDULE 210 - RESULTS OF OPERATIONS - Continued

(Dollars in Thousands)

Line No.	Cross Check	Item (a)	Amount for current year ** (b)	Amount for preceding year (c)	Line No.
<b>FIXED CHARGES</b>					
38		(546) Interest on funded debt:			
		(a) Fixed interest not in default	11,139	10,461	38
39		(b) Interest in default			39
40		(547) Interest on unfunded debt	2,527	855	40
41		(548) Amortization of discount on funded debt	78	60	41
42		TOTAL FIXED CHARGES (lines 38-41)	13,744	11,376	42
43		Income after fixed charges (lines 37, 42)	71,650	52,150	43
<b>OTHER DEDUCTIONS</b>					
44		(546) Interest on funded debt:			
		(c) Contingent interest			44
<b>UNUSUAL OR INFREQUENT ITEMS</b>					
45		(555) Unusual or infrequent items (debit) credit			45
46		Income (Loss) from continuing operations (before income taxes)	71,650	52,150	46
<b>PROVISIONS FOR INCOME TAXES</b>					
47		(556) Income taxes on ordinary income:			
		(a) Federal income taxes	9,499	7,352	47
48		(b) State income taxes	1,273	1,066	48
49		(c) Other income taxes	1	4	49
50		(557) Provision for deferred taxes	18,263	10,699	50
51		TOTAL PROVISIONS FOR INCOME TAXES (lines 47-50)	29,036	19,121	51
52		Income from continuing operations (lines 46-51)	42,614	33,029	52
<b>DISCONTINUED OPERATIONS</b>					
53		(560) Income or loss from operations of discontinued segments (less applicable income taxes of \$ )			53
54		(562) Gain or loss on disposal of discontinued segments (less applicable income taxes of \$ )			54
55		Income before extraordinary items (lines 52 + 53 + 54)	42,614	33,029	55
<b>EXTRAORDINARY ITEMS AND ACCOUNTING CHANGES</b>					
56		(570) Extraordinary items (Net)			56
57		(590) Income taxes on extraordinary items			57
58		(591) Provision for deferred taxes—Extraordinary items			58
59		TOTAL EXTRAORDINARY ITEMS (lines 56-58)			59
60		(592) Cumulative effect of changes in accounting principles (less applicable tax of \$ 2,697 )	(4,466)		60
61		Net income (Loss) (lines 55 + 59 + 60)	38,148	33,029	61
<b>Reconciliation of net railway operating income (NROI)</b>					
62		Net revenues from railway operations	79,429	59,415	62
63		(556) Income taxes on ordinary income (-)	10,773	8,422	63
64		(557) Provision for deferred taxes (-)	18,263	10,699	64
65		Income from lease of road and equipment (-)	292	431	65
66		Rent for leased roads and equipment (+)	1,111	1,099	66
67		Net railway operating income (loss)	51,212	40,962	67

\*\* See Footnote on Page 9.



## NOTES AND REMARKS FOR SCHEDULES 210 AND 220

## SCHEDULE 220 - RETAINED EARNINGS

(Dollars in Thousands)

1 Show below the items of Retained Earnings Accounts of the respondent for the year, classified in accordance with the Uniform System of Accounts for Railroad Companies.

2 All contra entries hereunder should be shown in parentheses

3 Show under "Remarks" the amount of assigned Federal income tax consequences for Accounts 606 and 616

4 Segregate in column (c) all amounts applicable to the equity in undistributed earnings (losses) of affiliated companies based on the equity method of accounting.

5 Line 3 (line 7 if debit balance), column (c), should agree with line 26, column (b), Schedule 210 The total of columns (b) and (c), lines 3 and 7, should agree with line 61 column (b), Schedule 210.

6 Include in column (b) only amounts applicable to retained earnings exclusive of any amounts included in column (c).

Line No.	Cross Check	Item (a)	Retained earnings—Unappropriated (b)	Equity in undistributed earnings (losses) of affiliated companies (c)	Line No.
1		Balances at beginning of year	245,860	3,044	1
2		(601.5) Prior period adjustments to beginning retained earnings			2
		<b>CREDITS</b>			
3		(602) Credit balance transferred from income	37,444	704	3
4		(603) Appropriations released			4
5		(606) Other credits to retained earnings			5
6		<b>TOTAL</b>	37,444	704	6
		<b>DEBITS</b>			
7		(612) Debit balance transferred from income			7
8		(616) Other debits to retained earnings			8
9		(620) Appropriations for sinking and other funds			9
10		(621) Appropriations for other purposes			10
11		(623) Dividends: Common stock	9,299		11
12		Preferred stock*	798		12
13		<b>TOTAL</b>	10,097		13
14		Net increase (decrease) during Year (Line 6 minus line 13)	27,347	704	14
15		Balances at close of year (lines 1, 2, and 14)	273,207	3,748	15
16		Balances from line 15 (c)	3,748	N/A	16
17		Total unappropriated retained earnings and equity in undistributed earnings (losses) of affiliated companies at end (798) of year	276,955	N/A	17
18		(797) Total appropriated retained earnings:			18
19		Credits during year \$ _____			19
20		Debits during year \$ _____			20
21		Balance at Close of year \$ _____			21
		<b>Amount of assigned Federal income tax consequences:</b>			
22		Account 606 \$ _____			22
23		Account 616 \$ _____			23

\* If any dividends have not been declared on cumulative preferred stock, give cumulative undeclared dividends at beginning of year and end of year.

## SCHEDULE 230 - CAPITAL STOCK

## PART I. CAPITAL STOCK

(Dollars in Thousands)

- 1 Disclose in column (a) the particulars of the various issues of capital stock of the respondent, distinguishing separate issues of any general class, if different in any respect
2. Present in column (b) the par or stated value of each issue If none, so state
3. Disclose in columns (c), (d), (e) and (f) the required information concerning the number of shares authorized, issued, in treasury and outstanding for the various issues
4. For the purpose of this report, capital stock and other securities are considered to be nominally issued when certificates are signed and sealed and placed with the proper officer for sale and delivery or are pledged or otherwise placed in some special fund of the respondent They are considered to be actually issued when sold to a bona fide purchaser who holds them free from control by the respondent. All securities actually issued and not reacquired by or for the respondent are considered to be actually outstanding If reacquired by or for the respondent, and not cancelled or retired, they are considered to be nominally outstanding.

Line No.	Class of Stock (a)	Par Value (b)	Number of shares				Book Value at End of Year			Line No
			Authorized (c)	Issued (d)	In Treasury (e)	Outstanding (f)	Outstanding (g)	In Treasury (h)		
1	Common	Non-Par	10,140,000	10,140,000	300,000	9,840,000	33,767	2,737	1	
2	Carland, Inc.	\$100	1,000	100		100	10		2	
3									3	
4	Preferred	\$350,000	60	60	3	57	19,950	1,050	4	
5									5	
6									6	
7									7	
8									8	
9									9	
10	TOTAL	--	10,141,060	10,140,160	300,003	9,840,157	\$53,727	\$3,787	10	

## PART II. SUMMARY OF CAPITAL STOCK CHANGES DURING YEAR

(Dollars in Thousands)

- 1 The purpose of this part is to disclose capital stock changes during the year.
- 2 Column (a) presents the items to be disclosed.
- 3 Columns (b), (d) and (f) require the applicable disclosure of the number of preferred, common and treasury stock, respectively, applicable to the items presented in column (a)
- 4 Columns (c), (e) and (g) require the applicable disclosure of the book values of preferred, common and treasury stock.
- 5 Disclose in column (h) the additional paid-in capital realized from changes in capital stock during year
- 6 Unusual circumstances arising from changes in capital stock changes shall be fully explained in footnotes to this schedule

Line No	Items (a)	Preferred Stock		Common Stock		Treasury Stock		Additional Capital \$ (h)	Line No
		Number of Shares (b)	Amount \$ (c)	Number of Shares (d)	Amount \$ (e)	Number of Shares (f)	Amount \$ (g)		
11	Balance at beginning of year	60	21,000	10,140,100	36,514	300,003	3,787	29,327	11
12	Capital Stock Sold *								12
13	Capital Stock Reacquired								13
14	Capital Stock Canceled								14
15									15
16									16
17	Balance at close of year	60	\$21,000	10,140,100	\$36,514	300,003	\$3,787	\$29,327	17

\* By footnote on page 17 state the purpose of the issues and authority.

## SCHEDULE 240 - STATEMENT OF CASH FLOWS

(Dollars in Thousands)

Give the information as requested concerning the cash flows during the year. Either the direct or indirect method can be used. The direct method shows as its principal components operating cash receipts and payments, such as cash received from customers as cash paid to suppliers and employees, the sum of which is net cash flow from operating activities. The indirect method starts with net income and adjusts it for revenue and expense items that were not the result of operating cash transactions in the current period to reconcile it to net cash flow from operating activities. If direct method is used complete lines 1 - 41; indirect method complete lines 10 - 41. Cash for the purpose of this schedule shall include cash and cash equivalents which are short-term, highly liquid investments readily convertible to known amounts of cash and so near their maturity that they present insignificant risk of changes in value because of changes in interest rates. Information about all investing and financing activities which do not directly affect cash shall be separately disclosed in footnotes to this schedule. They shall clearly relate the cash (if any) and non cash aspects of transactions. Examples of noncash investing and transactions include converting debt to equity acquiring assets by assuming directly related liabilities, such as purchasing a building by incurring a mortgage to the seller; obtaining an asset by entering into a capital lease; and exchanging noncash assets or liabilities for other noncash assets or liabilities. Some transactions are part cash and part noncash; only the cash portion shall be reported directly in the statement of cash flows. Refer to FAS Statement No. 95, Statement of Cash Flows, for further details.

## CASH FLOWS FROM OPERATING ACTIVITIES

Line No.	Cross Check	Description (a)	Current Year ** (b)	Prior Year (c)	Line No.
1		Cash received from operating revenues			1.
2		Dividends received from affiliates			2.
3		Interest received			3.
4		Other income			4.
5		Cash paid for operating expenses			5.
6		Interest paid (net of amounts capitalized)			6.
7		Income taxes paid			7.
8		Other—net			8.
9		NET CASH PROVIDED BY OPERATING ACTIVITIES (LINES 1 - 8)			9.

## RECONCILIATION OF NET INCOME TO NET CASH PROVIDED BY OPERATING ACTIVITIES

Line No.	Cross Check	Description (a)	Current Year ** (b)	Prior Year (c)	Line No.
10.		Income from continuing operations	42,614	33,029	10.

## ADJUSTMENTS TO RECONCILE INCOME FROM CONTINUING OPERATIONS TO NET CASH PROVIDED BY OPERATING ACTIVITIES

Line No.	Cross Check	Description (a)	Current Year ** (b)	Prior Year (c)	Line No.
11		Loss (gain) on sale or disposal of tangible property and investments	(683)	(549)	11.
12		Depreciation and amortization expenses	32,292	32,072	12
13		Increase (decrease) in provision for Deferred Income Taxes	15,566	8,462	13.
14		Net decrease (increase) in undistributed earnings (losses) of affiliates	(704)	(843)	14.
15		Decrease (increase) in accounts receivable	(13,025)	(6,194)	15.
16		Decrease (increase) in materials and supplies, and other current assets	(9,472)	5,788	16.
17		Increase (decrease) in current liabilities other than debt	9,573	(6,077)	17.
18		Increase (decrease) in other—net	(23,080)	1,060	18.
19		Net cash provided from continuing operations (Lines 10-18)	53,081	66,748	19.
20		Add (subtract) cash generated (paid) by reason of discontinued operations and extraordinary items	(4,466)		20.
21.		NET CASH PROVIDED FROM OPERATING ACTIVITIES (Lines 19 & 20)	48,615	66,748	21.

## CASH FLOWS FROM INVESTING ACTIVITIES

Line No.	Cross Check	Description (a)	Current Year ** (b)	Prior Year (c)	Line No.
22		Proceeds from sale of property	4,952	4,780	22.
23		Capital expenditures	(67,310)	(96,870)	23.
24.		Net change in temporary cash investments not qualifying as cash equivalents			24.
25.		Proceeds from sale/repayment of investment and advances	2,210	1,787	25.
26.		Purchase price of long-term investment and advances	(985)	(5,746)	26.
27		Net decrease (increase) in sinking and other special funds			27.
28.		Other—net			28.
29.		NET CASH USED IN INVESTING ACTIVITIES (Lines 22-28)	(61,133)	(96,049)	29.

(Continued on next page)

## SCHEDULE 240 - STATEMENT OF CASH FLOWS (Concluded)

(Dollars in Thousands)

## CASH FLOWS FROM FINANCING ACTIVITIES

Line No.	Cross Check	Description (a)	Current Year ** (b)	Prior Year (c)	Line No.
30		Proceeds from issuance of long-term debt	72,007	55,870	30.
31.		Principal payments of long-term debt	(46,906)	(33,367)	31.
32.		Proceeds from issuance of capital stock			32.
33.		Purchase price of acquiring treasury stock			33.
34.		Cash dividends paid	(10,097)	(9,273)	34.
35.		Other—net			35.
36.		NET CASH FROM FINANCING ACTIVITIES (Lines 30-35)	15,004	13,230	36.
37.		NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS (LINES 21, 29 & 36)	2,486	(16,071)	37.
38.		Cash and cash equivalents at beginning of the year	1,245	17,316	38.
39.		CASH AND CASH EQUIVALENTS AT END OF THE YEAR (Lines 37 & 38)	3,731	1,245	39.
		Footnotes to Schedule 240			
40.		Cash paid during the year for: Interest (net of amount capitalized)*	9,509	11,648	40.
41		Income taxes (net)*	6,449	12,911	41.

\*Only applies if indirect method is adopted.

## NOTES AND REMARKS

\*\* See Footnote on Page 9.

## SCHEDULE 245 - WORKING CAPITAL

(Dollars in Thousands)

1. This schedule should include only data pertaining to railway transportation services.  
 2. Carry out calculation of lines 9, 10, 20, and 21, to the nearest whole number

Line No	Item (a)	Source	Amount (b)	Line No.
<b>CURRENT OPERATING ASSETS</b>				
1	Interline and Other Balances (705)	Schedule 200, line 5, column b	2,389	1
2	Customers (706)	Schedule 200, line 6, column b	36,855	2
3	Other (707)	Note A	8,039	3
4	<b>TOTAL CURRENT OPERATING ASSETS</b>	Line 1 + 2 + 3	47,283	4
<b>OPERATING REVENUE</b>				
5	Railway Operating Revenue	Schedule 210, line 13, column b	345,526	5
6	Rent Income	Note B	29,788	6
7	<b>TOTAL OPERATING REVENUES</b>	Lines 5 + 6	375,314	7
8	Average Daily Operating Revenues	Line 7 / 360 days	1,043	8
	Days of Operating Revenue in			
9	Current Operating Assets	Line 4 / line 8	45	9
10	Revenue Delay Days Plus Buffer	Line 9 + 15 days	60	10
<b>CURRENT OPERATING LIABILITIES</b>				
11	Interline and Other Balances (752)	Schedule 200, line 31, column b	1,777	11
12	Audited Accounts and Wages Payable (753)	Note A	29,183	12
13	Accounts Payable — Other (754)	Note A	3,583	13
14	Other Taxes Accrued (761.5)	Note A	5,031	14
15	<b>TOTAL CURRENT OPERATING LIABILITIES</b>	Sum of lines 11 to 14	39,574	15
<b>OPERATING EXPENSES</b>				
16	Railway Operating Expenses	Schedule 210, line 14, column b	266,097	16
17	Depreciation	Schedule 410, line 136, 137, 138, 213, 232, 317, column h	31,583	17
18	Cash Related Operating Expenses	Line 16 + line 6 - line 17	264,302	18
19	Average Daily Expenditures	Line 18 / 360 days	734	19
	Days of Operating Expenses in Current			
20	Operating Liabilities	Line 15 / line 19	54	20
21	Days of Working Capital Required	Line 10 - line 20 (Note C)	6	21
22	Cash Working Capital Required	Line 21 * line 19	4,404	22
23	Cash and Temporary Cash Balance	Schedule 200, line 1 + line 2, column b	3,731	23
24	Cash Working Capital Allowed	Lesser line 22 and line 23	3,731	24
<b>MATERIALS AND SUPPLIES</b>				
25	Total Material and Supplies (712)	Note A	25,756	25
26	Scrap and Obsolete Material included in Acct. 712	Note A	1,576	26
27	Materials and Supplies held for Common Carrier Purposes	Line 25 - line 26	24,180	27
28	<b>TOTAL WORKING CAPITAL</b>	Line 24 + line 27	27,911	28

## Notes:

(A) Use common carrier portion only. Common carrier refers to railway transportation service.

(B) Rent Income is the sum of Schedule 410, column h, lines 121, 122, 123, 127, 128, 129, 133, 134, 135, 208, 210, 212, 227, 229, 231, 312, 314, and 316. Rent income is added to railway operating revenues to produce total revenues. Rent income is also added to total operating expenses to exclude the rent revenue items from operating expense.

(C) If result is negative, use zero

## NOTES AND REMARKS

## GENERAL INSTRUCTIONS CONCERNING RETURNS IN SCHEDULES 310, 310A

1 Schedule 310 should give particulars of stocks, bonds, other secured obligations, unsecured notes, and investment advances of affiliated companies held by respondent at close of year. Also, disclose the investments made, disposed of, and written down during the year and the applicable dividends and interest credited to income as a result of those investments. They should exclude securities issued or assumed by respondent. For definition of affiliated companies, see the rules governing Account No. 721 Investments and advances, affiliated companies in the Uniform System of Accounts for Railroad Companies.

2 List the investments in the following order and show a total for each group and each class of investments by accounts in numerical order:

- (A) Stocks
  - (1) Carriers-active
  - (2) Carriers-inactive
  - (3) Noncarriers-active
  - (4) Noncarriers-inactive
- (B) Bonds (including U S Government Bonds)
- (C) Other secured obligations
- (D) Unsecured notes
- (E) Investment advances

3 The subclassification of classes (B), (C), (D), and (E) should be the same as that provided for class (A).

4 The kinds of industry represented by respondent's investments in the securities of other companies should be shown by symbol opposite the names of the issuing corporations, the symbols and industrial classifications to be as follows.

Symbol	Kind of Industry
I	Agriculture, forestry, and fisheries
II	Mining
III	Construction
IV	Manufacturing
V	Wholesale and retail trade
VI	Finance, insurance, and real estate
VII	Transportation, communications, and other public utilities
VIII	Services
IX	Government
X	All other

5 By carriers as the term is used here, is meant companies owning or operating railroads, facilities auxiliary thereto such as bridges, ferries, union depots, and other terminal facilities, sleeping cars, parlor cars, dining cars, freight cars, express service and facilities, electric railways, highway motor vehicles, steamboats and other marine transportation equipment, pipe lines (other than those for transportation of water), and other instrumentalities devoted to the transportation of persons or property for hire. Telegraph and telephone companies are not meant to be included.

6 Noncarrier companies should, for the purpose of these schedules, include telephone companies, telegraph companies, mining companies, manufacturing companies, hotel companies, etc. Purely holding companies are to be classed as noncarrier companies, even though the securities held by such companies are largely or entirely those issued or assumed by carriers.

7 By an active corporation is meant one which maintains an organization for operating property or administering its financial affairs. An inactive corporation is one which has been practically absorbed in a controlling corporation and which neither operates property nor administers its financial affairs, if it maintains an organization it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises.

8 Combine, in one amount, investments in which the original cost or present equity in total assets is less than \$10,000.

9 Include investments in unincorporated entities such as lessee organizations. Exclude amounts normally settled on a current basis.

10 Do not include the value of securities issued or assumed by respondent.

11 For affiliates which do not report to the Interstate Commerce Commission and are jointly owned, disclose in footnotes the name and extent of control of the other controlling entities.



## SCHEDULE 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES

1. Give particulars of investments in stocks, bonds, other secured obligations, unsecured notes, and investment advances of companies affiliated with respondent, included in accounts Nos. 715, "Sinking Funds", 716, "Capital Funds", 721, "Investments and Advances Affiliated Companies", and 717, "Other Funds."
2. Entries in this schedule should be made in accordance with the definitions and general instructions given on page 18, classifying the investments by means of letters, figures, and symbols in columns (a), (b), and (c).
3. Indicate by means of an arbitrary mark in column (d) the obligation in support of which any security is pledged, mortgaged, or otherwise encumbered, giving names and other important particulars of such obligations in footnotes.
4. Give totals for each class and for each subclass and a grand total for each account.
5. Entries in column (d) should show date of maturity of bonds and other evidences of indebtedness. In case obligations of the same designation mature serially, the date in column (d) may be reported as "Serially 19\_\_ to 19\_\_." Abbreviations in common use in standard financial publications may

Line No.	Account No.	Class No.	Kind of Industry	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds)	Extent of control	Line No.
	(a)	(b)	(c)	(d)	(e)	
1	721			Investment in Affiliated Companies		1
2						2
3		A - 1	VII	Trailer Train Company	1/2 %	3
4				Joplin Union Depot Company	33 1/3 %	4
5				Kansas City Terminal Railway Company	8 1/3 %	5
6				Louisiana Arkansas & Texas Transportation Company	100 %	6
7				Landa Motor Lines	100 %	7
8				G N & A Railway Co. **	100 %	8
9						9
10						10
11				Total Class A		11
12						12
13						13
14						14
15						15
16						16
17				Advances		17
18						18
19		A - 1	VII	Trailer Train Company		19
20				1-1-67 due 4-17-97	1/2 %	20
21				1-1-67 due 4-01-99	1/2 %	21
22						22
23				Total Notes		23
24						24
25						25
26		E - 1	VII	Joplin Union Depot Company	33 1/3 %	26
27				Kansas City Southern Transport Company, Inc.	100 %	27
28				Kansas City Terminal Railway Company	8 1/3 %	28
29						29
30				Total E-1		30
31						31
32		E - 3	X	Carland Advances to its Affiliates		32
33						33
34				Total Advances		34
35						35
36						36
37				Total Account 721		37
38						38
39						39
40						40

\*\* See Footnote on Page 9.

## SCHEDULE 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued

(Dollars in Thousands)

be used to conserve space.

6. If any of the companies included in this schedule are controlled by respondent the percent of control should be shown in column (e). In case any company listed is controlled other than through actual ownership of securities, give particulars in a footnote. In cases of joint control, give names of other parties and particulars of control.

7. If any advances reported are pledged, give particulars in a footnote.

8. Investments in companies in which neither the original cost or present equity in total assets are less than \$10,000 may be combined in one figure.

9. Also included should be investments in unincorporated entities such as lessee organizations (exclusive of amounts nominally settled on a current basis).

10. This schedule should not include securities issued or assumed by respondent.

11. For affiliates which do not report to the Interstate Commerce Commission and are jointly owned, give names and extent of control of other entities by footnotes.

Line No.	Investments and Advances				Disposed of: profit (loss)	Adjustments Account 721 5	Dividends or Interest credited to Income (l)	Line No.
	Opening Balance (f)	Additions (g)	Deductions (if other than sale, explain) (h)	Closing Balance (i)				
1								1
2								2
3	20			20				3
4	10			10				4
5	183			183				5
6	10			10				6
7	20			20				7
8	4,059		4,059					8
9								9
10								10
11	4,302		4,059	243				11
12								12
13								13
14								14
15								15
16								16
17								17
18								18
19								19
20	77			77			5	20
21	77			77			6	21
22								22
23	154			154			11	23
24								24
25								25
26	127			127				26
27	24		24					27
28	3,175		31	3,144				28
29								29
30	3,326		55	3,271				30
31								31
32	8,623	985	2,155	7,453			427	32
33								33
34	11,949	985	2,210	10,724			427	34
35								35
36								36
37	16,405	985	6,269	11,121			438	37
38								38
39								39
40								40

## SCHEDULE 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued

(Dollars in Thousands)

Line No.	Account No.	Class No.	Kind of Industry	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds)	Extent of control	Line No.
	(a)	(b)	(c)	(d)	(e)	
1						1
2						2
3						3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14				COMPLETED		14
15						15
16				ON PAGES		16
17						17
18				26 & 27		18
19						19
20						20
21						21
22						22
23						23
24						24
25						25
26						26
27						27
28						28
29						29
30						30
31						31
32						32
33						33
34						34
35						35
36						36
37						37
38						38
39						39
40						40

## SCHEDULE 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Concluded

(Dollars in Thousands)

Line No.	Investments and Advances				Disposed of profit (loss)	Adjustments Account 721.5	Dividends or Interest credited to Income (l)	Line No.
	Opening Balance (f)	Additions (g)	Deductions (if other than sale, explain) (h)	Closing Balance (i)				
1								1
2								2
3								3
4								4
5								5
6								6
7								7
8								8
9								9
10								10
11								11
12								12
13								13
14				COMPLETED				14
15								15
16				ON PAGES				16
17								17
18				26 & 27				18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27
28								28
29								29
30								30
31								31
32								32
33								33
34								34
35								35
36								36
37								37
38								38
39								39
40								40

## 310A. INVESTMENTS IN COMMON STOCKS OF AFFILIATED COMPANIES

(Dollars in Thousands)

## Undistributed Earnings From Certain Investments in Affiliated Companies

- 1 Report below the details of all investments in common stocks included in Account 721, Investments and Advances Affiliated Companies
- 2 Enter in column (c) the amount necessary to retroactively adjust those investments (See instruction 5-2, Uniform System of Accounts.)
- 3 Enter in column (d) the share of undistributed earnings (i.e., less dividends) or losses.
4. Enter in column (e) the amortization for the year of the excess of cost over equity in net assets (equity over cost) at date of acquisition
5. For definitions of "carrier" and "noncarrier," see general instructions

Line No	(a) Name of issuing company and description of security held	(b) Balance at beginning of year	(c) Adjustments for investments equity method	(d) Equity in undistributed earnings (losses) during year	(e) Amortization during year	(f) Adjustment for investments disposed of or written down during year	Balance at close of year (g)	Line No
	Carriers. (List specifics for each company)							
1	Kansas City Southern Transport Co., Inc. - Common Stock	282		106			388	1
2								2
3	Landa Motor Lines - Common Stock	3,051		697			3,748	3
4								4
5	Louisiana Arkansas & Texas Transportation Co. - Common Stock	(289)		(99)			(388)	5
6								6
7								7
8								8
9								9
10								10
11								11
12								12
13	Total	3,044		704			3,748	13

## Noncarrier (List specifics for each company)

14								14
15								15
16								16
17								17
18								18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 330

1. Give particulars of balances at the beginning and close of the year and of all changes during the year in Account No. 731, "Road and Equipment Property," and Account No. 732, "Improvements on Leased Property," classified by primary accounts in accordance with the Uniform System of Accounts for Railroad Companies. The balances, by primary accounts, should insofar as known, be stated in column (b) and all changes made during the year should be analyzed in columns (c) to (f), inclusive. Column (h) is the aggregate of columns (b) to (f), inclusive. Grand totals of columns (b) and (h) should equal the sum of Accounts 731 and 732 for the respective periods; if not, full explanation should be made in a footnote.
2. In column (c) are to be shown disbursements made for the specific purpose of purchasing, constructing, and equipping new lines, and for the extension of old lines, as provided for in instruction 2-1, "Items to be charged," of the Uniform System of Accounts for Railroad Companies for such items.
3. In column (d) is to be shown the cost of a railway or portion thereof, acquired as an operating entity or system by purchase, merger, consolidation, reorganization, receivership sale or transfer, or otherwise.
4. In column (c) and (e) should be included all entries covering expenditures for additions and betterments, as defined, whether or not replacing other property..
5. In column (f) should be entered all credits representing property sold, abandoned, or otherwise retired.
6. Both the debit and credit involved in each transfer, adjustment, or clearance, between road and equipment accounts, should be included in the column in which the item was initially included; also the transfer of prior years' debits or credits from investment in road and equipment to operating expenses or other accounts, or vice versa, should be included in the column applicable to current items of like nature. Each such transfer, adjustment, or clearance should be fully explained when in excess of \$100,000.
7. If during the year an individual charge of \$100,000 or more was made to Account No. 2, "Land for Transportation Purposes," state in a footnote the cost, location, area, and other details which will identify the property.
8. Report on line 29 amounts not includible in the primary road accounts. The items reported should be briefly identified and explained under "Notes and Remarks," below. Amounts should be reported on this line only under special circumstances, usually after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.
9. If during the year a segment of transportation property was acquired, state in a footnote the name of the vendor, the mileage acquired, and the date of acquisition, giving termini and the cost of the property to the respondent. Also furnish a statement of the amount included in each primary account representing such property acquired, referring to the column or columns in which the entries appear.
10. If an amount of less than \$2,000 is used as the minimum for additions and betterments to property investment accounts as provided for in instruction 2-2 of the Uniform System of Accounts for Railroad Companies, state in a footnote the amount used.

## NOTES AND REMARKS

**SCHEDULE 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT**  
(Dollars in Thousands)

Line No.	Cross Check	Account  (a)	Balance at beginning of year  (b)	Expenditures during the year for original road and equipment, and road extensions  (c)	Expenditures during the year for purchase of existing lines, reorganizations, etc.  (d) **	Line No.
1		(2) Land for transportation purposes	6,497		14	1
2		(3) Grading	36,201		481	2
3		(4) Other right-of-way expenditures	721			3
4		(5) Tunnels and subways	36			4
5		(6) Bridges, trestles, and culverts	31,201		1,157	5
6		(7) Elevated structures				6
7		(8) Ties	146,895		898	7
8		(9) Rail and other track material	226,252		712	8
9		(11) Ballast	59,198		699	9
10		(13) Fences, snowsheds, and signs	694			10
11		(16) Station and office buildings	13,248		16	11
12		(17) Roadway buildings	488			12
13		(18) Water stations	86			13
14		(19) Fuel stations	2,864			14
15		(20) Shops and enginehouses	25,775			15
16		(22) Storage warehouses				16
17		(23) Wharves and docks				17
18		(24) Coal and ore wharves				18
19		(25) TOFC/COFC terminals	5,628			19
20		(26) Communication systems	4,509			20
21		(27) Signals and interlockers	24,642			21
22		(29) Power plants	25			22
23		(31) Power-transmission systems	725			23
24		(35) Miscellaneous structures	280			24
25		(37) Roadway machines	17,724			25
26		(39) Public improvements - Construction	3,891		135	26
27		(44) Shop machinery	3,662			27
28		(45) Power-plant machinery	179			28
29		Other (specify and explain)				29
30		<b>TOTAL EXPENDITURES FOR ROAD</b>	<b>611,421</b>		<b>4,112</b>	<b>30</b>
31		(52) Locomotives	126,504		345	31
32		(53) Freight-train cars	147,167			32
33		(54) Passenger-train cars				33
34		(55) Highway revenue equipment				34
35		(56) Floating equipment				35
36		(57) Work equipment	19,162		22	36
37		(58) Miscellaneous equipment	11,592			37
38		(59) Computer systems and word processing equipment	6,054			38
39		<b>TOTAL EXPENDITURES FOR EQUIPMENT</b>	<b>310,479</b>		<b>367</b>	<b>39</b>
40		(76) Interest during construction	2,303			40
41		(80) Other elements of investment				41
42		(90) Construction in progress	54,540			42
43		<b>GRAND TOTAL</b>	<b>978,743</b>		<b>4,479</b>	<b>43</b>

\*\* See Footnote on Page 9.

## SCHEDULE 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT - Continued

(Dollars in Thousands)

Line No	Cross Check	Expenditures for additions during the year (e)	Credits for property retired during the year (f)	Net changes during the year (g)	Balance at close of year (h)	Line No.
1		305	1	318	6,815	1
2		497	136	842	37,043	2
3		23	13	10	731	3
4					36	4
5		4,001	72	5,086	36,287	5
6						6
7		8,979	3,162	6,715	153,610	7
8		25,816	6,131	20,397	246,649	8
9		2,304	1,016	1,987	61,185	9
10		5		5	699	10
11		976		992	14,240	11
12		8		8	496	12
13					86	13
14		70	6	64	2,928	14
15		973	4	969	26,744	15
16						16
17						17
18						18
19		121	1	120	5,748	19
20		1,443	31	1,412	5,921	20
21		402	166	236	24,878	21
22					25	22
23		29		29	754	23
24		32		32	312	24
25		3,325	1,314	2,011	19,735	25
26		27	12	150	4,041	26
27		60	32	28	3,690	27
28					179	28
29						29
30		49,396	12,097	41,411	652,832	30
31		3,869	649	3,565	130,069	31
32		13,779	1,868	11,911	159,078	32
33						33
34						34
35						35
36		3,005	1,752	1,275	20,437	36
37		1,371	363	1,008	12,600	37
38		1,224	3,276	(2,052)	4,002	38
39		23,248	7,908	15,707	326,186	39
40			2	(2)	2,301	40
41						41
42		(6,137)		(6,137)	48,403	42
43		66,507	20,007	50,979	1,029,722	43



## SCHEDULE 332 - DEPRECIATION BASE AND RATES—ROAD AND EQUIPMENT OWNED AND USED AND LEASED FROM OTHERS

(Dollars in Thousands)

1. Show in columns (b) and (e), for each primary account, the depreciation base used to compute the depreciation charges for the month of January, and in columns (c) and (f) show the depreciation base used to compute the depreciation charges for the month of December; in columns (d) and (g) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 39 of these columns show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the same month. The depreciation base should not include the cost of equipment used, but not owned, when the rents therefor are included in the rent for equipment and Account Nos. 31-22-00, 31-23-00, 31-25-00, 31-21-00, 35-21-00, 35-23-00, 35-22-00, and 35-25-00. It should include the cost of equipment owned and leased to others when the rents therefrom are included in the rent for equipment Accounts Nos. 32-21-00, 32-22-00, 32-23-00, 32-25-00, 36-21-00, 36-22-00, 36-23-00, and 36-25-00, inclusive. The composite rates used should be those prescribed or otherwise authorized by the Commission, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any changes in rates were effective during the year, give full particulars in a footnote.

2. All leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.

3. Show in columns (e), (f), and (g) data applicable to Lessor property, when the rent therefor is included in Account Nos. 31-11-00, 31-12-00, 31-13-00, 31-21-00, 31-22-00, and 31-23-00, inclusive.

4. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) effected.

5. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively.

Line No.	Account  (a)	OWNED AND USED			LEASED FROM OTHERS			Line No.
		Depreciation base		Annual composite rate (percent) (d)	Depreciation base		Annual composite rate (percent) (g)	
		1/1 At beginning of year (b)	12/1 At close of year (c)		At beginning of year (e)	At close of year (f)		
	ROAD							
1	(3) Grading	35,699	36,407	0.79				1
2	(4) Other, right-of-way expenditures	721	713	1.59				2
3	(5) Tunnels and subways	36	36	0.93				3
4	(6) Bridges, trestles, and culverts	30,810	34,311	1.15				4
5	(7) Elevated structures							5
6	(8) Ties	146,102	151,891	4.46				6
7	(9) Rail and other track material	225,852	244,690	3.32				7
8	(11) Ballast	58,936	60,550	5.03				8
9	(13) Fences, snow sheds, and signs	694	699	0.55				9
10	(16) Station and office buildings	13,047	14,018	2.31				10
11	(17) Roadway buildings	488	496	3.07				11
12	(18) Water stations	86	86	6.49				12
13	(19) Fuel stations	2,864	2,928	2.90				13
14	(20) Shops and enginehouses	25,775	26,610	2.01				14
15	(22) Storage warehouses							15
16	(23) Wharves and docks							16
17	(24) Coal and ore wharves							17
18	(25) TOFC/COFC terminals	5,628	5,730	2.92				18
19	(26) Communication systems	4,509	5,921	2.21				19
20	(27) Signals and interlockers	24,622	24,861	2.18				20
21	(29) Power plants	25	25	5.14				21
22	(31) Power-transmission systems	725	754	1.03				22
23	(35) Miscellaneous structures	280	312	1.07				23
24	(37) Roadway machines	17,724	19,611	11.23				24
25	(39) Public improvements—Construction	3,891	4,024	1.32				25
26	(44) Shop machinery	3,662	3,678	2.53				26
27	(45) Power-plant machinery	179	179	1.97				27
28	All other road accounts							28
29	Amortization (Adjustments)							29
30	TOTAL ROAD	602,355	638,530	3.57				30
	EQUIPMENT							
31	(52) Locomotives	126,465	128,967	1.97				31
32	(53) Freight-train cars	147,165	158,825	2.59				32
33	(54) Passenger-train cars							33
34	(55) Highway revenue equipment							34
35	(56) Floating equipment							35
36	(57) Work equipment	19,130	20,277	7.76				36
37	(58) Miscellaneous equipment	11,573	12,627	7.73				37
38	(59) Computer systems and word processing equipment	6,037	3,921	19.18				38
39	TOTAL EQUIPMENT	310,370	324,617	3.07				39
40	GRAND TOTAL	912,725	963,147	N/A			N/A	40

## SCHEDULE 335 - ACCUMULATED DEPRECIATION--ROAD AND EQUIPMENT OWNED AND USED

(Dollars in Thousands)

1 Disclose the required information in regard to credits and debits to Account No. 735. "Accumulated depreciation: Road and Equipment Property," during the year relating to owned and used road and equipment. Include entries for depreciation of equipment owned but not used when the resulting rents are included in the "Lease Rentals—Credit—Equipment" accounts and "Other Rents—Credit—Equipment" accounts. Exclude any entries for depreciation of equipment that is used but not owned when the resulting rents are included in "Lease Rental—Debit—Equipment" account and "Other Rents—Debit—Equipment" accounts. (See Schedule 351 for the accumulated depreciation to road and equipment owned and leased to others )

2. If any data are included in columns (d) or (f), explain the entries in detail.

3. A debit balance in columns (b) or (g) for any primary account should be designated "Dr "

4 If there is any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses, a full explanation should be given

5 Enter amounts representing amortization under an authorized amortization program other than for defense projects on lines 29 and 39.

Line No.	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No.
				During the year		During the year			
				Charges to operating expenses ** (c)	Other credits *** (d)	Retirements (e)	Other debits (f)		
ROAD									
1		(3) Grading	14,519	293		131		14,681	1
2		(4) Other, right-of-way expenditures	307	11		12		306	2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts	10,901	388		281		11,008	4
5		(7) Elevated structures							5
6		(8) Ties	68,239	6,625		3,410		71,454	6
7		(9) Rail and other track material	58,823	7,432		2,399		63,856	7
8		(11) Ballast	34,676	3,027		1,011		36,692	8
9		(13) Fences, snow sheds, and signs	491	12				503	9
10		(16) Station and office buildings	6,026	261		(8)		6,295	10
11		(17) Roadway buildings	333	11		1		343	11
12		(18) Water stations	73	2		1		74	12
13		(19) Fuel stations	1,161	81		4		1,238	13
14		(20) Shops and enginehouses	9,205	476		3		9,678	14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals	2,997	167		1		3,163	18
19		(26) Communication systems	2,911	80		28		2,963	19
20		(27) Signals and interlockers	7,107	573		37		7,643	20
21		(29) Power plants	23			(1)		24	21
22		(31) Power-transmission systems	528	13		1		540	22
23		(35) Miscellaneous structures	175	6				181	23
24		(37) Roadway machines	11,866	1,991		1,313		12,544	24
25		(39) Public improvements—Construction	2,505	54		12		2,547	25
26		(44) Shop machinery *	2,168	66		33		2,201	26
27		(45) Power-plant machinery	172	2		1		173	27
28		All other road accounts							28
29		Amortization (Adjustments)	(944)	105				(839)	29
30		TOTAL ROAD	234,262	21,676		8,670		247,268	30
EQUIPMENT									
31		(52) Locomotives	36,766	2,837	20,000	604		58,999	31
32		(53) Freight-train cars	109,097	3,793	(20,000)	1,522		91,368	32
33		(54) Passenger-train cars							33
34		(55) Highway revenue equipment							34
35		(56) Floating equipment							35
36		(57) Work equipment	13,521	1,530		1,576		13,475	36
37		(58) Miscellaneous equipment	2,604	1,101		330		3,375	37
38		(59) Computer systems and word processing equipment	4,402	666		3,260		1,808	38
39		Amortization Adjustments	120	(120)					39
40		TOTAL EQUIPMENT	166,510	9,807		7,292		169,025	40
41		GRAND TOTAL	400,772	31,483		15,962		416,293	41

\* To be reported with equipment expenses rather than W & S expenses

\*\*\* Transfer prescribed by ICC

\*\* Depreciation Exp. is calculated using the remaining life method for KCSR.

## SCHEDULE 339 - ACCRUED LIABILITY -- LEASED PROPERTY

(Dollars in Thousands)

1. Disclose the required information relating to credits and debits of Account 772, "Accrued Liability Leased Property," during the year concerning road and equipment leased from others.
2. In column (c), enter amounts charged to operating expenses; in column (e), enter debits to account arising from retirements; in column (f), enter amounts paid to lessor.
3. Any inconsistencies between credits to account, charges to operating expenses and payments to lessors should be fully explained.
4. Required disclosure may be omitted if leased road and equipment property represents 5% or less of total property owned and used.
5. If settlement for depreciation is made currently between lessee and lessor, and no debits or credits to Account No. 772 are made by the accounting company, show in column (c) the charges to operating expenses, and in column (f) show payments made to the lessor in settlement thereof.

Line No.	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No.
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)		
ROAD									
1		(3) Grading							1
2		(4) Other, right-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts							4
5		(7) Elevated structures							5
6		(8) Ties							6
7		(9) Rail and other track material							7
8		(11) Ballast							8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings							10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals							18
19		(26) Communication systems							19
20		(27) Signals and interlockers							20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines							24
25		(39) Public improvements—Construction							25
26		(44) Shop machinery*							26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		Amortization (Adjustments)							29
30		TOTAL ROAD							30
EQUIPMENT									
31		(52) Locomotives							31
32		(53) Freight-train cars							32
33		(54) Passenger-train cars							33
34		(55) Highway revenue equipment							34
35		(56) Floating equipment							35
36		(57) Work equipment							36
37		(58) Miscellaneous equipment							37
38		(59) Computer systems and word processing equipment							38
39		Amortization Adjustments							39
40		TOTAL EQUIPMENT							40
41		GRAND TOTAL	NONE					NONE	41

\* To be reported with equipment expenses rather than W &amp; S expenses.

**SCHEDULE 340 - DEPRECIATION BASE AND RATES--IMPROVEMENTS TO ROAD AND EQUIPMENT LEASED FROM OTHERS**

(Dollars in Thousands)

1 Show in column (b) for each primary account the depreciation base used in computing the depreciation charges for the month of January, and in column (c) show the depreciation base used in computing the depreciation charges for the month of December, in column (d) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 40 of these columns show the composite percentage of all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the for the same month. This schedule should include only improvements to leased property charged to Account 732, "Improvements on Leased Property " The composite rates used should be those prescribed or otherwise authorized by the Commission, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates If any charges in rates were effective during the year, give full particulars in a footnote.

2. All improvements to leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.

3. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.

4. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively However, line 41, Grand Total, should be completed.

Line No.	Account (a)	Depreciation base		Annual composite rate (percent) (d)	Line No.
		At beginning of year (b)	At close of year (c)		
	ROAD				
1	(3) Grading	502	502	1.00	1
2	(4) Other, right-of-way expenditures				2
3	(5) Tunnels and subways				3
4	(6) Bridges, trestles, and culverts	391	483	0.93	4
5	(7) Elevated structures				5
6	(8) Ties	793	921	4.18	6
7	(9) Rail and other track material	400	409	3.13	7
8	(11) Ballast	262	349	6.61	8
9	(13) Fences, snow sheds, and signs				9
10	(16) Station and office buildings	201	214	2.17	10
11	(17) Roadway Buildings				11
12	(18) Water stations				12
13	(19) Fuel stations				13
14	(20) Shops and enginehouses				14
15	(22) Storage warehouses				15
16	(23) Wharves and docks				16
17	(24) Coal and ore wharves				17
18	(25) TOFC/COFC terminals				18
19	(26) Communication systems				19
20	(27) Signals and interlockers	20	20	2.46	20
21	(29) Power plants				21
22	(31) Power-transmission systems				22
23	(35) Miscellaneous structures				23
24	(37) Roadway machines		143	3.17	24
25	(39) Public improvements—Construction				25
26	(44) Shop machinery *				26
27	(45) Power-plant machinery				27
28	All other road accounts				28
29	Amortization (Adjustments)				29
30	TOTAL ROAD	2,569	3,041	3.08	30
	EQUIPMENT				
31	(52) Locomotives	39	172	2.61	31
32	(53) Freight-train cars	2	2		32
33	(54) Passenger-train cars				33
34	(55) Highway revenue equipment				34
35	(56) Floating equipment				35
36	(57) Work equipment	32	135	7.67	36
37	(58) Miscellaneous equipment	19	19	13.90	37
38	(59) Computer systems and word processing equipment	17	17		38
39	Amortization Adjustments				39
40	TOTAL EQUIPMENT	109	345	5.07	40
41	GRAND TOTAL	2,678	3,386	3.28	41

\* To be reported with equipment expense rather than W & S expenses.

**SCHEDULE 342 - ACCUMULATED DEPRECIATION--IMPROVEMENTS TO ROAD AND EQUIPMENT LEASED FROM OTHERS**

(Dollars in Thousands)

- 1 Enter the required information concerning to debits and credits to Account 733. "Accumulated depreciation—Improvements on Leased Property," during the year relating to improvements made to road and equipment property leased from others, the depreciation charges for which are included in operating expenses of the respondent.
2. If any entries are made for column (d) "Other credits" or column (f) "Other debits," state the facts occasioning such entries on page 35. A debit balance in column (b) or (g) for any primary account should be shown in parenthesis or designated "Dr."
- 3 Any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses should be fully explained on page 35.
4. Show in column (e) the debits to the reserve arising from retirements. These debits should not exceed investment, etc.
5. Details in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively. However, line 39, Grand Total, should be completed.

Line No	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No.
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)		
ROAD									
1		(3) Grading	2	5			7	1	
2		(4) Other, right-of-way expenditures						2	
3		(5) Tunnels and subways						3	
4		(6) Bridges, trestles, and culverts	1	4			5	4	
5		(7) Elevated structures						5	
6		(8) Ties	11	37			48	6	
7		(9) Rail and other track material	4	13			17	7	
8		(11) Ballast	6	20			26	8	
9		(13) Fences, snow sheds, and signs						9	
10		(16) Station and office buildings	2	3			5	10	
11		(17) Roadway buildings						11	
12		(18) Water stations						12	
13		(19) Fuel stations						13	
14		(20) Shops and enginehouses						14	
15		(22) Storage warehouses						15	
16		(23) Wharves and docks						16	
17		(24) Coal and ore wharves						17	
18		(25) TOFC/COFC terminals						18	
19		(26) Communication systems						19	
20		(27) Signals and interlockers						20	
21		(29) Power plants						21	
22		(31) Power-transmission systems						22	
23		(35) Miscellaneous structures						23	
24		(37) Roadway machines		3			3	24	
25		(39) Public improvements—Construction						25	
26		(44) Shop machinery*						26	
27		(45) Power-plant machinery						27	
28		All other road accounts						28	
29		TOTAL ROAD	26	85			111	29	
EQUIPMENT									
30		(52) Locomotives		3			3	30	
31		(53) Freight-train cars	2				2	31	
32		(54) Passenger-train cars						32	
33		(55) Highway revenue equipment						33	
34		(56) Floating equipment						34	
35		(57) Work equipment		7			7	35	
36		(58) Miscellaneous equipment		5			5	36	
37		(59) Computer systems and word processing equipment	17				17	37	
38		TOTAL EQUIPMENT	19	15			34	38	
39		GRAND TOTAL	45	100			145	39	

\* To be reported with equipment expenses rather than W &amp; S expenses.

NOTES AND REMARKS FOR SCHEDULE 342

## 350. DEPRECIATION BASE AND RATES--ROAD AND EQUIPMENT LEASED TO OTHERS

(Dollars in Thousands)

- 1 This schedule is to be used in cases where the related depreciation reserve is carried in the accounts of the respondent and the rent therefrom is included in Accounts 32-11-00, 32-12-00, 32-13-00, 32-21-00, 32-22-00, and 32-23-00.
- 2 Show in columns (b) and (c) for each primary account, the depreciation base used in computing the depreciation for the months of January and December, respectively, with respect to road and equipment owned by the respondent but leased to others, the depreciation charges for which are not includible in operating expenses of the respondent, but for which the depreciation reserve is recorded in the accounts of the respondent. If the base for road is other than the original cost or estimated original cost as found by the Commission's Bureau of Accounts, brought to a current date by the respondent from its Order No. 3 records and accounts, or is other than ledger value for equipment, a full explanation should be given.
- 3 In column (d) show the composite rates used to compute the depreciation for the month of December and on lines 29 and 38 of this column show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary accounts composite rates to the depreciation base used to compute the depreciation for December and dividing the total also computed by the depreciation base.
- 4 If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.
- 5 If total road leased to others is less than 5% of total road owned; omit. If total equipment leased to others is less than 5% of total equipment owned; omit. However, Line 39, Grand Total, should be completed.

Line No.	Account (a)	Depreciation base		Annual composite rate (percent) (d)	Line No.
		At beginning of year (b)	At close of year (c)		
	<b>ROAD</b>				
1	(3) Grading				1
2	(4) Other, right-of-way expenditures				2
3	(5) Tunnels and subways				3
4	(6) Bridges, trestles, and culverts				4
5	(7) Elevated structures				5
6	(8) Ties				6
7	(9) Rail and other track material				7
8	(11) Ballast				8
9	(13) Fences, snow sheds, and signs				9
10	(16) Station and office buildings				10
11	(17) Roadway Buildings				11
12	(18) Water stations				12
13	(19) Fuel stations				13
14	(20) Shops and enginehouses				14
15	(22) Storage warehouses				15
16	(23) Wharves and docks				16
17	(24) Coal and ore wharves				17
18	(25) TOFC/COFC terminals				18
19	(26) Communication systems				19
20	(27) Signals and interlockers				20
21	(29) Power plants				21
22	(31) Power-transmission systems				22
23	(35) Miscellaneous structures				23
24	(37) Roadway machines				24
25	(39) Public improvements—Construction				25
26	(44) Shop machinery				26
27	(45) Power-plant machinery				27
28	All other road accounts				28
29	<b>TOTAL ROAD</b>				29
	<b>EQUIPMENT</b>				
30	(52) Locomotives				30
31	(53) Freight-train cars				31
32	(54) Passenger-train cars				32
33	(55) Highway revenue equipment				33
34	(56) Floating equipment				34
35	(57) Work equipment				35
36	(58) Miscellaneous equipment			N/A	36
37	(59) Computer systems and word processing equipment				37
38	<b>TOTAL EQUIPMENT</b>				38
39	<b>GRAND TOTAL</b>	NONE	NONE		39

**SCHEDULE 351 - ACCUMULATED DEPRECIATION--ROAD AND EQUIPMENT LEASED TO OTHERS**

(Dollars in Thousands)

- 1 This schedule is to be used in cases where the depreciation reserve is carried in the accounts of the respondent and the rent therefrom is included in Accounts 32-11-00, 32-12-00, 32-13-00, 32-21-00, 32-22-00, and 32-23-00
- 2 Disclose credits and debits to Account 735, "Accumulated Depreciation--Road and Equipment Property," during the year relating to road and equipment leased to others, the department charges for which are not includible in operating expenses of the respondent. (See Schedule 330 for the reserve relating to road and equipment owned and used by the respondent).
- 3 If any entries are made for "Other Credits" and "Other Debits," state the facts occasioning such entries. A debit balance in columns (b) or (g) for any primary account should be shown in parenthesis or designated "Dr."
- 4 Details in the respective sections of this schedule may be omitted if either total road leased to others or total equipment leased from others represents less than 5% of the total road owned or total equipment owned, respectively. However, line 39, Grand Total, should be completed.

Line No	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No.
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)		
ROAD									
1		(3) Grading							1
2		(4) Other, right-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts							4
5		(7) Elevated structures							5
6		(8) Ties							6
7		(9) Rail and other track material							7
8		(11) Ballast							8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings							10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals							18
19		(26) Communication systems							19
20		(27) Signals and interlockers							20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines							24
25		(39) Public improvements—Construction							25
26		(44) Shop machinery*							26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		TOTAL ROAD							29
EQUIPMENT									
30		(52) Locomotives							30
31		(53) Freight-train cars							31
32		(54) Passenger-train cars							32
33		(55) Highway revenue equipment							33
34		(56) Floating equipment							34
35		(57) Work equipment							35
36		(58) Miscellaneous equipment							36
37		(59) Computer systems and word processing equipment							37
38		TOTAL EQUIPMENT							38
39		GRAND TOTAL	NONE					NONE	39

\* To be reported with equipment expenses rather than W &amp; S expenses.



**SCHEDULE 352A - INVESTMENT IN RAILROAD PROPERTY USED IN TRANSPORTATION SERVICE (By Company)**

(Dollars in Thousands)

1 Disclose the investment in railway property used in transportation service at the close of the year. This investment represents the aggregate of property owned or leased by the respondent and used in the respondent's transportation service. Such property includes (a) the investment reported in Accounts 731, "Road and Equipment Property," and 732, "Improvements on Leased Property," of the respondent, less any 731 or 732 property leased to others for their exclusive use of road, track, or bridges (including equipment or other railway property covered by the contract). Equipment leased to others under separate distinct contracts shall not be deducted from the respondent's 731 or 732 property, and (b) the investment of other companies 731 or 732 property (including operating and lessor railroads) used by the respondent when the lease is for exclusive use or control of roads, tracks, or bridges (including equipment of other railway property covered by the contract). This excludes leased equipment from operating railroads under separate distinct contracts and the investment of other carriers in property jointly used by the respondent.

2 In column (a), classify each company in this schedule as respondent (R), lessor railroad (L), inactive or proprietary company (P), and other leased properties (O).

3 In column (a) to (e), inclusive, first show the data requested for the respondent (R); next the data for companies whose entire properties are used in transportation service of the respondent, divided between lessor (L) and proprietary (P) companies; followed by data for carriers and others (O), portions of whose property are used in transportation service of the respondent. Show a total for each class of company in column (d) and (e). Then show, as deductions, data for transportation property leased to carriers and others.

4 In column (c), line-haul carriers report the miles of road used in line-haul service. Report miles in whole numbers.

5 In column (d), show the amount applicable in Accounts 731 and 732 on the books of the companies whose names appear in column (b). Values of property of other carriers segregated by estimate or otherwise should correspond in amount to the deductions made by the owners in their reports. If separate value is not available, explanations should be given. Differences between the amounts in column (d) of this schedule and the amounts shown in column (c), line 24, on the asset side of the comparative general balance sheet of each individual railway, should be explained in a footnote. Book value included in Accounts 731 or 732 of the owner should be reported in column (d) in reference to the investment of the respondent in the securities of the owner unless a good reason can be given for the contrary. Methods of estimating (by capitalizing rentals at 6 percent or otherwise) value of property of private owners, or portions of property of other carriers should be explained.

6 In column (e), show the amount of depreciation and amortization accrued as of the close of the year in Accounts 733, 734, 735, 736, and 772, that is applicable to the property of the carriers whose names are listed in column (b), regardless of where the reserves therefor are recorded.

Line No.	Class (See Ins. 2) (a)	Name of company (b)	Miles of road used (See Ins. 4) (whole number) (c)	Investments in property (See Ins. 5) (d)	Depreciation and amortization of defense projects (See Ins. 6) (e)	Line No.
1	R	Kansas City Southern Railway Company	1,633	961,919	379,535	1
2		732 - 733 Improvements on Leased Property		3,386	145	2
3		736 Amortization			274	3
4						4
5						5
6	O	Carland, Inc.		64,417	36,759	6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14						14
15						15
16						16
17						17
18						18
19						19
20						20
21						21
22						22
23						23
24						24
25						25
26						26
27						27
28						28
29						29
30						30
31		TOTAL	1,633	1,029,722	416,713	31

## SCHEDULE 352B - INVESTMENT IN RAILWAY PROPERTY USED IN TRANSPORTATION SERVICE

(By Property Accounts)

(Dollars in Thousands)

- 1 In columns (b) through (e) give, by primary accounts, the amount of investment at the close of the year in property of respondent and each group or class of companies and properties.
- 2 The amounts for respondent and for each group or class of companies and properties on line 44 herein, should correspond with the amounts for each class of company and properties shown in schedule 352A. Continuing records shall be maintained by respondent of the primary property accounts separately for each company or property included in the schedule.
- 3 Report on line 29 amounts representing capitalization of rentals for leased property based on 6 percent per year where property is not classified by accounts by non-carrier owners, or where cost of property leased from other carriers is not ascertainable. Identify non-carrier owners, and briefly explain on page 37 methods of estimating value of property of non-carriers or property of other carriers.
- 4 Report on line 30 amounts not includible in the accounts shown, or in line 29. The items reported should be briefly identified and explained. Also include here those items after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.

Line No.	Cross Check	Account (a)	Respondent (b)	Lessor railroads (c)	Inactive (proprietary companies) (d)	Other Leased properties (e)	Line No.
<b>ROAD</b>							
1		(2) Land for Transportation purposes	6,815				1
2		(3) Grading	37,043				2
3		(4) Other, right-of-way expenditures	731				3
4		(5) Tunnels and subways	36				4
5		(6) Bridges, trestles, and culverts	36,287				5
6		(7) Elevated structures					6
7		(8) Ties	153,610				7
8		(9) Rail and other track material	246,649				8
9		(11) Ballast	61,185				9
10		(13) Fences, snow sheds, and signs	699				10
11		(16) Station and office buildings	14,182			58	11
12		(17) Roadway buildings	496				12
13		(18) Water stations	86				13
14		(19) Fuel stations	2,928				14
15		(20) Shops and enginehouses	26,744				15
16		(22) Storage warehouses					16
17		(23) Wharves and docks					17
18		(24) Coal and ore wharves					18
19		(25) TOFC/COFC terminals	5,607			141	19
20		(26) Communication systems	5,583			338	20
21		(27) Signals and interlockers	24,878				21
22		(29) Power plants	25				22
23		(31) Power-transmission systems	754				23
24		(35) Miscellaneous structures	312				24
25		(37) Roadway machines	1,248			18,487	25
26		(39) Public improvements—Construction	4,041				26
27		(44) Shop machinery	3,311			379	27
28		(45) Power-plant machinery	179				28
29		Leased property capitalized rentals (explain)					29
30		Other (specify and explain)					30
31		<b>TOTAL ROAD</b>	<b>633,429</b>			<b>19,403</b>	<b>31</b>
32		(52) Locomotives	124,046			6,024	32
33		(53) Freight-train cars	144,278			14,799	33
34		(54) Passenger-train cars					34
35		(55) Highway revenue equipment					35
36		(56) Floating equipment					36
37		(57) Work equipment	1,067			19,370	37
38		(58) Miscellaneous equipment	7,916			4,684	38
39		(59) Computer systems and word processing equipment	3,865			137	39
40		<b>TOTAL EQUIPMENT</b>	<b>281,172</b>			<b>45,014</b>	<b>40</b>
41		(76) Interest during construction	2,301				41
42		(80) Other elements of investment					42
43		(90) Construction work in progress	48,403				43
44		<b>GRAND TOTAL</b>	<b>965,305</b>			<b>64,417</b>	<b>44</b>

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 410

## Cross-checks

Schedule 410		Schedule 210
Line 620, column (h)	=	Line 14, column (b)
Line 620, column (f)	=	Line 14, column (d)
Line 620, column (g)	=	Line 14, column (e)
		Schedule 412
Lines 136 thru 138 column (f)	=	Line 29 column (b)
Lines 118 thru 123, and 130 thru 135 column (f)	=	Line 29, column (c)
		Schedule 414
Line 231, column (f)	=	Line 19, columns (b) thru (d)
Line 230, column (f)	=	Line 19, columns (e) thru (g)
		Schedule 415
Lines 207, 208, 211, 212, column (f)	=	Lines 5, 38, column (f)
Lines 226, 227, column (f)	=	Lines 24, 39, column (f)
Lines 311, 312, 315, 316, column (f)	=	Lines 32, 35, 36, 37, 40, 41, column (f)
		And
		Schedule 414
		Minus line 24, columns (b) thru (d) plus line 24, columns (e) thru (g)
		Schedule 415
Line 213, column (f)	=	Lines 5, 38, columns (c) and (d)
Line 232, column (f)	=	Lines 24, 39, columns (c) and (d)
Line 317, column (f)	=	Lines 32, 35, 36, 37, 40, 41, columns (c) and (d)
Lines 202, 203, 216, column (f) (equal to or greater than, but variance cannot exceed line 216, column (f))		Lines 5, 38, column (b)
Lines 221, 222, 235, column (f) (equal to or greater than, but variance cannot exceed line 235, column (f))		Lines 24, 39, column (b)
Lines 302 thru 307 and 320, column (f) (equal to or greater than, but variance cannot exceed line 320, column (f))		Lines 32, 35, 36, 37, 40, 41, column (b)
		Schedule 417
Line 507, column (f)	=	Line 1, column (j)
Line 508, column (f)	=	Line 2, column (j)
Line 509, column (f)	=	Line 3, column (j)
Line 510, column (f)	=	Line 4, column (j)
Line 511, column (f)	=	Line 5, column (j)
Line 512, column (f)	=	Line 6, column (j)
Line 513, column (f)	=	Line 7, column (j)
Line 514, column (f)	=	Line 8, column (j)
Line 515, column (f)	=	Line 9, column (j)
Line 516, column (f)	=	Line 10, column (j)
Line 517, column (f)	=	Line 11, column (j)
		Schedule 450
Line 4 column b	=	Line 47 column b
		Schedule 210

## SCHEDULE 410 - RAILWAY OPERATING EXPENSES

(Dollars in Thousands)

State the railway operating expenses on respondent's road for the year, classifying them in accordance with the Uniform System of Accounts for Railroad Companies, and allocate the common operation expenses in accordance with the commission's rules governing the separation of such expenses between freight and passenger services.

			Freight								
Line No	Cross Check	Name of railway operating expense account (a)	Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)	Passenger (g)	Total (h)	Line No	
		WAY AND STRUCTURES									
		ADMINISTRATION									
1		Track	3,233	122	175	169	3,699		3,699	1	
2		Bridge and building	205	1	5	30	241		241	2	
3		Signal	818	8	40	31	897		897	3	
4		Communication	30		22		52		52	4	
5		Other	512	17	277	24	830		830	5	
		REPAIR AND MAINTENANCE									
6		Roadway – Running	792	162	1,531	6	2,491		2,491	6	
7		Roadway – Switching	48	22	172		242		242	7	
8		Tunnels and Subways – Running								8	
9		Tunnels and Subways – Switching								9	
10		Bridges and Culverts – Running	560	119	754	60	1,493		1,493	10	
11		Bridges and Culverts – Switching	1				1		1	11	
12		Ties – Running	308	549	60	(346)	571		571	12	
13		Ties – Switching	30	(4)	7	(54)	(21)		(21)	13	
14		Rail and other track material – Running	2,476	1,452	2,197	(425)	5,700		5,700	14	
15		Rail and other track material – Switching	394	11	117	(10)	512		512	15	
16		Ballast – Running	988	20	73	130	1,211		1,211	16	
17		Ballast – Switching	13	(39)	18		(8)		(8)	17	
18		Road Property Damaged – Running	58	59			117		117	18	
19		Road Property Damaged – Switching	33	14			47		47	19	
20		Road Property Damaged – Other								20	
21		Signals and Interlockers – Running	1,476	1,487	748	189	3,900		3,900	21	
22		Signals and Interlockers – Switching	3	(58)	8		(47)		(47)	22	
23		Communications Systems		163	3,736		3,899		3,899	23	
24		Power Systems								24	
25		Highway Grade Crossings – Running	492	(8)	445		929		929	25	
26		Highway Grade Crossings – Switching	1	14	25		40		40	26	
27		Station and Office Buildings		133	298		431		431	27	
28		Shop Building – Locomotives	1	69	66		136		136	28	
29		Shop Building – Freight Cars		12	40		52	N/A	52	29	
30		Shop Building – Other Equipment		8	1	1	10		10	30	

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
		<b>REPAIR AND MAINTENANCE - Continued</b>								
101		Locomotive Servicing Facilities		15	14		29		29	101
102		Miscellaneous Buildings and Structures	36	(19)	(13)	2	6		6	102
103		Coal Terminals								103
104		Ore Terminals								104
105		Other Marine Terminals								105
106		TOFC/COFC - Terminals		220	165		385		385	106
107		Motor Vehicle Loading and Distribution Facilities								107
108		Facilities for Other Specialized Service Operations			2		2		2	108
109		Roadway Machines	890	933	928	104	2,855		2,855	109
110		Small Tools and Supplies		1,476	69		1,545		1,545	110
111		Snow Removal	14		20		34		34	111
112		Fringe Benefits - Running	N/A	N/A	N/A	2,695	2,695		2,695	112
113		Fringe Benefits - Switching	N/A	N/A	N/A	211	211		211	113
114		Fringe Benefits - Other	N/A	N/A	N/A	2,066	2,066		2,066	114
115		Casualties and Insurance - Running	N/A	N/A	N/A	530	530		530	115
116		Casualties and Insurance - Switching	N/A	N/A	N/A	56	56		56	116
117		Casualties and Insurance - Other	N/A	N/A	N/A	1,317	1,317		1,317	117
118		Lease Rentals - Debit - Running	N/A	N/A	912	N/A	912		912	118
119		Lease Rentals - Debit - Switching	N/A	N/A	485	N/A	485		485	119
120		Lease Rentals - Debit - Other	N/A	N/A	6,330	N/A	6,330		6,330	120
121		Lease Rentals - [Credit] - Running	N/A	N/A		N/A				121
122		Lease Rentals - [Credit] - Switching	N/A	N/A		N/A				122
123		Lease Rentals - [Credit] - Other	N/A	N/A	(4,422)	N/A	(4,422)		(4,422)	123
124		Joint Facility Rent - Debit - Running	N/A	N/A	216	N/A	216		216	124
125		Joint Facility Rent - Debit - Switching	N/A	N/A	59	N/A	59		59	125
126		Joint Facility Rent - Debit - Other	N/A	N/A		N/A				126
127		Joint Facility Rent - [Credit] - Running	N/A	N/A	(229)	N/A	(229)		(229)	127
128		Joint Facility Rent - [Credit] - Switching	N/A	N/A	49	N/A	49		49	128
129		Joint Facility Rent - [Credit] - Other	N/A	N/A	14	N/A	14		14	129
130		Other Rents - Debit - Running	N/A	N/A		N/A				130
131		Other Rents - Debit - Switching	N/A	N/A		N/A				131
132		Other Rents - Debit - Other	N/A	N/A	185	N/A	185		185	132
133		Other Rents - [Credit] - Running	N/A	N/A		N/A				133

SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued (Dollars in Thousands)										
Line No	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
134		REPAIR AND MAINTENANCE - Continued	N/A	N/A		N/A				134
135		Other Rents - [Credit] - Switching	N/A	N/A	(371)	N/A	(371)		(371)	135
136		Other Rents - [Credit] - Other	N/A	N/A	N/A	16,611	16,611		16,611	136
137		Depreciation - Running	N/A	N/A	N/A	1,822	1,822		1,822	137
138		Depreciation - Switching	N/A	N/A	N/A	3,240	3,240		3,240	138
139		Depreciation - Other	N/A	N/A	(139)	N/A	(139)		(139)	139
140		Joint Facility - Debit - Running	N/A	N/A	(141)	N/A	(141)		(141)	140
141		Joint Facility - Debit - Switching	N/A	N/A	1	N/A	1		1	141
142		Joint Facility - Debit - Other	N/A	N/A	(1,109)	N/A	(1,109)		(1,109)	142
143		Joint Facility - [Credit] - Running	N/A	N/A	(9)	N/A	(9)		(9)	143
144		Joint Facility - [Credit] - Switching	N/A	N/A		N/A				144
145		Joint Facility - [Credit] - Other	N/A	N/A		N/A				145
146		Dismantling Retired Road Property - Running	6				6		6	146
147		Dismantling Retired Road Property - Switching	2				2		2	147
148		Dismantling Retired Road Property - Other								148
149		Other - Running	29	2		1,165	1,196		1,196	149
150		Other - Switching	(14)	(6)	4		(16)		(16)	150
151		Other - Other	41	105	346	88	580		580	151
		TOTAL WAY AND STRUCTURES	13,476	7,059	14,181	29,712	64,428		64,428	
		EQUIPMENT								
		LOCOMOTIVES								
201		Administration	509	25	26	56	616		616	201
202		Repair and Maintenance	3,184	4,088	538	32	7,842		7,842	202
203		Machinery Repair		34	8		42		42	203
204		Equipment Damaged								204
205		Fringe Benefits	N/A	N/A	N/A	1,459	1,459		1,459	205
206		Other Casualties and Insurance	N/A	N/A	N/A	459	459		459	206
207		Lease Rentals - Debit	N/A	N/A	2,024	N/A	2,024		2,024	207
208		Lease Rentals - [Credit]	N/A	N/A	(1,466)	N/A	(1,466)		(1,466)	208
209		Joint Facility Rent - Debit	N/A	N/A	2	N/A	2		2	209
210		Joint Facility Rent - [Credit]	N/A	N/A		N/A				210
211		Other Rents - Debit	N/A	N/A	2	N/A	2		2	211
212		Other Rents - [Credit]	N/A	N/A	(101)	N/A	(101)		(101)	212
213		Depreciation	N/A	N/A	N/A	2,526	2,526		2,526	213
214		Joint Facility - Debit	N/A	N/A	182	N/A	182		182	214
215		Joint Facility - [Credit]	N/A	N/A	(145)	N/A	(145)		(145)	215
216		Repairs Billed to Others - [Credit]	N/A	N/A		N/A				216

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
217		LOCOMOTIVES - Continued								217
218		Dismantling Retired Property								218
219		Other		32	102		134		134	219
		TOTAL LOCOMOTIVES	3,693	4,179	1,172	4,532	13,576		13,576	
220		FREIGHT CARS								
221		Administration	594	23	17	54	688		688	220
222		Repair and Maintenance	2,939	5,643	6,264	51	14,897		14,897	221
223		Machinery Repair		15	8		23		23	222
224		Equipment Damaged	105	202	224	2	533		533	223
225		Fringe Benefits	N/A	N/A	N/A	1,391	1,391		1,391	224
226		Other Casualties and Insurance	N/A	N/A	N/A	2,133	2,133		2,133	225
227		Lease Rentals - Debit	N/A	N/A	5,538	N/A	5,538		5,538	226
228		Lease Rentals - [Credit]	N/A	N/A	(2,554)	N/A	(2,554)		(2,554)	227
229		Joint Facility Rent - Debit	N/A	N/A		N/A				228
230		Joint Facility Rent - [Credit]	N/A	N/A		N/A				229
231		Other Rents - Debit	N/A	N/A	28,347	N/A	28,347		28,347	230
232		Other Rents - [Credit]	N/A	N/A	(16,403)	N/A	(16,403)		(16,403)	231
233		Depreciation	N/A	N/A	N/A	4,071	4,071		4,071	232
234		Joint Facility - Debit	N/A	N/A		N/A				233
235		Joint Facility - [Credit]	N/A	N/A		N/A				234
236		Repairs Billed to Others - [Credit]	N/A	N/A	(8,746)	N/A	(8,746)		(8,746)	235
237		Dismantling Retired Property								236
238		Other		13	36		49		49	237
		TOTAL FREIGHT CARS	3,638	5,896	12,731	7,702	29,967	N/A	29,967	238
301		OTHER EQUIPMENT								
		Administration	186		209	2	397		397	301
302		Repair and Maintenance								
303		Trucks, Trailers, and Containers - Revenue Service		15	776		791		791	302
304		Floating Equipment - Revenue Service								303
305		Passenger and Other Revenue Equipment								304
306		Computer systems and word processing equipment		11	378		389		389	305
307		Machinery	8	9	7		24		24	306
308		Work and Other Non-Revenue Equipment		55	970		1,025		1,025	307
309		Equipment Damaged								308
310		Fringe Benefits	N/A	N/A	N/A	91	91		91	309
311		Other Casualties and Insurance	N/A	N/A	N/A	243	243		243	310
312		Lease Rentals - Debit	N/A	N/A	6,208	N/A	6,208		6,208	311
		Lease Rentals - [Credit]	N/A	N/A	(1,997)	N/A	(1,997)		(1,997)	312

SCHEDULE 410 - RAILWAY OPERATING EXPENSES --- Continued (Dollars in Thousands)										
Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
313		OTHER EQUIPMENT - Continued	N/A	N/A		N/A				313
314		Joint Facility Rent - Debit	N/A	N/A		N/A				314
315		Joint Facility Rent - [Credit]	N/A	N/A	2,973	N/A	2,973		2,973	315
316		Other Rents - Debit	N/A	N/A	(2,308)	N/A	(2,308)		(2,308)	316
317		Other Rents - [Credit]	N/A	N/A	N/A	3,313	3,313		3,313	317
318		Depreciation	N/A	N/A		N/A				318
319		Joint Facility - Debit	N/A	N/A		N/A				319
320		Joint Facility - [Credit]	N/A	N/A	(207)	N/A	(207)		(207)	320
321		Repairs Billed to Others - [Credit]	N/A	N/A						321
322		Dismantling Retired Property	1				1		1	322
323		Other	195	90	7,009	3,649	10,943		10,943	323
324		TOTAL OTHER EQUIPMENT	7,526	10,165	20,912	15,883	54,486		54,486	324
		TRANSPORTATION:								
		TRAIN OPERATIONS								
401		Administration	2,175	151	165	224	2,715		2,715	401
402		Engine Crews	9,161	11	13	389	9,574		9,574	402
403		Train Crews	11,756	41	97	1,550	13,444		13,444	403
404		Dispatching Trains	1,015		1		1,016		1,016	404
405		Operating Signals and Interlockers	282		279		561		561	405
406		Operating Drawbridges	66		1		67		67	406
407		Highway Crossing Protection		2	46		48		48	407
408		Train Inspection and Lubrication	1,490	35	5		1,530		1,530	408
409		Locomotive Fuel	93	17,285	8		17,386		17,386	409
410		Electric Power Purchased or Produced for Motive Power	473	273						410
411		Servicing Locomotives	N/A	N/A	31		777		777	411
412		Freight Lost or Damaged - Solely Related			N/A	90	90		90	412
413		Clearing Wrecks			443		443		443	413
414		Fringe Benefits	N/A	N/A	N/A	9,876	9,876		9,876	414
415		Other Casualties and Insurance	N/A	N/A	N/A	2,278	2,278		2,278	415
416		Joint Facility - Debit	N/A	N/A	18	N/A	18		18	416
417		Joint Facility - [Credit]	N/A	N/A	(176)	N/A	(176)		(176)	417
418		Other	1	96	211	359	667		667	418
419		TOTAL TRAIN OPERATIONS	26,512	17,894	1,142	14,766	60,314		60,314	419
420		YARD OPERATIONS	986	96	19	57	1,158		1,158	420
421		Administration	14,838	23	5	14	14,880		14,880	421
		Switch Crews								



Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
		YARD OPERATIONS - Continued								
422		Controlling Operations	872		86				958	422
423		Yard and Terminal Clerical	2,163	39	180	3	2,385		2,385	423
424		Operating Switches, Signals, Retarders and Humps			1		1		1	424
425		Locomotive Fuel	69	1,361			1,430		1,430	425
426		Electric Power Purchased or Produced for Motive Power								426
427		Servicing Locomotives	219	47	7		273		273	427
428		Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	(19)	(19)		(19)	428
429		Clearing Wrecks	4		37		41		41	429
430		Fringe Benefits	N/A	N/A	N/A	7,176	7,176		7,176	430
431		Other Casualties and Insurance	N/A	N/A	N/A	1,195	1,195		1,195	431
432		Joint Facility - Debit	N/A	N/A	812	N/A	812		812	432
433		Joint Facility - [Credit]	N/A	N/A	(619)	N/A	(619)		(619)	433
434		Other		387	67	47	501		501	434
435		TOTAL YARD OPERATIONS	19,151	1,953	595	8,473	30,172		30,172	435
		TRAIN AND YARD OPERATIONS COMMON								
501		Cleaning Car Interiors	1		294	N/A	295		295	501
502		Adjusting and Transferring Loads		3	77	N/A	80		80	502
503		Car Loading Devices and Grain Doors				N/A				503
504		Freight Lost or Damaged - all other	N/A	N/A	N/A	100	100		100	504
505		Fringe Benefits	N/A	N/A	N/A	24	24		24	505
506		TOTAL TRAIN AND YARD OPERATIONS COMMON	1	3	371	124	499		499	506
		SPECIALIZED SERVICE OPERATIONS								
507		Administration								507
508		Pickup and Delivery and Marine Line Haul								508
509		Loading and Unloading and Local Marine		34	2,989		3,023		3,023	509
510		Protective Services				(26)	(26)		(26)	510
511		Freight Lost or Damaged - Solely Related	N/A	N/A	N/A					511
512		Fringe Benefits	N/A	N/A	N/A	18	18		18	512
513		Casualties and Insurance	N/A	N/A	N/A					513
514		Joint Facility - Debit	N/A	N/A		N/A				514
515		Joint Facility - [Credit]	N/A	N/A		N/A				515
516		Other			5		5		5	516
517		TOTAL SPECIALIZED SERVICES OPERATIONS		34	2,994	(8)	3,020		3,020	517

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
518		ADMINISTRATIVE SUPPORT OPERATIONS								
		Administration	1,035	21	108	67	1,231		1,231	518
519		Employees Performing Clerical and Accounting Functions	1,151	122	559	15	1,847		1,847	519
520		Communications Systems Operation	4	1	9		14		14	520
521		Loss and Damage Claims Processing	196	2	14	30	242		242	521
522		Fringe Benefits	N/A	N/A	N/A	890	890		890	522
523		Casualties and Insurance	N/A	N/A	N/A					523
524		Joint Facility - Debit	N/A	N/A		N/A				524
525		Joint Facility - [Credit]	N/A	N/A		N/A				525
526		Other								526
527		TOTAL ADMINISTRATIVE SUPPORT OPERATIONS	2,386	146	690	1,002	4,224		4,224	527
528		TOTAL TRANSPORTATION	48,050	20,030	5,792	24,357	98,229		98,229	528
		GENERAL AND ADMINISTRATIVE								
601		Officers - General Administration	3,412	334	1,195	371	5,312		5,312	601
602		Accounting, Auditing, and Finance	3,930	85	1,852	162	6,029		6,029	602
603		Management Services and Data Processing	3,501	182	882	166	4,731		4,731	603
604		Marketing	2,982	41	423	448	3,894		3,894	604
605		Sales	1,751	35	197	700	2,683		2,683	605
606		Industrial Development	475	15	7	87	584		584	606
607		Personnel and Labor Relations	1,452	139	649	412	2,652		2,652	607
608		Legal and Secretarial	506	23	5,554	45	6,128		6,128	608
609		Public Relations and Advertising			69	4	73		73	609
610		Research and Development								610
611		Fringe Benefits	N/A	N/A	N/A	6,961	6,961		6,961	611
612		Casualties and Insurance	N/A	N/A	N/A	318	318		318	612
613		Write-down of Uncollectible Accounts	N/A	N/A	N/A					613
614		Property Taxes	N/A	N/A	N/A	4,910	4,910		4,910	614
615		Other Taxes Except on Corporate Income or Payrolls	N/A	N/A	N/A	3,867	3,867		3,867	615
616		Joint Facility - Debit	N/A	N/A	18	N/A	18		18	616
617		Joint Facility - [Credit]	N/A	N/A	(7)	N/A	(7)		(7)	617
618		Other	483	104	184	30	801		801	618
619		TOTAL GENERAL AND ADMINISTRATIVE	18,492	958	11,023	18,481	48,954		48,954	619
620		TOTAL CARRIER OPERATING EXPENSES	87,544	38,212	51,908	88,433	266,097		266,097	620

## SCHEDULE 412 - WAY AND STRUCTURES

(Dollars in Thousands)

1. Report freight expenses only.

2. The total depreciation expense reported in column (b), line 29, should balance to the sum of the depreciation expense reported in schedule 410, column (f) lines 136, 137, and 138.

3. Report in column (c) the lease/rentals for the various property categories of Way and Structures. The total net lease/rental reported in column (c), line 29 should balance the net amount reported in schedule 410, column (f), lines 118 through 123, plus lines 130 through 135.

If an entire road or segment of track is leased and if the actual breakdown of lease/rentals by property categories is not known, apportion the lease/rentals based on the percentage of the categories depreciation bases to the depreciation bases for all categories of depreciable leased property Use schedule 352B of this report for obtaining the depreciation bases of the categories of leased property

4. Amortization adjustment of each road property type which is included in column (b) shall be repeated in column (d) as a debit or credit to the appropriate line item; the net adjustment on line 29 shall equal the adjustment reported on line 29 of schedule 335.

5. Report on line 28 all other lease rentals not apportioned to any category listed on lines 1 - 27.

6. Line 11, Account 16, should not include computer and data processing equipment reported on line 37 of schedule 415.

Line No.	Gross Check	Property account	Category (a)	Depreciation (b)	Lease/Rentals (net) (c)	Amortization adjustment during year (d)	Line No.
1		2	Land for transportation purposes	N/A	(2)		1
2		3	Grading	298	(8)		2
3		4	Other right-of-way expenditures	11			3
4		5	Tunnels and subways				4
5		6	Bridges, trestles and culverts	379		(13)	5
6		7	Elevated structures				6
7		8	Ties	6,662	(34)		7
8		9	Rail and other track material	7,445	(55)		8
9		11	Ballast	3,047	(14)		9
10		13	Fences, snowsheds and signs	4		(8)	10
11		16	Station and office buildings	322	1,076	57	11
12		17	Roadway buildings	15		4	12
13		18	Water stations	6		4	13
14		19	Fuel stations	84		3	14
15		20	Shops and enginehouses	523		47	15
16		22	Storage warehouses				16
17		23	Wharves and docks				17
18		24	Coal and ore wharves				18
19		25	TOFC/COFC terminals	167	5		19
20		26	Communications systems	114	1,462	34	20
21		27	Signals and interlockers	532	912	(41)	21
22		29	Power plants	1		1	22
23		31	Power transmission systems	8		(5)	23
24		35	Miscellaneous structures	3		(3)	24
25		37	Roadway machines	1,994	(223)		25
26		39	Public improvements; construction	54			26
27		45	Power plant machines	4		2	27
28		—	Other lease/rentals	N/A		N/A	28
29		—	TOTAL	21,673	3,119	82	29

# SCHEDULE 414 -- RENTS FOR INTERCHANGED FREIGHT TRAIN CARS AND OTHER FREIGHT -- CARRYING EQUIPMENT

(Dollars in Thousands)

1 Report freight expenses only

2 Report in this supporting schedule rental information by car type and other freight -- carrying equipment relating to the interchange of railroad, owned or leased equipment and privately owned equipment (reporting for leased equipment covers equipment that carrier on railroad markings)

3 The gross amounts receivable and payable for freight -- train cars (line 19, columns (b) through (g), and line 19, columns (e) through (g), respectively) should balance with Schedule 410, column (f), lines 231 (credits) and 230 (debits). Trailer and container rentals in this schedule are included in Schedule 410, column (f), lines 315 and 316. However, the trailer and container rentals in this schedule will not balance to lines 315 and 316 of Schedule 410 because those lines include rents for "Other Equipment" which is reported in Schedule 415, column (e). The balancing of Schedule 410, 414, and 415 "Other Equipment" is outlined in note 6 to Schedule 415.

4 Report in columns (b) and (e) rentals for private -- line cars (whether under railroad control or not) and shipper -- owned cars

5. Report in columns (c), (d), (f), and (g) rentals for railroad owned cars prescribed by the Commission in Ex Parte No. 334, for which rentals are settled on a combination mileage and time basis (basis per diem).

Include railroad owned per diem tank cars on line 17.

NOTES. Mechanical designations for each car type are shown in Schedule 710

Line No.	Cross Check	Type of equipment (a)	GROSS AMOUNTS RECEIVABLE Per diem basis			GROSS AMOUNTS PAYABLE Per diem basis			Line No
			Private line cars (b)	Mileage (c)	Time (d)	Private line cars (e)	Mileage (f)	Time (g)	
1		CAR TYPES							1
2		Box – Plain 40 Foot							
3		Box – Plain 50 Foot and Longer		1,260	2,635	599	557	2,102	2
4		Box – Equipped		1,741	3,492		708	1,926	3
5		Gondola – Plain		86	396	675	197	488	4
6		Gondola – Equipped		24	74		64	176	5
7		Hopper – Covered		245	1,292	4,832	1,257	2,293	6
8		Hopper – Open Top – General Service		3	16	3	269	470	7
9		Hopper – Open Top – Special Service		33	134		14	168	8
10		Refrigerator – Mechanical					60	100	9
11		Refrigerator – Non – Mechanical				44	229	408	10
12		Flat TOFC/COFC		1,291	2,748	2,070	84	260	11
13		Flat Multi – Level				89	2	13	12
14		Flat – General Service		4	3	2	11	11	13
15		Flat – Other		166	680	696	54	201	14
16		Tank – Under 22,000 Gallons			1	2,855			15
17		Tank – 22,000 Gallons and Over			5	4,290			16
18		All Other Freight Cars		4	70		5	65	17
19		Auto Racks							18
		TOTAL FREIGHT TRAIN CARS		4,857	11,546	16,155	3,511	8,681	19
		OTHER FREIGHT – CARRYING EQUIPMENT							
20		Refrigerated Trailers							20
21		Other Trailers			2,123	1,369		522	21
22		Refrigerated Containers							22
23		Other Containers							23
24	*	TOTAL TRAILERS AND CONTAINERS			2,123	1,369		522	24
25		GRAND TOTAL (LINES 19 AND 24)		4,857	13,669	17,524	3,511	9,203	25

## NOTES AND REMARKS

## GENERAL INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 415

- 1 Report Freight expenses only.
- 2 Report by type of equipment all natural expenses relating to the equipment functions (salaries and wages, materials, tools, supplies, fuels and lubricants, purchased services and general)
3. Report in column (b) net repair expense excluding the cost to repair damaged equipment.  
Schedule 415, column (b), will balance to Schedule 410, column (f), as follows:  
(a) Locomotives, line 5 plus line 38 compared to the sum of Schedule 410, lines 202, 203 plus 216 (excluding wreck repairs). Do not report in Schedule 415, Equipment Damaged from Schedule 410, line 204.  
(b) Freight Cars, line 24 plus line 39 compared to the sum of Schedule 410, lines 221, 222 plus 235 (excluding wreck repairs). Do not report in Schedule 415, Equipment Damaged from Schedule 410, line 223.  
(c) Sum of Highway Equipment (line 32), Floating Equipment (line 35), Passenger and Other Revenue Equipment (line 36), Computer and Data Processing Equipment (line 37), Machinery—Other Equipment (line 40), plus Work and Other Non—Revenue Equipment (line 41 compared to Schedule 410, the sum of lines 302 through 307, inclusive, plus line 320 (excluding wreck repairs). Do not report in Schedule 415, Equipment Damaged from Schedule 410, line 308.  
Note: Lines 216, 235 and 320 of Schedule 410 are credit amounts.  
The allocation of freight car repair expense reportable on Schedule 415 by car types shall be in accordance with Instruction 2–21, Freight train repair costing 49 CFR 1201.
- 4 Depreciation expense for each class of equipment by car type shall be reported in columns (c) and (d). For improvements on leased property, Accounts 732 and 733, use a supplementary Schedule 415, which will relate to Schedules 340 and 342.  
Depreciation charges reported on columns (c) and (d) will balance to Schedule 410, column (f), as follows:  
a. Locomotives, line 5 plus 38 compared to Schedule 410, line 213  
b. Freight Cars, line 24 plus line 39 compared to Schedule 410, line 232  
c. Sum of Highway Equipment (line 32); Floating Equipment (line 35); Passenger and Other Revenue Equipment (line 36); Computer and Data Processing Equipment (line 37); Machinery—Other Equipment (line 40); and Work and Other Non—Revenue Equipment (line 41) compared to Schedule 410, line 317.
5. Amortization adjustment of each equipment type which is included in column (c) shall be reported in column (e) as a debit or credit to the appropriate line item; the net adjustment on line 43 shall equal the equipment amortization adjustment applicable to equipment used in freight service included in line 38, column (c), schedule 335.
- 6 Lease/Rentals reported in column (f) should balance to column (f) of Schedule 410 as follows:  
a Locomotives, line 5 plus 38 compared with Schedule 410, lines 207, 208, 211 and 212.  
b. Freight Cars, line 24 plus line 39 compared with Schedule 410, lines 226 plus 227 (note that Schedule 410, lines 230 and 231, are reported in Schedule 414 and are not to be included in Schedule 415).  
(c) Sum of Lease/Rentals for All Other Equipment, lines 32, 35, 36, 37, 40 and 41, will balance to Schedule 410, lines 311, 312, 315 and 316, except for the interchange rental on trailers and containers which is reported in Schedule 414. Therefore, both Schedules 414 and 415 should be used when balancing Lease/Rentals Other Equipment to Schedule 410. Do not report in Schedule 415 the Trailer and Container rentals reported in Schedule 414.
7. Investment base by types of equipment shall be reported in columns (g) and (h) and should not include the cost of Equipment Used But Not Owned when the rents therefore are included in the rent for equipment and Account Nos. 31–21–00, 31–22–00, 31–23–00, 35–21–00, 35–22–00 and 35–23–00. It should include the Cost of Equipment Owned and Leased to Others when the rents are included in the rent for Equipment Account Nos. 32–21–00, 32–22–00, 32–23–00, 36–21–00, 36–22–00 and 36–23–00.  
Property Used But Not Owned should also be included when the rent is included in Account Nos. 31–12–00, 31–13–00, 31–22–00 and 31–23–00, inclusive.  
The grand total of each equipment account in column (h) of Schedule 330 should equal the totals of line items constituting the equipment account totals of columns (g) and (h), of schedule 415.
8. Accumulated depreciation for each class of equipment shall be reported in columns (i) and (j). The grand total of each equipment reserve account in column (g), Schedule 335, shall equal the combined aggregate total accumulated depreciation for line items constituting the corresponding equipment accounts reported in columns (i) and (j), on Schedule 415.

## SCHEDULE 415 - SUPPORTING SCHEDULE--EQUIPMENT

(Dollars in Thousands)

Line No.	Cross Check	Types of equipment (a)	Repairs (net expense) (b)	Depreciation		Amortization	Line No.
				Owned (c)	Capitalized lease (d)	Adjustment net during year (e)	
1		LOCOMOTIVES					
		Diesel Locomotive--Yard	927	(8)		(77)	1
2		Diesel Locomotive--Road	6,915	2,497		(272)	2
3		Other Locomotive--Yard					3
4		Other Locomotive--Road					4
5	*	TOTAL	7,842	2,489		(349)	5
6		FREIGHT TRAIN CARS					
		Box--Plain 40 Foot	2	51		2	6
7		Box--Plain 50 Foot and Longer	521	1,490	216	10	7
8		Box--Equipped	3,078	415		65	8
9		Gondola--Plain	229	125	145	27	9
10		Gondola--Equipped	47	43			10
11		Hopper--Covered	617	470	77	64	11
12		Hopper--Open Top--General Service	69	470		19	12
13		Hopper--Open Top--Special Service	223	282		17	13
14		Refrigerator--Mechanical					14
15		Refrigerator--Nonmechanical		29		12	15
16		Fiat TOFC/COFC	943	1			16
17		Fiat Multi-level		16		16	17
18		Fiat--General Service	24	10		1	18
19		Fiat--Other	149	170		1	19
20		All Other Freight Cars	233			(16)	20
21		Cabooses	16	11		11	21
22		Auto Racks					22
23		Miscellaneous Accessories					23
24	*	TOTAL FREIGHT TRAIN CARS	6,151	3,583	438	229	24
25		OTHER EQUIPMENT--REVENUE FREIGHT HIGHWAY EQUIPMENT					
		Refrigerated Trailers					25
26		Other Trailers	584				26
27		Refrigerated Containers					27
28		Other Containers					28
29		Bogies					29
30		Chassis					30
31		Other Highway Equipment (Freight)					31
32	*	TOTAL HIGHWAY EQUIPMENT	584				32
33		FLOATING EQUIPMENT--REVENUE SERVICE					
		Marine Line--Haul					33
34		Local Marine					34
35	*	TOTAL FLOATING EQUIPMENT					35
36		OTHER EQUIPMENT					
		Passenger and Other Revenue Equipment (Freight Portion)					36
37	*	Computer systems and word processing equip.	389	666			37
38	*	Machinery--Locomotives (1)	42	34		8	38
39	*	Machinery--Freight Cars (2)	23	50		15	39
40	*	Machinery--Other Equipment (3)	24	5			40
41	*	Work and Other Non-revenue Equipment	1,025	2,630			41
42		TOTAL OTHER EQUIPMENT	1,503	3,385		23	42
43		TOTAL ALL EQUIPMENT (FREIGHT PORTION)	16,080	9,457	438	(97)	43

(1) The data to be reported on line 38 in column (b) is the amount reported in Schedule 410, column (f), line 203, reduced by the allocable portion of line 218.

(2) The data to be reported on line 39 in column (b) is the amount reported in Schedule 410, column (f), line 222, reduced by the allocable portion of line 235.

(3) The data to be reported on line 40 in column (b) is the amount reported in Schedule 410, column (f), lines 302 through 308, reduced by the allocable portion of line 320.

## SCHEDULE 415 - SUPPORTING SCHEDULE--EQUIPMENT--Continued

(Dollars in Thousands)

Line No	Cross Check	Lease and rentals (net) (f)	Investment base as of 12/31		Accumulated depreciation as of 12/31		Line No.
			Owned (g)	Capitalized lease (h)	Owned (i)	Capitalized lease (j)	
1		54	7,737		5,330		1
2		405	122,160		53,669		2
3							3
4							4
5		459	129,897		58,999		5
6			261		65		6
7		467	40,768	9,442	21,854	7,171	7
8		1,022	22,781		15,634		8
9		127	11,216	2,608	9,662	1,087	9
10			3,401		2,606		10
11		1,042	26,324	1,157	10,924	223	11
12		(136)	4,628		1,628		12
13		(78)	19,825		11,835		13
14							14
15			36		17		15
16			30		17		16
17							17
18			2,778		2,741		18
19		540	10,921		3,825		19
20			2,812		2,009		20
21			90		70		21
22							22
23							23
24		2,984	145,871	13,207	82,887	8,481	24
25							25
26		2,105					26
27							27
28							28
29							29
30							30
31							31
32		2,105					32
33							33
34							34
35							35
36							36
37		2,008	3,985		1,808		37
38			1,205		671		38
39			2,071		1,153		39
40			414		377		40
41		995	32,816		16,850		41
42		3,003	40,491		20,859		42
43		8,551	316,259	13,207	162,745	8,481	43

(1) The data to be reported on lines 38, 39 and 40 in columns (g) and (h) is the investment recorded in Property Account 44, allocated to Locomotives, Freight Cars, and Other Equipment.

(2) The depreciation to be reported on lines 38, 39, and 40 in column (i) is calculated by multiplying the investment in each element by the effective composite rate for Property Account 44, and then adding or subtracting the adjustment reported in column (j). This calculation should equal the amount shown in column (i), Schedule 335.



* Improvements on Leased Property		SCHEDULE 415 - SUPPORTING SCHEDULE--EQUIPMENT (Dollars in Thousands)					
Line No	Cross Check	Types of equipment  (a)	Repairs (net expense)  (b)	Depreciation		Amortization	Line No.
				Owned  (c)	Capitalized lease  (d)	Adjustment net during year  (e)	
		LOCOMOTIVES					
1		Diesel Locomotive--Yard					1
2		Diesel Locomotive--Road			3		2
3		Other Locomotive--Yard					3
4		Other Locomotive--Road					4
5	*	TOTAL			3		5
		FREIGHT TRAIN CARS					
6		Box--Plain 40 Foot					6
7		Box--Plain 50 Foot and Longer					7
8		Box--Equipped					8
9		Gondola--Plain					9
10		Gondola--Equipped					10
11		Hopper--Covered					11
12		Hopper--Open Top--General Service					12
13		Hopper--Open Top--Special Service					13
14		Refrigerator--Mechanical					14
15		Refrigerator--Nonmechanical					15
16		Flat TOFC/COFC					16
17		Flat Multi-level					17
18		Flat--General Service					18
19		Flat--Other					19
20		All Other Freight Cars					20
21		Cabooses					21
22		Auto Racks					22
23		Miscellaneous Accessories					23
24	*	TOTAL FREIGHT TRAIN CARS					24
		OTHER EQUIPMENT--REVENUE FREIGHT HIGHWAY EQUIPMENT					
25		Refrigerated Trailers					25
26		Other Trailers					26
27		Refrigerated Containers					27
28		Other Containers					28
29		Bogies					29
30		Chassis					30
31		Other Highway Equipment (Freight)					31
32	*	TOTAL HIGHWAY EQUIPMENT					32
		FLOATING EQUIPMENT--REVENUE SERVICE					
33		Marine Line--Haul					33
34		Local Marine					34
35	*	TOTAL FLOATING EQUIPMENT					35
		OTHER EQUIPMENT					
36	*	Passenger and Other Revenue Equipment (Freight Portion)					36
37	*	Computer systems and word processing equip.					37
38	*	Machinery--Locomotives (1)					38
39	*	Machinery--Freight Cars (2)					39
40	*	Machinery--Other Equipment (3)					40
41	*	Work and Other Non-revenue Equipment			12		41
42		TOTAL OTHER EQUIPMENT			12		42
43		TOTAL ALL EQUIPMENT (FREIGHT PORTION)			15		43

(1) The data to be reported on line 38 in column (b) is the amount reported in Schedule 410, column (f), line 203, reduced by the allocable portion of line 218.

(2) The data to be reported on line 39 in column (b) is the amount reported in Schedule 410, column (f), line 222, reduced by the allocable portion of line 235.

(3) The data to be reported on line 40 in column (b) is the amount reported in Schedule 410, column (f), lines 302 through 306, reduced by the allocable portion of line 320.

## Improvements

## SCHEDULE 415 - SUPPORTING SCHEDULE--EQUIPMENT--Continued

## on Leased Property

(Dollars in Thousands)

Line No	Cross Check	Lease and rentals (net) (f)	Investment base as of 12/31		Accumulated depreciation as of 12/31		Line No.
			Owned (g)	Capitalized lease (h)	Owned (i)	Capitalized lease (j)	
1							1
2				172		3	2
3							3
4							4
5				172		3	5
6							6
7							7
8							8
9							9
10							10
11							11
12							12
13							13
14							14
15							15
16							16
17							17
18				2		2	18
19							19
20							20
21							21
22							22
23							23
24				2		2	24
25							25
26							26
27							27
28							28
29							29
30							30
31							31
32							32
33							33
34							34
35							35
36							36
37				17		17	37
38							38
39							39
40							40
41				154		12	41
42				171		29	42
43				345		34	43

(1) The data to be reported on lines 38, 39 and 40 in columns (g) and (h) is the investment recorded in Property Account 44, allocated to Locomotives, Freight Cars, and Other Equipment.

(2) The depreciation to be reported on lines 38, 39, and 40 in column (c) is calculated by multiplying the investment in each element by the effective composite rate for Property Account 44, and then adding or subtracting the adjustment reported in column (e). This calculation should equal the amount shown in column (c), Schedule 335.

**SCHEDULE 416 - SUPPORTING SCHEDULE - ROAD**

(Dollars in Thousands)

58

**KANSAS CITY SOUTHERN LINES CONSOLIDATED**

Year 1993

			Owned and used				Improvements to leased property				Capitalized leases				TOTAL	
Line No	Density category (Class) (a)	Account No (b)	Inv. Base (c)	Accum depr (d)	Depr rate % (e)	Inv. Base (f)	Accum. depr (g)	Depr. rate % (h)	Inv Base (i)	Current year amort. (j)	Accum. Amort (k)	Inv Base (l)	Accum depr & Amort. (m)	Line No		
1	I	3	23,421	11,231	0.85							23,421	11,231	1		
2		8	47,926	23,697	5.85							47,926	23,697	2		
3		9	118,196	26,162	4.37							118,196	26,162	3		
4		11	22,348	16,205	5.73							22,348	16,205	4		
5	SUB-TOTAL		211,891	77,295								211,891	77,295	5		
6	II	3	8,795	2,350	0.85	502	7	1.00				9,297	2,357	6		
7		8	77,830	35,251	4.46	875	48	4.30				78,705	35,299	7		
8		9	102,075	29,024	2.90	408	17	3.16				102,483	29,041	8		
9		11	31,003	16,373	5.71	334	26	6.67				31,337	16,399	9		
10	SUB-TOTAL		219,703	82,998		2,119	98					221,822	83,096	10		
11	III	3		N/A	N/A		N/A	N/A		N/A	N/A			11		
12		8		N/A	N/A		N/A	N/A		N/A	N/A			12		
13		9		N/A	N/A		N/A	N/A		N/A	N/A			13		
14		11		N/A	N/A		N/A	N/A		N/A	N/A			14		
15	SUB-TOTAL			N/A	N/A		N/A	N/A		N/A	N/A			15		
16	IV	3	4,325	1,100	0.85							4,325	1,100	16		
17		8	26,933	12,506	3.90	46						26,979	12,506	17		
18		9	25,969	8,670	1.93	1						25,970	8,670	18		
19		11	7,485	4,114	4.16	15						7,500	4,114	19		
20	SUB-TOTAL		64,712	26,390		62						64,774	26,390	20		
21	V	3												21		
22		8												22		
23		9												23		
24		11												24		
25	SUB-TOTAL													25		
26	GRAND TOTAL		496,306	186,683	N/A	2,181	98	N/A				498,487	186,781	26		

(1) Columns (c) + (f) + (i) = Column 12

Columns (d) + (g) + (k) = Column 13

(2) The base grand total for owned and used, improvements to leased property and capitalized leases should equal the sum of Accounts 3, 8, and 11 shown at year end on Schedule 330 and Schedule 330A.

NOTES AND REMARKS

## SCHEDULE 417 - SPECIALIZED SERVICE SUBSCHEDULE -- TRANSPORTATION

(Dollars in Thousands)

- 1 Report freight expenses only.
- 2 Report in lines 1, 2, 3, 4, and 10 the total of those natural expenses (salaries and wages, material, tools, supplies, fuels and lubricants, purchases services, and general) incurred in the operation of each type of specialized service facility. This schedule does not include switching services performed by train and yard crews in connection with or within specialized service facilities.
- 3 When it is necessary to apportion expenses, such as administrative expenses to two or more services, they shall be apportioned on the most equitable basis available to the respondent and only to the services they support. The total expenses in column (j) should balance with the respective line items in Schedule 410, Railway Operating Expenses.
- 4 Report in column (b), line 2, the expenses incurred in highway movements of trailers and containers performed at the expense of the reporting railroad within a terminal area for the purpose of pick-up, delivery or highway interchange service. Report in column (b), line 3, the expenses incurred in operating facilities for handling trailers and/or containers, including storage expenses. See schedule 755, note R should be reported in column (c), line 3.
- 5 The operation of floating equipment in line-haul service (between distinct terminals) should be reported in column (c) on line 2. Floating operations conducted within a general terminal or harbor area should be reported in column (c), line 3.
- 6 Report in column (g), line 3, the expenses incurred by the railroad in loading and unloading automobiles, trucks, etc., to and from bi-level and tri-level auto rack cars. Report on line 2, column (g), the expense incurred by the railroad in moving automobiles, etc., between bi-level and tri-level loading and unloading facilities over the highway to shippers, receivers or connecting carriers. Report in column (f) operating expenses for land facilities in support of floating operations, including the operation of docks and wharves.
- 7 Report on line 4, column (b), the expenses related to heating and refrigeration of TOFC/COFC trailers and containers (total debits and credits). The expenses on line 4, column (h), relate to refrigerator cars only.
- 8 Report in column (i) total expenses incurred in performing rail substitute service, other highway revenue service, LCL terminal operations, warehouse operations, freight car transloading, grain elevator terminal operations and livestock feeding operations only.

Line No	Cross Check	Items (a)	TOFC/COFC terminal (b)	Floating equipment (c)	Coal marine terminal (d)	Ore marine terminal (e)	Other marine terminal (f)	Motor vehicle load and distribution (g)	Protective services refrigerator car (h)	Other special services (i)	Total columns (b-i) (j)	Line No.
1		Administration										1
2		Pick up and delivery, marine line haul							N/A			2
3		Loading and unloading and local marine	2,708						N/A	315	3,023	3
4		Protective services, total debit and credits							(26)		(26)	4
5		Freight lost or damaged--solely related										5
6		Fringe benefits								18	18	6
7		Casualty and insurance										7
8		Joint facility - Debit										8
9		Joint facility - Credit										9
10		Other								5	5	10
11		TOTAL	2,708						(26)	338	3,020	11

## Schedule 418

**Instruction:**

**This schedule will show the investment in capitalized leases in road and equipment by primary account.**

**Column**

- (a) = primary account number and title for which capital lease amounts are included therein.
- (b) = the total investment in that primary account.
- (c) = the investment in capital leases at the end of the year
- (d) = the current year amortization
- (e) = the accumulated amortization relating to the leased properties.

**SCHEDULE 418 - SUPPORTING SCHEDULE -- CAPITAL LEASES**

(Dollars in Thousands)

[illegible]

## NOTES AND REMARKS

## SCHEDULE 450 – ANALYSIS OF TAXES

(Dollars in Thousands)

## A. Railway Taxes.

Line No	Cross Check	Kind of tax (a)	Amount (b)	Line No
1		<b>Other than U.S. Government Taxes</b>	<b>10,042</b>	1
		U.S. Government Taxes		
		Income Taxes:		
2		Normal Tax and Surtax	9,499	2
3		Excess Profits		3
4	*	Total – Income Taxes L 2 + 3	9,499	4
5		Railroad Retirement	18,108	5
6		Hospital Insurance	95	6
7		Supplemental Annuities	1,524	7
8		Unemployment Insurance	609	8
9		All Other United States Taxes		9
10		<b>Total – U.S. Government Taxes</b>	<b>29,835</b>	10
11		<b>Total – Railway Taxes</b>	<b>39,877</b>	11

## B Adjustments to Federal Income Taxes

1. In column (a) are listed the particulars which most often cause a differential between taxable income and pretax accounting income. Other particulars which cause such a differential should be listed under the caption Other (Specify), including State and other taxes deferred if computed separately. Minor items, each less than \$100,000, may be combined in a single entry under Other (Specify).
2. Indicate in column (b) the beginning of the year total of accounts 714, 744, 762 and 786 applicable to each particular item in column (a).
3. Indicate in column (c) the net change in accounts 714, 744, 762 and 786 for the net tax effect of timing differences originating and reversing in the current accounting period.
4. Indicate in column (d) and adjustments, as appropriate, including adjustments to eliminate or reinstate deferred tax effects (credits or debits) due to applying or recognizing a loss carry-forward or a loss carry-back.
5. The total of line 19 in columns (c) and (d) should agree with the total of the contra charges (credits) to Account 557, Provisions for Deferred Taxes, and Account 591, Provisions for deferred Taxes – Extraordinary Items, for the current year.
6. Indicate in column (e) the cumulative total of columns (b), (c), and (d). The total of column (e) must agree with the total of accounts 714, 744, 762 and 786.

Line No.	Particulars (a)	Beginning of year balance (b)	Net credits (charges) for current year (c)	Adjustments (d)	End of year balance (e)	Line No.
1	Accelerated depreciation, Sec. 167 I.R.C.: Guidelines lives pursuant to Rev. Proc. 62-21.	117,556	18,496	(582)	135,470	1
2	Accelerated amortization of facilities, Sec. 168 I.R.C.					2
3	Accelerated amortization of rolling stock, Sec. 184 I.R.C.					3
4	Amortization of rights of way, Sec. 185 I.R.C.					4
5	Other (specify)					5
6	Asset Restructuring					6
7	Reserve for Claims	(9,463)	(1,442)	269	(10,636)	7
8	Reacquisition of 1st Mortgage Bonds					8
9	Future Benefits of Capitalized Leases	(1,905)	465		(1,440)	9
10	Provisions for Contingencies	(6,659)	(2,017)	179	(8,497)	10
11	Accelerated Depreciation Change from					11
12	RRB Accounting to					12
13	Depreciation Accounting					13
14	Asset Dispositions	1,113	336		1,449	14
15						15
16						16
17						17
18	Investment tax credit*					18
19	<b>TOTALS</b>	<b>100,642</b>	<b>15,838</b>	<b>(134)</b>	<b>116,346</b>	<b>19</b>



## SCHEDULE 450 — ANALYSIS OF TAXES — Continued

(Dollars in Thousands)

## \*Footnotes

1	If flow-through method was elected, indicate net decrease (or increase) in tax accrual because of investment tax credit	\$
	If deferral method for investment tax credit was elected:	
(1)	Indicate amount of credit utilized as a reduction of tax liability for current year	\$
(2)	Deduct amount of current year's credit applied to reduction of tax liability but deferred for accounting purposes	\$
(3)	Balance of current year's credit used to reduce current year's tax accrual	\$
(4)	Add amount of prior year's deferred credits being amortized to reduce current year's tax accrual	\$
(5)	Total decrease in current year's tax accrual resulting from use of investment tax credits	\$
2.	Estimated amount of future earnings which can be realized before paying Federal income taxes because of unused and available net operating loss carryover on January 1 of the year following that for which the report is made	\$ NONE

**SCHEDULE 460 - ITEMS IN SELECTED INCOME AND RETAINED EARNINGS ACCOUNTS FOR THE YEAR**

(Dollars in Thousands)

Give a brief description for all items, regardless of amount, included during the year in Accounts 555, Unusual or infrequent items; 560, Income or Loss From Operations of Discontinued Segments; 562, Gain or Loss on Disposal of Discontinued Segments; 570, Extraordinary Items; 590, Income Taxes on Extraordinary Items; 592, Cumulative Effect of Changes in Accounting Principles; 603, Appropriations Released; 606, Other Credits to Retained Earnings; 616, Other Debits to Retained Earnings; 620, Appropriations for Sinking and Other Funds; 621, Appropriations for other Purposes. If appropriations released reflect appropriations provided during the year, each account should not be reported.

For Accounts 519, Miscellaneous Income, and 551, Miscellaneous Income Charges, if the total in either account exceeds 10% of net income before extraordinary items, describe the three largest items in the account and any other items in excess of 10% of net income.

Line No	Account No (a)	Item (b)	Debits (c)	Credits (d)	Line No
1	519	Miscellaneous Income		N/A	1
2	551	Miscellaneous Income Charges	N/A		2
3	555	Unusual or Infrequent items	NONE		3
4	560	Operations of Discontinued Segments	NONE		4
5	562	Disposal of Discontinued Segments	NONE		5
6	570	Extraordinary Items	NONE		6
7	590	Income Taxes on Extraordinary Items		NONE	7
8	592	Changes in Accounting Principles *	4,466		8
9	603	Appropriations Released		NONE	9
10	606	Other Credits to Retained Earnings		NONE	10
11	616	Other Debits to Retained Earnings	NONE		11
12	620	Appropriations for Sinking Funds	NONE		12
13	621	Appropriations for Other Purposes	NONE		13
14					14
15					15
16					16
17					17
18					18
19					19
20					20
21					21
22					22
23					23
24					24
25					25
26					26
27					27
28					28
29					29
30					30

**MEMORANDA RELATING TO SELECTED INCOME AND RETAINED EARNINGS ACCOUNTS**

\* FASB 106 & 109

**SCHEDULE 501 - GUARANTIES AND SURETYSHIPS**

(Dollars in Thousands)

1 If the respondent was under obligation as guarantor or surety for the performance by any other corporation or other association of any agreement or obligation, show the particulars of each contract of guaranty or suretyship in effect at the close of the year or entered into and expired during the year.

This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after the date of issue. Items of less than \$50,000 may be shown as one total.

Line No.	Names of all parties principally and primarily liable (a)	Description (b)	Amount of contingent Liability (c)	Sole or joint contingent liability (d)	Line No.
1	City of	Jointly and severally liable for principal and			1
2	New Orleans, LA	interest for New Orleans Union Passenger			2
3		Terminal Revenue Bonds 1/1/1948 to 1/1/1998.			3
4					4
5		Finance Docket 15920			5
6					6
7		The KCS Railway Company is guarantor of their			7
8		proportion of	100	Joint	8
9					9
10					10
11					11
12					12
13					13
14					14
15					15
16					16
17					17
18					18
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25					25
26					26
27					27
28					28
29					29
30					30
31					31
32					32
33					33
34					34
35					35
36					36
37					37
38					38

2. If any corporation or other associations was under obligation as guarantor or surety for the performance by the respondent of any agreement or obligation, show the particulars called for hereunder for each such contract of guaranty or suretyship at the close of the year or entered into and expired during the year.

This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after date of issue, nor does it include ordinary surety bonds or undertakings on appeals in court proceedings.

Line No	Finance Docket number, title maturity date and concise description of agreement or obligation (a)	Names of all guarantors and sureties (b)	Amount contingent liability of guarantors (c)	Sole or joint contingent liability (d)	Line No
1					1
2					2
3					3
4					4
5					5
6					6
7					7
8					8
9					9

## SCHEDULE 502 - COMPENSATING BALANCES AND SHORT-TERM BORROWING ARRANGEMENTS

(Dollars in Thousands)

Using the following notes as a guideline, show the requirements compensating balances and short-term borrowing arrangements Footnote disclosure is required even though the arrangement is not reduced to writing

- 1 Disclose compensating balances not legally restricted, lines of credit used and unused, average interest rate of short-term borrowings outstanding at balance sheet date, maximum amount of outstanding borrowings during the period and the weighted average rate of those borrowings.
2. Time deposits and certificates of deposit constituting compensating balances not legally restricted should be disclosed.
- 3 Compensating balance arrangements need only be disclosed for the latest fiscal year
- 4 Compensating balances included in Account 703, Special Deposits, and in Account 717, Other Funds, should also be separately disclosed below.
5. Compensating Balance arrangements are sufficiently material to require disclosure in footnotes when the aggregate of written and oral agreement balances amount to 15 percent or more of liquid assets (current cash balances, restricted and unrestricted plus marketable securities)
- 6 When a carrier is not in compliance with a compensating balance requirement, the fact should be disclosed along with stated and possible sanctions whenever such possible sanctions may be immediate (not vague or unpredictable) and material.

NONE

## NOTES AND REMARKS

**SCHEDULE 510 - SEPARATION OF DEBTHOLDINGS BETWEEN ROAD PROPERTY AND EQUIPMENT**

(Dollars in Thousands)

The principal use of this schedule is to determine the average embedded rate of debt capital.

**I. Debt Outstanding at End of Year:**

Line #	Account No.	Title	Source	Balance Close of Year
1	751	Loans and Notes Payable	Sch. 200, L. 30	
2	764	Equipment Obligations and Other Long Term Debt due Within One Year	Sch. 200, L. 39	18,115
3	765/767	Funded Debt Unmatured	Sch. 200, L. 41	101
4	768	Equipment Obligations	Sch. 200, L. 42	64,665
5	768.5	Capitalized Lease Obligations	Sch. 200, L. 43	4,768
6	768	Debt in Default	Sch. 200, L. 44	
7	769	Accounts Payable; Affiliated Companies	Sch. 200, L. 45	69,121
8	770.1/770 2	Unamortized Debt Premium	Sch. 200, L. 46	
9		Total Debt	Sum L. 1-8	156,770
10		Debt Directly Related to Road Property	Note 1.	6,000
11		Debt Directly Related to Equipment	Note 1	117,750
12		Total Debt Directly Related to Road & Equipment	Sum L. 10 and L. 11	123,750
13		Percent Directly Related to Road	L. 10 div. by L. 12 Whole % + 2 decimals	4.85%
14		Percent Directly Related to Equipment	L. 11 div. by L. 12 Whole % + 2 decimals	95.15%
15		Debt Not Directly Related to Road or Equipment	L. 9 - L. 12	33,020
16		Road Property Debt (Note 2)	(L. 13 X L. 15) + L. 10	7,601
17		Equipment Debt (Note 2)	(L. 14 X L. 15) + L. 11	149,169

**II. Interest Accrued During the Year:**

Line #	Account No.	Title	Source	Balance Close of Year
18	546-548	Total Interest and Amortization (Fixed Charges)	Sch. 210, L. 42	13,744
19	546	Contingent Interest on Funded Debt	Sch. 210, L. 44	
20	517	Release of Premiums on Funded Debt	Sch. 210, L. 22	
21		Total Interest	(L. 18 + L. 19) - L. 20	13,744
22		Interest Directly Related to Road Property Debt	Note 4	273
23		Interest Directly Related to Equipment Debt	Note 4.	12,254
24		Interest Not Directly Related to Road or Equipment Property Debt	L. 21 + (L. 22 + L. 23)	1,217
25		Interest Road Property Debt	L. 22 + (L. 24 X L. 13)	332
26		Interest Equipment Debt	L. 23 + (L. 24 X L. 14)	13,412
27		Embedded Rate of Debt Capital - Road Property	L. 25 div. by L. 16	4.37%
28		Embedded Rate of Debt Capital - Equipment	L. 26 div. by L. 17	8.99%

Note 1. Directly related means the purpose which the funds were used when the debt was issued.

Note 2. Line 16 plus Line 17 must equal Line 9.

Note 3. Line 21 includes interest on debt in Account 769—Account Payable; Affiliated companies

Note 4. This interest relates to debt reported in Lines 10 and 11, respectively

Note 5. Line 25 plus Line 26 must equal Line 21

NOTES AND REMARKS

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 512

1. Furnish the information called for below between the respondent and the affiliated companies or persons affiliated with the respondent, including officers, directors, stockholders, owners, partners or their wives and other close relatives, or their agents. Examples of transactions are, but are not restricted to, management, legal, accounting, purchasing or other type of service including the furnishing of materials, supplies, purchase of equipment, leasing of structures, land and equipment, and agreements relating to allocation of officers' salaries and other common costs between affiliated companies.

To be excluded are payments for the following types of services:

- (a) Lawful tariff charges for transportation services
  - (b) Payments to or from other carriers for interline services and interchange of equipment
  - (c) Payment to or from other carriers which may reasonably be regarded as ordinarily connected with routine operation or maintenance, but any special or unusual transactions should be reported.
  - (d) Payments to public utility companies for rates or charges fixed in conformity with government authority
2. In column (a) enter the name of the affiliated company, person, or agent with which respondent received or provided services aggregating \$50,000 or more for the year. If an affiliated company provides services to more than one affiliate, and the aggregate compensation amounts to \$50,000 or more for the year, list all the affiliates included in the agreement and describe the allocation of charges. If the respondent provides services to more than one affiliate, and the aggregate compensation amounts to \$50,000 or more for the year, reference to this fact should be made and the detail as to the allocation of charges should be stated. For those affiliates providing services to the respondent, also enter in column (a) the percent of affiliate's gross income derived from transactions with respondent.

The respondent may be required to furnish as an attachment to Schedule 512 a balance sheet and income statement for each affiliate with which respondent carrier had reportable transactions during the year, or alternatively, attach a "Pro forma" balance sheet and income statement for that portion or entity of each

affiliate which furnished the agreed to services, equipment, or other reportable transaction. The statements, if required, should be prepared on a calendar year basis in conformity with the prescribed schedules for the balance sheet and income statement in Annual Report Form R-1, and should be noted (1) to indicate the method used for depreciating equipment or other property furnished the carrier, and (2) whether the affiliate's Federal income tax return for the year was filed on a consolidated basis with the respondent carrier.

3. In column (b) indicate nature of relationship or control between the respondent and the company or person identified in column (a) as follows:

- (a) If respondent directly controls affiliate, insert the word "direct."
- (b) If respondent controls through another company, insert the word "indirect."
- (c) If respondent is under common control with affiliate, insert the word "Common."
- (d) If respondent is controlled directly or indirectly by the company listed in column (a), insert the word "controlled."

(e) If control is exercised by other means such as a management contract or other arrangement of whatever kind, insert the word "other" and footnote to describe such arrangements.

4. In column (c) fully describe the transactions involved such as management fees, lease of building, purchase of material, etc. When the affiliate listed in column (a) provides more than one type of service in column (c), list each type of service separately and show total for the affiliate. When services are both provided and received between the respondent and an affiliate they should be listed separately and the amounts shown separately in column (c).

5. In column (d) report the dollar amounts of transaction shown and the effect of any change in the method of establishing the terms from that used in the preceding period.

6. In column (e) report the dollar amounts due from or to related parties and, if not otherwise apparent, the terms and manner of settlement. Insert (P) paid and (R) received by the amount in column (c).



## SCHEDULE 512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED

Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	CARLAND, INC.		Common	Equipment Rental	9,656		1
2				Diesel Parts / Locomotives	2,348	47	2
3				Car Leases	716	716	3
4				Misc Taxes & Interest	223		4
5							5
6							6
7				BILLINGS			7
8				Phone Service	1		8
9				Scrap Sales	47		9
10				Locomotive Sale	30		10
11							11
12							12
13	DST SYSTEMS, INC.		Common	Contract Programming	62		13
14							14
15							15
16	MID-SOUTH MICROWAVE, INC.		Common	Rent	1,269		16
17				Maintenance	2,041	165	17
18				Miscellaneous	2		18
19							19
20							20
21	OUTPUT TECHNOLOGY		Common	Services - Storage	95		21
22				Services - Microfilming	28		22
23							23
24							24
25							25

SCHEDULE 512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							Line No	
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No	
1	RICE-CARDEN CORPORATION		Common	Equipment Leases			1	
2				Hot Box Detectors	576	287	2	
3				Closed Circuit TV	360		3	
4				Central Traffic Control	299		4	
5				ROLM Telephone System	145		5	
6				AVL Train System	13		6	
7				Telephone System - Baton Rouge	13		7	
8				Other	64		8	
9							9	
10	SOUTHERN DEVELOPMENT COMPANY		Common	Maintenance on Leases	1,582	150	10	
11				Dockage - Port Arthur, TX	59		11	
12							12	
13							13	
14				Rental of Buildings	1,038		14	
15	SUPERIOR TIE & TIMBER COMPANY		Common				15	
16							16	
17				Cross & Switch Ties	12,824		17	
18							18	
19				BILLINGS			19	
20				Insurance - Travel	3		20	
21							21	
22							22	
23							23	
24							24	
25							25	

SCHEDULE 512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							Line No
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	TOLMAK, INC.		Common	Track Rental & Maintenance	694		1
2							2
3				BILLINGS			3
4				Rail, Ties and Ballast	81		4
5							5
6							6
7							7
8	TRANS-SERVE, INC.		Common	Maintenance & Inspection - Fleet	152		8
9							9
10				BILLINGS			10
11				Rent of Land	5		11
12							12
13							13
14							14
15							15
16							16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25

## SCHEDULE 512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED

Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	KANSAS CITY SOUTHERN INDUSTRIES, INC.		Controlled	Interest - Profit Sharing	372		1
2				Preferred Stock Dividend	798	199	2
3				Common Stock Dividend	7,872		3
4				Insurance Premiums	312	89	4
5				Federal Income Tax	17,334	(4,624)	5
6				State Income Tax	795	(952)	6
7				Employee Stock Option Plan	3,865	(222)	7
8				Profit Sharing	859		8
9				Reimburse KCSI for Railroad			9
10				Employee Expenses	35		10
11				Computer Related Expenses	4		11
12				Legal & Consulting Fees	61		12
13				Employee Payroll Deductions	1,727		13
14				Office Supplies	9		14
15				Equipment Lease	4		15
16				Interest on Advance	1,216	130	16
17				Restricted Stock Plan	119	119	17
18				Software	10		18
19				Utilities	11		19
20				Miscellaneous	17		20
21							21
22							22
23							23
24							24
25							25

SCHEDULE 512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	KANSAS CITY SOUTHERN INDUSTRIES, INC.		Controlled	BILLINGS			1
2	continued			Auto Fuel & Repairs	8		2
3				Telephone Service	24		3
4				Office Supplies	13		4
5				Postage	20		5
6				Payroll Expense and Expense Acc	1		6
7				Travel Insurance	3		7
8				Advisory Service	20		8
9				Miscellaneous	9		9
10							10
11							11
12							12
13							13
14							14
15							15
16							16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 700

State particulars of all tracks *operated* by the respondent at the close of the year, according to the following classification

- (1) Line owned by respondent
- (2) Line owned by proprietary companies
- (3) Line operated under *lease for a specified term* lessor being (A) an affiliated corporation, or (B) independent or not affiliated with respondent
- (4) Line operated under contract or agreement for contingent rent owner being (A) an affiliated corporation, or (B) independent or not affiliated with respondent

- (5) Line operated under *trackage rights*

Give subtotals for each of the several numbered classes in the order listed above as well as the total for all classes

Lengths of track should be reported to the nearest WHOLE mile adjusted to accord with footings i. e. counting one-half mile and over as a whole mile and disregarding any fraction less than one half mile

In column (a) insert the figure (and letter if any) indicating its class in accordance with the preceding classification

In column (b) give the various proportions of each class owned or leased by respondent, listing each proportion once in any grouping Canadian mileage should be segregated and identified on separate lines in the various groupings For each listing, in column (d) give its entire length (the distances between termini of single or first main track), and in the following columns the lengths of second main track, all other main tracks, passing tracks, cross-overs and turn-outs, wye switching tracks, and yard switching tracks. These classes of tracks are defined as follows

*Running tracks* Running tracks, passing tracks, cross-overs, etc. including turn-outs from those tracks to clearance points

*Wye switching tracks* Station, team, industry and other switching tracks for which no separate service is maintained

*Yard switching tracks* Yards where separate switching services are maintained, including classification house, team, industry and other tracks switched by yard locomotives

The returns in columns (b) and (c) should include tracks serving industries such as mines, mills, smelters, factories, etc. Tracks belonging to an industry for which no rent is payable should not be included

Tracks leading to and in gravel and sand pits and quarries the cost of which is chargeable to a clearing account and which are used in getting out material for the respondent's use, should not be included

Class (1) includes all lines operated by the respondent at the close of the year to which it has title in perpetuity

Class (2) includes each line, full title to which is in an inactive proprietary corporation of the respondent (i. e. one all of whose outstanding stocks or obligations are held by or for the respondent and which is operated by the respondent or an affiliated system corporation without any accounting to the said proprietary corporation). It may also include such line when the actual title to all of the outstanding stocks or obligations rest in a corporation controlled by or controlling the respondent, *but in the case of any such inclusion the facts of the relation to the respondent of the corporation holding the securities should be fully set forth in a footnote*. An inactive corporation is one which has been practically absorbed in a controlling corporation, and which neither operates property nor administers its financial affairs. If it maintains an organization, it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises

Class (3) includes all tracks operated under a lease or formal conveyance of less than the grantor's interest in the property, with a specific and unconditional rent reserved. The fact that the lessor does or does not maintain an independent organization for financial purposes is immaterial in this connection

Class (4) is the same as class (3), except that the rent reserved is conditional upon earnings or other fact

Class (5) includes all tracks operated and maintained by others but over which the respondent has the right to operate some or all of its trains. In the road of this class the respondent has no proprietary rights but only the rights of a licensee. Include in this class, also, all main tracks, industrial tracks and sidings owned by noncarrier companies and individuals when the respondent operates over them, but does not have exclusive possession of them

Road held by the respondent as *joint or common owner* or a *joint lessee* or under any *joint arrangement* should be shown in its appropriate class, and the entry of length should be of the entire length of the portion jointly held. The class symbol should have the letter (J) attached

Road operated by the respondent as agent for another carrier should not be included in this schedule

## SCHEDULE 700 - MILEAGE OPERATED AT CLOSE OF YEAR

Line No	Class	Proportion owned or leased by Respondent (b)	Running tracks, passing tracks, cross-overs, etc.				Miles of way switching tracks (g)	Miles of yard switching tracks (h)	TOTAL	Line No
			Miles of road (c)	Miles of second main track (d)	Miles of all other main tracks (e)	Miles of passing tracks, cross-overs, and turnouts (f)				
1	1	100 %	1,633	8		197	173	278	2,289	1
2										2
3	1J	50 %		4		1	4	84	93	3
4										4
5	1J	33 %					2	1	3	5
6										6
7	3A	100 %					19	20	39	7
8										8
9	3B	100 %					4		4	9
10										10
11	5	100 %	79	10	2	9	18	6	124	11
12										12
13										13
14										14
15										15
16										16
17										17
18										18
19										19
20										20
21										21
22										22
23										23
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39										39
40										40
41										41
42										42
43										43
44										44
45										45
46										46
47										47
48										48
49										49
50										50
51										51
52										52
53										53
54										54
55										55
56										56
57		TOTAL	1,712	22	2	207	220	389	2,552	57
58		Miles of electrified road or track included in preceding grand total	N/A							58

## SCHEDULE 702 - MILES OF ROAD AT CLOSE OF YEAR - BY STATES AND TERRITORIES (SINGLE TRACK)

Give particulars, as of the close of the year, of all road operated and of all owned but not operated. The respondent's proportion of operated road held by it as joint or common owner, or under a joint lease or under any joint arrangement, should be shown in columns (b), (c), (d), or (e), as may be appropriate. The remainder of jointly operated mileage should be shown in column (f). Respondent's proportion of road jointly owned, not operated, should be shown in column (h), as may be appropriate. Mileage which has been permanently abandoned should not be included in column (h). Mileage should be reported to the nearest WHOLE mile adjusted to accord with footings; i. e. counting one-half mile and over as a whole mile and disregarding and fraction less than one-half mile.

Line No	Cross Check	State or territory (a)	MILES OF ROAD OPERATED BY RESPONDENT								Line No
			Line owned (b)	Line of proprietary companies (c)	Line operated under lease (d)	Line operated under contract, etc. (e)	Line operated under trackage rights (f)	Total mileage operated (g)	Line owned not operated by respondent (h)	New line constructed during year (i)	
1		Missouri	194					194			1
2											2
3		Kansas	24					24			3
4											4
5		Arkansas	260				4	264			5
6											6
7		Oklahoma	195				1	196			7
8											8
9		Louisiana	679				62	741			9
10											10
11		Texas	281				12	293			11
12											12
13											13
14											14
15											15
16											16
17											17
18											18
19											19
20											20
21											21
22											22
23											23
24											24
25											25
26											26
27											27
28											28
29											29
30											30
31											31
32		TOTAL MILEAGE (single track)	1,633				79	1,712			32



## NOTES AND REMARKS

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 710

Instructions for reporting locomotive and passenger-train car data

- 1 Give particulars of each of the various classes of equipment which respondent owned or leased during the year
- 2 In column (c) give the number of units purchased new or built in company shops. In column (d) give the number of new units leased from others. The term "new" means a unit placed in service for the first time on any railroad
- 3 Units leased to others for a period of one year or more are reportable in column (f). Units temporarily out of respondent's service and rented to others for less than one year are to be included in column (h). Units rented from others for a period less than one year should not be included in column (f)
- 4 For reporting purposes, a "locomotive unit" is a self-propelled vehicle generating or converting energy into motion, and designed solely for moving other equipment. An "A" unit is the least number of wheel bases with superstructure designed for use singly or as a lead locomotive unit in combination with other locomotive units. A "B" unit is similar to an "A" unit, but not equipped for use singly or as a lead locomotive unit. A "B" unit may be equipped with hostler controls for independent operation at terminals
- 5 A "self-propelled car" is a rail motor car propelled by electric motors receiving power from a third rail or overhead, or internal combustion engines located on the car itself. Trailers equipped for use only in trains of cars that are self-propelled are to be included as self-propelled equipment
- 6 A "diesel" unit includes all units propelled by diesel internal combustion engines irrespective of final drive or whether power may at times be supplied from an external conductor. Units other than diesel-electric, e.g., diesel-hydraulic, should be identified in a footnote giving the number and a brief description. An "electric" unit includes all units which receive electric power from an overhead contact wire or a third rail and use the power to drive one or more electric motors that propel the vehicle. An "other self-powered unit" includes all units other than diesel or electric, e.g., steam, gas turbine. Show the type of unit, service and number, if appropriate, in a brief description sufficient for positive identification. An "auxiliary unit" includes all units used in conjunction with locomotives, but which draw their power from the mother unit, e.g.,

boosters, slugs, etc. For reporting purposes, indicate radio-controlled self-powered diesel units on lines 1 through 8, as appropriate. Radio-controlled units that are not self-powered, i.e., those without a diesel, should be reported on line 13 under "auxiliary units."

7 Column (k) should show aggregate capacity for all units reported in column (f), as follows: For locomotive units, report the manufacturers' rated horsepower (the maximum continuous power output from the diesel engine or engines delivered to the main generator or generators for tractive purposes). Exclude capacity data for steam locomotives. For passenger train cars report the number of passenger seats available for revenue service, counting one passenger to each berth in sleeping cars.

8 Passenger-train car types and service equipment car types correspond to AAR Mechanical Division designations. Descriptions of car codes and designations are published in The Official Railway Equipment Register.

## 9 Cross-checks

Schedule 710	Schedule 710
Line 5, column (f)	= Line 11, column (f)
Line 6, column (f)	= Line 12, column (f)
Line 7, column (f)	= Line 13, column (f)
Line 8, column (f)	= Line 14, column (f)
Line 9, column (f)	= Line 15, column (f)
Line 10, column (f)	= Line 16, column (f)

When data appear in column (f) lines 1 thru 8, column (k) should have data on same lines.

When data appear in columns (k) or (f) lines 9 thru 16, and 55, column (m) should have data on same lines.

## SCHEDULE 710 - INVENTORY OF EQUIPMENT

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Type or design of units (a)	Units in service of respondent at beginning of year (b)	Changes During the Year				Units retired from service of respondent whether owned or leased, in – cluding re – classification (g)	Units at Close of Year					Line No
				Units installed					Owned and used (h)	Leased from others (i)	Total in service of respondent (col.(h)&(i)) (j)	Aggregate capacity of units reported in col. (j) (see ins. 7) (k)	Leased to others (l)	
				New units purchased or built (c)	New units leased from others (d)	Rebuilt units acquired and rewritten into property accounts (e)	All other units including re – classification and second hand units purchased or leased from others (f)							
1		Locomotive Units	169				25		143	51	194	(H.P.) 591,800	1	
2		Diesel –freight units											2	
3		Diesel –passenger units	1						1		1	1,500	3	
4		Diesel –multiple purpose units	48				2		50		50	74,000	4	
5	*	Diesel –switching units	218				27		194	51	245	667,300	5	
6	*	TOTAL (lines 1 to 4) units											6	
7	*	Electric –locomotives											7	
8	*	Other self –powered units											8	
9	*	TOTAL (lines 5,6, and 7)	218				27		194	51	245	667,300	9	
	*	Auxiliary units	10							10	10	N/A		
10	*	TOTAL LOCOMOTIVE UNITS (lines 8 and 9)	228				27		194	61	255	N/A	10	

## DISTRIBUTION OF LOCOMOTIVE UNITS IN SERVICE OF RESPONDENT AT CLOSE OF YEAR, ACCORDING TO YEAR BUILT, DISREGARDING YEAR OF REBUILDING

Line No	Cross Check	Type or design of units (a)	Before Jan.1, 1970 (b)	Between Jan.1, 1970 and Dec 31, 1974 (c)	Between Jan.1, 1975 and Dec. 31, 1979 (d)	Between Jan.1, 1980 and Dec. 31, 1984 (e)	Between Jan.1, 1985 and Dec. 31, 1989 (f)	During Calendar Year					Total (l)	Line No	
								1990 (g)	1991 (h)	1992 (i)	1993 (j)	1994 (k)			
11	*	Diesel	46	87	33	33	10	12	24					245	11
12	*	Electric													12
13	*	Other self-powered units													13
14	*	TOTAL (lines 11 to 13)	46	87	33	33	10	12	24					245	14
15	*	Auxiliary units	4	6										10	15
16	*	TOTAL LOCOMOTIVE UNITS (lines 14 and 15)	50	93	33	33	10	12	24					255	16

**SCHEDULE 710 -- INVENTORY OF EQUIPMENT -- CONTINUED**  
**UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS**

Line No	Cross Check	Type or design of units (a)	Units in service of respondent at beginning of year (b)	Changes During the Year				Units retired from service of respondent whether owned or leased, including re-classification (g)	Units at Close of Year				Line No
				Units installed					Owned and used (h)	Leased from others (i)	Total in service of respondent (col. (h) & (i)) (j)	Aggregate capacity of units reported in col. (j) (see ins. 7) (k)	
				New units purchased or built (c)	New units leased from others (d)	Rebuilt units acquired and rebuilt units rewritten into property accounts (e)	All other units including re-classification and second hand units purchased or leased from others (f)						
17		PASSENGER - TRAIN CARS Non - Self - Propelled Coaches {PA, PB, PBO} Combined cars											17
18		[All class C, except CSB]											18
19		Parlor cars [PBC, PC, PL, PO]											19
20		Sleeping cars [PS, PT, PAS, PDS] Dining, grill and tavern cars											20
21		[All class D, PD]										N/A	21
22		Non - passenger - carrying cars [All class B, CSB, M, PSA, IA]										N/A	22
23		TOTAL (lines 17 to 22)											23
24		Self - Propelled Electric passenger cars [EP, ET]											24
25		Electric combined cars [EC]											25
26		Internal combustion rail motorcars [ED, EG]											26
27		Other self - propelled cars (Specify types)											27
28		TOTAL (lines 24 to 27)											28
29		TOTAL (lines 23 to 28)											29
30		COMPANY SERVICE CARS Business cars [PV]	2							2	2	N/A	30
31		Board outfit cars [MWX]	5							5	5	N/A	31
32		Derrick and snow removal cars [MWU, MWV, MWX, MWK] Dump and ballast cars [MWB, MWD]	7						2	5	7	N/A	32
33		Other maintenance and service equipment cars										N/A	33
34			95						34	63	97	N/A	34
35		TOTAL (lines 30 to 34)	109					2	36	75	111	N/A	35

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - CONTINUED

Instruction for reporting freight-train car data.

1 Give particulars of each of the various classes of equipment which respondent owned or leased during the year.

2 In column (d) give the number of units purchased or built in company shops. In column (e) give the number of new units leased from others. The term "new" means a unit placed in service for the first time on any railroad.

3. Units leased to others for a period of one year or more are reportable in column (n). Units temporarily out of respondent's service and rented to others for less than one year are to be included in column (i). Units rented from others for a period less than one year should not be included in column (j).

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Class of equipment and car designations	Units in service of respondent at beginning of year		Changes during the year				Line No
			Time-mileage cars	All others	Units installed				
					New units purchased or built	New or rebuilt units leased from others	Rebuilt units acquired and rebuilt units rewritten into property accounts	All other units, including reclassification and second hand units purchased or leased from others	
		(a)	(b)	(c)	(d)	(e)	(f)	(g)	
FREIGHT TRAIN CARS									
36		Plain box cars - 40' (B1 __, B2 __)	45						36
37		Plain box cars - 50' and longer (B3_0-7, B4_0-7, B5 __, B6 __, B7 __, B8 __)	1,949						37
38		Equipped box cars (All Code A, Except A_5_)	1,003			525			38
39		Plain gondola cars (All Codes G & J_1, J_2, J_3, J_4)	435					137	39
40		Equipped gondola cars (All Code E)	104						40
41		Covered hopper cars (C __1, C __2, C __3, C __4)	913			100		360	41
42		Open top hopper cars-general service (All code H)	320					35	42
43		Open top hopper cars-special service (J __0, and All Codes K)	681					117	43
44		Refrigerator cars--mechanical (R_5_, R_6_, R_7_, R_8_, R_9_)							44
45		Refrigerator cars--non mechanical (R_0_, R_1_, R_2_)	3						45
46		Flat cars--TOFC/COFC (All Code P, Q and S, Except Q8 __)	498						46
47		Flat cars--multi-level (All Code V)							47
48		Flat cars--general service (F10 __, F20 __, F30 __)	134						48
49		Flat cars--other (F_1 __, F_2 __, F_3 __, F_4 __, F_5 __, F_6 __, F_8 __, F40 __)	645						49
50		Tank cars--22,000 gallons (T __0, T __1, T __2, T __3, T __4, T __5)	4						50
51		Tank cars--22,000 gallons and over (T __6, T __7, T __8, T __9)	46						51
52		All other freight cars (A_5 __, F_7 __, All Code L and Q8 __)	136					150	52
53		TOTAL (lines 36 to 52)	6,916			625		799	53
54		Caboose (All Code M-930)	N/A	24				7	54
55		TOTAL (lines 53, 54)	6,916	24		625		806	55

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

4. Column (m) should show aggregate capacity for all units reported in columns (k) and (l), as follows. For freight-train cars, report the nominal capacity (in tons of 2,000 lbs) as provided for in Rule 86 of the AAR Code of Rules Governing Cars in Interchange. Convert the capacity of tank cars to capacity in tons of the commodity which the car is intended to carry customarily.

5. Time-mileage cars refers to freight cars, other than cabooses, owned or held under lease agreement, whose interline rental is settled on a per diem and line haul mileage basis under "Code of Car Hire Rules" or would be so settled if used by another railroad.

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Changes during year (concluded)	Units at close of year						Line No
		Units retired from service of respondent whether owned or leased, including reclassification	Owned and used	Leased from others	Total in service of respondent (col (i) & (j))		Aggregate capacity of units reported in col. (k) & (l) (see ins. 4)	Leased to others	
					Time-mileage cars	All other			
		(h)	(i)	(j)	(k)	(l)	(m)	(n)	
36			31	14	45		2,465		36
37		19	1,043	887	1,930		177,329		37
38		6	645	877	1,522		149,326		38
39		1	356	215	571		60,581		39
40		1	103		103		10,300		40
41		3	833	537	1,370		137,435		41
42		4	172	179	351		30,469		42
43			671	127	798		68,398		43
44									44
45			2	1	3		212		45
46		1	2	495	497		37,649		46
47									47
48			134		134		7,065		48
49		1	539	105	644		71,341		49
50			4		4		308		50
51			46		46		3,925		51
52		2	134	150	284		20,790		52
53		38	4,715	3,587	8,302		777,593		53
54		8	16	7	N/A	23	N/A		54
55		46	4,731	3,594	8,302	23	777,593		55

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS									
Line No	Cross Check	Class of equipment and car designations  (a)	Units in service of respondent at beginning of year		Changes during the year				Line No
			Per diem  (b)	All others  (c)	Units installed				
					New units purchased or built  (d)	New units leased from others  (e)	Rebuilt units acquired and rebuilt units rewritten into property accounts  (f)	All other units, including reclassification and second hand units purchased or leased from others  (g)	
<b>FLOATING EQUIPMENT</b>									
56		Self-propelled vessels [Tugboats, car ferries, etc.]	N / A						56
57		Non-self-propelled vessels [Car floats, lighters, etc.]	N / A						57
58		TOTAL (lines 56 and 57)	N / A						58
<b>HIGHWAY REVENUE EQUIPMENT</b>									
q		Chassis Z1 __, Z67 __, Z68 __, Z69 __	60					70	59
60		Dry van U __, Z __, Z8 __, 1-6	655					1,016	60
61		Flat bed U3 __, Z3 __						6	61
62		Open bed U4 __, Z4 __							62
63		Mechanical refrigerator U5 __, Z5 __							63
64		Bulk hopper U0 __, Z0 __							64
65		Insulated U7 __, Z7 __							65
66		Tank <sup>1</sup> Z0 __, U6 __							66
67		Other trailer and container (Special Equipped Dry Van U9 __, Z8 __, Z9 __)	100					60	67
68		Tractor						15	68
69		Truck							69
70		TOTAL (lines 59 to 69)	815					1,167	70

## NOTES AND REMARKS

<sup>1</sup> Must have fitting code "CN" to qualify for tank otherwise it is a bulk hopper.

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Changes during year (concluded)	Units at close of year					Line No
		Units retired from service of respondent whether owned or leased, including reclassification (h)	Owned and used (i)	Leased from others (j)	Total in service of respondent (col. (i) & (j))		Leased to others (n)	
					Per diem (k)	All other (l)	Aggregate capacity of units reported in col. (k) & (l) (see ins. 4) (m)	
56					N / A			56
57					N / A			57
58					N / A			58
59				130	130			59
60				1,671	1,671			60
61				6	6			61
62								62
63								63
64								64
65								65
66								66
67								67
				160	160			
68				15	15			68
69								69
70				1,982	1,982			70

## NOTES AND REMARKS



**SCHEDULE 710S – UNIT COST OF EQUIPMENT INSTALLED DURING THE YEAR**

(Dollars in Thousands)

1 Give particulars as requested, separately, for the various classes of new units and rebuilt units of equipment installed by respondent during the year. If information regarding the cost of any units installed is not complete at time of filing of report, the units should be omitted, but reference to the number of units omitted should be given in footnote, the details as to cost to be given in the report of the following year. The cost of units under construction at the close of the year should not be reflected in this schedule even though part of the cost appears in the property account for the year. Indicate in column (e) whether an installation represents equipment purchased (P), built or rebuilt by contract in outside railroad shops (C), or built or rebuilt in company or system shops (S) including units acquired through capitalized leases (L).

2 In column (a) list each class or type of locomotive unit, car, or TOFC/COFC equipment on a separate line. By class is meant the standard classification used to distinguish types of locomotive units freight cars or other equipment adopted by the Association of American Railroads, and should include physical characteristics requested by Schedule 710. Locomotive units should be identified as to power source, wheel arrangement, and horsepower per unit, such as aluminum-covered hopper car (LO), steel boxcars—special service (XAP), etc. For TOFC/COFC show type of equipment as enumerated in Schedule 710.

3. In column (c) show the total weight in tons of 2,000 pounds. The weight of the equipment acquired should be the weight empty.

4. The cost should be the complete cost as entered on the ledger, including foreign line freight charges and handling charges.

5. Data for this schedule should be confined to the units reported in Schedule 710, columns (c) and (e) for locomotive units, passenger—train cars and company service cars and columns (d) and (f) for freight train cars, floating equipment and highway revenue equipment. Disclose new units in the upper section of this schedule and in the lower section disclose rebuilt units acquired or rewritten into the respondent's accounts. The term "new" as used herein shall mean a unit or units placed in service for the first time on any railroad.

6. All unequipped boxcars acquired in whole or in part with incentive per diem funds should be reported on separate lines and appropriately identified by footnote or sub-heading.

**NEW UNITS**

Line No	Class of equipment (a)	Number of units (b)	Total weight (tons) (c)	Total cost (d)	Method of acquisition (see instruction) (e)	Line No
1						1
2	NONE					2
3						3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14						14
15						15
16						16
17						17
18						18
19						19
20						20
21						21
22						22
23						23
24						24
25	TOTAL		N/A		N/A	25

**REBUILT UNITS**

26						26
27	NONE					27
28						28
29						29
30						30
31						31
32						32
33						33
34						34
35						35
36						36
37						37
38	TOTAL		N/A		N/A	38
39	GRAND TOTAL		N/A		N/A	39

## GENERAL INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULES 720, 721, 723, AND 726

1 For purposes of these schedules, the track categories are defined as follows

Track category<sup>1</sup>

- A - Freight density of 20 million or more gross ton-miles per track mile per year (include passing tracks, turnouts and crossovers)
- B - Freight density of less than 20 million gross ton-miles per track mile per year, but at least 5 million (include passing tracks, turnouts and crossovers).
- C - Freight density of less than 5 million gross ton-miles per track mile per year, but at least 1 million (include passing tracks, turnouts and crossovers).
- D - Freight density of less than 1 million gross ton-miles per track mile per year (include passing tracks, turnouts and crossovers)
- E - Way and yard switching tracks (include passing tracks, turnouts and crossovers shall be included in category A, B, C, D, F, and Potential abandonments, as appropriate).
- F - Track over which any passenger service is provided (other than potential abandonments). Mileage should be included within track categories A through E unless there is dedicated entirely to passenger service F

Potential abandonments - Route segments identified by railroads as potentially subject to abandonment as required by Section 10904 of the Interstate Commerce Act

2 This schedule should include all class 1, 2, 3, or 4 track from schedule 700 that is maintained by the respondent (class 5 is assumed to be maintained by others)

3 If, for two consecutive years, a line segment classified in one track category maintains a traffic density which would place it in another, it shall be reclassified into that category as of the beginning of the 2nd year

4 Traffic density related to passenger service shall not be included in the determination of the track category of a line segment.

## SCHEDULE 720 - TRACK AND TRAFFIC CONDITIONS

1 Disclose the requested information pertaining to track and traffic conditions

Line No	Track category (a)	Mileage of tracks at end of period (whole numbers) (b)	Average annual traffic density in millions of gross ton-miles per track-mile* (use two decimal places) (c)	Average running speed limit (use two decimal places) (d)	Track miles under slow orders at end of period (e)	Line No
1	A	702	25.17	39.21	52.30	1
2	B	740	12.52	38.36	48.70	2
3	C					3
4	D	401	0.36	27.02	46.60	4
5	E	585	XXXXXXX	XXXXXXX		5
6	TOTAL	2,428	14.69	36.22	147.60	6
7	F		XXXXXXX	XXXXXXX		7
8	Potential abandonments					8

\* To determine average density, total track miles (route miles times number of tracks) rather than route miles shall be used.



**SCHEDULE 722 - TIES LAID IN ADDITIONAL TRACKS AND IN NEW LINES AND EXTENSIONS**  
(Dollars in Thousands)

Give particulars of ties laid during the year in new construction during the year

In column (a) classify the ties as follows

U --- Wooden ties untreated when applied

T --- Wooden ties treated before application

S --- Ties other than wooden (steel, concrete, etc.) Indicate type in column (h)

Report new and second-hand (relay) ties separately, indicating in column (h) which ties are new.

In columns (d) and (g) show the total cost, including transportation charges on foreign lines, tie trains, loading, inspection, and the cost of handling ties in general supply, storage, and seasoning yard. In the case of treated ties, also show the cost of handling at treating plants and the cost of treatment. The cost of unloading, hauling over carrier's own lines and placing the ties in tracks, and of train service, other than that necessary in connection with loading or treatment, should not be included in this schedule

Line No	Class of ties (a)	CROSSTIES			SWITCH AND BRIDGE TIES			Remarks (h)	Line No	
		Total number of ties applied (b)	Average cost per tie (c)	Total cost of cross-ties laid in new tracks during year (d)	Number of feet (board measure) laid in tracks (e)	Average cost per M feet (board measure) (f)	Total cost of switch and bridge ties laid in new tracks during year (g)			
1	T	7,980	25.53	204	4,715	580.00	3		1	
2									2	
3									3	
4									4	
5									5	
6									6	
7									7	
8									8	
9									9	
10									10	
11									11	
12									12	
13									13	
14									14	
15									15	
16									16	
17									17	
18									18	
19									19	
20	TOTAL	7,980	25.53	204	4,715	580.00	3		20	
21	Number of miles of new running tracks, passing tracks, cross-overs, etc. in which ties were laid							1.27		21
22	Number of miles of new yard, station, team, industry, and other switching tracks in which ties were laid							1.18		22

## SCHEDULE 723 - RAILS LAID IN REPLACEMENT

1. Furnish the requested information concerning rails laid in replacement.
2. The term "spot maintenance" in column (h) means repairs to track components during routine inspections, as opposed to programmed replacements aimed at upgrading the general condition of the tracks. "Percent of spot maintenance" refers to the percentage of total rails laid in replacement considered to be spot maintenance.
3. In No. 10, the average cost of new and relay rail should include the cost of loading at the point of purchase ready for shipment, the freight charges paid for shipment, the cost of handling rails in general supply and storage yards. The cost of unloading, hauling over carrier's own lines and placing the rails in tracks and of train service in connection with the distribution of rails should not be included in this schedule.

Line No	Track category (a)	Miles of rail laid in replacement (rail-miles)				Total		Percent of spot maintenance (h)	Line No
		New rail		Relay rail		Welded rail (f)	Bolted rail (g)		
		Welded rail (b)	Bolted rail (c)	Welded rail (d)	Bolted rail (e)				
1	A	182.50	2.81	21.40	0.64	203.90	3.45	4%	1
2	B	2.66	6.27	69.87	5.58	72.53	11.85	4%	2
3	C								3
4	D	0.04		1.84	0.65	1.88	0.65	5%	4
5	E	0.15	0.38	1.49	3.04	1.64	3.42	5%	5
6	TOTAL	185.35	9.46	94.60	9.91	279.95	19.37	4%	6
7	F								7
8	Potential Abandonments								8
9	Average cost of new and relay rail laid in replacement per gross ton			\$457.16	New	\$219.55	Relay		9

## SCHEDULE 724 - RAILS LAID IN ADDITIONAL TRACKS AND IN NEW LINES AND EXTENSIONS

(Dollars in Thousands)

1. Give particulars of all rails applied during the year in connection with the construction of new track.

In column (a) classify the kind of rail applied as follows:

(1) New steel rails, Bessemer process.

(2) New steel rails, open-hearth process.

(3) New rails, special alloy (describe more fully in a footnote).

(4) Relay rails.

2. Returns in columns (c) and (g) should be reported in WHOLE numbers. Fractions of less than one-half should be disregarded, and fractions of one-half or more should be counted as one

3. The returns in columns (d) and (h) should include the cost of loading at the point of purchase ready for shipment, the freight charges paid foreign lines, and the cost of handling rails in general supply and storage yards. The cost of unloading, hauling over carrier's own lines, and placing the rails in tracks and of train service in connection with the distribution of the rail should not be included in this schedule.

Line No	Class of rail (a)	RAIL APPLIED IN RUNNING TRACKS, PASSING TRACKS, CROSS-OVERS, ETC.				RAIL APPLIED IN YARD, STATION, TEAM, INDUSTRY, AND OTHER SWITCHING TRACKS				Line No	
		Weight of rail		Total cost of rail applied in running tracks, passing tracks, cross-overs, etc., during year (d)	Average cost per ton (2,000 lb) (e)	Weight of rail		Total cost of rail applied in yard, station, team, industry, and other switching tracks during year (h)	Average cost per ton (2,000 lb) (i)		
		Pounds per yard of rail (b)	Number of tons (2,000 lb) (c)			Pounds per yard of rail (f)	Number of tons (2,000 lb) (g)				
1	4	112	134.4	34	253.57	4	63	5	82.66	1	
2	2	115	4.6	3	553.51					2	
3	4	115	102.43	36	349.31					3	
4	4	136	0.91		190.97					4	
5	2	137	352.32	181	514.79					5	
6	4	152	1.98		159.08					6	
7										7	
8										8	
9										9	
10										10	
11										11	
12										12	
13										13	
14										14	
15										15	
16										16	
17										17	
18										18	
19										19	
20										20	
21										21	
22										22	
23										23	
24										24	
25										25	
26										26	
27										27	
28										28	
29										29	
30										30	
31										31	
32										32	
33	TOTAL	N/A	596.64	254	426.16	N/A	63	5	82.66	33	
34	Number of miles of new running tracks, passing tracks, cross-overs, etc. in which rails were laid								0.40	34	
35	Number of miles of new yard, station, team, industry, and other switching tracks in which rails were laid								2.00	35	
36	Track—miles of welded rail installed on system this year				139.98	total to date				1,570.98	36

## SCHEDULE 725 - WEIGHT OF RAIL

Give the particulars called for below concerning the road and track operated by the respondent at the close of the year. Only the respondent's proportion of jointly owned mileage should be included. Under "Weight of rail," the various weights of rails should be given. Road and track occupied under trackage right or other form of license should not be included herein, but all road and track held under any form of lease (granting exclusive possession to the lessee) should be included.

Line No	Weight of rails per yard (a)	Line-haul companies (miles of main track) (b)	Switching and terminal companies (miles of all tracks) (c)	Remarks (d)	Line No
	Pounds				
1	152	10.04		Gauge of Track - 4 Feet 8 1/2 Inches	1
2	136	761.81		"	2
3	133	5.37		"	3
4	132	0.37		"	4
5	131	2.81		"	5
6	130	59.90		"	6
7	127	13.59		"	7
8	119	15.06		"	8
9	115	351.76		"	9
10	112	62.73		"	10
11	100	33.54		"	11
12	90	260.03		"	12
13	85	35.55		"	13
14	80	9.37		"	14
15	75	23.58		"	15
16	60	0.09		"	16
17	TOTAL	1,645.60			17
18					18
19					19
20					20
21					21
22					22
23					23
24					24
25					25
26					26
27					27
28					28
29					29
30					30
31					31
32					32
33					33
34					34
35					35
36					36
37					37
38					38
39					39
40					40
41					41
42					42
43					43
44					44
45					45
46					46
47					47
48					48

## SCHEDULE 726 - SUMMARY OF TRACK REPLACEMENTS

- 1 Furnish the requested information concerning the summary of track replacements  
 2 In columns (d), (e), (g), and (i) give the percentage of replacements to units of property in each track category at year end

Line No	Track category	TIES					Rail		Ballast	Track surfacing		Line No.
		Number of ties replaced		Percent replaced		Miles of rail replaced (rail—miles)	Percentage replaced	Cubic yards of ballast placed		Miles surfaced	Percent surfaced	
		Crossties	Switch and bridge ties (board feet)	Crossties	Switch and bridge ties (board feet)							
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)		
1	A	108,893	189,306	5.36	6.48	207.35	14.76	66,149	439.00	62.50	1	
2	B	69,778	435,528	3.41	14.78	84.38	5.70	66,724	443.00	59.86	2	
3	C										3	
4	D	64,229	82,126	5.54	4.92	2.53	0.31	40,783	271.00	67.58	4	
5	E	7,324	14,847	0.39	0.18	5.06	0.43	56,132	373.00	63.76	5	
6	TOTAL	250,224	721,807	3.58	4.62	299.32	6.16	229,788	1,526.00	62.85	6	
7	F										7	
8	Potential Abandonments										8	

SCHEDULE 750 - CONSUMPTION OF DIESEL FUEL  
(Dollars in Thousands)

## LOCOMOTIVES

Line No.	Kind of locomotive service	Diesel	Line No.
	(a)	Diesel oil (gallons) (b)	
1	Freight	32,153,785	1
2	Passenger		2
3	Yard switching	2,424,817	3
4	TOTAL	34,578,602	4
5	Cost of fuel \$(000)	\$18,816	5
6	Work Train		6



## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 755

Unit Train, Way Train, and Through Train data under Items 2, 3, 4, 6, and 12 shall be obtained from conductor's wheel reports (freight) or similar records. Unit train service is a specialized scheduled shuttle type service in equipment (Railroad or privately owned) dedicated to such service, moving between origin and destination. The applicable tariffs and/or contracts generally require that a specific minimum tonnage or quantity of carloads be tendered as a unit for shipment on one bill of lading or other shipping document in a solid train for movement between origin and destination. Such tariffs and/or contracts generally contain restricted detention provisions and are subject to time-volume requirements which reflect the approximate capacity of the unit trains for the stated period. Way Trains are defined as trains operated primarily to gather and distribute cars in road service and move them between way stations or way points. Through Trains are those trains operated between two or more major concentration or distribution points. Do not include Unit Train statistics in Way and Through Train statistics. A Work Train is a train operated solely or preponderantly for the purpose of transporting company freight, work equipment or company employees. Statistics for Work Trains should be reported under Item 11 only. Statistics related to company equipment, company employees and company freight moving in transportation trains are not to be reported in Item 11, but are to be reported in Items 4-17, 6-04, 7-02, and 8-04 and 8-05 as instructed in notes, I, K, and L.

(A) Report miles of road operated at close of year, excluding industrial tracks, yard tracks, and sidings.

(B) A train-mile is the movement of a train a distance of 1 mile. In computing train-miles, fractions representing less than one-half mile shall be disregarded and other fractions considered as 1 mile. Train Miles—Running shall be based on the actual distance run between terminals and/or stations and shall be computed from the official time tables or distance tables. Train-miles shall not be increased to cover the running of locomotives from shops to terminals, doubling hills, switching, or other work at way stations, or for the service of helper or pusher locomotives or of extra locomotives on double-head or triple-head trains. When the carrier's trains are detoured over foreign roads, the miles shall be computed on the basis of the miles actually run and in accordance with the service performed. Train-miles shall be kept separately for trains hauled by locomotives and trains moved by motorcars.

(C) A motorcar is a self-propelled unit of equipment designed to carry freight or passenger traffic, and is not considered a locomotive.

(D) A locomotive is self-propelled unit of equipment designed solely for moving other equipment. A locomotive unit-mile is a movement of a locomotive unit a distance of 1 mile under its own power. Include miles made by all locomotive units. Exclude miles made by motorcars. Miles of locomotives in helper service shall be computed on the basis of actual distance run in such service.

(E) All locomotives unit-miles in road service shall be based on the actual distance run between terminals and/or stations. Follow instructions (B) regarding fractions and official time tables for computing locomotive-miles.

(F) Train switching locomotive-miles shall be computed at the rate of 6 miles per hour for the time actually engaged in such service. Include miles allowed train locomotives for performing switching service at terminals and way stations.

(G) Yard switching locomotive-miles shall be computed at the rate of 6 miles per hour for the time actually engaged in yard switching service. Include miles allowed to yard locomotives for switching service in yards where regular switching service is maintained and in terminal switching and transfer service.

(H) Use car designations shown in Schedule 710 Under Railroad Owned and Leased cars, Items 4-01 and 4-11, report both foreign cars and respondents' own cars while on the line of the respondent railroad. In Items 4-13 and 4-15 report the private-line categories, miles for private-line cars (whether or not under railroad control) and shipper-owned cars. A car-mile is a movement of a unit of car equipment a distance of 1 mile. Report miles made by flatcars carrying loaded highway trailers or empty highway trailers moving under revenue billings as loaded freight car-miles and miles made by flatcars carrying other empty highway trailers as empty freight car-miles. Exclude miles made by motorcars and report miles made by business cars of other than reporting carrier as sleeping car-miles in Item 5-03. Report mail, express baggage cars and combination cars other than 5-02 combination cars, in Item 5-05.

(I) Exclude from Item 4-01, 4-11, 4-13, and 4-15 car-miles of work equipment, cars carrying company freight and no-payment cars moving in transportation trains. Include such car-miles in Item 4-17, 4-18, and 4-19. No payment car-miles are miles made by private-line cars (other than railroad controlled) and shipper-owned cars for which the railroad does not reimburse the owner on a loaded and/or empty mile basis. If the payment for the loaded miles includes the empty miles, the loaded and empty miles should not be considered no-payment car miles.

(J) Report miles actually run by passenger-train cars in transportation service. Passenger-train car-miles include miles run by coaches and cars in which passengers are carried at regular tariff fares without extra charge for space occupied, miles run by combination passenger and baggage, passenger and mail, passenger and express; miles run by sleeping, parlor and other cars for which an extra fare is charged; miles run by dining, cafe, and other cars devoted exclusively to the serving of meals and other refreshments and by club, lounge, and observation cars, and miles run by other passenger-train cars where services are combined such as baggage, express and mail.

(K) From conductors' or dispatchers' train reports or other appropriate source, compute weight in tons (2,000 pounds). Item 6-01 includes weight of all locomotive units moved 1 mile in transportation trains. Ton-miles of motorcars should be excluded. Items 6-02 and 6-03 represent tons behind locomotive units (cars and contents, cabooses) moved one mile in transportation trains (excluding non-revenue gross ton-miles). Non-revenue gross ton-miles in transportation trains include work equipment and cars carrying company freight (and their contents). Use 150 lbs. as the average weight per passenger, and 4 tons as the average weight of contents of each head-end car.

(L) From conductors' train reports or other appropriate source, compute ton-miles of freight. Ton-miles represent the number of tons of revenue and non-revenue freight moved one mile in transportation train. Include net ton-miles in motorcar trains. Exclude i.c.l. shipment of freight handled in mixed baggage express cars. Total Ton-Miles Revenue Freight should correspond to the Ton-Miles reported on Form CBS.

(M) Road service represents elapsed time of transportation trains (both ordinary and light) between the time of leaving the initial terminals and the time at the final terminals, including trains switching at way stations and delays on road as shown by conductors' or dispatchers' train reports. Include time of motorcar service performed by train locomotives at terminals and way stations. Report in Item 9-02 train switching hours included in Item 9-01. Train switching is the time spent by the train while performing switching service at terminals and way stations where no regular yard service is maintained. A train hour is independent of the number of locomotives in the train.

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 755-CONCLUDED

(N) Yard switching hours are hours expended in switching service performed by yard crews in yards where regular switching service is maintained, including switching and transfer service in connection with the transportation of revenue and incidentally of company freight. Hours in yard switching are independent of the number of locomotives used.

(O) Work-train miles inside the miles run by trains engaged in company service such as official inspection; inspection trains for railway Commissioners for which no revenue is received; trains running special with fire apparatus to save carrier's property destruction; trains run for transporting the carrier's employees to and from work when no transportation charge is made, wrecking trains; trains run solely for the purpose of transporting company material; trains run for distributing material and supplies for use in connection with operations; and all other trains used in work-train services. Exclude miles run by locomotives while engaged incidentally in switching company materials in company shops or material yards in connection with regular yard switching service or in switching equipment for repairs in between yards and shops.

(P) The number of loaded freight cars shall be obtained from the conductors' wheel report and shall be the sum of all loaded cars handled by each train. For example, if a car moves loaded (1) in a way train from the origination points, (2) in two through trains, and (3) in a way train to the destination point, the total count of loaded cars would be four: two counts for the movements in the way trains and two counts for the movements in through trains. Therefore, each car originated or received from a connecting carrier receives an initial count, plus one count for each subsequent physical transfer between trains on respondents lines. No additional count is given because of crew change or changes in track identification number unless there is a physical transfer of the car between trains. Each car moving under revenue billing shall be considered as a loaded car.

(Q) Report vehicle (TOFC trailers/containers, automobiles and trucks) loaded and unloaded to and from TOFC and multiple level freight cars when the work is performed at the railroad's expense.

(R) Report the number of loaded revenue trailers/containers picked up, plus revenue trailers/containers delivered in TOFC/COFC and in highway interchange service, when the work is performed at the railroads' expense. (Performed at railroads' expense means that railroad employees perform the service or that the railroad hires a subsidiary or outside contractor to perform the service). Do not include those trailer/containers which are picked up or delivered by a shipper or motor carrier etc. when a tariff provision requires the shipper-motor carrier etc. and not the railroad perform that service. Note: The count should reflect the trailer/containers for which expenses is reported in Schedule 417 Line 2 Column (b).

(S) Report under Marine Terminals, Item 16, the tons loaded onto and unloaded from marine vessels at the expense of the reporting railroad.

(T) Report the total number of foreign railroad cars on line at the end of the year (except surplus cars, see below). Foreign railroad cars refers to freight cars owned by other railroads whose interline rental is settled on time (by hour) and actual line-haul mileage charges under the Code of Car Hire Rules

Carriers will be governed by local conditions in determining whether a car at an interchange point should be considered "on line". Unserviceable cars include cars on repair tracks undergoing or awaiting repairs. They include cars on repair tracks repaired and awaiting switching, cars on repair tracks undergoing or awaiting repairs switching, cars awaiting movement to repair tracks held in train yard (excluding cars which are to be repaired in train yard without loss of time), car moving empty in trains en route to shop, and cars stored awaiting disposition.

Surplus cars are cars which are in serviceable condition for loading on the last day of the year, but have not been placed for loading within 48 hours. This count can be an annual average based on weekly count of cars that have not been placed for loading within 48 hours.

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
1		1. Miles of Road Operated (A)	1,712		1
		2. Train Miles - Running (B)	XXXXXX	XXXXXX	
2		2-01 Unit Trains	956,866	XXXXXX	2
3		2-02 Way Trains	642,374	XXXXXX	3
4		2-03 Through Trains	2,719,902		4
5		2-04 TOTAL TRAIN MILES (lines 2-4)	4,319,142		5
6		2-05 Motorcars (C)			6
7		2-06 TOTAL, ALL TRAINS (lines 5,6)	4,319,142		7
		3. Locomotive Unit Miles (D)	XXXXXX	XXXXXX	
		Road Service (E)	XXXXXX	XXXXXX	
8		3-01 Unit Trains	3,351,641	XXXXXX	8
9		3-02 Way Trains	1,119,175	XXXXXX	9
10		3-03 Through Trains	7,293,377		10
11		3-04 TOTAL (lines 8-10)	11,764,193		11
12		3-11 Train Switching (F)	335,951	XXXXXX	12
13		3-21 Yard Switching (G)	1,621,883		13
14		3-31 TOTAL ALL SERVICES (lines 11,12,13)	13,722,027		14
		4. Freight Car-Miles (thousands) (H)	XXXXXX	XXXXXX	
		4-01 RR Owned and Leased Cars-Loaded	XXXXXX	XXXXXX	
15		4-010 Box-Plain 40-Foot	16	XXXXXX	15
16		4-011 Box-Plain 50-Foot and Longer	7,572	XXXXXX	16
17		4-012 Box-Equipped	11,522	XXXXXX	17
18		4-013 Gondola-Plain	2,444	XXXXXX	18
19		4-014 Gondola-Equipped	739	XXXXXX	19
20		4-015 Hopper-Covered	17,567	XXXXXX	20
21		4-016 Hopper-Open Top-General Service	2,002	XXXXXX	21
22		4-017 Hopper-Open Top-Special Service	1,151	XXXXXX	22
23		4-018 Refrigerator-Mechanical	244	XXXXXX	23
24		4-019 Refrigerator-Non-Mechanical	1,741	XXXXXX	24
25		4-020 Flat-TOFC/COFC	2,455	XXXXXX	25
26		4-021 Flat-Multi-Level	19	XXXXXX	26
27		4-022 Flat-General Service	97	XXXXXX	27
28		4-023 Flat-All Other	2,874	XXXXXX	28
29		4-024 All Other Car Types-Total	790	XXXXXX	29
30		4-025 TOTAL (lines 15-29)	51,233	XXXXXX	30

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS

Line No	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
		4-11 RR Owned and Leased Cars-Empty	XXXXXX	XXXXXX	
31		4-110 Box-Plain 40-Foot	9	XXXXXX	31
32		4-111 Box-Plain 50-Foot and Longer	5,530	XXXXXX	32
33		4-112 Box-Equipped	10,567	XXXXXX	33
34		4-113 Gondola-Plain	2,102	XXXXXX	34
35		4-114 Gondola-Equipped	678	XXXXXX	35
36		4-115 Hopper-Covered	17,448	XXXXXX	36
37		4-116 Hopper-Open Top-General Service	1,644	XXXXXX	37
38		4-117 Hopper-Open Top-Special Service	1,506	XXXXXX	38
39		4-118 Refrigerator-Mechanical	190	XXXXXX	39
40		4-119 Refrigerator-Non-Mechanical	1,485	XXXXXX	40
41		4-120 Flat-TOFC/COFC	677	XXXXXX	41
42		4-121 Flat-Multi-Level	16	XXXXXX	42
43		4-122 Flat-General Service	102	XXXXXX	43
44		4-123 Flat-All Other	2,911	XXXXXX	44
45		4-124 All Other Car Types-Total	841	XXXXXX	45
46		4-125 TOTAL (lines 31-45)	45,706	XXXXXX	46
		4-13 Private Line Cars - Loaded (H)	XXXXXX	XXXXXX	
47		4-130 Box-Plain 40-Foot	0	XXXXXX	47
48		4-131 Box-Plain 50-Foot and Longer	782	XXXXXX	48
49		4-132 Box-Equipped	8	XXXXXX	49
50		4-133 Gondola-Plain	1,941	XXXXXX	50
51		4-134 Gondola-Equipped	117	XXXXXX	51
52		4-135 Hopper-Covered	22,631	XXXXXX	52
53		4-136 Hopper-Open Top-General Service	90	XXXXXX	53
54		4-137 Hopper-Open Top-Special Service	35	XXXXXX	54
55		4-138 Refrigerator-Mechanical	0	XXXXXX	55
56		4-139 Refrigerator-Non-Mechanical	187	XXXXXX	56
57		4-140 Flat-TOFC/COFC	12,683	XXXXXX	57
58		4-141 Flat-Multi-Level	89	XXXXXX	58
59		4-142 Flat-General Service	1	XXXXXX	59
60		4-143 Flat-All Other	1,590	XXXXXX	60
61		4-144 Tank Under 22,000 Gallons	6,816	XXXXXX	61
62		4-145 Tank-22,000 Gallons and Over	10,765	XXXXXX	62
63		4-146 All Other Car Types	23	XXXXXX	63
64		4-147 TOTAL (lines 47-63)	57,758	XXXXXX	64

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
		4-15 Private Line Cars-Empty (H)	XXXXXX	XXXXXX	
65		4-150 Box-Plain 40-Foot	0	XXXXXX	65
66		4-151 Box-Plain 50-Foot and Longer	567	XXXXXX	66
67		4-152 Box-Equipped	15	XXXXXX	67
68		4-153 Gondola-Plain	2,268	XXXXXX	68
69		4-154 Gondola-Equipped	122	XXXXXX	69
70		4-155 Hopper-Covered	21,739	XXXXXX	70
71		4-156 Hopper-Open Top-General Service	118	XXXXXX	71
72		4-157 Hopper-Open Top-Special Service	48	XXXXXX	72
73		4-158 Refrigerator-Mechanical	0	XXXXXX	73
74		4-159 Refrigerator-Non-Mechanical	180	XXXXXX	74
75		4-160 Flat-TOFC/COFC	2,564	XXXXXX	75
76		4-161 Flat-Multi-Level	52	XXXXXX	76
77		4-162 Flat-General Service	1	XXXXXX	77
78		4-163 Flat-All Other	1,320	XXXXXX	78
79		4-164 Tank Under 22,000 Gallons	6,828	XXXXXX	79
80		4-165 Tank-22,000 Gallons and Over	10,904	XXXXXX	80
81		4-166 All Other Car Types	35	XXXXXX	81
82		4-167 TOTAL (lines 65-81)	46,761	XXXXXX	82
83		4-17 Work Equipment and Company Freight Car-Miles	2,418	XXXXXX	83
84		4-18 No Payment Car-Miles (I)*	106,513	XXXXXX	84
		4-19 Total Car-Miles by Train Type (Note)	XXXXXX	XXXXXX	
85		4-191 Unit Trains	106,618	XXXXXX	85
86		4-192 Way Trains	15,282	XXXXXX	86
87		4-193 Through Trains	188,489	XXXXXX	87
88		4-194 TOTAL (lines 85-87)	310,389	XXXXXX	88
89		4-20 Caboose Miles	55	XXXXXX	89

\* Total number of loaded miles \_\_\_\_\_ and empty miles \_\_\_\_\_ by roadrailer reported above.

Note: Line 88 total car miles is equal to the sum of Lines 30,46,64,82,83 and 84. Accordingly, the car miles reported on Lines 83 and 84 are to be allocated to Lines 85,86 and 87 and included in the total shown on Line 88

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
		6 Gross Ton-Miles (thousands) (K)	XXXXXX	XXXXXX	
98		6-01 Road Locomotives	2,218,726		98
		6-02 Freight Trains, Crs., Cnts, and Caboose	XXXXXX	XXXXXX	
99		6-020 Unit Trains	8,595,152	XXXXXX	99
100		6-021 Way Trains	1,065,184	XXXXXX	100
101		6-022 Through Trains	14,497,019	XXXXXX	101
102		6-03 Passenger-Trains, Crs., and Cnts.	0		102
103		6-04 Non-Revenue	147,768	XXXXXX	103
104		6-05 TOTAL (lines 98-103)	26,523,849		104
		7. Tons of Freight (thousands)	XXXXXX	XXXXXX	
105		7-01 Revenue	44,882	XXXXXX	105
106		7-02 Non-Revenue	599	XXXXXX	106
107		7-03 TOTAL (lines 105,106)	45,481	XXXXXX	107
		8. Ton-Miles of Freight (thousands) (L)	XXXXXX	XXXXXX	
108		8-01 Revenue-Road Service	13,688,600	XXXXXX	108
109		8-02 Revenue-Lake Transfer Service		XXXXXX	109
110		8-03 TOTAL (lines 108,109)	13,688,600	XXXXXX	110
111		8-04 Non-Revenue-Road Service	145,613	XXXXXX	111
112		8-05 Non-Revenue-Lake Transfer Service		XXXXXX	112
113		8-06 TOTAL (lines 111,112)	145,613	XXXXXX	113
114		8-07 TOTAL-REVENUE AND NON-REVENUE (lines 110,113)	13,834,213	XXXXXX	114
		9. Train Hours (M)	XXXXXX	XXXXXX	
115		9-01 Road Service	192,759	XXXXXX	115
116		9-02 Train Switching	23,751	XXXXXX	116
117		10. TOTAL YARD-SWITCHING HOURS (N)	202,124	XXXXXX	117
		11. Train-Miles Work Trains (O)	XXXXXX	XXXXXX	
118		11-01 Locomotives	21,614	XXXXXX	118
119		11-02 Motorcars		XXXXXX	119
		12. Number of Loaded Freight Cars (P)	XXXXXX	XXXXXX	
120		12-01 Unit Trains	210,066	XXXXXX	120
121		12-02 Way Trains	167,076	XXXXXX	121
122		12-03 Through Trains	378,460	XXXXXX	122
123		13. TOFC/COFC-No. Rev Trlrs & Cntnrs Load & Unload(Q)	118,912	XXXXXX	123
124		14. Multi-Level Cars-No. Motor Vhcls Load & Unload(Q)		XXXXXX	124
125		15. TOFC/COFC-No. of Rev. Trlrs Picked Up and Divrd(R)		XXXXXX	125
		16. Revenue Tons-Marine Terminal (S)	XXXXXX	XXXXXX	
126		16-01 Marine Terminals-Coal		XXXXXX	126
127		16-02 Marine-Terminals-Ore		XXXXXX	127
128		16-03 Marine Terminals-Other		XXXXXX	128
129		16-04 TOTAL (lines 126-128)		XXXXXX	129
		17. Number of Foreign Per Diem Cars on Line (T)	XXXXXX	XXXXXX	
130		17-01 Serviceable	3,335	XXXXXX	130
131		17-02 Unserviceable	32	XXXXXX	131
132		17-03 Surplus		XXXXXX	132
133		17-04 TOTAL (lines 130-132)	3,367	XXXXXX	133

## VERIFICATION

The foregoing report shall be verified by the oath of the officer having control of the accounting of the respondent. This report shall also be verified by the oath of the president or other chief officer of the respondent, unless the respondent states that such officer has no control over the respondent's accounting and reporting.

## OATH

(To be made by the officer having control of the accounting of the respondent)

State of MISSOURI

County of JACKSON

JOHN A. SCOTTO

makes oath and says that he is

VICE PRESIDENT & COMPTROLLER

(Insert here name of the affiant)

(Insert here the official title of the affiant)

Of

THE KANSAS CITY SOUTHERN RAILWAY COMPANY

(Insert here the exact legal title or name of the respondent)

that it is his duty to have supervision over the books of accounts of the respondent and to control the manner in which such books are kept; that he knows that such books have been kept in good faith during the period covered by this report; that he knows that the entries contained in this report relating to accounting matters have been prepared in accordance with the provisions of the Uniform System of Accounts for Railroads and other accounting and reporting directives of this Commission; that he believes that all other statements of fact contained in this report are true, and that this report is a correct and complete statement, accurately taken from the books and records, of the business and affairs of the above-named respondent during the period of time from and including

JANUARY 1

, 19 93

to and including

DECEMBER 31

, 19 93

John A. Scotto  
(Signature of affiant)

Subscribed and sworn to before me, a

NOTARY PUBLIC

in and for the state and

county above named, this

30<sup>th</sup> day of March, 19 94

My commission expires

January 5, 1996

Use an

L.S.

impression seal

Phyllis A. Phelps  
(signature of officer authorized to administer oaths)

## SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of MISSOURI

County of JACKSON

ROBERT L. BROWN II

makes oath and says that he is

SENIOR VICE PRESIDENT - FINANCE

(Insert here name of the affiant)

(Insert here the official title of the affiant)

Of

THE KANSAS CITY SOUTHERN RAILWAY COMPANY

(Insert here the exact legal title or name of the respondent)

that he has carefully examined the foregoing report; that he believes that all statements of fact contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above-named respondent and the operations of its property during the period of time from and including

JANUARY 1

, 19 93

to and including

DECEMBER 31

, 19 93

Robert L. Brown II  
(Signature of affiant)

Subscribed and sworn to before me, a

NOTARY PUBLIC

in and for the state and

county above named, this

30<sup>th</sup> day of March, 19 94

My commission expires

January 5, 1996

Use an

L.S.

impression seal

Phyllis A. Phelps  
(signature of officer authorized to administer oaths)

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EXPLANATORY REMARKS	



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**SUPPLEMENTARY SCHEDULES  
FOR ACCOUNT OF  
THE KANSAS CITY SOUTHERN RAILWAY COMPANY  
CARLAND, INC.**

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - ASSETS

(Dollars in Thousands)

Line No	Cross Check	Account	Title (a)	Balance at close of year ** (b)	Balance at Beginning of year (c)	Line No.
<b>Current Assets</b>						
1		701	Cash	2,819		1
2		702	Temporary Cash Investments		123	2
3		703	Special Deposits	190	123	3
4		704	Accounts Receivable - Loan and Notes			4
5		705	- Interline and Other Balances	2,389	1,896	5
6		706	- Customers	36,855	39,024	6
7		707	- Other	7,522	6,286	7
8		709, 708	- Accrued Accounts Receivables	21,661	12,930	8
9		708.5	- Receivables from Affiliated Companies	4,638	(1)	9
10		709.5	- Less: Allowance for Uncollectible Accounts	(1,732)	(2,698)	10
11		710, 711, 714	Working Funds Prepayments Deferred Income Tax Debits	8,075	6,880	11
12		712	Materials and Supplies	25,756	17,064	12
13		713	Other Current Assets			13
14			<b>TOTAL CURRENT ASSETS</b>	<b>108,173</b>	<b>81,627</b>	<b>14</b>
<b>Other Assets</b>						
15		715, 716, 717	Special Funds			15
16		721, 721.5	Investments and Advances Affiliated Companies (Schedule 310 & 310A)	7,416	10,826	16
17		722, 723	Other Investments and Advances	4	4	17
18		724	Allowances for Net Unrealized Loss on Noncurrent Marketable Equity Securities—Cr.			18
19		737, 738	Property Used in Other than Carrier Operation (less Depreciation) \$252	2,371	2,682	19
20		739, 741	Other Assets	2	2	20
21		743	Other Deferred Debits	3,097	6,925	21
22		744	Accumulated Deferred Income Tax Debits			22
23			<b>TOTAL OTHER ASSETS</b>	<b>12,890</b>	<b>20,439</b>	<b>23</b>
<b>Road and Equipment</b>						
24		731, 732	Road (Schedule 330) L-30 Col. h & b	633,429	593,651	24
25		731, 732	Equipment (Schedule 330) L-39 Col. h & b	281,172	263,816	25
26		731, 732	Unallocated Items	50,704	56,843	26
27		733, 735, 736	Accumulated Depreciation and Amortization (Schedules 335, 342, 351)	(379,953)	(362,895)	27
28			<b>Net Road and Equipment</b>	<b>585,352</b>	<b>551,415</b>	<b>28</b>
29			<b>TOTAL ASSETS</b>	<b>706,415</b>	<b>653,481</b>	<b>29</b>

## NOTES AND REMARKS

\*\* See Footnote on Page 9.

## SCHEDULE 210 - RESULTS OF OPERATIONS - Continued

(Dollars in Thousands)

Line No.	Cross Check	Item (a)	Amount for current year ** (b)	Amount for preceding year (c)	Line No.
<b>FIXED CHARGES</b>					
38		(546) Interest on funded debt (a) Fixed interest not in default	8,677	8,811	38
39		(b) Interest in default			39
40		(547) Interest on unfunded debt	2,527	855	40
41		(548) Amortization of discount on funded debt	78	60	41
42		TOTAL FIXED CHARGES (lines 38-41)	11,282	9,726	42
43		Income after fixed charges (lines 37, 42)	68,289	47,345	43
<b>OTHER DEDUCTIONS</b>					
44		(546) Interest on funded debt: (c) Contingent interest			44
<b>UNUSUAL OR INFREQUENT ITEMS</b>					
45		(555) Unusual or infrequent items (debit) credit			45
46		Income (Loss) from continuing operations (before income taxes)	68,289	47,345	46
<b>PROVISIONS FOR INCOME TAXES</b>					
47		(556) Income taxes on ordinary income: (a) Federal income taxes	10,882	6,971	47
48		(b) State income taxes	1,470	985	48
49		(c) Other income taxes	1	4	49
50		(557) Provision for deferred taxes	15,591	9,315	50
51		TOTAL PROVISIONS FOR INCOME TAXES (lines 47-50)	27,944	17,275	51
52		Income from continuing operations (lines 46-51)	40,345	30,070	52
<b>DISCONTINUED OPERATIONS</b>					
53		(560) Income or loss from operations of discontinued segments (less applicable income taxes of \$ )			53
54		(562) Gain or loss on disposal of discontinued segments (less applicable income taxes of \$ )			54
55		Income before extraordinary items (lines 52 + 53 + 54)	40,345	30,070	55
<b>EXTRAORDINARY ITEMS AND ACCOUNTING CHANGES</b>					
56		(570) Extraordinary items (Net)			56
57		(590) Income taxes on extraordinary items			57
58		(591) Provision for deferred taxes—Extraordinary items			58
59		TOTAL EXTRAORDINARY ITEMS (lines 56-58)			59
60		(592) Cumulative effect of changes in accounting principles (less applicable tax of \$ 2,695)	(4,462)		60
61		Net income (Loss) (lines 55 + 59 + 60)	35,883	30,070	61
<b>Reconciliation of net railway operating income (NROI)</b>					
62		Net revenues from railway operations	75,494	56,174	62
63		(556) Income taxes on ordinary income (-)	12,353	7,960	63
64		(557) Provision for deferred taxes (-)	15,591	9,315	64
65		Income from lease of road and equipment (-)	292	431	65
66		Rent for leased roads and equipment (+)	1,111	1,099	66
67		Net railway operating income (loss)	48,369	39,567	67

\*\* See Footnote on Page 9

## SCHEDULE 220 - RETAINED EARNINGS

(Dollars in Thousands)

1. Show below the items of Retained Earnings Accounts of the respondent for the year, classified in accordance with the Uniform System of Accounts for Railroad Companies.

2. All contra entries hereunder should be shown in parentheses.

3. Show under "Remarks" the amount of assigned Federal income tax consequences for Accounts 606 and 616.

4. Segregate in column (c) all amounts applicable to the equity in undistributed earnings (losses) of affiliated companies based on the equity method of accounting.

5. Line 3 (line 7 if debit balance), column (c), should agree with line 26, column (b), Schedule 210. The total of columns (b) and (c), lines 3 and 7, should agree with line 61 column (b), Schedule 210.

6. Include in column (b) only amounts applicable to retained earnings exclusive of any amounts included in column (c).

Line No.	Cross Check	Item  (a)	Retained earnings-- Unappropriated  (b)	Equity in undie- tributed earnings (losses) of affil- iated companies  (c)	Line No.
1		Balances at beginning of year	234,083	3,044	1
2		(601.5) Prior period adjustments to beginning retained earnings			2
		<b>CREDITS</b>			
3		(602) Credit balance transferred from income	35,179	704	3
4		(603) Appropriations released			4
5		(606) Other credits to retained earnings			5
6		<b>TOTAL</b>	35,179	704	6
		<b>DEBITS</b>			
7		(612) Debit balance transferred from income			7
8		(616) Other debits to retained earnings			8
9		(620) Appropriations for sinking and other funds			9
10		(621) Appropriations for other purposes			10
11		(623) Dividends: Common stock	7,872		11
12		Preferred stock*	798		12
13		<b>TOTAL</b>	8,670		13
14		Net increase (decrease) during Year (Line 6 minus line 13)	26,509	704	14
15		Balances at close of year (lines 1, 2, and 14)	260,592	3,748	15
16		Balances from line 15 (c)	3,748	N/A	16
17		Total unappropriated retained earnings and equity in undistributed earnings (losses) of affiliated companies at end (798) of year	264,340		17
18		(797) Total appropriated retained earnings:			18
19		Credits during year \$ _____			19
20		Debits during year \$ _____			20
21		Balance at Close of year \$ _____			21
22		Amount of assigned Federal income tax consequences. Account 606 \$ _____			22
23		Account 616 \$ _____			23

\* If any dividends have not been declared on cumulative preferred stock, give cumulative undeclared dividends at beginning of year and end of year.

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - LIABILITIES AND SHAREHOLDERS' EQUITY

(Dollars in Thousands)

Line No.	Cross Check	Account	Title (a)	Balance at close of year ** (b)	Balance at Beginning of year (c)	Line No.
<b>Current Liabilities</b>						
30		751	Loans and Notes Payable			30
31		752	Accounts Payable, Interline and Other Balances	1,777	915	31
32		753	Audited Accounts and Wages	26,334	24,019	32
33		754	Other Accounts Payable	3,583	1,088	33
34		755, 756	Interest and Dividends Payable	1,580	1,868	34
35		757	Payables to Affiliated Companies	329	200	35
36		759	Accrued Accounts Payable	60,468	61,057	36
37		760, 761, 761.5, 762	Taxes Accrued	5,031	532	37
38		763	Other Current Liabilities	84	120	38
39		764	Equipment Obligations and Other Long-Term Debt due Within One Year	10,207	13,098	39
40			<b>TOTAL CURRENT LIABILITIES</b>	<b>109,393</b>	<b>102,897</b>	<b>40</b>
<b>Non-Current Liabilities</b>						
41		765, 767	Funded Debt Unmatured	101	145	41
42		766	Equipment Obligations	52,915	62,181	42
43		766.5	Capitalized Lease Obligations	4,768	5,528	43
44		768	Debt in Default			44
45		769	Accounts payable Affiliated Companies	33,000	20,000	45
46		770.1, 770.2	Unamortized Debt Premium			46
47		781	Interest in Default			47
48		783	Deferred Revenues-Transfers from Government Authorities			48
49		786	Accumulated Deferred Income Tax Credits	119,498	105,239	49
50		771, 772, 774, 775, 782, 784	Other Long-Term Liabilities and Deferred Credits	40,548	38,512	50
51			<b>TOTAL NONCURRENT LIABILITIES</b>	<b>250,830</b>	<b>231,605</b>	<b>51</b>
<b>Shareholders' Equity</b>						
52		791, 792	Total Capital Stock: (Schedule 230) (L 53 & 54)	57,504	57,504	52
53			Common Stock	36,504	36,504	53
54			Preferred Stock	21,000	21,000	54
55			Discount on Capital Stock			55
56		794, 795	Additional Capital (Schedule 230)	28,135	28,135	56
<b>Retained Earnings:</b>						
57		797	Appropriated			57
58		798	Unappropriated (Schedule 220)	264,340	237,127	58
59		798.1	Net Unrealized Loss on Noncurrent Marketable Equity Securities			59
60		798.5	Less Treasury Stock	(3,787)	(3,787)	60
61			<b>Net Stockholders Equity</b>	<b>346,192</b>	<b>318,979</b>	<b>61</b>
62			<b>TOTAL LIABILITIES AND SHAREHOLDERS EQUITY</b>	<b>706,415</b>	<b>653,481</b>	<b>62</b>

## NOTES AND REMARKS

\*\* See Footnote on Page 9.

## SCHEDULE 210 - RESULTS OF OPERATIONS

(Dollars in Thousands)

1 Disclose the requested information for the respondent pertaining to the results of operations for the year.

2. Report total operating expenses from Schedule 410 of this report. Any disparities in expense amounts shown in this schedule and expense amounts reported in Schedule 410 must be fully explained on page 18.

3 List dividends from investments accounted for under the cost method on the appropriate line No. 19 for Account No. 513 "Dividend Income" List dividends accounted for by the equity method on the appropriate dividend line No. 25 under the "Income from Affiliated Companies" subsection of this schedule

4. All contra entries hereunder should be indicated in parentheses.

5 Cross-checks

Schedule 210  
Line 15, column (b)  
Line 47 plus 48 plus 49, column (b)  
Line 50, column (b)  
  
Line 14, column (b)  
Line 14, column (d)  
Line 14, column (e)  
Line 49, column (b)

Schedule 210  
= Line 62, column (b)  
= Line 63, column (b)  
= Line 64, column (b)  
Schedule 410  
= Line 620, column (h)  
= Line 620, column (f)  
= Line 620, column (g)

Line No.	Cross Check	Item (a)	Amount for current year ** (b)	Amount for preceding year (c)	Freight-related revenue & expenses (d)	Passenger-related revenue & expenses (e)	Line No.
		<b>ORDINARY ITEMS</b>					
		<b>OPERATING INCOME</b>					
		<b>Railway Operating Income</b>					
1		(101) Freight	328,376	318,366	328,376		1
2		(102) Passenger					2
3		(103) Passenger-Related					3
4		(104) Switching	12,551	13,206	12,551		4
5		(105) Water Transfers					5
6		(106) Demurrage	3,909	3,197	3,909		6
7		(110) Incidental	690	869	690		7
8		(121) Joint Facility-Credit					8
9		(122) Joint Facility-Debit					9
10		(501) Railway operating revenues (Exclusive of transfers from Government Authorities—lines 1–9)	345,526	335,638	345,526		10
11		(502) Railway operating revenues—Transfers from Government Authorities for current operations					11
12		(503) Railway operating revenues—Amortization of deferred transfers from Government Authorities					12
13		<b>TOTAL RAILWAY OPERATING REVENUES (lines 10–12)</b>	345,526	335,638	345,526		13
14		(531) Railway operating expenses	270,032	279,464	270,032		14
15		<b>Net revenue from railway operations</b>	75,494	56,174	75,494		15
		<b>OTHER INCOME</b>					
16		(506) Revenue from property used in other than carrier operations	12	17			16
17		(510) Miscellaneous rent income	1,312	1,245			17
18		(512) Separately operated properties—Profit					18
19		(513) Dividend Income (cost method)					19
20		(514) Interest Income	63	355			20
21		(516) Income from sinking and other funds					21
22		(517) Release of premiums on funded debt					22
23		(518) Reimbursements received under contracts and agreements					23
24		(519) Miscellaneous income	1,582	864			24
25		Income from affiliated companies: 519					25
		a. Dividends (equity method)					
26		b. Equity in undistributed earnings (losses)	704	843			26
27		<b>TOTAL OTHER INCOME (lines 16–26)</b>	3,673	3,324			27
28		<b>TOTAL INCOME (lines 15, 27)</b>	79,167	59,498			28
		<b>MISCELLANEOUS DEDUCTIONS FROM INCOME</b>					
29		(534) Expenses of property used in other than carrier operations	(1,109)	1,423			29
30		(544) Miscellaneous taxes					30
31		(545) Separately operated properties—Loss					31
32		(549) Maintenance of investment organization					32
33		(550) Income Transferred under contracts nad agreements					33
34		(551) Miscellaneous income charges	1,005	804			34
35		(553) Uncollectible accounts	(300)	200			35
36		<b>TOTAL MISCELLANEOUS DEDUCTIONS (lines 29–35)</b>	(404)	2,427			36
37		<b>Income available for fixed charges (lines 28, 36)</b>	79,571	57,071			37



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## SCHEDULE 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES

1. Give particulars of investments in stocks, bonds, other secured obligations, unsecured notes, and investment advances of companies affiliated with respondent, included in accounts Nos. 715, "Sinking Funds", 716, "Capital Funds", 721, "Investments and Advances Affiliated Companies", and 717, "Other Funds."
2. Entries in this schedule should be made in accordance with the definitions and general instructions given on page 18, classifying the investments by means of letters, figures, and symbols in columns (a), (b), and (c).
3. Indicate by means of an arbitrary mark in column (d) the obligation in support of which any security is pledged, mortgaged, or otherwise encumbered, giving names and other important particulars of such obligations in footnotes.
4. Give totals for each class and for each subclass and a grand total for each account.
5. Entries in column (d) should show date of maturity of bonds and other evidences of indebtedness. In case obligations of the same designation mature serially, the date in column (d) may be reported as "Serially 19\_\_ to 19\_\_." Abbreviations in common use in standard financial publications may

Line No.	Account No.	Class No.	Kind of Industry	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds)	Extent of control	Line No.
	(a)	(b)	(c)	(d)	(e)	
1	721			Investment in Affiliated Companies		1
2						2
3		A - 1	VII	Trailer Train Company	1/2 %	3
4				Joplin Union Depot Company	33 1/3 %	4
5				Kansas City Terminal Railway Company	8 1/3 %	5
6				Louisiana Arkansas & Texas Transportation Company	100 %	6
7				Landa Motor Lines	100 %	7
8				G N & A Railway Co. **	100 %	8
9						9
10						10
11				Total Class A		11
12						12
13						13
14						14
15						15
16						16
17				Advances		17
18						18
19		A - 1	VII	Trailer Train Company		19
20				1-1-67 due 4-17-97	1/2 %	20
21				1-1-67 due 4-01-99	1/2 %	21
22						22
23				Total Notes		23
24						24
25						25
26		E - 1	VII	Joplin Union Depot Company	33 1/3 %	26
27				Kansas City Southern Transport Company, Inc.	100 %	27
28				Kansas City Terminal Railway Company	8 1/3 %	28
29						29
30						30
31				Total Advances		31
32						32
33						33
34						34
35						35
36						36
37				Total Account 721		37
38						38
39						39
40						40

\*\* See Footnote on Page 9

## SCHEDULE 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued

(Dollars in Thousands)

be used to conserve space.

6 If any of the companies included in this schedule are controlled by respondent the percent of control should be shown in column (e). In case any company listed is controlled other than through actual ownership of securities, give particulars in a footnote. In cases of joint control, give names of other parties and particulars of control.

7 If any advances reported are pledged, give particulars in a footnote

8. Investments in companies in which neither the original cost or present equity in total assets are less than \$10,000 may be combined in one figure.

9 Also included should be investments in unincorporated entities such as lessee organizations (exclusive of amounts nominally settled on a current basis).

10. This schedule should not include securities issued or assumed by respondent.

11 For affiliates which do not report to the Interstate Commerce Commission and are jointly owned, give names and extent of control of other entities by footnotes

Line No	Investments and Advances				Disposed of: profit (loss)	Adjustments Account 721.5	Dividends or Interest credited to Income	Line No.
	Opening Balance	Additions	Deductions (if other than sale, explain)	Closing Balance				
	(f)	(g)	(h)	(i)	(j)	(k)	(l)	
1								1
2								2
3	20			20				3
4	10			10				4
5	183			183				5
6	10			10				6
7	20			20				7
8	4,059		4,059					8
9								9
10								10
11	4,302		4,059	243				11
12								12
13								13
14								14
15								15
16								16
17								17
18								18
19								19
20	77			77			5	20
21	77			77			6	21
22								22
23	154			154			11	23
24								24
25								25
26	127			127				26
27	24		24					27
28	3,175		31	3,144				28
29								29
30								30
31	3,326		55	3,271				31
32								32
33								33
34								34
35								35
36								36
37	7,782		4,114	3,668			11	37
38								38
39								39
40								40

**SCHEDULE 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT**  
(Dollars in Thousands)

Line No.	Cross Check	Account (a)	Balance at beginning of year (b)	Expenditures during the year for original road and equipment, and road extensions (c)	Expenditures during the year for purchase of existing lines, reorganizations, etc. (d) **	Line No.
1		(2) Land for transportation purposes	6,497		14	1
2		(3) Grading	36,201		481	2
3		(4) Other right-of-way expenditures	721			3
4		(5) Tunnels and subways	36			4
5		(6) Bridges, trestles, and culverts	31,201		1,157	5
6		(7) Elevated structures				6
7		(8) Ties	146,895		898	7
8		(9) Rail and other track material	226,252		712	8
9		(11) Ballast	59,198		699	9
10		(13) Fences, snowsheds, and signs	694			10
11		(16) Station and office buildings	13,190		16	11
12		(17) Roadway buildings	488			12
13		(18) Water stations	86			13
14		(19) Fuel stations	2,864			14
15		(20) Shops and enginehouses	25,775			15
16		(22) Storage warehouses				16
17		(23) Wharves and docks				17
18		(24) Coal and ore wharves				18
19		(25) TOFC/COFC terminals	5,487			19
20		(26) Communication systems	4,171			20
21		(27) Signals and interlockers	24,642			21
22		(29) Power plants	25			22
23		(31) Power-transmission systems	725			23
24		(35) Miscellaneous structures	280			24
25		(37) Roadway machines	869			25
26		(39) Public improvements - Construction	3,891		135	26
27		(44) Shop machinery	3,284			27
28		(45) Power-plant machinery	179			28
29		Other (specify and explain)				29
30		<b>TOTAL EXPENDITURES FOR ROAD</b>	<b>593,651</b>		<b>4,112</b>	<b>30</b>
31		(52) Locomotives	120,347		345	31
32		(53) Freight-train cars	132,278			32
33		(54) Passenger-train cars				33
34		(55) Highway revenue equipment				34
35		(56) Floating equipment				35
36		(57) Work equipment	978		22	36
37		(58) Miscellaneous equipment	7,572			37
38		(59) Computer systems and word processing equipment	2,641			38
39		<b>TOTAL EXPENDITURES FOR EQUIPMENT</b>	<b>263,816</b>		<b>367</b>	<b>39</b>
40		(76) Interest during construction	2,303			40
41		(80) Other elements of investment				41
42		(90) Construction in progress	54,540			42
43		<b>GRAND TOTAL</b>	<b>914,310</b>		<b>4,479</b>	<b>43</b>

\*\* See Footnote on Page 9.

## SCHEDULE 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT - Continued

(Dollars in Thousands)

Line No	Cross Check	Expenditures for additions during the year (e)	Credits for property retired during the year (f)	Net changes during the year (g)	Balance at close of year (h)	Line No.
1		305	1	318	6,815	1
2		497	136	842	37,043	2
3		23	13	10	731	3
4					36	4
5		4,001	72	5,086	36,287	5
6						6
7		8,979	3,162	6,715	153,610	7
8		25,816	6,131	20,397	246,649	8
9		2,304	1,016	1,987	61,185	9
10		5		5	699	10
11		976		992	14,182	11
12		8		8	496	12
13					86	13
14		70	6	64	2,928	14
15		973	4	969	26,744	15
16						16
17						17
18						18
19		121	1	120	5,607	19
20		1,443	31	1,412	5,583	20
21		402	166	236	24,878	21
22					25	22
23		29		29	754	23
24		32		32	312	24
25		379		379	1,248	25
26		27	12	150	4,041	26
27		35	8	27	3,311	27
28					179	28
29						29
30		46,425	10,759	39,778	633,429	30
31		3,869	515	3,699	124,046	31
32		13,009	1,009	12,000	144,278	32
33						33
34						34
35						35
36		112	45	89	1,067	36
37		344		344	7,916	37
38		1,224		1,224	3,865	38
39		18,558	1,569	17,356	281,172	39
40			2	(2)	2,301	40
41						41
42		(6,137)		(6,137)	48,403	42
43		58,846	12,330	50,995	965,305	43

## SCHEDULE 332 - DEPRECIATION BASE AND RATES—ROAD AND EQUIPMENT OWNED AND USED AND LEASED FROM OTHERS

(Dollars in Thousands)

1 Show in columns (b) and (e), for each primary account, the depreciation base used to compute the depreciation charges for the month of January, and in columns (c) and (f) show the depreciation base used to compute the depreciation charges for the month of December; in columns (d) and (g) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 39 of these columns show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the same month. The depreciation base should not include the cost of equipment used, but not owned, when the rents therefor are included in the rent for equipment and Account Nos. 31-22-00, 31-23-00, 31-25-00, 31-21-00, 35-21-00, 35-23-00, 35-22-00, and 35-25-00. It should include the cost of equipment owned and leased to others when the rents therefrom are included in the rent for equipment Accounts Nos. 32-21-00, 32-22-00, 32-23-00, 32-25-00, 36-21-00, 36-22-00, 36-23-00, and 36-25-00, inclusive. The composite rates used should be those prescribed or otherwise authorized by the Commission, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any changes in rates were effective during the year, give full particulars in a footnote.

2 All leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.

3. Show in columns (e), (f), and (g) data applicable to Lessor property, when the rent therefor is included in Account Nos. 31-11-00, 31-12-00, 31-13-00, 31-21-00, 31-22-00, and 31-23-00, inclusive.

4. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) effected.

5 Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively.

Line No.	Account  (a)	OWNED AND USED			LEASED FROM OTHERS			Line No.
		Depreciation base		Annual composite rate (percent) (d)	Depreciation base		Annual composite rate (percent) (g)	
		1/1 At beginning of year (b)	12/1 At close of year (c)		At beginning of year (e)	At close of year (f)		
	ROAD							
1	(3) Grading	35,699	36,407	0.79				1
2	(4) Other, right-of-way expenditures	721	713	1.59				2
3	(5) Tunnels and subways	36	36	0.93				3
4	(6) Bridges, trestles, and culverts	30,810	34,311	1.15				4
5	(7) Elevated structures							5
6	(8) Ties	146,102	151,891	4.46				6
7	(9) Rail and other track material	225,852	244,690	3.32				7
8	(11) Ballast	58,936	60,550	5.03				8
9	(13) Fences, snow sheds, and signs	694	699	0.55				9
10	(16) Station and office buildings	12,989	13,960	2.32				10
11	(17) Roadway buildings	488	496	3.07				11
12	(18) Water stations	86	86	6.49				12
13	(19) Fuel stations	2,864	2,928	2.90				13
14	(20) Shops and enginehouses	25,775	26,610	2.01				14
15	(22) Storage warehouses							15
16	(23) Wharves and docks							16
17	(24) Coal and ore wharves							17
18	(25) TOFC/COFC terminals	5,487	5,589	2.99				18
19	(26) Communication systems	4,171	5,583	2.35				19
20	(27) Signals and interlockers	24,622	24,861	2.18				20
21	(29) Power plants	25	25	5.14				21
22	(31) Power-transmission systems	725	754	1.03				22
23	(35) Miscellaneous structures	280	312	1.07				23
24	(37) Roadway machines	869	1,104	0.71				24
25	(39) Public improvements—Construction	3,891	4,024	1.32				25
26	(44) Shop machinery	3,284	3,299	2.67				26
27	(45) Power-plant machinery	179	179	1.97				27
28	All other road accounts							28
29	Amortization (Adjustments)							29
30	TOTAL ROAD	584,585	619,107	3.33				30
	EQUIPMENT							
31	(52) Locomotives	120,308	122,943	1.61				31
32	(53) Freight-train cars	132,276	144,168	2.12				32
33	(54) Passenger-train cars							33
34	(55) Highway revenue equipment							34
35	(56) Floating equipment							35
36	(57) Work equipment	946	932	2.01				36
37	(58) Miscellaneous equipment	7,553	7,897	4.88				37
38	(59) Computer systems and word processing equipment	2,624	3,784	19.27				38
39	TOTAL EQUIPMENT	263,707	279,724	2.20				39
40	GRAND TOTAL	848,292	898,831	N/A			N/A	40

## SCHEDULE 335 - ACCUMULATED DEPRECIATION--ROAD AND EQUIPMENT OWNED AND USED

(Dollars in Thousands)

1. Disclose the required information in regard to credits and debits to Account No. 735 "Accumulated depreciation: Road and Equipment Property," during the year relating to owned and used road and equipment. Include entries for depreciation of equipment owned but not used when the resulting rents are included in the "Lease Rentals--Credit--Equipment" accounts and "Other Rents--Credit--Equipment" accounts. Exclude any entries for depreciation of equipment that is used but not owned when the resulting rents are included in "Lease Rental--Debit--Equipment" account and "Other Rents--Debit--Equipment" accounts (See Schedule 351 for the accumulated depreciation to road and equipment owned and leased to others.)

2. If any data are included in columns (d) or (f), explain the entries in detail

3. A debit balance in columns (b) or (g) for any primary account should be designated "Dr "

4. If there is any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses, a full explanation should be given

5. Enter amounts representing amortization under an authorized amortization program other than for defense projects on lines 29 and 39.

Line No.	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No.
				During the year		During the year			
				Charges to operating expenses ** (c)	Other credits *** (d)	Retirements (e)	Other debits (f)		
		ROAD							
1		(3) Grading	14,519	293		131		14,681	1
2		(4) Other, right-of-way expenditures	307	11		12		306	2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts	10,901	388		281		11,008	4
5		(7) Elevated structures							5
6		(8) Ties	68,239	6,625		3,410		71,454	6
7		(9) Rail and other track material	58,823	7,432		2,399		63,856	7
8		(11) Ballast	34,676	3,027		1,011		36,692	8
9		(13) Fences, snow sheds, and signs	491	12				503	9
10		(16) Station and office buildings	5,968	261		(8)		6,237	10
11		(17) Roadway buildings	333	11		1		343	11
12		(18) Water stations	73	2		1		74	12
13		(19) Fuel stations	1,161	81		4		1,238	13
14		(20) Shops and enginehouses	9,205	476		3		9,678	14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals	2,856	167		1		3,022	18
19		(26) Communication systems	2,573	80		28		2,625	19
20		(27) Signals and interlockers	7,107	573		37		7,643	20
21		(29) Power plants	23			(1)		24	21
22		(31) Power-transmission systems	528	13		1		540	22
23		(35) Miscellaneous structures	175	6				181	23
24		(37) Roadway machines	803	7		(1)		811	24
25		(39) Public improvements—Construction	2,505	54		12		2,547	25
26		(44) Shop machinery *	1,791	62		9		1,844	26
27		(45) Power-plant machinery	172	2		1		173	27
28		All other road accounts							28
29		Amortization (Adjustments)	(944)	105				(839)	29
30		TOTAL ROAD	222,285	19,688		7,332		234,641	30
		EQUIPMENT							
31		(52) Locomotives	33,781	2,273	20,000	470		55,584	31
32		(53) Freight-train cars	103,884	2,769	(20,000)	711		85,942	32
33		(54) Passenger-train cars							33
34		(55) Highway revenue equipment							34
35		(56) Floating equipment							35
36		(57) Work equipment	946	(8)		37		901	36
37		(58) Miscellaneous equipment	316	381				697	37
38		(59) Computer systems and word processing equipment	1,128	641				1,769	38
39		Amortization Adjustments	120	(120)					39
40		TOTAL EQUIPMENT	140,175	5,936		1,218		144,893	40
41		GRAND TOTAL	362,460	25,624		8,550		379,534	41

\* To be reported with equipment expenses rather than W & S expenses

\*\*\* Transfer prescribed by ICC

\*\* Depreciation Expense is calculated using the remaining life method for KCSR

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - ASSETS

(Dollars in Thousands)

Line No	Cross Check	Account	Title (a)	Balance at close of year (b)	Balance at Beginning of year (c)	Line No.
<b>Current Assets</b>						
1		701	Cash	912	1,122	1
2		702	Temporary Cash Investments			2
3		703	Special Deposits			3
4		704	Accounts Receivable - Loan and Notes			4
5		705	- Interline and Other Balances			5
6		706	- Customers			6
7		707	- Other	517	179	7
8		709, 708	- Accrued Accounts Receivables			8
9		708.5	- Receivables from Affiliated Companies			9
10		709 5	- Less: Allowance for Uncollectible Accounts			10
11		710, 711, 714	Working Funds Prepayments Deferred Income Tax Debits		305	11
12		712	Materials and Supplies			12
13		713	Other Current Assets	771	(35)	13
14			<b>TOTAL CURRENT ASSETS</b>	<b>2,200</b>	<b>1,571</b>	<b>14</b>
<b>Other Assets</b>						
15		715, 716, 717	Special Funds			15
16		721, 721.5	Investments and Advances Affiliated Companies (Schedule 310 & 310A)	7,453	8,623	16
17		722, 723	Other Investments and Advances			17
18		724	Allowances for Net Unrealized Loss on Noncurrent Marketable Equity Securities—Cr			18
19		737, 738	Property Used in Other than Carrier Operation (less Depreciation) \$1,347	8,862	8,795	19
20		739, 741	Other Assets	28,950		20
21		743	Other Deferred Debits			21
22		744	Accumulated Deferred Income Tax Debits		54	22
23			<b>TOTAL OTHER ASSETS</b>	<b>45,265</b>	<b>17,472</b>	<b>23</b>
<b>Road and Equipment</b>						
24		731, 732	Road (Schedule 330) L-30 Col. h & b	19,403	17,770	24
25		731, 732	Equipment (Schedule 330) L-39 Col. h & b	45,014	46,663	25
26		731, 732	Unallocated Items			26
27		733, 735	Accumulated Depreciation and Amortization (Schedules 335, 342, 351)	(36,760)	(38,311)	27
28			<b>Net Road and Equipment</b>	<b>27,657</b>	<b>26,122</b>	<b>28</b>
29			<b>TOTAL ASSETS</b>	<b>75,122</b>	<b>45,165</b>	<b>29</b>

## NOTES AND REMARKS



## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - LIABILITIES AND SHAREHOLDERS' EQUITY

(Dollars in Thousands)

Line No	Cross Check	Account	Title (a)	Balance at close of year (b)	Balance at Beginning of year (c)	Line No.
<b>Current Liabilities</b>						
30		751	Loans and Notes Payable			30
31		752	Accounts Payable: Interline and Other Balances			31
32		753	Audited Accounts and Wages	2,849	1,167	32
33		754	Other Accounts Payable			33
34		755, 756	Interest and Dividends Payable	322	257	34
35		757	Payables to Affiliated Companies			35
36		759	Accrued Accounts Payable	13	25	36
37		760, 761, 761.5, 762	Taxes Accrued	473	(303)	37
38		763	Other Current Liabilities	5	6	38
39		764	Equipment Obligations and Other Long-Term Debt due Within One Year	7,908	7,842	39
40			<b>TOTAL CURRENT LIABILITIES</b>	<b>11,570</b>	<b>8,994</b>	<b>40</b>
<b>Non-Current Liabilities</b>						
41		765, 767	Funded Debt Unmatured			41
42		766	Equipment Obligations	11,750	18,312	42
43		766.5	Capitalized Lease Obligations			43
44		768	Debt in Default			44
45		769	Accounts payable: Affiliated Companies	36,121	4,564	45
46		770.1, 770.2	Unamortized Debt Premium			46
47		781	Interest in Default			47
48		783	Deferred Revenues-Transfers from Government Authorities			48
49		786	Accumulated Deferred Income Tax Credits	1,858	316	49
50		771, 772, 774, 775, 782, 784	Other Long-Term Liabilities and Deferred Credits	6		50
51			<b>TOTAL NONCURRENT LIABILITIES</b>	<b>49,735</b>	<b>23,192</b>	<b>51</b>
<b>Shareholders' Equity</b>						
52		791, 792	Total Capital Stock: (Schedule 230) (L 53 & 54)	10	10	52
53			Common Stock	10	10	53
54			Preferred Stock			54
55			Discount on Capital Stock			55
56		794, 795	Additional Capital (Schedule 230)	1,192	1,192	56
<b>Retained Earnings:</b>						
57		797	Appropriated			57
58		798	Unappropriated (Schedule 220)	12,615	11,777	58
59		798.1	Net Unrealized Loss on Noncurrent Marketable Equity Securities			59
60		798.5	Less Treasury Stock			60
61			<b>Net Stockholders Equity</b>	<b>13,817</b>	<b>12,979</b>	<b>61</b>
62			<b>TOTAL LIABILITIES AND SHAREHOLDERS EQUITY</b>	<b>75,122</b>	<b>45,165</b>	<b>62</b>

## NOTES AND REMARKS

## SCHEDULE 210 - RESULTS OF OPERATIONS

(Dollars in Thousands)

1 Disclose the requested information for the respondent pertaining to the results of operations for the year.

2 Report total operating expenses from Schedule 410 of this report. Any disparities in expense amounts shown in this schedule and expense amounts reported in Schedule 410 must be fully explained on page 18.

3 List dividends from investments accounted for under the cost method on the appropriate line No. 19 for Account No. 513. "Dividend Income" List dividends accounted for by the equity method on the appropriate dividend line No. 25 under the "Income from Affiliated Companies" subsection of this schedule.

4. All contra entries hereunder should be indicated in parentheses.

5. Cross-checks

Schedule 210  
Line 15, column (b)  
Line 47 plus 48 plus 49, column (b)  
Line 50, column (b)  
  
Line 14, column (b)  
Line 14, column (d)  
Line 14, column (e)  
Line 49, column (b)

Schedule 210  
= Line 62, column (b)  
= Line 63, column (b)  
= Line 64, column (b)  
Schedule 410  
= Line 620, column (h)  
= Line 620, column (f)  
= Line 620, column (g)

Line No.	Cross Check	Item (a)	Amount for current year (b)	Amount for preceding year (c)	Freight-related revenue & expenses (d)	Passenger-related revenue & expenses (e)	Line No.
		<b>ORDINARY ITEMS</b>					
		<b>OPERATING INCOME</b>					
		<b>Railway Operating Income</b>					
1		(101) Freight					1
2		(102) Passenger					2
3		(103) Passenger-Related					3
4		(104) Switching					4
5		(105) Water Transfers					5
6		(106) Demurrage					6
7		(110) Incidental					7
8		(121) Joint Facility-Credit					8
9		(122) Joint Facility-Debit					9
10		(501) Railway operating revenues (Exclusive of transfers from Government Authorities—lines 1–9)					10
11		(502) Railway operating revenues—Transfers from Government Authorities for current operations					11
12		(503) Railway operating revenues—Amortization of deferred transfers from Government Authorities					12
13		<b>TOTAL RAILWAY OPERATING REVENUES (lines 10–12)</b>					13
14		(531) Railway operating expenses	(3,935)	(3,241)	(3,935)		14
15		Net revenue from railway operations	3,935	3,241	3,935		15
		<b>OTHER INCOME</b>					
16		(506) Revenue from property used in other than carrier operations	1,413	508			16
17		(510) Miscellaneous rent income					17
18		(512) Separately operated properties—Profit					18
19		(513) Dividend Income (cost method)					19
20		(514) Interest Income	430	544			20
21		(516) Income from sinking and other funds					21
22		(517) Release of premiums on funded debt					22
23		(518) Reimbursements received under contracts and agreements					23
24		(519) Miscellaneous income	751	2,460			24
		Income from affiliated companies: 519					
25		a. Dividends (equity method)					25
26		b. Equity in undistributed earnings (losses)					26
27		<b>TOTAL OTHER INCOME (lines 16–26)</b>	2,594	3,512			27
28		<b>TOTAL INCOME (lines 15, 27)</b>	6,529	6,753			28
		<b>MISCELLANEOUS DEDUCTIONS FROM INCOME</b>					
29		(534) Expenses of property used in other than carrier operations	706	298			29
30		(544) Miscellaneous taxes					30
31		(545) Separately operated properties—Loss					31
32		(549) Maintenance of investment organization					32
33		(550) Income Transferred under contracts and agreements					33
34		(551) Miscellaneous income charges					34
35		(553) Uncollectible accounts					35
36		<b>TOTAL MISCELLANEOUS DEDUCTIONS (lines 29–35)</b>	706	298			36
37		<b>Income available for fixed charges (lines 28, 36)</b>	5,823	6,455			37

## SCHEDULE 210 - RESULTS OF OPERATIONS - Continued

(Dollars in Thousands)

Line No.	Cross Check	Item (a)	Amount for current year (b)	Amount for preceding year (c)	Line No.
<b>FIXED CHARGES</b>					
		(546) Interest on funded debt:			
38		(a) Fixed interest not in default	2,462	1,650	38
39		(b) Interest in default			39
40		(547) Interest on unfunded debt			40
41		(548) Amortization of discount on funded debt			41
42		TOTAL FIXED CHARGES (lines 38-41)	2,462	1,650	42
43		Income after fixed charges (lines 37, 42)	3,361	4,805	43
<b>OTHER DEDUCTIONS</b>					
		(546) Interest on funded debt:			
44		(c) Contingent interest			44
<b>UNUSUAL OR INFREQUENT ITEMS</b>					
45		(555) Unusual or infrequent items (debit) credit			45
46		Income (Loss) from continuing operations (before income taxes)	3,361	4,805	46
<b>PROVISIONS FOR INCOME TAXES</b>					
		(556) Income taxes on ordinary income:			
47		(a) Federal income taxes	(1,383)	381	47
48		(b) State income taxes	(197)	81	48
49		(c) Other income taxes			49
50		(557) Provision for deferred taxes	2,672	1,384	50
51		TOTAL PROVISIONS FOR INCOME TAXES (lines 47-50)	1,092	1,846	51
52		Income from continuing operations (lines 46-51)	2,269	2,959	52
<b>DISCONTINUED OPERATIONS</b>					
		(560) Income or loss from operations of discontinued segments (less applicable income taxes of \$ )			
53					53
54		(562) Gain or loss on disposal of discontinued segments (less applicable income taxes of \$ )			54
55		Income before extraordinary items (lines 52 + 53 + 54)	2,269	2,959	55
<b>EXTRAORDINARY ITEMS AND ACCOUNTING CHANGES</b>					
56		(570) Extraordinary items (Net)			56
57		(590) Income taxes on extraordinary items			57
58		(591) Provision for deferred taxes—Extraordinary items			58
59		TOTAL EXTRAORDINARY ITEMS (lines 56-58)			59
60		(592) Cumulative effect of changes in accounting principles (less applicable tax of \$ 2 )	(4)		60
61		Net income (Loss) (lines 55 + 59 + 60)	2,265	2,959	61
<b>Reconciliation of net railway operating income (NROI)</b>					
62		Net revenues from railway operations			62
63		(556) Income taxes on ordinary income (-)			63
64		(557) Provision for deferred taxes (-)			64
65		Income from lease of road and equipment (-)			65
66		Rent for leased roads and equipment (+)			66
67		Net railway operating income (loss)			67

## SCHEDULE 220 - RETAINED EARNINGS

(Dollars in Thousands)

1. Show below the items of Retained Earnings Accounts of the respondent for the year, classified in accordance with the Uniform System of Accounts for Railroad Companies.

2. All contra entries hereunder should be shown in parentheses

3. Show under "Remarks" the amount of assigned Federal income tax consequences for Accounts 606 and 616

4. Segregate in column (c) all amounts applicable to the equity in undistributed earnings (losses) of affiliated companies based on the equity method of accounting.

5. Line 3 (line 7 if debit balance), column (c), should agree with line 26, column (b), Schedule 210. The total of columns (b) and (c), lines 3 and 7, should agree with line 61 column (b), Schedule 210.

6. Include in column (b) only amounts applicable to retained earnings exclusive of any amounts included in column (c)

Line No.	Cross Check	Item  (a)	Retained earnings- Unappropriated  (b)	Equity in undistributed earnings (losses) of affiliated companies  (c)	Line No.
1		Balances at beginning of year	11,777		1
2		(601.5) Prior period adjustments to beginning retained earnings			2
3		<b>CREDITS</b> (602) Credit balance transferred from income	2,265		3
4		(603) Appropriations released			4
5		(606) Other credits to retained earnings			5
6		<b>TOTAL</b>	2,265		6
7		<b>DEBITS</b> (612) Debit balance transferred from income			7
8		(616) Other debits to retained earnings			8
9		(620) Appropriations for sinking and other funds			9
10		(621) Appropriations for other purposes			10
11		(623) Dividends: Common stock	1,427		11
12		Preferred stock*			12
13		<b>TOTAL</b>	1,427		13
14		Net increase (decrease) during Year (Line 6 minus line 13)	838		14
15		Balances at close of year (lines 1, 2, and 14)	12,615		15
16		Balances from line 15 (c)		N/A	16
17		Total unappropriated retained earnings and equity in undistributed earnings (losses) of affiliated companies at end (798) of year	12,615		17
18		(797) Total appropriated retained earnings:			18
19		Credits during year \$ _____			19
20		Debits during year \$ _____			20
21		Balance at Close of year \$ _____			21
22		Amount of assigned Federal income tax consequences: Account 606 \$ _____			22
23		Account 616 \$ _____			23

\* If any dividends have not been declared on cumulative preferred stock, give cumulative undeclared dividends at beginning of year and end of year.

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## SCHEDULE 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES

1 Give particulars of investments in stocks, bonds, other secured obligations, unsecured notes, and investment advances of companies affiliated with respondent, included in accounts Nos. 715, "Sinking Funds", 716, "Capital Funds", 721, "Investments and Advances Affiliated Companies", and 717, "Other Funds"

2 Entries in this schedule should be made in accordance with the definitions and general instructions given on page 18, classifying the investments by means of letters, figures, and symbols in columns (a), (b), and (c).

3. Indicate by means of an arbitrary mark in column (d) the obligation in support of which any security is pledged, mortgaged, or otherwise encumbered, giving names and other important particulars of such obligations in footnotes.

4 Give totals for each class and for each subclass and a grand total for each account.

5. Entries in column (d) should show date of maturity of bonds and other evidences of indebtedness. In case obligations of the same designation mature serially, the date in column (d) may be reported as "Serially 19\_\_ to 19\_\_." Abbreviations in common use in standard financial publications may

Line No.	Account No.	Class No.	Kind of Industry	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds)	Extent of control	Line No.
	(a)	(b)	(c)	(d)	(e)	
1						1
2				Advances		2
3						3
4	721	E - 3	X	Carland Advances to its Affiliates		4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14						14
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36						36
37						37
38						38
39						39
40						40

**SCHEDULE 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued**

(Dollars in Thousands)

be used to conserve space.

6. If any of the companies included in this schedule are controlled by respondent the percent of control should be shown in column (e). In case any company listed is controlled other than through actual ownership of securities, give particulars in a footnote. In cases of joint control, give names of other parties and particulars of control.

7. If any advances reported are pledged, give particulars in a footnote.

8. Investments in companies in which neither the original cost or present equity in total assets are less than \$10,000 may be combined in one figure.

9. Also included should be investments in unincorporated entities such as lessee organizations (exclusive of amounts nominally settled on a current basis).

10. This schedule should not include securities issued or assumed by respondent.

11. For affiliates which do not report to the Interstate Commerce Commission and are jointly owned, give names and extent of control of other entities by footnotes

Line No.	Investments and Advances				Disposed of: profit (loss)	Adjustments Account 721.5	Dividends or Interest credited to Income (l)	Line No.
	Opening Balance (f)	Additions (g)	Deductions (if other than sale, explain) (h)	Closing Balance (i)				
1								1
2								2
3								3
4	8,623	985	2,155	7,453			427	4
5								5
6								6
7								7
8								8
9								9
10								10
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40								40

## SCHEDULE 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT

(Dollars in Thousands)

Line No	Cross Check	Account (a)	Balance at beginning of year (b)	Expenditures during the year for original road and equipment, and road extensions (c)	Expenditures during the year for purchase of existing lines, reorganizations, etc. (d)	Line No.
1		(2) Land for transportation purposes				1
2		(3) Grading				2
3		(4) Other right-of-way expenditures				3
4		(5) Tunnels and subways				4
5		(6) Bridges, trestles, and culverts				5
6		(7) Elevated structures				6
7		(8) Ties				7
8		(9) Rail and other track material				8
9		(11) Ballast				9
10		(13) Fences, snowsheds, and signs				10
11		(16) Station and office buildings	58			11
12		(17) Roadway buildings				12
13		(18) Water stations				13
14		(19) Fuel stations				14
15		(20) Shops and enginehouses				15
16		(22) Storage warehouses				16
17		(23) Wharves and docks				17
18		(24) Coal and ore wharves				18
19		(25) TOFC/COFC terminals	141			19
20		(26) Communication systems	338			20
21		(27) Signals and interlockers				21
22		(29) Power plants				22
23		(31) Power-transmission systems				23
24		(35) Miscellaneous structures				24
25		(37) Roadway machines	16,855			25
26		(39) Public improvements - Construction				26
27		(44) Shop machinery	378			27
28		(45) Power-plant machinery				28
29		Other (specify and explain)				29
30		<b>TOTAL EXPENDITURES FOR ROAD</b>	<b>17,770</b>			<b>30</b>
31		(52) Locomotives	6,157			31
32		(53) Freight-train cars	14,889			32
33		(54) Passenger-train cars				33
34		(55) Highway revenue equipment				34
35		(56) Floating equipment				35
36		(57) Work equipment	18,184			36
37		(58) Miscellaneous equipment	4,020			37
38		(59) Computer systems and word processing equipment	3,413			38
39		<b>TOTAL EXPENDITURES FOR EQUIPMENT</b>	<b>46,663</b>			<b>39</b>
40		(78) Interest during construction				40
41		(80) Other elements of investment				41
42		(90) Construction in progress				42
43		<b>GRAND TOTAL</b>	<b>64,433</b>			<b>43</b>



**SCHEDULE 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT - Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Expenditures for additions during the year (e)	Credits for property retired during the year (f)	Net changes during the year (g)	Balance at close of year (h)	Line No.
1						1
2						2
3						3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11					58	11
12						12
13						13
14						14
15						15
16						16
17						17
18						18
19					141	19
20					338	20
21						21
22						22
23						23
24						24
25		2,946	1,314	1,632	18,487	25
26						26
27		25	24	1	379	27
28						28
29						29
30		2,971	1,338	1,633	19,403	30
31			134	(134)	6,023	31
32		770	859	(89)	14,800	32
33						33
34						34
35						35
36		2,893	1,707	1,186	19,370	36
37		1,027	363	664	4,684	37
38			3,276	(3,276)	137	38
39		4,690	6,339	(1,649)	45,014	39
40						40
41						41
42						42
43		7,661	7,677	(16)	64,417	43

**SCHEDULE 332 - DEPRECIATION BASE AND RATES—ROAD AND EQUIPMENT OWNED AND USED AND LEASED FROM OTHERS**

(Dollars in Thousands)

1 Show in columns (b) and (e), for each primary account, the depreciation base used to compute the depreciation charges for the month of January, and in columns (c) and (f) show the depreciation base used to compute the depreciation charges for the month of December; in columns (d) and (g) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 39 of these columns show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the same month. The depreciation base should not include the cost of equipment used, but not owned, when the rents therefor are included in the rent for equipment and Account Nos. 31-22-00, 31-23-00, 31-25-00, 31-21-00, 35-21-00, 35-23-00, 35-22-00, and 35-25-00. It should include the cost of equipment owned and leased to others when the rents therefrom are included in the rent for equipment Accounts Nos. 32-21-00, 32-22-00, 32-23-00, 32-25-00, 36-21-00, 36-22-00, 36-23-00, and 36-25-00, inclusive. The composite rates used should be those prescribed or otherwise authorized by the Commission, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any changes in rates were effective during the year, give full particulars in a footnote.

2. All leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.

3 Show in columns (e), (f), and (g) data applicable to Lessor property, when the rent therefor is included in Account Nos. 31-11-00, 31-12-00, 31-13-00, 31-21-00, 31-22-00, and 31-23-00, inclusive.

4. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.

5 Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively.

Line No.	Account  (a)	OWNED AND USED			LEASED FROM OTHERS			Line No.
		Depreciation base		Annual composite rate (percent) (d)	Depreciation base		Annual composite rate (percent) (g)	
		1/1 At beginning of year (b)	12/1 At close of year (c)		At beginning of year (e)	At close of year (f)		
	ROAD							
1	(3) Grading							1
2	(4) Other, right-of-way expenditures							2
3	(5) Tunnels and subways							3
4	(6) Bridges, trestles, and culverts							4
5	(7) Elevated structures							5
6	(8) Ties							6
7	(9) Rail and other track material							7
8	(11) Ballast							8
9	(13) Fences, snow sheds, and signs							9
10	(16) Station and office buildings	58	58					10
11	(17) Roadway buildings							11
12	(18) Water stations							12
13	(19) Fuel stations							13
14	(20) Shops and enginehouses							14
15	(22) Storage warehouses							15
16	(23) Wharves and docks							16
17	(24) Coal and ore wharves							17
18	(25) TOFC/COFC terminals	141	141					18
19	(26) Communication systems	338	338					19
20	(27) Signals and interlockers							20
21	(29) Power plants							21
22	(31) Power-transmission systems							22
23	(35) Miscellaneous structures							23
24	(37) Roadway machines	16,855	18,507	11.86				24
25	(39) Public improvements—Construction							25
26	(44) Shop machinery	378	379	1.30				26
27	(45) Power-plant machinery							27
28	All other road accounts							28
29	Amortization (Adjustments)							29
30	TOTAL ROAD	17,770	19,423	11.32				30
	EQUIPMENT							
31	(52) Locomotives	6,157	6,024	9.37				31
32	(53) Freight-train cars	14,889	14,657	7.21				32
33	(54) Passenger-train cars							33
34	(55) Highway revenue equipment							34
35	(56) Floating equipment							35
36	(57) Work equipment	18,184	19,345	8.04				36
37	(58) Miscellaneous equipment	4,020	4,730	12.47				37
38	(59) Computer systems and word processing equipment	3,413	137	16.70				38
39	TOTAL EQUIPMENT	46,663	44,893	8.44				39
40	GRAND TOTAL	64,433	64,316	N/A			N/A	40

**SCHEDULE 335 - ACCUMULATED DEPRECIATION--ROAD AND EQUIPMENT OWNED AND USED**

(Dollars in Thousands)

1 Disclose the required information in regard to credits and debits to Account No. 735. "Accumulated depreciation: Road and Equipment Property," during the year relating to owned and used road and equipment. Include entries for depreciation of equipment owned but not used when the resulting rents are included in the "Lease Rentals—Credit—Equipment" accounts and "Other Rents—Credit—Equipment" accounts. Exclude any entries for depreciation of equipment that is used but not owned when the resulting rents are included in "Lease Rental—Debit—Equipment" account and "Other Rents—Debit—Equipment" accounts. (See Schedule 351 for the accumulated depreciation to road and equipment owned and leased to others)

2. If any data are included in columns (d) or (f), explain the entries in detail.

3. A debit balance in columns (b) or (g) for any primary account should be designated "Dr."

4. If there is any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses, a full explanation should be given

5. Enter amounts representing amortization under an authorized amortization program other than for defense projects on lines 29 and 39.

Line No.	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No.
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)		
ROAD									
1		(3) Grading							1
2		(4) Other, right-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts							4
5		(7) Elevated structures							5
6		(8) Ties							6
7		(9) Rail and other track material							7
8		(11) Ballast							8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings	58					58	10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals	141					141	18
19		(26) Communication systems	338					338	19
20		(27) Signals and interlockers							20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines	11,063	1,984		1,314		11,733	24
25		(39) Public improvements—Construction							25
26		(44) Shop machinery *	377	4		24		357	26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		Amortization (Adjustments)							29
30		TOTAL ROAD	11,977	1,988		1,338		12,627	30
EQUIPMENT									
31		(52) Locomotives	2,985	564		134		3,415	31
32		(53) Freight-train cars	5,213	1,024		811		5,426	32
33		(54) Passenger-train cars							33
34		(55) Highway revenue equipment							34
35		(56) Floating equipment							35
36		(57) Work equipment	12,575	1,538		1,539		12,574	36
37		(58) Miscellaneous equipment	2,288	720		330		2,678	37
38		(59) Computer systems and word processing equipment	3,274	25		3,260		39	38
39		Amortization Adjustments							39
40		TOTAL EQUIPMENT	26,335	3,871		6,074		24,132	40
41		GRAND TOTAL	38,312	5,859		7,412		36,759	41

\* To be reported with equipment expenses rather than W & S expenses.