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RC-134500 KANSAS CITY SOUTHERN  
1999

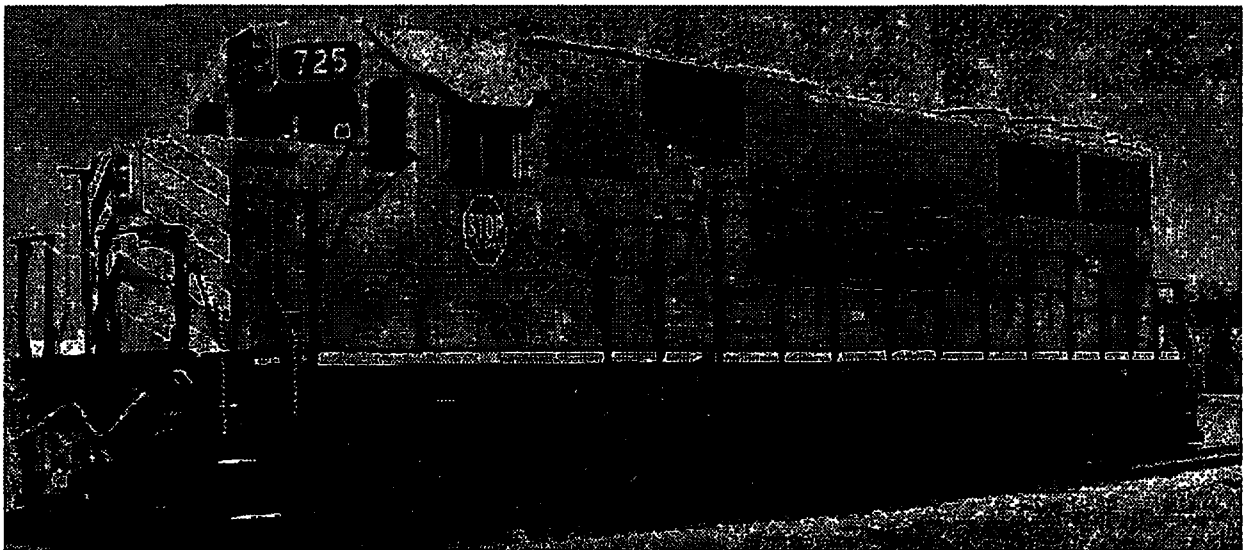


134500

ACAA-R1  
APPROVED BY OMB  
2140-0009  
EXPIRES 11-30-2000

# Class I Railroad Annual Report

	<p>RCD00352 R186</p> <p>Kansas City Southern Railway Company 114 West 11th Street Kansas City, Missouri 64105</p>
<p>Correct name and address if different than shown</p>	<p>Full name and address of reporting carrier (Use mailing label on original, copy on duplicate.)</p>



To The  
**Surface Transportation Board**  
For The Year Ended December 31, 1999

## NOTICE

1. This report is required for every class I railroad operating within the United States. Three copies of this Annual Report should be completed. Two of the copies must be filed with the Surface Transportation Board, Office of Economics, Environmental Analysis, and Administration, The Mercury Building, 1925 K St. N.W., Suite 500, Washington, DC 20423, by March 31 of the year following that for which the report is made. One copy should be retained by the carrier.
2. Every inquiry must be definitely answered. Where the word "none" truly and completely states the fact, it should be given as the answer. If any inquiry is inapplicable, the words "not applicable" should be used.
3. Wherever the space provided in the schedules is insufficient to permit a full and complete statement of the requested information, inserts should be prepared and appropriately identified by the number of the schedule.
4. All entries should be made in a permanent black ink or typed. Those of a contrary character must be indicated in parenthesis. Items of an unusual character must be indicated by appropriate symbols and explained in footnotes.
5. Money items, except averages, throughout the annual report form should be shown in thousands of dollars adjusted to accord with footings. Totals for amounts reported in subsidiary accounts included in supporting schedules must be in agreement with related primary accounts. For purposes of rounding, amounts of \$500 but less than \$1,000 should be raised to the nearest thousand dollars, and amounts of less than \$500 should be lowered.
6. Except where the context clearly indicates some other meaning, the following terms when used in this Form have the following meanings:
  - a) Board means *Surface Transportation Board*.
  - b) Respondent means *the person or corporation in whose behalf the report is made*.
  - c) Year means *the year ended December 31 for which the report is being made*.
  - d) Close of the Year means *the close of business on December 31 for the year in which the report is being made*. If the report is made for a shorter period than one year, it means *the close of the period covered by the report*.
  - e) Beginning of the Year means *the beginning of business on January 1 of the year for which the report is being made*. If the report is made for a shorter period than one year, it means *the beginning of that period*.
  - f) Preceding Year means *the year ended December 31 of the year preceding the year for which the report is made*.
  - g) The Uniform System of Accounts for Railroad Companies means *the system of accounts in Part 1201 of Title 49, Code of Federal Regulation, as amended*.
7. The ICC Termination Act of 1995 abolished the Interstate Commerce Commission and replaced it with the Surface Transportation Board. Any references to the Interstate Commerce Commission or Commission contained in this report refer to the Surface Transportation Board.
8. Any references to the Bureau of Accounts or the Office of Economics contained in this report refer to the Office of Economics, Environmental Analysis, and Administration of the Surface Transportation Board.

For Index, See Back of Form

# ANNUAL REPORT

OF

**THE KANSAS CITY SOUTHERN RAILWAY COMPANY**

TO THE

**SURFACE TRANSPORTATION BOARD**

FOR THE

**YEAR ENDED DECEMBER 31, 1999**

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Name, official title, telephone number, and office address of officer in charge of correspondence with the Commission regarding this report:

(Name) L.G. VAN HORN (Title) VICE PRESIDENT & COMPTROLLER

(Telephone number) 816 983 - 1303  
(Area code) (Telephone number)

(Office address) 114 WEST 11th STREET KANSAS CITY, MISSOURI 64105  
(Street and number, city, State, and ZIP code)

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**SPECIAL NOTICE**

Docket No. 38559 Railroad Classification Index, served January 20, 1983, modified the reporting requirements for class II, III and all Switching and terminal companies. These carriers will notify the Board only if the calculation results in a different revenue level than its current classification.

The dark border on the schedules represents data that are captured for processing by the Surface Transportation Board.

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It is estimated that an average of 800 burden hours per response are required to complete this collection of information. This estimate includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Comments concerning the accuracy of this burden estimate or suggestions for reducing this burden should be directed to the Office of the Secretary, Surface Transportation Board, and the Office of Information and Regulatory Affairs, Office of Management and Budget.

**A. SCHEDULES OMITTED BY RESPONDENT**

1. The respondent, at its option, may omit pages from this report provided there is nothing to report or the schedules are not applicable.
2. Show below the pages excluded and indicate the schedule number and title in the space provided below.
3. If no schedules were omitted indicate "NONE."

Page	Schedule No.	Title
12 - 15	200	Comparative Statement of Financial Position Explanatory Notes Blank Pages



**B. IDENTITY OF RESPONDENT**

Answers to the questions asked should be made in full, without reference to data returned on the corresponding page of previous reports. In case any changes of the nature referred to under inquiry 4 on this page have taken place during the year covered by this report, they *should be explained in full detail*

1. Give in full the exact name of the respondent. Use the words "The" and "Company" only when they are parts of the corporate name. Be careful to distinguish between *railroad* and *railway*. The corporate name should be given uniformly throughout the report, notably on the cover, on the title page, and in the "Verification." If the report is made by receivers, trustees, a committee of bondholders, or individuals otherwise in possession of the property, state names and facts with precision. If the report is for a consolidated group, pursuant to Special Permission from the Board, indicate such fact on line 1 below and list the consolidated group on page 4

2. If incorporated under a special charter, give date of passage of the act; if under a general law, give date of filing certificate of organizations; if a reorganization has been effected, give date of reorganization. If a receivership or other trust, give also date which such receivership or other possession began. If a partnership, give date of formation and also names in full of present partners.

3. State the occasion for the reorganization, whether by reason of foreclosure of mortgage or otherwise, according to the fact. Give date of organization of original corporation and refer to laws under which organized.

1. Exact name of common carrier making this report The Kansas City Southern Railway Company

2. Date of incorporation March 19, 1900

3. Under laws of what Government, State or Territory organized? If more than one, name all. If in bankruptcy, give court of jurisdiction and dates of beginning of receivership or trusteeship and of appointment of receivers or trustees

State of Missouri

Article II Chapter XII Revised Statutes of Missouri 1899

4. If the respondent was reorganized during the year, involved in a consolidation or merger, or conducted its business under a different name, give full

N/A

**STOCKHOLDERS REPORTS**

5. The respondent is required to send to the Bureau of Accounts, immediately upon preparation, two copies of its latest annual report to stockholders.

Check appropriate box:

☐ Two copies are attached to this report.

☐ Two copies will be submitted \_\_\_\_\_  
(date)

☒ No annual report to stockholders is prepared.

## C. VOTING POWERS AND ELECTIONS

1. State the par value of each share of stock: Common, \$ No Par per share; first preferred, \$ 350,000 per share; second preferred, \$ None per share; debenture stock, \$ None per share.
2. State whether or not each share of stock has the right to one vote; if not, give full particulars in a footnote Yes.
3. Are voting rights proportional to holdings? Yes If not, state in a footnote the relation between holdings and corresponding voting rights.
4. Are voting rights attached to any securities other than stock? No If so, name in a footnote each security, other than stock to which voting rights are attached (as of the close of the year), and state in detail the relation between holdings and corresponding voting rights, stating whether voting rights are actual or contingent, and if contingent, showing the contingency.
5. Has any class or issue of securities any special privileges in the election of directors, trustees, or managers, or in the determination of corporate action by any method? No If so, describe fully in a footnote each such class or issue and give a succinct statement showing clearly the character and extent of such privileges.
6. Give the date of the latest closing of the stock book prior to the actual filing of this report, and state the purpose of such closing \_\_\_\_\_  
Stock book was not closed in 1999. Last closed April 3, 1981
7. State the total voting power of all security holders of the respondent at the date of such closing, if within one year of the date of such filing; if not, state as of the close of the year. 9,840,057 votes, as of December 31, 1999  
(Date)
8. State the total number of stockholders of record, as of the date shown in answer to inquiry No. 7. 1 stockholder.
9. Give the names of the thirty security holders of the respondent who, at the date of the latest closing of the stock book or compilation of list of stockholders of the respondent (if within 1 year prior to the actual filing of this report), had the highest voting powers in the respondent, showing for each his address, the number of votes to which he was entitled, which respect to securities held by him, such securities being classified as common stock, second preferred stock, first preferred stock, and other securities, stating in a footnote the names of such other securities (if any). If any such holder held in trust, give (in a footnote) the particulars of the trust. In the case of voting trust agreements, give as supplemental information the names and addresses of the thirty largest holders of the voting trust certificates and the amount of their individual holdings. *If the stock book was not closed or the list of stockholders compiled within such year, show such thirty security holders as of the close of the year.*

Line No.	Name of security holder	address of security holder	Number of votes to which security holder was entitled	NUMBER OF VOTES, CLASSIFIED WITH RESPECT TO SECURITIES ON WHICH BASED			Line No.
				Stock			
				Common (d)	PREFERRED		
Second (e)	First (f)						
1	Kansas City Southern Lines, Inc.	114 West 11th Street Kansas City, Missouri 64105	9,840,057	9,840,000		57	1
2							2
3							3
4							4
5							5
6							6
7							7
8							8
9							9
10							10
11							11
12							12
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24							24
25							25
26							26
27							27
28							28
29							29
30							30

**C. VOTING POWERS AND ELECTIONS - Continued**

10. State the total number of votes cast at the latest general meeting for the election of directors of the respondent. 9,840,057  
votes cast.

11. Give the date of such meeting. May 6, 1999

12. Give the place of such meeting. Kansas City, Missouri 64105

**NOTES AND REMARKS**

## SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - ASSETS

(DOLLARS IN THOUSANDS)

Line No.	Cross Check	Account	Title (a)	Balance at close of year (b)	Balance at Beginning of year (c)	Line No
Current Assets						
1		701	Cash	3,834	(557)	1
2		702	Temporary Cash Investments			2
3		703	Special Deposits	33	38	3
4		704	Accounts Receivable - Loan and Notes	232	300	4
5		705	- Interline and Other Balances	3,007	3,829	5
6		706	- Customers	60,081	67,520	6
7		707	- Other	14,119	8,539	7
8		709, 708	- Accrued Accounts Receivables	40,676	42,124	8
9		708.5	- Receivables from Affiliated Companies			9
10		709.5	- Less: Allowance for Uncollectible Accounts	(2,005)	(3,563)	10
11		710, 711, 714	Working Funds Prepayments Deferred Income Tax Debits	7,908	8,264	11
12		712	Materials and Supplies	37,759	43,609	12
13		713	Other Current Assets			13
14			TOTAL CURRENT ASSETS	165,644	170,103	14
Other Assets						
15		715, 716, 717	Special Funds			15
16		721, 721.5	Investments and Advances Affiliated Companies (Schedule 310 & 310A)	55,609	32,416	16
17		722, 723	Other Investments and Advances	134	3	17
18		724	Allowances for Net Unrealized Loss on Noncurrent Marketable Equity Securities-Cr			18
19		737, 738	Property Used in Other than Carrier Operation (less Depreciation) \$317	2,399	2,185	19
20		739, 741	Other Assets	(1)	2	20
21		743	Other Deferred Debits	54,435	29,518	21
22		744	Accumulated Deferred Income Tax Debits			22
23			TOTAL OTHER ASSETS	112,576	64,124	23
Road and Equipment						
24		731, 732	Road (Schedule 330) L-30 Col h & b	1,283,396	1,238,562	24
25		731, 732	Equipment (Schedule 330) L-39 Col h & b	274,920	279,556	25
26		731, 732	Unallocated Items	96,553	68,296	26
27		733, 735	Accumulated Depreciation and Amortization (Schedules 335, 342, 351)	(520,827)	(481,439)	27
28			Net Road and Equipment	1,134,042	1,104,975	28
29			TOTAL ASSETS	1,412,262	1,339,202	29

## NOTES AND REMARKS

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - LIABILITIES AND SHAREHOLDERS' EQUITY**  
(DOLLARS IN THOUSANDS)

Line No.	Cross Check	Account	Title (a)	Balance at close of year (b)	Balance at Beginning of year (c)	Line No
<b>Current Liabilities</b>						
30		751	Loans and Notes Payable			30
31		752	Accounts Payable. Interline and Other Balances	25,572	26,977	31
32		753	Audited Accounts and Wages	41,715	31,743	32
33		754	Other Accounts Payable	3,673	(1,059)	33
34		755, 756	Interest and Dividends Payable	828	966	34
35		757	Payables to Affiliated Companies			35
36		759	Accrued Accounts Payable	109,502	108,594	36
37		760, 761, 761.5, 762	Taxes Accrued	9,674	(3,759)	37
38		763	Other Current Liabilities	83	183	38
39		764	Equipment Obligations and Other Long-Term Debt due Within One Year	10,092	10,181	39
40			<b>TOTAL CURRENT LIABILITIES</b>	<b>201,139</b>	<b>173,826</b>	<b>40</b>
<b>Non-Current Liabilities</b>						
41		765, 767	Funded Debt Unmatured			41
42		766	Equipment Obligations	54,938	64,691	42
43		766.5	Capitalized Lease Obligations	3,613	3,958	43
44		768	Debt in Default			44
45		769	Accounts payable. Affiliated Companies	394,271	376,871	45
46		770.1, 770.2	Unamortized Debt Premium			46
47		781	Interest in Default			47
48		783	Deferred Revenues-Transfers from Government Authorities			48
49		786	Accumulated Deferred Income Tax Credits	280,923	273,641	49
50		771, 772, 774, 775, 782, 784	Other Long-Term Liabilities and Deferred Credits	71,760	72,471	50
51			<b>TOTAL NONCURRENT LIABILITIES</b>	<b>805,505</b>	<b>791,632</b>	<b>51</b>
<b>Shareholders' Equity</b>						
52		791, 792	Total Capital Stock. (Schedule 230) (L 53 & 54)	57,504	57,504	52
53			Common Stock	36,504	36,504	53
54			Preferred Stock	21,000	21,000	54
55			Discount on Capital Stock			55
56		794, 795	Additional Capital (Schedule 230)	76,907	67,105	56
57		797	Retained Earnings- Appropriated			57
58		798	Unappropriated (Schedule 220)	274,994	252,922	58
59		798.1	Net Unrealized Loss on Noncurrent Marketable Equity Securities			59
60		798.5	Less Treasury Stock	(3,787)	(3,787)	60
61			Net Stockholders Equity	405,618	373,744	61
62			<b>TOTAL LIABILITIES AND SHAREHOLDERS EQUITY</b>	<b>1,412,262</b>	<b>1,339,202</b>	<b>62</b>

**NOTES AND REMARKS**

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES**

(Dollars in Thousands)

The notes listed below are provided to disclose supplementary information on matters which have an important effect on the financial condition of the carrier. The carrier shall give the particulars called for herein and where there is nothing to report, insert the word "none"; and in addition thereto shall enter in separate notes with suitable particulars other matters involving material amounts of the character commonly disclosed in financial statements under generally accepted accounting and reporting principles, except as shown in other schedules. This includes statements explaining (1) service interruption insurance policies and indicating the amount of indemnity to which respondent will be entitled for work stoppage losses and the maximum amount of additional premium respondent may be obligated to pay in the event such losses are sustained by other railroads; (2) particulars concerning obligations for stock purchase options granted to officers and employees; and (3) what entries have been made for net income or retained income restricted under provisions of mortgages and other arrangements.

1. Amount (estimated, if necessary) of net income or retained income which has to be provided for capital expenditures, and for sinking and other funds pursuant to provisions of reorganization plans, mortgages, deeds of trust, or other contracts \_\_\_\_\_ \$ None

2. Estimated amount of future earnings which can be realized before paying Federal income taxes because of unused and available net operating loss carryover on January 1 of year following that for which the report is made \_\_\_\_\_ \$ None

3. (a) Explain the procedure in accounting for pension funds and recording in the accounts the current and past service pension costs, indicating whether or not consistent with the prior year In 1980 the Company implemented a qualified Profit Sharing

Plan. The amount to be charged to income each year is the amount to be deposited under the plan.

(b) State amount, if any, representing the excess of the actuarially computed value of vested benefits over the total of the pension fund \_\_\_\_\_  
Not Applicable \$

(c) Is any part of pension plan funded? Specify. Yes \_\_\_\_\_ No \_\_\_\_\_

(i) If funding is by insurance, give name of insuring company \_\_\_\_\_

If funding is by trust agreement, list trustee(s) \_\_\_\_\_

Date of trust agreement or latest amendment \_\_\_\_\_

If respondent is affiliated in any way with the trustee(s), explain affiliation: \_\_\_\_\_

(d) List affiliated companies which are included in the pension plan funding agreement and describe basis for allocating charges under the agreement \_\_\_\_\_  
Not

(e) (i) Is any part of the pension plan fund invested in stock or other securities of the respondent or any of its affiliates? Specify.

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, give number of the shares for each class of stock or other security \_\_\_\_\_

Not Applicable

(ii) Are voting rights attached to any securities held by the pension plan? Specify. Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, who determines how stock is voted? \_\_\_\_\_  
Not Applicable

4. State whether a segregated political fund has been established as provided by the Federal Election Campaign Act of 1971 (18 U.S.C. 610).  
Yes \_\_\_\_\_ No X

5. (a) The amount of employers contribution to employee stock ownership loans for the current year was \$ None

(b) The amount of investment tax credit used to reduce current income tax expense resulting from contributions to qualified employee stock ownership plans for the current year was \$ None

6. In reference to Docket No. 37465 specify the total amount of business entertainment expenditures charged to the non-operating expense account. \$ None

Continued on following page

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES**

7 Give particulars with respect to contingent assets and liabilities at the close of the year, in accordance with Instruction 5-6 in the Uniform System of Accounts for Railroad Companies, that are not reflected in the amounts of the respondent.

Disclose the nature and amount of contingency that is material.

Examples of contingent liabilities are items which may become obligations as a result of pending or threatened litigation, assessments or possible assessments of additional taxes and agreements or obligations to repurchase securities or property. Additional pages may be added if more space is needed. (Explain and/or reference to the following pages.)

**Litigation:**

The Company is involved as plaintiff or defendant in various legal actions arising in the normal course of business. While the ultimate outcome of the various legal proceedings involving the Company cannot be predicted with certainty, it is the opinion of management, after consultation with legal counsel, that these legal actions currently are not material to the financial position of the Company. Based upon information currently available, the Company believes that its litigation reserves are adequate. The following outlines two significant ongoing cases:

**Duncan Case**

In 1998, a jury in Beauregard Parish, Louisiana returned a verdict against KCSR in the amount of \$16.3 million. This Louisiana state case arose from a railroad crossing accident which occurred at Oretta, Louisiana on September 11, 1994, in which three individuals were injured. Of the three, one was injured fatally, one was rendered quadriplegic and the third suffered less serious injuries.

Subsequent to the verdict, the trial court held that the plaintiffs were entitled to interest on the judgement from the date the suit was filed, dismissed the verdict against one defendant and reallocated the amount of that verdict to the remaining defendants. The resulting total judgment against KCSR, together with interest, was \$27.0 million as of December 31, 1999.

On November 3, 1999, the Third Circuit Court of Appeals in Louisiana affirmed the judgment. Review is now being sought in the Louisiana Supreme Court. On March 24, 2000, the Louisiana Supreme Court granted KCSR's Application for Writ of Review regarding the case. Independent trial counsel has expressed confidence to KCSR management that the Louisiana Supreme Court will set aside the district court and court of appeals judgments in this case. KCSR management believes it has meritorious defenses in this case and that it will ultimately prevail in appeal. If the verdict were to stand, however, the judgment and interest are in excess of existing insurance coverage and could have an adverse effect on KCSR's results of operations and financial position.

**Bogalusa Cases**

In July 1996, the Company was named as one of twenty-seven defendants in various lawsuits in Louisiana and Mississippi arising from the explosion of a rail car loaded with chemicals in Bogalusa, Louisiana on October 23, 1995. As a result of the explosion, nitrogen dioxide and oxides of nitrogen were released into the atmosphere over parts of that town and the surrounding area causing evacuations and injuries. Approximately 25,000 residents of Louisiana and Mississippi have asserted claims to recover damages allegedly caused by exposure to the chemicals.

The Company neither owned nor leased the rail car or the rails on which it was located at the time of the explosion in Bogalusa. The Company did, however, move the rail car from Jackson to Vicksburg, Mississippi, where it was loaded with chemicals, and back to Jackson where the car was tendered to the Illinois Central Railroad Company ("IC"). The explosion occurred more than 15 days after the Company last transported the rail car. The car was loaded by the shipper in excess of its standard weight when it was transported by the Company to interchange with the IC.

The trial of a group of twenty plaintiffs in the Mississippi lawsuits arising from the chemical release resulted in a jury verdict and judgment in favor of KCSR in June 1999. The jury found that KCSR was not negligent and that the plaintiffs had failed to prove that they were damaged. The trial of the Louisiana class action is scheduled to commence on June 11, 2001. No date has been scheduled for the trial of the additional plaintiffs in Mississippi.

KCSR believes that its exposure to liability in these cases is remote. If, however, KCSR were to be found liable for punitive damages in these cases, such a judgment could have a material adverse effect on the results of operations and financial position of the Company.

**Environmental Matters:**

The Company is subject to extensive regulation under environmental protection laws concerning, among other things, discharges to waters and the generation, handling, storage, transportation and disposal of waste and other materials where environmental risks are inherent. In particular, the Company is subject to various laws and certain legislation including, among others, the Federal Comprehensive Environmental Response, Compensation and Liability Act ("CERCLA," also known as the Superfund law), the Toxic Substances Control Act, the Federal Water Pollution Control Act, and the Hazardous Materials Transportation Act. This legislation generally imposes joint and several liability for clean up and enforcement costs, without regard to fault or legality of the original conduct, on current and predecessor owners and operators of a site. The Company does not foresee that compliance with the requirements imposed by the environmental legislation will impair its competitive capability or result in any material additional capital expenditures, operating or maintenance costs. As part of serving the petroleum and chemicals industry, the Company transports hazardous materials and has a Shreveport, Louisiana-based hazardous materials emergency team available to handle environmental issues which might occur in the transport of such materials. Additionally, the Company performs ongoing review and evaluation of the various environmental issues that arise in the Company's operations, and, as necessary, takes actions to limit the Company's exposure to potential liability.

The Company has recorded liabilities with respect to various environmental issues, which represent its best estimates of remediation and restoration costs that may be required to comply with present laws and regulations. At December 31, 1999, these recorded liabilities were not material. Although these costs cannot be predicted with certainty, management believes that the ultimate outcome of identified matters will not have a material adverse effect on the Company's consolidated results of operations or financial condition.

## SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES

**Labor Negotiations:**

Approximately 84% of KCSR's employees are covered under various collective bargaining agreements. In 1996, national labor contracts governing the KCSR (with the exception of the Midsouth employees) were negotiated with all major railroad unions, including the United Transportation Union, the Brotherhood of Locomotive Engineers, the Brotherhood of Maintenance of Way Employees and the International Association of Machinists and Aerospace workers. The provisions of the various labor agreements, which extend to December 31, 1999, generally include periodic general wage increases, lump-sum payments to workers in lieu of wage increases and greater work rule flexibility, among other provisions. Settlement of these labor issues did not have a material effect on the Company's consolidated results of operations or financial position.

Labor agreements related to employees of the former Midsouth covered by collective bargaining agreements reopened for negotiations in 1996. These agreements entail eighteen separate groups of employees and are not included in the national labor contracts. KCSR management is currently in the process of meeting with these unions representing its employees. While these discussions are ongoing, the Company does not anticipate that this process or the resultant labor agreements will have a material impact on the results of operations, financial position or cash flow.

## (a) Changes in Valuation Accounts

## 8 Marketable Equity Securities.

		Cost	Market	Dr. (Cr) to Income	Dr. (Cr) to Stockholders Equity
(Current Yr)	Current Portfolio				N / A
as of / /	Noncurrent Portfolio			N / A	\$
(Previous Yr.)	Current Portfolio			N / A	N / A
as of / /	Noncurrent Portfolio			N / A	N / A

(b) AT / / , gross unrealized gains and losses pertaining to marketable equity securities were as follows:

	Gains	Losses
Current	\$ _____	\$ _____
Noncurrent	_____	_____

(c) A net unrealized gain (loss) of \$ \_\_\_\_\_ on the sale of marketable equity securities was included in net income for \_\_\_\_\_ (year). The cost of securities sold was based on the \_\_\_\_\_ (method) cost of all the shares of each security held at time of sale.

Significant net realized and net unrealized gains and losses arising after date of the financial statements but prior to the filing, applicable to marketable equity securities owned at balance sheet date shall be disclosed below.

NOTE: / / (date) Balance sheet date of reported year unless specified as previous year.



**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY - Continued**  
**NOTES TO FINANCIAL STATEMENTS**

In the fourth quarter of 1999, Kansas City Southern Lines, Inc., a Delaware corporation, acting pursuant to Section 228 of the Delaware General Corporation Law, and the sole stockholder of Rice-Carden Corporation, Southern Development Company, KCS Transportation Company, Mid-South Microwave, Inc., Caymex Transportation, Inc., and The Kansas City Northern Railway Company transferred all the capital stock of each company to KCSR.

Also in the fourth quarter of 1999, Kansas City Southern Lines, Inc., acting pursuant to Section 141 (f) of the Delaware General Corporation Law, transferred its interest in Mexrail, Inc., the parent of the Texas Mexican Railway Company to KCSR.

Southern Group, Inc. and Carland Inc., wholly owned subsidiaries of KCSR, were desolved and merged into KCSR in the fourth quarter of 1999.

KCSR recorded additional cost in the fourth quarter of 1999 of \$12.1 million for facility and project closures, employee separations, labor and personal injury related issues.

Of the \$12.1 million, \$5.6 million were non-operating expenses and \$6.5 million were operating expenses.

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY - Concluded**  
**NOTES TO FINANCIAL STATEMENTS**

**SCHEDULE 210 - RESULTS OF OPERATIONS**

(Dollars in Thousands)

1. Disclose the requested information for the respondent pertaining to the results of operations for the year.

2. Report total operating expenses from Schedule 410 of this report. Any disparities in expense amounts shown in this schedule and expense amounts reported in Schedule 410 must be fully explained on page 18.

3. List dividends from investments accounted for under the cost method on the appropriate line No. 19 for Account No. 513. "Dividend Income." List dividends accounted for by the equity method on the appropriate dividend line No. 25 under the "Income from Affiliated Companies" subsection of this schedule.

4. All contra entries hereunder should be indicated in parentheses.

5. Cross-checks

Schedule 210

Line 15, column (b)  
Line 47 plus 48 plus 49, column (b)  
Line 50, column (b)

Schedule 210

= Line 62, column (b)  
= Line 63, column (b)  
= Line 64, column (b)

Schedule 410

Line 14, column (b)  
Line 14, column (d)  
Line 14, column (e)

= Line 620, column (h)  
= Line 620, column (f)  
= Line 620, column (g)

Line No.	Cross Check	Item (a)	Amount for current year (b)	Amount for preceding year (c)	Freight-related revenue & expenses (d)	Passenger-related revenue & expenses (e)	Line No.
		<b>ORDINARY ITEMS</b>					
		<b>OPERATING INCOME</b>					
		<b>Railway Operating Income</b>					
1		(101) Freight	521,065	526,544	521,065		1
2		(102) Passenger					2
3		(103) Passenger-Related					3
4		(104) Switching	12,654	12,237	12,654		4
5		(105) Water Transfers					5
6		(106) Demurrage	8,311	8,292	8,311		6
7		(110) Incidental	2,803	2,843	2,803		7
8		(121) Joint Facility-Credit					8
9		(122) Joint Facility-Debit					9
10		(501) Railway operating revenues (Exclusive of transfers from Government Authorities-lines 1-9)	544,833	549,916	544,833		10
11		(502) Railway operating revenues-Transfers from Government Authorities for current operations					11
12		(503) Railway operating revenues-Amortization of deferred transfers from Government Authorities					12
13		<b>TOTAL RAILWAY OPERATING REVENUES (lines 10-12)</b>	544,833	549,916	544,833		13
14		(531) Railway operating expenses	473,838	439,488	473,838		14
15		Net revenue from railway operations	70,995	110,428	70,995		15
		<b>OTHER INCOME</b>					
16		(506) Revenue from property used in other than carrier operations	152	197			16
17		(510) Miscellaneous rent income	2,728	2,229			17
18		(512) Separately operated properties-Profit					18
19		(513) Dividend Income (cost method)					19
20		(514) Interest Income	56	10			20
21		(516) Income from sinking and other funds					21
22		(517) Release of premiums on funded debt					22
23		(518) Reimbursements received under contracts and agreements					23
24		(519) Miscellaneous income	680	8,442			24
		Income from affiliated companies: 519					
25		a. Dividends (equity method)					25
26		b. Equity in undistributed earnings (losses)	(1,704)	2,222			26
27		<b>TOTAL OTHER INCOME (lines 16-26)</b>	1,912	13,100			27
28		<b>TOTAL INCOME (lines 15, 27)</b>	72,907	123,528			28
		<b>MISCELLANEOUS DEDUCTIONS FROM INCOME</b>					
29		(534) Expenses of property used in other than carrier operations	72	152			29
30		(544) Miscellaneous taxes					30
31		(545) Separately operated properties-Loss					31
32		(549) Maintenance of investment organization					32
33		(550) Income Transferred under contracts nad agreements					33
34		(551) Miscellaneous income charges	745	453			34
35		(553) Uncollectible accounts	262	360			35
36		<b>TOTAL MISCELLANEOUS DEDUCTIONS (lines 29-35)</b>	1,079	965			36
37		Income available for fixed charges (lines 28, 36)	71,828	122,563			37

**SCHEDULE 210 - RESULTS OF OPERATIONS - Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Item (a)	Amount for current year (b)	Amount for preceding year (c)	Line No.
<b>FIXED CHARGES</b>					
38		(546) Interest on funded debt: (a) Fixed interest not in default	33,256	34,962	38
39		(b) Interest in default			39
40		(547) Interest on unfunded debt	119	621	40
41		(548) Amortization of discount on funded debt	56	57	41
42		<b>TOTAL FIXED CHARGES (lines 38-41)</b>	<b>33,431</b>	<b>35,640</b>	<b>42</b>
43		Income after fixed charges (lines 37, 42)	38,397	86,923	43
<b>OTHER DEDUCTIONS</b>					
44		(546) Interest on funded debt: (c) Contingent interest			44
<b>UNUSUAL OR INFREQUENT ITEMS</b>					
45		(555) Unusual or infrequent items (debit) credit			45
46		Income (Loss) from continuing operations (before income taxes)	38,397	86,923	46
<b>PROVISIONS FOR INCOME TAXES</b>					
47		(556) Income taxes on ordinary income: (a) Federal income taxes	5,797	2,025	47
48		(b) State income taxes	1,479	594	48
49		(c) Other income taxes		2	49
50		(557) Provision for deferred taxes	9,022	31,261	50
51		<b>TOTAL PROVISIONS FOR INCOME TAXES (lines 47-50)</b>	<b>16,298</b>	<b>33,882</b>	<b>51</b>
52		Income from continuing operations (lines 46-51)	22,099	53,041	52
<b>DISCONTINUED OPERATIONS</b>					
53		(560) Income or loss from operations of discontinued segments (less applicable income taxes of \$ )			53
54		(562) Gain or loss on disposal of discontinued segments (less applicable income taxes of \$ )			54
55		Income before extraordinary items (lines 52 + 53 + 54)	22,099	53,041	55
<b>EXTRAORDINARY ITEMS AND ACCOUNTING CHANGES</b>					
56		(570) Extraordinary items (Net)			56
57		(590) Income taxes on extraordinary items			57
58		(591) Provision for deferred taxes—Extraordinary items			58
59		<b>TOTAL EXTRAORDINARY ITEMS (lines 56-58)</b>			<b>59</b>
60		(592) Cumulative effect of changes in accounting principles (less applicable tax of \$ )			60
61		Net income (Loss) (lines 55 + 59 + 60)	22,099	53,041	61
<b>Reconciliation of net railway operating income (NROI)</b>					
62		Net revenues from railway operations	70,995	110,428	62
63		(556) Income taxes on ordinary income (-)	7,276	2,621	63
64		(557) Provision for deferred taxes (-)	9,022	31,261	64
65		Income from lease of road and equipment (-)	(248)	164	65
66		Rent for leased roads and equipment (+)	1,736	1,788	66
67		Net railway operating income (loss)	56,681	78,170	67

See footnote on page 10.

## NOTES AND REMARKS FOR SCHEDULES 210 AND 220

**SCHEDULE 220 - RETAINED EARNINGS**

(Dollars in Thousands)

1. Show below the items of Retained Earnings Accounts of the respondent for the year, classified in accordance with the Uniform System of Accounts for Railroad Companies

2. All contra entries hereunder should be shown in parentheses.

3. Show under "Remarks" the amount of assigned Federal income tax consequences for Accounts 606 and 616

4. Segregate in column (c) all amounts applicable to the equity in undistributed earnings (losses) of affiliated companies based on the equity method of accounting.

5. Line 3 (line 7 if debit balance), column (c), should agree with line 26, column (b), Schedule 210. The total of columns (b) and (c), lines 3 and 7, should agree with line 61 column (b), Schedule 210

6. Include in column (b) only amounts applicable to retained earnings exclusive of any amounts included in column (c)

Line No	Cross Check	Item (a)	Retained earnings- Unappropriated (b)	Equity in undistributed earnings (losses) of affiliated companies (c)	Line No
1		<b>Balances at beginning of year</b>	247,875	5,047	1
2		(601 5) Prior period adjustments to beginning retained earnings			2
		<b>CREDITS</b>			
3		(602) Credit balance transferred from income	23,803		3
4		(603) Appropriations released			4
5		(606) Other credits to retained earnings	373		5
6		<b>TOTAL</b>	24,176		6
		<b>DEBITS</b>			
7		(612) Debit balance transferred from income		1,704	7
8		(616) Other debits to retained earnings	27	373	8
9		(620) Appropriations for sinking and other funds			9
10		(621) Appropriations for other purposes			10
11		(623) Dividends: Common stock			11
12		Preferred stock*			12
13		<b>TOTAL</b>	27	2,077	13
14		Net increase (decrease) during Year (Line 6 minus line 13)	24,149	(2,077)	14
15		Balances at close of year (lines 1, 2, and 14)	272,024	2,970	15
16		Balances from line 15 (c)	2,970	N/A	16
17		Total unappropriated retained earnings and equity in undistributed earnings (losses) of affiliated companies at end (798) of year	274,994	N/A	17
18		(797) Total appropriated retained earnings:			18
19		Credits during year \$ _____			19
20		Debits during year \$ _____			20
21		Balance at Close of year \$ _____			21
22		Amount of assigned Federal income tax consequences Account 606 \$ _____			22
23		Account 616 \$ _____			23

\* If any dividends have not been declared on cumulative preferred stock, give cumulative undeclared dividends at beginning of year and end of year

## SCHEDULE 230 - CAPITAL STOCK

## PART I. CAPITAL STOCK

(Dollars in Thousands)

- 1 Disclose in column (a) the particulars of the various issues of capital stock of the respondent, distinguishing separate issues of any general class, if different in any respect
- 2 Present in column (b) the par or stated value of each issue. If none, so state
- 3 Disclose in columns (c), (d), (e) and (f) the required information concerning the number of shares authorized, issued, in treasury and outstanding for the various issues
4. For the purpose of this report, capital stock and other securities are considered to be nominally issued when certificates are signed and sealed and placed with the proper officer for sale and delivery or are pledged or otherwise placed in some special fund of the respondent. They are considered to be actually issued when sold to a bona fide purchaser who holds them free from control by the respondent. All securities actually issued and not reacquired by or for the respondent are considered to be actually outstanding. If reacquired by or for the respondent, and not cancelled or retired, they are considered to be nominally outstanding

Line No	Class of Stock (a)	Par Value (b)	Number of shares				Book Value at End of Year		Line No.
			Authorized (c)	Issued (d)	In Treasury (e)	Outstanding (f)	Outstanding (g)	In Treasury (h)	
1	Common	Non-Par	10,140,000	10,140,000	300,000	9,840,000	33,767	2,737	1
2									2
3									3
4	Preferred	\$350,000	60	60	3	57	19,950	1,050	4
5									5
6									6
7									7
8									8
9									9
10	TOTAL	—	10,140,060	10,140,060	300,003	9,840,057	\$53,717	\$3,787	10

## PART II. SUMMARY OF CAPITAL STOCK CHANGES DURING YEAR

(Dollars in Thousands)

- 1 The purpose of this part is to disclose capital stock changes during the year
2. Column (a) presents the items to be disclosed
- 3 Columns (b), (d) and (f) require the applicable disclosure of the number of preferred, common and treasury stock, respectively, applicable to the items presented in column (a)
- 4 Columns (c), (e) and (g) require the applicable disclosure of the book values of preferred, common and treasury stock
5. Disclose in column (h) the additional paid-in capital realized from changes in capital stock during year
- 6 Unusual circumstances arising from changes in capital stock changes shall be fully explained in footnotes to this schedule.

Line No	Items (a)	Preferred Stock		Common Stock		Treasury Stock		Additional Capital \$ (h)	Line No
		Number of Shares (b)	Amount \$ (c)	Number of Shares (d)	Amount \$ (e)	Number of Shares (f)	Amount \$ (g)		
11	Balance at beginning of year	60	\$21,000	10,140,000	\$36,504	300,003	\$3,787	\$67,105	11
12	Capital Stock Sold <sup>1</sup>								12
13	Capital Stock Reacquired								13
14	Capital Stock Canceled								14
15	Capital Contribution From Parent (KCSL)							\$9,802	15
16	Capital Contribution - Intercompany								16
17	Balance at close of year	60	\$21,000	10,140,000	\$36,504	300,003	\$3,787	\$76,907	17

<sup>1</sup> By footnote on page 17 state the purpose of the issues and authority

**SCHEDULE 240 - STATEMENT OF CASH FLOWS**

(Dollars in Thousands)

Give the information as requested concerning the cash flows during the year. Either the direct or indirect method can be used. The direct method shows as its principal components operating cash receipts and payments, such as cash received from customers as cash paid to suppliers and employees, the sum of which is net cash flow from operating activities. The indirect method starts with net income and adjusts it for revenue and expense items that were not the result of operating cash transactions in the current period to reconcile it to net cash flow from operating activities. If direct method is used complete lines 1 - 41. Indirect method complete lines 10 - 41. Cash for the purpose of this schedule shall include cash and cash equivalents which are short-term, highly liquid investments readily convertible to known amounts of cash and so near their maturity that they present insignificant risk of changes in value because of changes in interest rates. Information about all investing and financing activities which do not directly affect cash shall be separately disclosed in footnotes to this schedule. They shall clearly relate the cash (if any) and non cash aspects of transactions. Examples of noncash investing and transactions include converting debt to equity acquiring assets by assuming directly related liabilities, such as purchasing a building by incurring a mortgage to the seller, obtaining an asset by entering into a capital lease; and exchanging noncash assets or liabilities for other noncash assets or liabilities. Some transactions are part cash and part noncash; only the cash portion shall be reported directly in the statement of cash flows. Refer to FAS Statement No. 95, Statement of Cash Flows, for further details.

**CASH FLOWS FROM OPERATING ACTIVITIES**

Line No	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No
1.		Cash received from operating revenues			1.
2.		Dividends received from affiliates			2.
3.		Interest received			3.
4.		Other Income			4.
5.		Cash paid for operating expenses			5.
6.		Interest paid (net of amounts capitalized)			6.
7.		Income taxes paid			7.
8.		Other-net			8.
9.		NET CASH PROVIDED BY OPERATING ACTIVITIES (LINES 1 - 8)			9.

**RECONCILIATION OF NET INCOME TO NET CASH PROVIDED BY OPERATING ACTIVITIES**

Line No	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No
10.		Income from continuing operations	22,099	53,041	10.

**ADJUSTMENTS TO RECONCILE INCOME FROM CONTINUING OPERATIONS TO NET CASH PROVIDED BY OPERATING ACTIVITIES**

Line No	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No
11.		Loss (gain) on sale or disposal of tangible property and investments	5,273	(2,135)	11.
12.		Depreciation and amortization expenses	50,125	50,511	12.
13.		Increase (decrease) in provision for Deferred Income Taxes	8,263	41,264	13.
14.		Net decrease (increase) in undistributed earnings (losses) of affiliates	1,704	(2,222)	14.
15.		Decrease (increase) in accounts receivable	2,639	(10,346)	15.
16.		Decrease (increase) in materials and supplies, and other current assets	5,230	(3,143)	16.
17.		Increase (decrease) in current liabilities other than debt	49,441	(33,005)	17.
18.		Increase (decrease) in other-net <sup>1</sup>	(16,632)	(19,900)	18.
19.		Net cash provided from continuing operations (Lines 10-18)	128,142	74,065	19.
20.		Add (subtract) cash generated (paid) by reason of discontinued operations and extraordinary items			20.
21.		NET CASH PROVIDED FROM OPERATING ACTIVITIES (Lines 19 & 20)	128,142	74,065	21.

**CASH FLOWS FROM INVESTING ACTIVITIES**

Line No	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No
22.		Proceeds from sale of property	(6,266)	3,988	22.
23.		Capital expenditures	(78,413)	(47,197)	23.
24.		Net change in temporary cash investments not qualifying as cash equivalents			24.
25.		Proceeds from sale/repayment of investment and advances	4,128	5,000	25.
26.		Purchase price of long-term investment and advances	(620)	(1,019)	26.
27.		Net decrease (increase) in sinking and other special funds			27.
28.		Other-net			28.
29.		NET CASH USED IN INVESTING ACTIVITIES (Lines 22-28)	(81,171)	(39,228)	29.

(Continued on next page)



**SCHEDULE 240 - STATEMENT OF CASH FLOWS (Concluded)**  
(Dollars in Thousands)

**CASH FLOWS FROM FINANCING ACTIVITIES**

Line No	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No
30.		Proceeds from issuance of long-term debt	463,099	467,190	30.
31.		Principal payments of long-term debt	(505,652)	(501,520)	31.
32.		Proceeds from issuance of capital stock			32.
33.		Purchase price of acquiring treasury stock			33.
34.		Cash dividends paid			34.
35.		Other--net	(27)		35.
36.		NET CASH FROM FINANCING ACTIVITIES (Lines 30-35)	(42,580)	(34,330)	36.
37.		NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS (LINES 21, 29 & 36)	4,391	507	37.
38.		Cash and cash equivalents at beginning of the year	(557)	(1,064)	38.
39.		CASH AND CASH EQUIVALENTS AT END OF THE YEAR (Lines 37 & 38)	3,834	(557)	39.
40.		Footnotes to Schedule 240 Cash paid during the year for Interest (net of amount capitalized)*	7,404	8,413	40.
41.		Income taxes (net)*	(39,268)	(69,867)	41.

\*Only applies if indirect method is adopted

**NOTES AND REMARKS**

**NONCASH ITEMS.**

Reclass Accounts Payable to Intercompany Advances	21,698	36,808
Capital Contribution	9,802	7,686

**SCHEDULE 245 - WORKING CAPITAL**

(Dollars in Thousands)

1. This schedule should include only data pertaining to railway transportation services.
2. Carry out calculation of lines 9, 10, 20, and 21, to the nearest whole number.

Line No.	Item (a)	Source	Amount (b)	Line No
<b>CURRENT OPERATING ASSETS</b>				
1	Interline and Other Balances (705)	Schedule 200, line 5, column b	3,007	1
2	Customers (706)	Schedule 200, line 6, column b	60,081	2
3	Other (707)	Note A	14,119	3
4	<b>TOTAL CURRENT OPERATING ASSETS</b>	Line 1 + 2 + 3	77,207	4
<b>OPERATING REVENUE</b>				
5	Railway Operating Revenue	Schedule 210, line 13, column b	544,833	5
6	Rent Income	Note B	29,512	6
7	<b>TOTAL OPERATING REVENUES</b>	Lines 5 + 6	574,345	7
8	Average Daily Operating Revenues	Line 7 / 360 days	1,595	8
	Days of Operating Revenue in			
9	Current Operating Assets	Line 4 / line 8	48	9
10	Revenue Delay Days Plus Buffer	Line 9 + 15 days	63	10
<b>CURRENT OPERATING LIABILITIES</b>				
11	Interline and Other Balances (752)	Schedule 200, line 31, column b	25,572	11
12	Audited Accounts and Wages Payable (753)	Note A	41,715	12
13	Accounts Payable - Other (754)	Note A	3,673	13
14	Other Taxes Accrued (761.5)	Note A	4,171	14
15	<b>TOTAL CURRENT OPERATING LIABILITIES</b>	Sum of lines 11 to 14	75,131	15
<b>OPERATING EXPENSES</b>				
16	Railway Operating Expenses	Schedule 210, line 14, column b	473,838	16
17	Depreciation	Schedule 410, line 136, 137, 138, 213, 232, 317, column h	50,056	17
18	Cash Related Operating Expenses	Line 16 + line 6 - line 17	453,294	18
19	Average Daily Expenditures	Line 18 / 360 days	1,259	19
	Days of Operating Expenses in Current			
20	Operating Liabilities	Line 15 / line 19	60	20
21	Days of Working Capital Required	Line 10 - line 20 (Note C)	3	21
22	Cash Working Capital Required	Line 21 * line 19	3,777	22
23	Cash and Temporary Cash Balance	Schedule 200, line 1 + line 2, column b	3,834	23
24	Cash Working Capital Allowed	Lesser line 22 and line 23	3,777	24
<b>MATERIALS AND SUPPLIES</b>				
25	Total Matenal and Supplies (712)	Note A	37,759	25
26	Scrap and Obsolete Material included in Acct. 712	Note A	1,066	26
27	Materials and Supplies held for Common Carrier Purposes	Line 25 - line 26	36,693	27
28	<b>TOTAL WORKING CAPITAL</b>	Line 24 + line 27	40,470	28

**Notes:**

(A) Use common carrier portion only. Common carrier refers to railway transportation service.

(B) Rent Income is the sum of Schedule 410, column h, lines 121, 122, 123, 127, 128, 129, 133, 134, 135, 208, 210, 212, 227, 229, 231, 312, 314, and 316. Rent income is added to railway operating revenues to produce total revenues. Rent income is also added to total operating expenses to exclude the rent revenue items from operating expense.

(C) If result is negative, use zero

## NOTES AND REMARKS

## GENERAL INSTRUCTIONS CONCERNING RETURNS IN SCHEDULES 310, 310A

1. Schedule 310 should give particulars of stocks, bonds, other secured obligations, unsecured notes, and investment advances of affiliated companies held by respondent at close of year. Also, disclose the investments made, disposed of, and written down during the year and the applicable dividends and interest credited to income as a result of those investments. They should exclude securities issued or assumed by respondent. For definition of affiliated companies, see the rules governing Account No 721 "Investments and advances; affiliated companies," in the Uniform System of Accounts for Railroad Companies.

2 List the investments in the following order and show a total for each group and each class of investments by accounts in numerical order

- (A) Stocks
  - 1) Carriers - active
  - 2) Carriers - inactive
  - 3) Noncarriers - active
  - 4) Noncarriers - inactive
- (B) Bonds (including U. S. Government Bonds)
- (C) Other secured obligations
- (D) Unsecured notes
- (E) Investment advances

3. The subclassification of classes (B), (C), (D), and (E) should be the same as that provided for class (A).

4. The kinds of industry represented by respondent's investments in the securities of other companies should be shown by symbol opposite the names of the issuing corporations, the symbols and industrial classifications to be as follows.

Symbol	Kind of Industry
I	Agriculture, forestry, and fisheries
II	Mining
III	Construction
IV	Manufacturing
V	Wholesale and retail trade
VI	Finance, insurance, and real estate
VII	Transportation, communications, and other public utilities
VIII	Services
IX	Government
X	All other

5. By carriers, as the term is used here, is meant companies owning or operating railroads, facilities auxiliary thereto such as bridges, ferries, union depots, and other terminal facilities, sleeping cars, parlor cars, dining cars, freight cars, express service and facilities, electric railways, highway motor vehicles, steamboats and other marine transportation equipment, pipe lines (other than those for transportation of water), and other instrumentalities devoted to the transportation of persons or property for hire. Telegraph and telephone companies are not meant to be included.

6. Noncarrier companies should, for the purpose of these schedules, include telephone companies, telegraph companies, mining companies, manufacturing companies, hotel companies, etc. Purely "holding companies" are to be classed as noncarrier companies, even though the securities held by such companies are largely or entirely those issued or assumed by carriers.

7. By an active corporation is meant one which maintains an organization for operating property or administering its financial affairs. An inactive corporation is one which has been practically absorbed in a controlling corporation and which neither operates property nor administers its financial affairs; if it maintains an organization it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises.

8. Combine, in one amount, investments in which the original cost or present equity in total assets is less than \$10,000.

9. Include investments in unincorporated entities such as lessee organizations. Exclude amounts normally settled on a current basis.

10. Do not include the value of securities issued or assumed by respondent.

11. For affiliates which do not report to the Surface Transportation Board and are jointly owned, disclose in footnotes the name and extent of control of the other controlling entities.

### SCHEDULE 310 - INVESTMENTS AND ADVANCES AFFILIATED COMPANIES

1 Give particulars of investments in stocks, bonds, other secured obligations, unsecured notes, and investment advances of companies affiliated with respondent, included in accounts Nos. 715, "Sinking Funds", 716, "Capital Funds", 721, "Investments and Advances Affiliated Companies", and 717, "Other Funds."

2 Entries in this schedule should be made in accordance with the definitions and general instructions given on page 18, classifying the investments by means of letters, figures, and symbols in columns (a), (b), and (c).

3. Indicate by means of an arbitrary mark in column (d) the obligation in support of which any security is pledged, mortgaged, or otherwise encumbered, giving names and other important particulars of such obligations in footnotes.

4 Give totals for each class and for each subclass and a grand total for each account

5 Entries in column (d) should show date of maturity of bonds and other evidences of indebtedness. In case obligations of the same designation mature serially, the date in column (d) may be reported as "Serially 19\_\_ to 19\_\_" Abbreviations in common use in standard financial publications may

Line No.	Account No. (a)	Class No (b)	Kind of Industry (c)	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds) (d)	Extent of control (e)	Line No
1	721			Investment in Affiliated Companies		1
2						2
3		A - 1	VI	Rice-Carden Corporation	100 0%	3
4			VI	Southern Development Company	100 0%	4
5			VII	Caymex Transportation , Inc.	100.0%	5
6			VII	Joplin Union Depot Company	33.3%	6
7			VII	Kansas City Terminal Railway Company	8 3%	7
8			VII	KCS Transportation Company	100 0%	8
9			VII	Mexrail, Inc.	49.0%	9
10			VII	Trailer Train Company	0.5%	10
11			X	Mid-South Microwave, Inc	100.0%	11
12			X	Southern Group, Inc	100.0%	12
13			X	Southern Capital Corporation	50.0%	13
14				Total Class A		14
15						15
16						16
17						17
18						18
19				Advances		19
20						20
21		A - 1	VII	Trailer Train Company		21
22				1-1-67 due 4-01-99	0 5%	22
23						23
24						24
25				Total Notes		25
26						26
27						27
28		E - 1	VII	Joplin Union Depot Company	49 0%	28
29			VII	Kansas City Terminal Railway Company	0 5%	29
30						30
31						31
32				Total E-1		32
33						33
34		E - 3	X	Carland Advances to its Affiliates		34
35						35
36				Total Advances		36
37						37
38						38
39				Total Account 721		39
40						40

**SCHEDULE 310 - INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued**  
(Dollars in Thousands)

be used to conserve space

6. If any of the companies included in this schedule are controlled by respondent the percent of control should be shown in column (e) In case any company listed is controlled other than through actual ownership of securities, give particulars in a footnote In cases of joint control, give names of other parties and particulars of control.

7. If any advances reported are pledged, give particulars in a footnote

8. Investments in companies in which neither the original cost or present equity in total assets are less than \$10,000 may be combined in one figure

9. Also included should be investments in unincorporated entities such as lessee organizations (exclusive of amounts nominally settled on a current basis)

10 This schedule should not include securities issued or assumed by respondent

11 For affiliates which do not report to the Interstate Commerce Commission and are jointly owned, give names and extent of control of other entities by footnotes.

Line No	Investments and Advances				Disposed of. profit (loss)	Adjustments Account 721 5	Dividends or Interest credited to Income (l)	Line No
	Opening Balance (f)	Additions (g)	Deductions (if other than sale, explain) (h)	Closing Balance (i)				
1								1
2								2
3		29,091		29,091				3
4		3,847		3,847				4
5		(37,021)		(37,021)				5
6	10			10				6
7	183			183				7
8		12,703		12,703				8
9		14,036		14,036				9
10	20			20				10
11		5,880		5,880				11
12	2,789		2,789					12
13	20,056	620	57	20,619				13
14	23,058	29,156	2,846	49,368				14
15								15
16								16
17								17
18								18
19								19
20								20
21								21
22	77		77					22
23								23
24								24
25	77		77					25
26								26
27								27
28	127			127				28
29	3,144			3,144				29
30								30
31								31
32	3,271			3,271				32
33								33
34	963		963					34
35								35
36	4,311		1,040	3,271				36
37								37
38								38
39	27,369	29,156	3,886	52,639				39
40								40

## SCHEDULE 310 - INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued

Line No.	Account No. (a)	Class No. (b)	Kind of Industry (c)	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds) (d)	Extent of control (e)	Line No.
1						1
2						2
3						3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14				COMPLETED		14
15				ON PAGES		15
16				26 & 27		16
17						17
18						18
19						19
20						20
21						21
22						22
23						23
24						24
25						25
26						26
27						27
28						28
29						29
30						30
31						31
32						32
33						33
34						34
35						35
36						36
37						37
38						38
39						39
40						40

**SCHEDULE 310 - INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued**  
(Dollars in Thousands)

Line No.	Investments and Advances				Disposed of profit (loss)	Adjustments Account 721 5	Dividends or Interest credited to Income (l)	Line No
	Opening Balance (f)	Additions (g)	Deductions (if other than sale, explain) (h)	Closing Balance (i)				
1								1
2								2
3								3
4								4
5								5
6								6
7								7
8								8
9								9
10								10
11								11
12								12
13								13
14				COMPLETED				14
15								15
16				ON PAGES				16
17								17
18				26 & 27				18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27
28								28
29								29
30								30
31								31
32								32
33								33
34								34
35								35
36								36
37								37
38								38
39								39
40								40



## SCHEDULE 310A - INVESTMENTS IN COMMON STOCKS OF AFFILIATED COMPANIES

(Dollars in Thousands)

## Undistributed Earnings From Certain Investments in Affiliated Companies

1. Report below the details of all investments in common stocks included in Account 721, Investments and Advances Affiliated Companies.
2. Enter in column (c) the amount necessary to retroactively adjust those investments (See instruction 5-2, Uniform System of Accounts.)
3. Enter in column (d) the share of undistributed earnings (i.e., less dividends) or losses
4. Enter in column (e) the amortization for the year of the excess of cost over equity in net assets (equity over cost) at date of acquisition
5. For definitions of "carrier" and "noncarrier," see general instructions

Line No	Name of issuing company and description of security held (a)	Balance at beginning of year (b)	Adjustments for investments equity method (c)	Equity in undistributed earnings (losses) during year (d)	Amortization during year (e)	Adjustment for investments disposed of or written down during year (f)	Balance at close of year (g)	Line No
1	Carriers: (List specifics for each company)	469		(96)		(373)		1
2	Southern Group, Inc.							2
3	Southern Capital Corporation	4,578		2,890			7,468	3
4	Rice-Carden Corporation			417			417	4
5	Southern Development Company			40			40	5
6	Caymex Transportation, Inc.			(5,408)			(5,408)	6
7	KCS Transportation Company			752			752	7
8	Mid-South Microwave, Inc.			5			5	8
9	Mexrail, Inc.			(304)			(304)	9
10								10
11								11
12								12
13	Total	5,047		(1,704)		(373)	2,970	13
Noncarrier (List specifics for each company)								
14								14
15								15
16								16
17								17
18								18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27

**INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 330**

1. Give particulars of balances at the beginning and close of the year and of all changes during the year in Account No. 731, "Road and Equipment Property," and Account No. 732, "Improvements on Leased Property," classified by primary accounts in accordance with the Uniform System of Accounts for Railroad Companies. The balances, by primary accounts, should insofar as known, be stated in column (b) and all changes made during the year should be analyzed in columns (c) to (f), inclusive. Column (g) should be the net of the amounts in columns (c) through (f). Column (h) is the aggregate of columns (b) to (f), inclusive. Grand totals of columns (b) and (h) should equal the sum of Accounts 731 and 732 for the respective periods; if not, full explanation should be made in a footnote.
2. In column (c) are to be shown disbursements made for the specific purpose of purchasing, constructing, and equipping new lines, and for the extension of old lines, as provided for in instruction 2-1, "Items to be charged," of the Uniform System of Accounts for Railroad Companies for such items.
3. In column (d) is to be shown the cost of a railway or portion thereof, acquired as an operating entity or system by purchase, merger, consolidation, reorganization, receivership sale or transfer, or otherwise.
4. In column (c) and (e) should be included all entries covering expenditures for additions and betterments, as defined, whether or not replacing other property.
5. In column (f) should be entered all credits representing property sold, abandoned, or otherwise retired.
6. Both the debit and credit involved in each transfer, adjustment, or clearance, between road and equipment accounts, should be included in the column in which the item was initially included; also the transfer of prior years' debits or credits from investment in road and equipment to operating expenses or other accounts, or vice versa, should be included in the column applicable to current items of like nature. Each such transfer, adjustment, or clearance should be fully explained when in excess of \$100,000.
7. If during the year an individual charge of \$100,000 or more was made to Account No. 2, "Land for Transportation Purposes," state in a footnote the cost, location, area, and other details which will identify the property.
8. Report on line 29 amounts not includible in the primary road accounts. The items reported should be briefly identified and explained under "Notes and Remarks," below. Amounts should be reported on this line only under special circumstances, usually after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Board.
9. If during the year a segment of transportation property was acquired, state in a footnote the name of the vendor, the mileage acquired, and the date of acquisition, giving termini and the cost of the property to the respondent. Also furnish a statement of the amount included in each primary account representing such property acquired, referring to the column or columns in which the entries appear.
10. If an amount of less than \$2,000 is used as the minimum for additions and betterments to property investment accounts as provided for in Instruction 2-2 of the Uniform System of Accounts for Railroad Companies, state in a footnote the amount used.

**NOTES AND REMARKS**

## SCHEDULE 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT

(Dollars in Thousands)

Line No	Cross Check	Account (a)	Balance at beginning of year (b)	Expenditures during the year for original road and equipment, and road extensions (c)	Expenditures during the year for purchase of existing lines, reorganizations, etc (d)	Line No
1		(2) Land for transportation purposes	24,446			1
2		(3) Grading	118,381			2
3		(4) Other right-of-way expenditures	749			3
4		(5) Tunnels and subways	36			4
5		(6) Bridges, trestles, and culverts	143,051			5
6		(7) Elevated structures				6
7		(8) Ties	273,518			7
8		(9) Rail and other track material	426,849			8
9		(11) Ballast	95,558			9
10		(13) Fences, snowsheds, and signs	760			10
11		(16) Station and office buildings	18,543			11
12		(17) Roadway buildings	443			12
13		(18) Water stations	84			13
14		(19) Fuel stations	4,756			14
15		(20) Shops and enginehouses	31,700			15
16		(22) Storage warehouses				16
17		(23) Wharves and docks				17
18		(24) Coal and ore wharves				18
19		(25) TOFC/COFC terminals	16,612			19
20		(26) Communication systems	12,403			20
21		(27) Signals and interlockers	34,814			21
22		(29) Power plants	23			22
23		(31) Power-transmission systems	791			23
24		(35) Miscellaneous structures	470			24
25		(37) Roadway machines	24,386			25
26		(39) Public improvements - Construction	5,693			26
27		(44) Shop machinery	4,321			27
28		(45) Power-plant machinery	175			28
29		Other (specify and explain)				29
30		TOTAL EXPENDITURES FOR ROAD	1,238,562			30
31		(52) Locomotives	90,763			31
32		(53) Freight-train cars	141,315			32
33		(54) Passenger-train cars				33
34		(55) Highway revenue equipment				34
35		(56) Floating equipment				35
36		(57) Work equipment	18,903			36
37		(58) Miscellaneous equipment	13,533			37
38		(59) Computer systems and word processing equipment	15,042			38
39		TOTAL EXPENDITURES FOR EQUIPMENT	279,556			39
40		(76) Interest during construction	2,160			40
41		(80) Other elements of investment				41
42		(90) Construction in progress	66,136			42
43		GRAND TOTAL	1,586,414			43

## 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT - Continued

(Dollars in Thousands)

Line No	Cross Check	Expenditures for additions during the year (e)	Credits for property retired during the year (f)	Net changes during the year (g)	Balance at close of year (h)	Line No
1		373	45	328	24,774	1
2		2,078	34	2,044	120,425	2
3		8		8	757	3
4					36	4
5		6,996	378	6,618	149,669	5
6						6
7		16,930	4,078	12,852	286,370	7
8		8,995	586	8,409	435,258	8
9		4,186	1,125	3,061	98,619	9
10		7		7	767	10
11		21		21	18,564	11
12		4		4	447	12
13					84	13
14		15		15	4,771	14
15		941	7	934	32,634	15
16						16
17						17
18						18
19		3,286		3,286	19,898	19
20		297		297	12,700	20
21		1,073	6	1,067	35,881	21
22					23	22
23					791	23
24					470	24
25		1,796	179	1,617	26,003	25
26		247	3	244	5,937	26
27		4,023	1	4,022	8,343	27
28					175	28
29						29
30		51,276	6,442	44,834	1,283,396	30
31		466	1,937	(1,471)	89,292	31
32		(2,207)	340	(2,547)	138,768	32
33						33
34						34
35						35
36		(11,582)	547	(12,129)	6,774	36
37		9,402	520	8,882	22,415	37
38		2,629		2,629	17,671	38
39		(1,292)	3,344	(4,636)	274,920	39
40			3	(3)	2,157	40
41						41
42		28,260		28,260	94,396	42
43		78,244	9,789	68,455	1,654,869	43

**SCHEDULE 332 - DEPRECIATION BASE AND RATES--ROAD AND EQUIPMENT OWNED AND USED AND LEASED FROM OTHERS**  
(Dollars in Thousands)

1. Show in columns (b) and (e), for each primary account, the depreciation base used to compute the depreciation charges for the month of January, and in columns (c) and (f) show the depreciation base used to compute the depreciation charges for the month of December; in columns (d) and (g) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 39 of these columns show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the same month. The depreciation base should not include the cost of equipment used, but not owned, when the rents therefor are included in the rent for equipment and Account Nos. 31-22-00, 31-23-00, 31-25-00, 31-21-00, 35-21-00, 35-23-00, 35-22-00, and 35-25-00. It should include the cost of equipment owned and leased to others when the rents therefrom are included in the rent for equipment Accounts Nos. 32-21-00, 32-22-00, 32-23-00, 32-25-00, 36-21-00, 36-22-00, 36-23-00, and 36-25-00, inclusive. The composite rates used should be those prescribed or otherwise authorized by the Board, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any changes in rates were effective during the year, give full particulars in a footnote.

2. All leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.

3. Show in columns (e), (f), and (g) data applicable to Lessor property, when the rent therefor is included in Account Nos. 31-11-00, 31-12-00, 31-13-00, 31-21-00, 31-22-00, and 31-23-00, inclusive.

4. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) effected.

5. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively.

Line No	Account  (a)	OWNED AND USED			LEASED FROM OTHERS			Line No.
		Depreciation base		Annual composite rate (percent) (d)	Depreciation base		Annual composite rate (percent) (g)	
		1/1 At beginning of year (b)	12/1 At close of year (c)		At beginning of year (e)	At close of year (f)		
	ROAD							
1	(3) Grading	117,879	118,686	0.84				1
2	(4) Other, right-of-way expenditures	749	757	1.63				2
3	(5) Tunnels and subways	36	36	0.93				3
4	(6) Bridges, trestles, and culverts	142,286	144,393	1.29				4
5	(7) Elevated structures							5
6	(8) Ties	270,015	281,127	4.57				6
7	(9) Rail and other track material	425,145	418,403	3.21				7
8	(11) Ballast	94,945	97,601	5.56				8
9	(13) Fences, snow sheds, and signs	760	767	0.57				9
10	(16) Station and office buildings	18,311	18,332	2.35				10
11	(17) Roadway buildings	443	447	3.17				11
12	(18) Water stations	84	84	6.43				12
13	(19) Fuel stations	4,756	4,772	2.88				13
14	(20) Shops and enginehouses	31,700	32,634	1.99				14
15	(22) Storage warehouses							15
16	(23) Wharves and docks							16
17	(24) Coal and ore wharves							17
18	(25) TOFC/COFC terminals	16,612	18,431	3.53				18
19	(26) Communication systems	12,403	12,529	2.56				19
20	(27) Signals and interlockers	34,530	34,462	2.23				20
21	(29) Power plants	23	23	3.60				21
22	(31) Power-transmission systems	791	791	1.09				22
23	(35) Miscellaneous structures	470	470	1.48				23
24	(37) Roadway machines	23,350	24,967	3.61				24
25	(39) Public improvements—Construction	5,693	5,936	1.45				25
26	(44) Shop machinery	4,321	8,343	2.31				26
27	(45) Power-plant machinery	175	175	1.91				27
28	All other road accounts							28
29	Amortization (Adjustments)							29
30	TOTAL ROAD	1,205,477	1,224,166	3.17				30
	EQUIPMENT							
31	(52) Locomotives	87,934	86,465	2.08				31
32	(53) Freight-train cars	141,313	138,766	3.24				32
33	(54) Passenger-train cars	0	0					33
34	(55) Highway revenue equipment	0	0					34
35	(56) Floating equipment	0	0					35
36	(57) Work equipment	18,768	6,640	0.50				36
37	(58) Miscellaneous equipment	13,412	22,294	4.93				37
38	(59) Computer systems and word processing equipment	15,026	17,654	18.71				38
39	TOTAL EQUIPMENT	276,453	271,819	3.95				39
40	GRAND TOTAL	1,481,930	1,495,985	N/A			N/A	40

**SCHEDULE 335 - ACCUMULATED DEPRECIATION--ROAD AND EQUIPMENT OWNED AND USED**

(Dollars in Thousands)

1. Disclose the required information in regard to credits and debits to Account No. 735, "Accumulated depreciation Road and Equipment Property," during the year relating to owned and used road and equipment. Include entries for depreciation of equipment owned but not used when the resulting rents are included in the "Lease Rentals--Credit--Equipment" accounts and "Other Rents--Credit--Equipment" accounts. Exclude any entries for depreciation of equipment that is used but not owned when the resulting rents are included in "Lease Rental--Debit--Equipment" account and "Other Rents--Debit--Equipment" accounts (See Schedule 351 for the accumulated depreciation to road and equipment owned and leased to others.)

2. If any data are included in columns (d) or (f), explain the entries in detail.

3. A debit balance in columns (b) or (g) for any primary account should be designated "Dr."

4. If there is any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses, a full explanation should be given.

5. Enter amounts representing amortization under an authorized amortization program other than for defense projects on lines 29 and 39.

Line No	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE During the year		DEBITS TO RESERVE During the year		Balance at close of year (g)	Line No
				Charges to operating expenses ** (c)	Other credits *** (d)	Retirements (e)	Other debits (f)		
ROAD									
1		(3) Grading	18,075	992	-	24	-	19,043	1
2		(4) Other, right-of-way expenditures	364	12	-	-	-	376	2
3		(5) Tunnels and subways	- 2	-	-	-	-	2	3
4		(6) Bridges, trestles, and culverts	18,570	1,865	-	378	-	20,057	4
5		(7) Elevated structures	-	-	-	-	-	-	5
6		(8) Ties	101,805	12,491	-	5,119	-	109,177	6
7		(9) Rail and other track material	97,893	13,502	-	3,521	-	107,874	7
8		(11) Ballast	54,544	5,354	-	1,126	-	58,772	8
9		(13) Fences, snow sheds, and signs	530	13	-	-	-	543	9
10		(16) Station and office buildings	6,898	373	17	-	-	7,288	10
11		(17) Roadway buildings	332	10	-	-	-	342	11
12		(18) Water stations	77	1	-	-	-	78	12
13		(19) Fuel stations	1,762	134	-	-	-	1,896	13
14		(20) Shops and enginehouses	12,083	595	-	7	-	12,671	14
15		(22) Storage warehouses	-	-	-	-	-	-	15
16		(23) Wharves and docks	-	-	-	-	-	-	16
17		(24) Coal and ore wharves	-	-	-	-	-	-	17
18		(25) TOFC/COFC terminals	4,442	615	333	-	-	5,390	18
19		(26) Communication systems	3,443	285	-	1	-	3,727	19
20		(27) Signals and interlockers	10,776	816	-	5	-	11,587	20
21		(29) Power plants	22	1	-		-	23	21
22		(31) Power-transmission systems	598	14	-	-	-	612	22
23		(35) Miscellaneous structures	221	10	-	1	-	230	23
24		(37) Roadway machines	19,020	1,201	870	179	-	20,912	24
25		(39) Public improvements--Construction	2,757	84	-	3	-	2,838	25
26		(44) Shop machinery *	2,611	110	3,810	(1)	-	6,532	26
27		(45) Power-plant machinery	164	1	-	-	-	165	27
28		All other road accounts	-	-	-	-	-	-	28
29		Amortization (Adjustments)	(314)	105	(2)	-	-	(211)	29
30		TOTAL ROAD	356,675	38,584	5,028	10,363	-	389,924	30
EQUIPMENT									
31		(52) Locomotives	31,712	2,050	(651)	91	-	33,020	31
32		(53) Freight-train cars	61,298	4,416	(1,724)	308	-	63,682	32
33		(54) Passenger-train cars	-	-	-	-	-	-	33
34		(55) Highway revenue equipment	-	-	-	-	-	-	34
35		(56) Floating equipment	-	-	-	-	-	-	35
36		(57) Work equipment <sup>1</sup>	13,768	795	(10,317)	500	(411)	4,157	36
37		(58) Miscellaneous equipment	6,739	847	7,822	515	-	14,893	37
38		(59) Computer systems and word processing equipment	9,911	2,933	149	-	-	12,993	38
39		Amortization Adjustments	-	-	-	-	-	-	39
40		TOTAL EQUIPMENT	123,428	11,041	(4,721)	1,414	(411)	128,745	40
41		GRAND TOTAL	480,103	49,625	307	11,777	(411)	518,669	41

<sup>1</sup> Column F pertains to the reclassification of non-railroad property to railroad property

\* To be reported with equipment expenses rather than W & S expenses

\*\* Depreciation Exp. is calculated using the remaining life method for KCSR

\*\*\* Reclassification of railroad property

**SCHEDULE 339 - ACCRUED LIABILITY -- LEASED PROPERTY**

(Dollars in Thousands)

- 1 Disclose the required information relating to credits and debits of Account 772, "Accrued Liability Leased Property," during the year concerning road and equipment leased from others
- 2 In column (c), enter amounts charged to operating expenses, in column (e), enter debits to account arising from retirements, in column (f), enter amounts paid to lessor.
- 3 Any inconsistencies between credits to account, charges to operating expenses and payments to lessors should be fully explained.
- 4 Required disclosure may be omitted if leased road and equipment property represents 5% or less of total property owned and used
- 5 If settlement for depreciation is made currently between lessee and lessor, and no debits or credits to Account No 772 are made by the accounting company, show in column (c) the charges to operating expenses, and in column (f) show payments made to the lessor in settlement thereof

Line No	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE			Line No
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)	Balance at close of year (g)	
		ROAD							
1		(3) Grading							1
2		(4) Other, right-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts							4
5		(7) Elevated structures							5
6		(8) Ties							6
7		(9) Rail and other track material							7
8		(11) Ballast							8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings							10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals							18
19		(26) Communication systems							19
20		(27) Signals and interlockers							20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines							24
25		(39) Public improvements--Construction							25
26		(44) Shop machinery*							26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		Amortization (Adjustments)							29
30		TOTAL ROAD							30
		EQUIPMENT							
31		(52) Locomotives							31
32		(53) Freight-train cars							32
33		(54) Passenger-train cars							33
34		(55) Highway revenue equipment							34
35		(56) Floating equipment							35
36		(57) Work equipment							36
37		(58) Miscellaneous equipment							37
38		(59) Computer systems and word processing equipment							38
39		Amortization Adjustments							39
40		TOTAL EQUIPMENT							40
41		GRAND TOTAL	NONE					NONE	41

\* To be reported with equipment expenses rather than W & S expenses

**SCHEDULE 340 - DEPRECIATION BASE AND RATES-IMPROVEMENTS TO ROAD AND EQUIPMENT LEASED FROM OTHERS**  
(Dollars in Thousands)

1. Show in column (b) for each primary account the depreciation base used in computing the depreciation charges for the month of January, and in column (c) show the depreciation base used in computing the depreciation charges for the month of December, in column (d) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 40 of these columns show the composite percentage of all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the for the same month. This schedule should include only improvements to leased property charged to Account 732, "Improvements on Leased Property." The composite rates used should be those prescribed or otherwise authorized by the Board except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any charges in rates were effective during the year, give full particulars in a footnote

2. All improvements to leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property

3. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected

4. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively. However, line 41, Grand Total, should be completed

Line No	Account (a)	Depreciation base		Annual composite rate (percent) (d)	Line No
		At beginning of year (b)	At close of year (c)		
	ROAD				
1	(3) Grading	502	502	1.00	1
2	(4) Other, right-of-way expenditures				2
3	(5) Tunnels and subways				3
4	(6) Bridges, trestles, and culverts	765	776	1.13	4
5	(7) Elevated structures				5
6	(8) Ties	3,503	3,714	4.41	6
7	(9) Rail and other track material	1,704	1,708	2.63	7
8	(11) Ballast	613	710	6.62	8
9	(13) Fences, snow sheds, and signs				9
10	(16) Station and office buildings	231	231	2.17	10
11	(17) Roadway Buildings				11
12	(18) Water stations				12
13	(19) Fuel stations				13
14	(20) Shops and enginehouses				14
15	(22) Storage warehouses				15
16	(23) Wharves and docks				16
17	(24) Coal and ore wharves				17
18	(25) TOFC/COFC terminals				18
19	(26) Communication systems		171	0.00	19
20	(27) Signals and interlockers	284	284	2.45	20
21	(29) Power plants				21
22	(31) Power-transmission systems				22
23	(35) Miscellaneous structures				23
24	(37) Roadway machines	1,036	1,036	3.17	24
25	(39) Public improvements—Construction				25
26	(44) Shop machinery *				26
27	(45) Power-plant machinery				27
28	All other road accounts				28
29	Amortization (Adjustments)				29
30	TOTAL ROAD	8,638	9,132	3.44	30
	EQUIPMENT				
31	(52) Locomotives	2,828	2,827	2.07	31
32	(53) Freight-train cars	2	2	0.00	32
33	(54) Passenger-train cars				33
34	(55) Highway revenue equipment				34
35	(56) Floating equipment				35
36	(57) Work equipment	135	135	7.67	36
37	(58) Miscellaneous equipment	121	121	13.28	37
38	(59) Computer systems and word processing equipment	17	17	0.00	38
39	Amortization Adjustments				39
40	TOTAL EQUIPMENT	3,103	3,102	2.74	40
41	GRAND TOTAL	11,741	12,234	3.26	41

\* To be reported with equipment expense rather than W & S expenses



**SCHEDULE 342 - ACCUMULATED DEPRECIATION-IMPROVEMENTS TO ROAD AND EQUIPMENT LEASED FROM OTHERS**  
 (Dollars in Thousands)

1. Enter the required information concerning to debits and credits to Account 733. "Accumulated depreciation-Improvements on Leased Property," during the year relating to improvements made to road and equipment property leased from others, the depreciation charges for which are included in operating expenses of the respondent.

2. If any entries are made for column (d) "Other credits" or column (f) "Other debits," state the facts occasioning such entries on page 39. A debit balance in column (b) or (g) for any primary account should be shown in parenthesis or designated "Dr."

3. Any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses should be fully explained on page 35

4. Show in column (e) the debits to the reserve arising from retirements. These debits should not exceed investment, etc

5. Details in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively. However, line 39, Grand Total, should be completed

Line No.	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No
				During the year		Dunng the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)		
		ROAD							
1		(3) Grading	32	5				37	1
2		(4) Other, nght-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts	38	9				47	4
5		(7) Elevated structures							5
6		(8) Ties	454	151				605	6
7		(9) Rail and other track material	200	45				245	7
8		(11) Ballast	170	45				215	8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings	30	5				35	10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals							18
19		(26) Communication systems <sup>1</sup>			171			171	19
20		(27) Signals and interlockers	14	7				21	20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines	47	33				80	24
25		(39) Public improvements—Construction							25
26		(44) Shop machinery"							26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		TOTAL ROAD	985	300	171			1,456	29
		EQUIPMENT							
30		(52) Locomotives	(41)	104		(224)		287	30
31		(53) Freight-train cars	2					2	31
32		(54) Passenger-train cars							32
33		(55) Highway revenue equipment							33
34		(56) Floating equipment							34
35		(57) Work equipment	58	11				69	35
36		(58) Miscellaneous equipment	41	17				58	36
37		(59) Computer systems and word processing equipment	17					17	37
38		TOTAL EQUIPMENT	77	132		(224)		433	38
39		GRAND TOTAL	1,062	432	171	(224)		1,889	39

<sup>1</sup> To be reported with equipment expenses rather than W & S expenses.

## NOTES AND REMARKS FOR SCHEDULE 342

<sup>1</sup> Column D pertains to reclassification of owned property to leased property.

**SCHEDULE 350 - DEPRECIATION BASE AND RATES--ROAD AND EQUIPMENT LEASED TO OTHERS**

(Dollars in Thousands)

1. This schedule is to be used in cases where the related depreciation reserve is carried in the accounts of the respondent and the rent therefrom is included in Accounts 32-11-00 32-12-00, 32-13-00 32-21-00 32-22-00 and 32-23-00.
2. Show in columns (b) and (c) for each primary account, the depreciation base used in computing the depreciation for the months of January and December respectively, with respect to road and equipment owned by the respondent but leased to others, the depreciation charges for which are not includible in operating expenses of the respondent, but for which the depreciation reserve is recorded in the accounts of the respondent. If the base for road is other than the original cost or estimated original cost as found by the Board's Office of Economic and Environmental Analysis brought to a current date by the respondent from its Order No. 3 records and accounts, or is other than ledger value for equipment, a full explanation should be given.
3. In column (d) show the composite rates used to compute the depreciation for the month of December and on lines 29 and 38 of this column show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary accounts composite rates to the depreciation base used to compute the depreciation for December and dividing the total also computed by the depreciation base.
4. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.
5. If total road leased to others is less than 5% of total road owned, omit. If total equipment leased to others is less than 5% of total equipment owned, omit. However, Line 39, Grand Total, should be completed.

Line No.	Account (a)	Depreciation base		Annual composite rate (percent) (d)	Line No
		At beginning of year (b)	At close of year (c)		
	ROAD				
1	(3) Grading				1
2	(4) Other, right-of-way expenditures				2
3	(5) Tunnels and subways				3
4	(6) Bridges, trestles, and culverts				4
5	(7) Elevated structures				5
6	(8) Ties				6
7	(9) Rail and other track material				7
8	(11) Ballast				8
9	(13) Fences, snow sheds, and signs				9
10	(16) Station and office buildings				10
11	(17) Roadway Buildings				11
12	(18) Water stations				12
13	(19) Fuel stations				13
14	(20) Shops and enginehouses				14
15	(22) Storage warehouses				15
16	(23) Wharves and docks				16
17	(24) Coal and ore wharves				17
18	(25) TOFC/COFC terminals				18
19	(26) Communication systems				19
20	(27) Signals and interlockers				20
21	(29) Power plants				21
22	(31) Power-transmission systems				22
23	(35) Miscellaneous structures				23
24	(37) Roadway machines				24
25	(39) Public improvements--Construction				25
26	(44) Shop machinery				26
27	(45) Power-plant machinery				27
28	All other road accounts				28
29	TOTAL ROAD				29
	EQUIPMENT				
30	(52) Locomotives				30
31	(53) Freight-train cars				31
32	(54) Passenger-train cars				32
33	(55) Highway revenue equipment				33
34	(56) Floating equipment				34
35	(57) Work equipment				35
36	(58) Miscellaneous equipment			N/A	36
37	(59) Computer systems and word processing equipment				37
38	TOTAL EQUIPMENT				38
39	GRAND TOTAL	NONE	NONE		39

### SCHEDULE 351 - ACCUMULATED DEPRECIATION--ROAD AND EQUIPMENT LEASED TO OTHERS

(Dollars in Thousands)

1 This schedule is to be used in cases where the depreciation reserve is carried in the accounts of the respondent and the rent therefrom is included in Accounts 32-11-00, 32-12-00, 32-13-00, 32-21-00, 32-22-00, and 32-23-00.

2 Disclose credits and debits to Account 735, "Accumulated Depreciation--Road and Equipment Property," during the year relating to road and equipment leased to others, the department charges for which are not includible in operating expenses of the respondent (See Schedule 330 for the reserve relating to road and equipment owned and used by the respondent).

3. If any entries are made for "Other Credits" and "Other Debits," state the facts occasioning such entries. A debit balance in columns (b) or (g) for any primary account should be shown in parenthesis or designated "Dr."

4. Details in the respective sections of this schedule may be omitted if either total road leased to others or total equipment leased from others represents less than 5% of the total road owned or total equipment owned, respectively. However, line 39, Grand Total, should be completed.

Line No	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)		
		ROAD							
1		(3) Grading							1
2		(4) Other, right-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts							4
5		(7) Elevated structures							5
6		(8) Ties							6
7		(9) Rail and other track material							7
8		(11) Ballast							8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings							10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals							18
19		(26) Communication systems							19
20		(27) Signals and interlockers							20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines							24
25		(39) Public improvements—Construction							25
26		(44) Shop machinery*							26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		TOTAL ROAD							29
		EQUIPMENT							
30		(52) Locomotives							30
31		(53) Freight-train cars							31
32		(54) Passenger-train cars							32
33		(55) Highway revenue equipment							33
34		(56) Floating equipment							34
35		(57) Work equipment							35
36		(58) Miscellaneous equipment							36
37		(59) Computer systems and word processing equipment							37
38		TOTAL EQUIPMENT							38
39		GRAND TOTAL	NONE					NONE	39

\* To be reported with equipment expenses rather than W & S expenses.

**SCHEDULE 352A - INVESTMENT IN RAILROAD PROPERTY USED IN TRANSPORTATION SERVICE (By Company)**  
(Dollars in Thousands)

1 Disclose the investment in railway property used in transportation service at the close of the year. This investment represents the aggregate of property owned or leased by the respondent and used in the respondent's transportation service. Such property includes (a) the investment reported in Accounts 731, "Road and Equipment Property," and 732, "Improvements on Leased Property," of the respondent, less any 731 or 732 property leased to others for their exclusive use of road, track, or bridges (including equipment or other railway property covered by the contract). Equipment leased to others under separate distinct contracts shall not be deducted from the respondent's 731 or 732 property, and (b) the investment of other companies 731 or 732 property (including operating and lessor railroads) used by the respondent when the lease is for exclusive use or control of roads, tracks, or bridges (including equipment of other railway property covered by the contract). This excludes leased equipment from operating railroads under separate distinct contracts and the investment of other carriers in property jointly used by the respondent.

2 In column (a), classify each company in this schedule as respondent (R), lessor railroad (L), inactive or proprietary company (P), and other leased properties (O).

3 In column (a) to (e), inclusive, first show the data requested for the respondent (R), next the data for companies whose entire properties are used in transportation service of the respondent, divided between lessor (L) and proprietary (P) companies, followed by data for carriers and others (O), portions of whose property are used in transportation service of the respondent. Show a total for each class of company in column (d) and (e). Then show, as deductions, data for transportation property leased to carriers and others.

4. In column (c), line-haul carriers report the miles of road used in line-haul service. Report miles in whole numbers.

5. In column (d), show the amount applicable in Accounts 731 and 732 on the books of the companies whose names appear in column (b). Values of property of other carriers segregated by estimate or otherwise should correspond in amount to the deductions made by the owners in their reports. If separate value is not available, explanations should be given. Differences between the amounts in column (d) of this schedule and the amounts shown in column (c), line 24, on the asset side of the comparative general balance sheet of each individual railway, should be explained in a footnote. Book value included in Accounts 731 or 732 of the owner should be reported in column (d) in reference to the investment of the respondent in the securities of the owner unless a good reason can be given for the contrary. Methods of estimating (by capitalizing rentals at 6 percent or otherwise) value of property of private owners, or portions of property of other carriers should be explained.

6 In column (e), show the amount of depreciation and amortization accrued as of the close of the year in Accounts 733, 734, 735, 736, and 772, that is applicable to the property of the carriers whose names are listed in column (b), regardless of where the reserves therefor are recorded.

Line No	Class (See Ins 2) (a)	Name of company (b)	Miles of road used (See Ins 4) (whole number) (c)	Investments in property (See Ins. 5) (d)	Depreciation and amortization of defense projects (See Ins 6) (e)	Line No.
1	R	Kansas City Southern Railway Company	2,541	1,642,635	518,669	1
2		732 - 733 Improvements on Leased Property		12,234	1,889	2
3		736 Amortization			269	3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14						14
15						15
16						16
17						17
18						18
19						19
20						20
21						21
22						22
23						23
24						24
25						25
26						26
27						27
28						28
29						29
30						30
31		TOTAL	2,541	1,654,869	520,827	31

# **SCHEDULE 352B - INVESTMENT IN RAILWAY PROPERTY USED IN TRANSPORTATION SERVICE (By Property Accounts)**

(Dollars in Thousands)

1. In columns (b) through (e) give, by primary accounts, the amount of investment at the close of the year in property of respondent and each group or class of companies and properties.

2. The amounts for respondent and for each group or class of companies and properties on line 44 herein, should correspond with the amounts for each class of company and properties shown in schedule 352A. Continuing records shall be maintained by respondent of the primary property accounts separately for each company or property included in the schedule.

3. Report on line 29 amounts representing capitalization of rentals for leased property based on 6 percent per year where property is not classified by accounts by non-carrier owners, or where cost of property leased from other carriers is not ascertainable. Identify non-carrier owners, and briefly explain on page 37 methods of estimating value of property of non-carriers or property of other carriers.

4. Report on line 30 amounts not includible in the accounts shown, or in line 29. The items reported should be briefly identified and explained. Also include here those items after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.

Line No	Cross Check	Account (a)	Respondent (b)	Lessor railroads (c)	Inactive (proprietary companies) (d)	Other Leased properties (e)	Line No.
1		(2) Land for Transportation purposes	24,774				1
2		(3) Grading	120,425				2
3		(4) Other, right-of-way expenditures	757				3
4		(5) Tunnels and subways	36				4
5		(6) Bridges, trestles, and culverts	149,669				5
6		(7) Elevated structures					6
7		(8) Ties	286,370				7
8		(9) Rail and other track material	435,258				8
9		(11) Ballast	98,619				9
10		(13) Fences, snow sheds, and signs	767				10
11		(16) Station and office buildings	18,564				11
12		(17) Roadway buildings	447				12
13		(18) Water stations	84				13
14		(19) Fuel stations	4,771				14
15		(20) Shops and enginehouses	32,634				15
16		(22) Storage warehouses					16
17		(23) Wharves and docks					17
18		(24) Coal and ore wharves					18
19		(25) TOFC/COFC terminals	19,898				19
20		(26) Communication systems	12,700				20
21		(27) Signals and interlockers	35,881				21
22		(29) Power plants	23				22
23		(31) Power-transmission systems	791				23
24		(35) Miscellaneous structures	470				24
25		(37) Roadway machines	26,003				25
26		(39) Public improvements--Construction	5,937				26
27		(44) Shop machinery	8,343				27
28		(45) Power-plant machinery	175				28
29		Leased property capitalized rentals (explain)					29
30		Other (specify and explain)					30
31		<b>TOTAL ROAD</b>	<b>1,283,396</b>				31
32		(52) Locomotives	89,292				32
33		(53) Freight-train cars	138,768				33
34		(54) Passenger-train cars					34
35		(55) Highway revenue equipment					35
36		(56) Floating equipment					36
37		(57) Work equipment	6,774				37
38		(58) Miscellaneous equipment	22,415				38
39		(59) Computer systems and word processing equipment	17,671				39
40		<b>TOTAL EQUIPMENT</b>	<b>274,920</b>				40
41		(76) Interest during construction	2,157				41
42		(80) Other elements of investment					42
43		(90) Construction work in progress	94,396				43
44		<b>GRAND TOTAL</b>	<b>1,654,869</b>				44

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 410

## Cross-checks

## Schedule 410

Line 620, column (h)  
 Line 620, column (f)  
 Line 620, column (g)

=  
 =  
 =

## Schedule 210

Line 14, column (b)  
 Line 14, column (d)  
 Line 14, column (e)

## Schedule 412

Lines 136 thru 138 column (f)  
 Lines 118 thru 123, and 130 thru 135  
 column (f)

=  
 =

Line 29, column (b)  
 Line 29, column (c)

## Schedule 414

Line 231, column (f)  
 Line 230, column (f)

=  
 =

Line 19, columns (b) thru (d)  
 Line 19, columns (e) thru (g)

## Schedule 415

Lines 207, 208, 211, 212, column (f)  
 Lines 228, 227, column (f)  
 Lines 311, 312, 315, 316, column (f)

=  
 =  
 =

Lines 5, 38, column (f)  
 Lines 24, 39, column (f)  
 Lines 32, 35, 36, 37, 40, 41, column (f)

And

## Schedule 414

Minus line 24, columns (b) thru (d) plus  
 line 24, columns (e) thru (g)

## Schedule 415

Line 213, column (f)  
 Line 232, column (f)  
 Line 317, column (f)

=  
 =  
 =

Lines 5, 38, columns (c) and (d)  
 Lines 24, 39, columns (c) and (d)  
 Lines 32, 35, 36, 37, 40, 41, columns (c) and (d)

Lines 202, 203, 216, column (f) (equal  
 to or greater than, but variance cannot  
 exceed line 216, column (f))

Lines 5, 38, column (b)

Lines 221, 222, 235, column (f) (equal  
 to or greater than, but variance cannot  
 exceed line 235, column (f))

Lines 24, 39, column (b)

Lines 302 thru 307 and 320, column (f) (equal  
 to or greater than, but variance cannot  
 exceed line 320, column (f))

Lines 32, 35, 36, 37, 40, 41, column (b)

## Schedule 417

Line 507, column (f)  
 Line 508, column (f)  
 Line 509, column (f)  
 Line 510, column (f)  
 Line 511, column (f)  
 Line 512, column (f)  
 Line 513, column (f)  
 Line 514, column (f)  
 Line 515, column (f)  
 Line 516, column (f)  
 Line 517, column (f)

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Line 1, column (j)  
 Line 2, column (j)  
 Line 3, column (j)  
 Line 4, column (j)  
 Line 5, column (j)  
 Line 6, column (j)  
 Line 7, column (j)  
 Line 8, column (j)  
 Line 9, column (j)  
 Line 10, column (j)  
 Line 11, column (j)

## Schedule 450

Line 4, column b

=

## Schedule 210

Line 47, column b

## SCHEDULE 410 - RAILWAY OPERATING EXPENSES

(Dollars in Thousands)

State the railway operating expenses on respondent's road for the year, classifying them in accordance with the Uniform System of Accounts for Railroad Companies, and allocate the common operation expense in accordance with the commission's rules governing the separation of such expenses between freight and passenger services.

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
1		WAY AND STRUCTURES								
2		ADMINISTRATION								
3		Track	3,174	437	404	213	4,228		4,228	1
4		Bridge and building			9	2	11		11	2
5		Signal	554	48	39	14	655		655	3
6		Communication	177	23	1	9	210		210	4
7		Other	490	36	246	44	816		816	5
8		REPAIR AND MAINTENANCE								
9		Roadway - Running	541	105	1,762		2,408		2,408	6
10		Roadway - Switching	36	2	130		168		168	7
11		Tunnels and Subways - Running								8
12		Tunnels and Subways - Switching								9
13		Bridges and Culverts - Running	652	74	249	91	1,066		1,066	10
14		Bridges and Culverts - Switching	15				15		15	11
15		Ties - Running	(35)	394	32	(1,145)	(754)		(754)	12
16		Ties - Switching	51	45	1	(22)	75		75	13
17		Rail and other track material - Running	2,491	839	753	(86)	3,997		3,997	14
18		Rail and other track material - Switching	718	26	22	(12)	754		754	15
19		Ballast - Running	1,531	(136)	49		1,444		1,444	16
20		Ballast - Switching	76	1	1		78		78	17
21		Road Property Damaged - Running	225	188			413		413	18
22		Road Property Damaged - Switching	116	43			159		159	19
23		Road Property Damaged - Other			568		568		568	20
24		Signals and Interlockers - Running	884	1,010	739	209	2,842		2,842	21
25		Signals and Interlockers - Switching			6		6		6	22
26		Communications Systems		31	2,701		2,732		2,732	23
27		Power Systems								24
28		Highway Grade Crossings - Running	943	73	140		1,156		1,156	25
29		Highway Grade Crossings - Switching	13		1		14		14	26
30		Station and Office Buildings		4	580		584		584	27
31		Shop Building - Locomotives	1	6	80		87		87	28
32		Shop Building - Freight Cars		2	48		50	N/A	50	29
33		Shop Building - Other Equipment			34		34		34	30



**SCHEDULE 410 - RAILWAY OPERATING EXPENSES - Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
101		REPAIR AND MAINTENANCE - Continued								
102		Locomotive Servicing Facilities		4	46		50		50	101
103		Miscellaneous Buildings and Structures	38	14	36	1	89		89	102
104		Coal Terminals								103
105		Ore Terminals								104
106		Other Marine Terminals								105
107		TOFC/COFC - Terminals		211	186		397		397	106
108		Motor Vehicle Loading and Distribution Facilities								107
109		Facilities for Other Specialized Service Operations								108
110		Roadway Machines	964	1,949	774	42	3,729		3,729	109
111		Small Tools and Supplies		1,627	98		1,725		1,725	110
112		Snow Removal	5				5		5	111
113		Fringe Benefits - Running	N/A	N/A	N/A	(3,086)	(3,086)		(3,086)	112
114		Fringe Benefits - Switching	N/A	N/A	N/A	(42)	(42)		(42)	113
115		Fringe Benefits - Other	N/A	N/A	N/A	9,535	9,535		9,535	114
116		Casualties and Insurance - Running	N/A	N/A	N/A	793	793		793	115
117		Casualties and Insurance - Switching	N/A	N/A	N/A	49	49		49	116
118		Casualties and Insurance - Other	N/A	N/A	N/A	731	731		731	117
119		Lease Rentals - Debit - Running	N/A	N/A	307	N/A	307		307	118
120		Lease Rentals - Debit - Switching	N/A	N/A	96	N/A	96		96	119
121		Lease Rentals - Debit - Other	N/A	N/A	3,095	N/A	3,095		3,095	120
122		Lease Rentals - [Credit] - Running	N/A	N/A		N/A				121
123		Lease Rentals - [Credit] - Switching	N/A	N/A		N/A				122
124		Lease Rentals - [Credit] - Other	N/A	N/A		N/A				123
125		Joint Facility Rent - Debit - Running	N/A	N/A	151	N/A	151		151	124
126		Joint Facility Rent - Debit - Switching	N/A	N/A	270	N/A	270		270	125
127		Joint Facility Rent - Debit - Other	N/A	N/A	1	N/A	1		1	126
128		Joint Facility Rent - [Credit] - Running	N/A	N/A	(356)	N/A	(356)		(356)	127
129		Joint Facility Rent - [Credit] - Switching	N/A	N/A	(49)	N/A	(49)		(49)	128
130		Joint Facility Rent - [Credit] - Other	N/A	N/A		N/A				129
131		Other Rents - Debit - Running	N/A	N/A		N/A				130
132		Other Rents - Debit - Switching	N/A	N/A		N/A				131
133		Other Rents - Debit - Other	N/A	N/A	246	N/A	246		246	132
134		Other Rents - [Credit] - Running	N/A	N/A		N/A				133

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
		<b>REPAIR AND MAINTENANCE - Continued</b>								
134		Other Rents - [Credit] - Switching	N/A	N/A		N/A				134
135		Other Rents - [Credit] - Other	N/A	N/A		N/A				135
136		Depreciation - Running	N/A	N/A	N/A	31,161	31,161		31,161	136
137		Depreciation - Switching	N/A	N/A	N/A	4,167	4,167		4,167	137
138		Depreciation - Other	N/A	N/A	N/A	3,422	3,422		3,422	138
139		Joint Facility - Debit - Running	N/A	N/A	470	N/A	470		470	139
140		Joint Facility - Debit - Switching	N/A	N/A	788	N/A	788		788	140
141		Joint Facility - Debit - Other	N/A	N/A	1	N/A	1		1	141
142		Joint Facility - [Credit] - Running	N/A	N/A	(1,839)	N/A	(1,839)		(1,839)	142
143		Joint Facility - [Credit] - Switching	N/A	N/A	(31)	N/A	(31)		(31)	143
144		Joint Facility - [Credit] - Other	N/A	N/A		N/A				144
145		Dismantling Retired Road Property - Running	6				6		6	145
146		Dismantling Retired Road Property - Switching	4				4		4	146
147		Dismantling Retired Road Property - Other								147
148		Other - Running	(906)	4		201	(701)		(701)	148
149		Other - Switching	(5)		3		(2)		(2)	149
150		Other - Other	1,530	203	2,004	7,633	11,370		11,370	150
151		<b>TOTAL WAY AND STRUCTURES</b>	1-1,289	7,263	14,892	53,924	90,368		90,368	151
		<b>EQUIPMENT</b>								
201		<b>LOCOMOTIVES</b>								
		Administration	730	39	34	57	860		860	201
202		Repair and Maintenance	4,408	13,281	2,437	214	20,340		20,340	202
203		Machinery Repair		23	24		47		47	203
204		Equipment Damaged			1,740		1,740		1,740	204
205		Fringe Benefits	N/A	N/A	N/A	2,175	2,175		2,175	205
206		Other Casualties and Insurance	N/A	N/A	N/A	988	988		988	206
207		Lease Rentals - Debit	N/A	N/A	13,232	N/A	13,232		13,232	207
208		Lease Rentals - [Credit]	N/A	N/A		N/A				208
209		Joint Facility Rent - Debit	N/A	N/A		N/A				209
210		Joint Facility Rent - [Credit]	N/A	N/A		N/A				210
211		Other Rents - Debit	N/A	N/A	4,236	N/A	4,236		4,236	211
212		Other Rents - [Credit]	N/A	N/A	(172)	N/A	(172)		(172)	212
213		Depreciation	N/A	N/A	N/A	2,196	2,196		2,196	213
214		Joint Facility - Debit	N/A	N/A	129	N/A	129		129	214
215		Joint Facility - [Credit]	N/A	N/A	(924)	N/A	(924)		(924)	215
216		Repairs Billed to Others - [Credit]	N/A	N/A		N/A				216

## SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued

(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
217		LOCOMOTIVES - Continued								217
218		Dismantling Retired Property								218
219		Other		43	60		103		103	219
		TOTAL LOCOMOTIVES	5,138	13,386	20,796	5,630	44,950		44,950	
220		FREIGHT CARS								
221		Administration	985	32	51	68	1,136		1,136	220
222		Repair and Maintenance	3,959	8,227	7,566	101	19,853		19,853	221
223		Machinery Repair		5	3		8		8	222
224		Equipment Damaged	131	268	859	3	1,261		1,261	223
225		Fringe Benefits	N/A	N/A	N/A	2,235	2,235		2,235	224
226		Other Casualties and Insurance	N/A	N/A	N/A	4,729	4,729		4,729	225
227		Lease Rentals - Debit	N/A	N/A	25,756	N/A	25,756		25,756	226
228		Lease Rentals - [Credit]	N/A	N/A	(248)	N/A	(248)		(248)	227
229		Joint Facility Rent - Debit	N/A	N/A		N/A				228
230		Joint Facility Rent - [Credit]	N/A	N/A		N/A				229
231		Other Rents - Debit	N/A	N/A	42,180	N/A	42,180		42,180	230
232		Other Rents - [Credit]	N/A	N/A	(24,783)	N/A	(24,783)		(24,783)	231
233		Depreciation	N/A	N/A	N/A	4,489	4,489		4,489	232
234		Joint Facility - Debit	N/A	N/A		N/A				233
235		Joint Facility - [Credit]	N/A	N/A	(11,252)	N/A	(11,252)		(11,252)	234
236		Repairs Billed to Others - [Credit]	N/A	N/A						235
237		Dismantling Retired Property								236
238		Other		3	63	(7)	59		59	237
		TOTAL FREIGHT CARS	5,075	8,535	40,195	11,618	65,423		65,423	238
301		OTHER EQUIPMENT								
		Administration	222		201	5	428		428	301
302		Repair and Maintenance								
303		Trucks, Trailers, and Containers - Revenue Service		8	3,543		3,551		3,551	302
304		Floating Equipment - Revenue Service								303
305		Passenger and Other Revenue Equipment								304
306		Computer systems and word processing equipment		3	394		397		397	305
307		Machinery		13	2		15		15	306
308		Work and Other Non-Revenue Equipment		26	957		983		983	307
309		Equipment Damaged			172		172		172	308
310		Fringe Benefits	N/A	N/A	N/A	71	71		71	309
311		Other Casualties and Insurance	N/A	N/A	N/A	211	211		211	310
312		Lease Rentals - Debit	N/A	N/A	6,931	N/A	6,931		6,931	311
		Lease Rentals - [Credit]	N/A	N/A		N/A				312

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
313		OTHER EQUIPMENT - Continued								
314		Joint Facility Rent - Debit	N/A	N/A		N/A				313
315		Joint Facility Rent - [Credit]	N/A	N/A		N/A				314
316		Other Rents - Debit	N/A	N/A	7,773	N/A	7,773		7,773	315
317		Other Rents - [Credit]	N/A	N/A	(3,904)	N/A	(3,904)		(3,904)	316
318		Depreciation	N/A	N/A	N/A	4,621	4,621		4,621	317
319		Joint Facility - Debit	N/A	N/A		N/A				318
320		Joint Facility - [Credit]	N/A	N/A		N/A				319
321		Repairs Billed to Others - [Credit]	N/A	N/A	(1,134)	N/A	(1,134)		(1,134)	320
322		Dismantling Retired Property								321
323		Other	1				1		1	322
324		TOTAL OTHER EQUIPMENT	223	50	14,935	4,908	20,116		20,116	323
		TOTAL EQUIPMENT	10,436	21,971	75,926	22,156	130,489		130,489	324
		TRANSPORTATION:								
401		Administration	3,063	589	1,392	692	5,736		5,736	401
402		Engine Crews	24,555	208	1	2,880	27,644		27,644	402
403		Train Crews	26,594	84	3	3,804	30,485		30,485	403
404		Dispatching Trains	3,926		81	30	4,037		4,037	404
405		Operating Signals and Interlockers	217		148		365		365	405
406		Operating Drawbridges	41		1		42		42	406
407		Highway Crossing Protection		4	6		10		10	407
408		Train Inspection and Lubrication	1,875	1	3		1,879		1,879	408
409		Locomotive Fuel	248	30,061	12		30,321		30,321	409
410		Electric Power Purchased or Produced for Motive Power								410
411		Servicing Locomotives	718	1,644	15		2,377		2,377	411
412		Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	474	474		474	412
413		Clearing Wrecks			2,032		2,032		2,032	413
414		Fringe Benefits	N/A	N/A	N/A		22,130		22,130	414
415		Other Casualties and Insurance	N/A	N/A	N/A	12,078	12,078		12,078	415
416		Joint Facility - Debit	N/A	N/A	2,227	N/A	2,227		2,227	416
417		Joint Facility - [Credit]	N/A	N/A	(191)	N/A	(191)		(191)	417
418		Other		292	291	(71)	512		512	418
419		TOTAL TRAIN OPERATIONS	61,237	32,883	6,021	42,017	142,158		142,158	419
420		YARD OPERATIONS								
421		Administration	1,070	235	123	27	1,455		1,455	420
421		Switch Crews	17,189	45	31	10	17,275		17,275	421

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
422		YARD OPERATIONS - Continued								
423		Controlling Operations	1,366		170		1,536		1,536	422
424		Yard and Terminal Clerical	2,274	68	188		2,530		2,530	423
425		Operating Switches, Signals, Retarders and Humps			6		6		6	424
426		Locomotive Fuel	130	2,537			2,667		2,667	425
427		Electric Power Purchased or Produced for Motive Power								426
428		Servicing Locomotives	342	5	6		353		353	427
429		Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	55	55		55	428
430		Clearing Wrecks	4		45		49		49	429
431		Fringe Benefits	N/A	N/A	N/A	8,744	8,744		8,744	430
432		Other Casualties and Insurance	N/A	N/A	N/A	545	545		545	431
433		Joint Facility - Debit	N/A	N/A	607	N/A	607		607	432
434		Joint Facility - [Credit]	N/A	N/A	(2,854)	N/A	(2,854)		(2,854)	433
435		Other		24	84	349	457		457	434
501		TOTAL YARD OPERATIONS	22,375	2,914	(1,594)	9,730	33,425		33,425	435
502		TRAIN AND YARD OPERATIONS COMMON								
503		Cleaning Car Interiors	18		781	N/A	799		799	501
504		Adjusting and Transferring Loads		6	187	N/A	193		193	502
505		Car Loading Devices and Grain Doors				N/A				503
506		Freight Lost or Damaged - all other	N/A	N/A	N/A	315	315		315	504
507		Fringe Benefits	N/A	N/A	N/A	330	330		330	505
508		TOTAL TRAIN AND YARD OPERATIONS COMMON	18	6	968	645	1,637		1,637	506
509		SPECIALIZED SERVICE OPERATIONS								
510		Administration								507
511		Pickup and Delivery and Marine Line Haul								508
512		Loading and Unloading and Local Marine		178	6,555		6,733		6,733	509
513		Protective Services		56			56		56	510
514		Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	2	2		2	511
515		Fringe Benefits	N/A	N/A	N/A	1	1		1	512
516		Casualties and Insurance	N/A	N/A	N/A					513
517		Joint Facility - Debit	N/A	N/A		N/A				514
518		Joint Facility - [Credit]	N/A	N/A		N/A				515
519		Other			3		3		3	516
520		TOTAL SPECIALIZED SERVICES OPERATIONS		234	6,558	3	6,795		6,795	517

SCHEDULE 410 - RAILWAY OPERATING EXPENSES - Continued  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
518		ADMINISTRATIVE SUPPORT OPERATIONS								
519		Administration	1,644	55	159	266	2,124		2,124	518
520		Employees Performing Clerical and Accounting Functions	6,321	206	1,047	54	7,628		7,628	519
521		Communications Systems Operation			14		14		14	520
522		Loss and Damage Claims Processing	235	3	23	11	272		272	521
523		Fringe Benefits	N/A	N/A	N/A	2,693	2,693		2,693	522
524		Casualties and Insurance	N/A	N/A	N/A					523
525		Joint Facility - Debit	N/A	N/A		N/A				524
526		Joint Facility - [Credit]	N/A	N/A		N/A				525
527		Other								526
528		TOTAL ADMINISTRATIVE SUPPORT OPERATIONS	8,200	284	1,243	3,024	12,731		12,731	527
601		TOTAL TRANSPORTATION	91,830	36,301	13,196	55,419	196,746		196,746	528
602		GENERAL AND ADMINISTRATIVE								
603		Officers - General Administration	1,763	182	2,104	482	4,531		4,531	601
604		Accounting, Auditing, and Finance	2,562	103	950	165	3,780		3,780	602
605		Management Services and Data Processing	2,230	208	6,320	300	9,058		9,058	603
606		Marketing	2,504	127	477	791	3,899		3,899	604
607		Sales	978	16	60	315	1,369		1,369	605
608		Industrial Development	379	3	6	41	429		429	606
609		Personnel and Labor Relations	1,284	167	202	413	2,066		2,066	607
610		Legal and Secretarial	608	29	6,440	53	7,130		7,130	608
611		Public Relations and Advertising		3	113	17	133		133	609
612		Research and Development								610
613		Fringe Benefits	N/A	N/A	N/A	6,400	6,400		6,400	611
614		Casualties and Insurance	N/A	N/A	N/A	562	562		562	612
615		Writedown of Uncollectible Accounts	N/A	N/A	N/A					613
616		Property Taxes	N/A	N/A	N/A	9,050	9,050		9,050	614
617		Other Taxes Except on Corporate Income or Payrolls	N/A	N/A	N/A	5,575	5,575		5,575	615
618		Joint Facility - Debit	N/A	N/A	85	N/A	85		85	616
619		Joint Facility - [Credit]	N/A	N/A	(10)	N/A	(10)		(10)	617
620		Other	758	189	433	798	2,178		2,178	618
		TOTAL GENERAL AND ADMINISTRATIVE	13,066	1,027	17,180	24,962	56,235		56,235	619
		TOTAL CARRIER OPERATING EXPENSES	129,621	66,562	121,194	156,461	473,838		473,838	620

See footnote on page 10.

**SCHEDULE 412 - WAY AND STRUCTURES**

(Dollars in Thousands)

- 1 Report freight expenses only.
- 2 The total depreciation expense reported in column (b), line 29, should balance to the sum of the depreciation expense reported in schedule 410, column (f) lines 136, 137, and 138.
- 3 Report in column (c) the lease/rentals for the various property categories of Way and Structures. The total net lease/rental reported in column (c), line 29 should balance the net amount reported in schedule 410, column (f), lines 118 through 123, plus lines 130 through 135.
- If an entire road or segment of track is leased and if the actual breakdown of lease/rentals by property categories is not known, apportion the lease/rentals based on the percentage of the categories depreciation bases to the depreciation bases for all categories of depreciable leased property. Use schedule 352B of this report for obtaining the depreciation bases of the categories of leased property.
- 4 Amortization adjustment of each road property type which is included in column (b) shall be repeated in column (d) as a debit or credit to the appropriate line item; the net adjustment on line 29 shall equal the adjustment reported on line 29 of schedule 335.
- 5 Report on line 28 all other lease rentals not apportioned to any category listed on lines 1 - 27.
- 6 Line 11, Account 16, should not include computer and data processing equipment reported on line 37 of schedule 415.

Line No.	Cross Check	Property account	Category (a)	Depreciation (b)	Lease/Rentals (net) (c)	Amortization adjustment during year (d)	Line No.
1		2	Land for transportation purposes	N/A	17		1
2		3	Grading	997	80		2
3		4	Other right-of-way expenditures	12			3
4		5	Tunnels and subways	0			4
5		6	Bridges, trestles and culverts	1,861		(13)	5
6		7	Elevated structures				6
7		8	Ties	12,642	191		7
8		9	Rail and other track material	13,547	291		8
9		11	Ballast	5,399	66		9
10		13	Fences, snowsheds and signs	5		(8)	10
11		16	Station and office buildings	435	1,038	57	11
12		17	Roadway buildings	14		4	12
13		18	Water stations	5		4	13
14		19	Fuel stations	137		3	14
15		20	Shops and enginehouses	642		47	15
16		22	Storage warehouses				16
17		23	Wharves and docks				17
18		24	Coal and ore wharves				18
19		25	TOFC/COFC terminals	615	6		19
20		26	Communications systems	319	1,503	34	20
21		27	Signals and interlockers	782	307	(41)	21
22		29	Power plants	1		1	22
23		31	Power transmission systems	9		(5)	23
24		35	Miscellaneous structures	7		(3)	24
25		37	Roadway machines	1,234	246		25
26		39	Public improvements; construction	84			26
27		45	Power plant machines	3		2	27
28		-	Other lease/rentals	N/A		N/A	28
29		-	TOTAL	38,750	3,744	82	29

# **SCHEDULE 414 - RENTS FOR INTERCHANGED FREIGHT TRAIN CARS AND OTHER FREIGHT-CARRYING EQUIPMENT** (Dollars in Thousands)

1. Report freight expenses only.
2. Report in this supporting schedule rental information by car type and other freight-carrying equipment relating to the interchange of railroad, owned or leased equipment and privately owned equipment (reporting for leased equipment covers equipment that carrier on railroad markings).
3. The gross amounts receivable and payable for freight-train cars (line 19, columns (b) through (d), and line 19, columns (e) through (g), respectively) should balance with Schedule 410, column (f), lines 231 (credits) and 230 (debits). Trailer and container rentals in this schedule are included in Schedule 410, column (f), lines 315 and 316. However, the trailer and container rentals in this schedule will not balance to lines 315 and 316 of Schedule 410 because those lines include rents for "Other Equipment" which is reported in Schedule 415, column (e). The balancing of Schedule 410, 414, and 415 "Other Equipment" is outlined in note 6 to Schedule 415.
4. Report in columns (b) and (e) rentals for private-line cars (whether under railroad control or not) and shipper-owned cars.
5. Report in columns (c), (d), (f), and (g) rentals for railroad owned cars prescribed by the Commission in Ex Parte No. 334, for which rentals are settled on a combination mileage and time basis (basis per diem). Include railroad owned per diem tank cars on line 17.

NOTES. Mechanical designations for each car type are shown in Schedule 710.

Line No.	Cross Check	Type of equipment (a)	GROSS AMOUNTS RECEIVABLE Per diem basis			GROSS AMOUNTS PAYABLE Per diem basis			Line No
			Private line cars (b)	Mileage (c)	Time (d)	Private line cars (e)	Mileage (f)	Time (g)	
		CAR TYPES							
1		Box-Plain 40 Foot							1
2		Box-Plain 50 Foot and Longer		6	21	1,052	262	1,480	2
3		Box-Equipped		4,280	11,581		1,894	7,498	3
4		Gondola-Plain		1	4	98	37	160	4
5		Gondola-Equipped		93	272		193	945	5
6		Hopper-Covered		500	1,754	943	1,100	4,455	6
7		Hopper-Open Top-General Service		2	6	8	243	744	7
8		Hopper-Open Top-Special Service		48	287		23	349	8
9		Refrigerator-Mechanical					54	357	9
10		Refrigerator-Non-Mechanical		9	17	1	126	662	10
11		Fiat TOFC/COFC		743	2,194	4,344	446	1,689	11
12		Fiat Multi-Level		1	2	104	14	36	12
13		Fiat-General Service				3	7	12	13
14		Fiat-Other		184	926	1,482	295	1,332	14
15		Tank-Under 22,000 Gallons				3,552	9	18	15
16		Tank-22,000 Gallons and Over			4	5,926	1	60	16
17		All Other Freight Cars		51	1,797		22	134	17
18		Auto Racks							18
19		TOTAL FREIGHT TRAIN CARS		5,918	18,865	17,513	4,726	19,941	19
20		OTHER FREIGHT-CARRYING EQUIPMENT							20
21		Refrigerated Trailers							21
22		Other Trailers			3,457	4,407		1,432	22
23		Refrigerated Containers							23
24	*	Other Containers							24
25		TOTAL TRAILERS AND CONTAINERS			3,457	4,407		1,432	25
		GRAND TOTAL (LINES 19 AND 24)		5,918	22,322	21,920	4,726	21,373	



## NOTES AND REMARKS

**GENERAL INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 415**

1. Report Freight expenses only.
2. Report by type of equipment all natural expenses relating to the equipment functions (salaries and wages, materials, tools, supplies, fuels and lubricants, purchased services and general).
3. Report in column (b) net repair expense excluding the cost to repair damaged equipment.  
 Schedule 415, column (b), will balance to Schedule 410, column (f), as follows.  
 (a) Locomotives, line 5 plus line 38 compared to the sum of Schedule 410, lines 202, 203 plus 216 (excluding wreck repairs). Do not report in Schedule 415, Equipment Damaged from Schedule 410, line 204.  
 (b) Freight Cars, line 24 plus line 39 compared to the sum of Schedule 410, lines 221, 222 plus 235 (excluding wreck repairs) Do not report in Schedule 415, Equipment Damaged from Schedule 410, line 223.  
 (c) Sum of Highway Equipment (line 32), Floating Equipment (line 35), Passenger and Other Revenue Equipment (line 36), Computer and Data Processing Equipment (line 37), Machinery-Other Equipment (line 40), plus Work and Other Non-Revenue Equipment (line 41 compared to Schedule 410, the sum of lines 302 through 307, inclusive, plus line 320 (excluding wreck repairs). Do not report in Schedule 415, Equipment Damaged from Schedule 410, line 308.  
 Note: Lines 216, 235 and 320 of Schedule 410 are credit amounts.  
 The allocation of freight car repair expense reportable on Schedule 415 by car types shall be in accordance with Instruction 2-21, Freight train repair costing 49 CFR 1201.
4. Depreciation expense for each class of equipment by car type shall be reported in columns (c) and (d). For improvements on leased property, Accounts 732 and 733, use a supplementary Schedule 415, which will relate to Schedules 340 and 342.  
 Depreciation charges reported on columns (c) and (d) will balance to Schedule 410, column (f), as follows:  
 a. Locomotives, line 5 plus 38 compared to Schedule 410, line 213  
 b. Freight Cars, line 24 plus line 39 compared to Schedule 410, line 232  
 c. Sum of Highway Equipment (line 32), Floating Equipment (line 35), Passenger and Other Revenue Equipment (line 36); Computer and Data Processing Equipment (line 37); Machinery-Other Equipment (line 40); and Work and Other Non-Revenue Equipment (line 41) compared to Schedule 410, line 317.
5. Amortization adjustment of each equipment type which is included in column (c) shall be reported in column (e) as a debit or credit to the appropriate line item; the net adjustment on line 43 shall equal the equipment amortization adjustment applicable to equipment used in freight service included in line 38, column (c), schedule 335.
6. Lease/Rentals reported in column (f) should balance to column (f) of Schedule 410 as follows  
 a. Locomotives, line 5 plus 38 compared with Schedule 410, lines 207, 208, 211 and 212.  
 b. Freight Cars, line 24 plus line 39 compared with Schedule 410, lines 226 plus 227 (note that Schedule 410, lines 230 and 231, are reported in Schedule 414 and are not to be included in Schedule 415).  
 (c) Sum of Lease/Rentals for All Other Equipment, lines 32, 35, 36, 37, 40 and 41, will balance to Schedule 410, lines 311, 312, 315 and 316, except for the interchange rental on trailers and containers which is reported in Schedule 414. Therefore, both Schedules 414 and 415 should be used when balancing Lease/Rentals Other Equipment to Schedule 410. Do not report in Schedule 415 the Trailer and Container rentals reported in Schedule 414.
7. Investment base by types of equipment shall be reported in columns (g) and (h) and should not include the cost of Equipment Used But Not Owned when the rents therefore are included in the rent for equipment and Account Nos. 31-21-00, 31-22-00, 31-23-00, 35-21-00, 35-22-00 and 35-23-00.  
 It should include the Cost of Equipment Owned and Leased to Others when the rents are included in the rent for Equipment Account Nos. 32-21-00, 32-22-00, 32-23-00, 36-21-00, 36-22-00 and 36-23-00.  
 Property Used But Not Owned should also be included when the rent is included in Account Nos. 31-12-00, 31-13-00, 31-22-00 and 31-23-00, inclusive.  
 The grand total of each equipment account in column (h) of Schedule 330 should equal the totals of line items constituting the equipment account totals of columns (g) and (h), of schedule 415.
8. Accumulated depreciation for each class of equipment shall be reported in columns (i) and (j). The grand total of each equipment reserve account in column (g), Schedule 335, shall equal the combined aggregate total accumulated depreciation for line items constituting the corresponding equipment accounts reported in columns (i) and (j), on Schedule 415

## SCHEDULE 415 - SUPPORTING SCHEDULE--EQUIPMENT

(Dollars in Thousands)

Line No	Cross Check	Types of equipment (a)	Repairs (net expense) (b)	Depreciation		Amortization Adjustment net during year (e)	Line No
				Owned (c)	Capitalized lease (d)		
1		LOCOMOTIVES					
		Diesel Locomotive-Yard	1,672	7			1
2		Diesel Locomotive-Road	18,668	2,043			2
3		Other Locomotive-Yard					3
4		Other Locomotive-Road					4
5	*	TOTAL	20,340	2,050			5
6		FREIGHT TRAIN CARS					
		Box-Plain 40 Foot		8			6
7		Box-Plain 50 Foot and Longer	26	723			7
8		Box-Equipped	5,361	1,874			8
9		Gondola-Plain	22	1	143		9
10		Gondola-Equipped	330	2	198		10
11		Hopper-Covered	720	583	77		11
12		Hopper-Open Top-General Service	95	507			12
13		Hopper-Open Top-Special Service	507	83			13
14		Refrigerator-Mechanical	10				14
15		Refrigerator-Nonmechanical					15
16		Flat TOFC/COFC	523				16
17		Flat Multi-level	1				17
18		Flat-General Service	1				18
19		Flat-Other	178	174			19
20		All Other Freight Cars	813	43			20
21		Cabooses	14				21
22		Auto Racks					22
23		Miscellaneous Accessories					23
24	*	TOTAL FREIGHT TRAIN CARS	8,601	3,998	418		24
25		OTHER EQUIPMENT-REVENUE FREIGHT HIGHWAY EQUIPMENT					
		Refrigerated Trailers					25
26		Other Trailers	2,417				26
27		Refrigerated Containers					27
28		Other Containers					28
29		Bogies					29
30		Chassis					30
31		Other Highway Equipment (Freight)					31
32	*	TOTAL HIGHWAY EQUIPMENT	2,417				32
33		FLOATING EQUIPMENT-REVENUE SERVICE					
		Marine Line-Haul					33
34		Local Manne					34
35	*	TOTAL FLOATING EQUIPMENT					35
36	*	OTHER EQUIPMENT Passenger and Other Revenue Equipment (Freight Portion)					36
37	*	Computer systems and word processing equip.	397	2,933			37
38	*	Machinery-Locomotives 1	47	42		8	38
39	*	Machinery-Freight Cars 2	8	73		15	39
40	*	Machinery-Other Equipment 3	15	18			40
41	*	Work and Other Non-revenue Equipment	983	1,642			41
42		TOTAL OTHER EQUIPMENT	1,450	4,708		23	42
43		TOTAL ALL EQUIPMENT (FREIGHT PORTION)	32,808	10,756	418	23	43

- 1 The data to be reported on line 38 in column (b) is the amount reported in Schedule 410, column (f), line 203, reduced by the allocable portion of line 216  
2 The data to be reported on line 39 in column (b) is the amount reported in Schedule 410, column (f), line 222, reduced by the allocable portion of line 235  
3 The data to be reported on line 40 in column (b) is the amount reported in Schedule 410, column (f), lines 302 through 306, reduced by the allocable portion of line 320

## SCHEDULE 415 - SUPPORTING SCHEDULE-EQUIPMENT-Continued

(Dollars in Thousands)

Line No.	Cross Check	Lease and rentals (net) (f)	Investment base as of 12/31		Accumulated depreciation as of 12/31		Line No.
			Owned (g)	Capitalized lease (h)	Owned (i)	Capitalized lease (j)	
1		1,422	781		781		1
2		15,874	85,674		32,239		2
3							3
4							4
5		17,296	86,455		33,020		5
6			60		16		6
7		2,321	26,816		14,806		7
8		7,679	52,887		16,724		8
9		1,555	1,123	2,582	950	1,937	9
10		657	180	2,970	148	1,039	10
11		5,165	23,937	1,157	9,974	684	11
12		177	5,750		4,710		12
13		2,552	8,423		5,665		13
14							14
15			36		36		15
16		1,546	29		19		16
17							17
18			287		287		18
19		1,509	9,758		4,721		19
20		1,134	2,708		1,909		20
21			63		57		21
22		1,213					22
23							23
24		25,508	132,057	6,709	60,022	3,660	24
25							25
26		3,599					26
27							27
28							28
29							29
30							30
31							31
32		3,599					32
33							33
34							34
35							35
36							36
37		3,298	17,654		12,993		37
38			3,037		2,378		38
39			5,218		4,085		39
40			88		69		40
41		1,521	28,933		19,050		41
42		4,819	54,930		38,575		42
43		51,222	273,442	6,709	131,617	3,660	43

1 The data to be reported on lines 38, 39 and 40 in columns (g) and (h) is the investment recorded in Property Account 44, allocated to Locomotives, Freight Cars, and Other Equipment.

2 The depreciation to be reported on lines 38, 39, and 40 in column (c) is calculated by multiplying the investment in each element by the effective composite rate for Property Account 44, and then adding or subtracting the adjustment reported in column (e). This calculation should equal the amount shown in column (c), Schedule 335.

**SCHEDULE 415 - SUPPORTING SCHEDULE-EQUIPMENT (Improvements on Leased Property)**  
 (Dollars in Thousands)

Line No.	Cross Check	Types of equipment (a)	Repairs (net expense) (b)	Depreciation		Amortization Adjustment net during year (e)	Line No.
				Owned (c)	Capitalized lease (d)		
1		LOCOMOTIVES Diesel Locomotive-Yard			6		1
2		Diesel Locomotive-Road			98		2
3		Other Locomotive-Yard					3
4		Other Locomotive-Road					4
5	*	TOTAL			104		5
6		FREIGHT TRAIN CARS Box-Plain 40 Foot					6
7		Box-Plain 50 Foot and Longer					7
8		Box-Equipped					8
9		Gondola-Plain					9
10		Gondola-Equipped					10
11		Hopper-Covered					11
12		Hopper-Open Top-General Service					12
13		Hopper-Open Top-Special Service					13
14		Refrigerator-Mechanical					14
15		Refrigerator-Nonmechanical					15
16		Flat TOFC/COFC					16
17		Flat Multi-level					17
18		Flat-General Service					18
19		Flat-Other					19
20		All Other Freight Cars					20
21		Cabooses					21
22		Auto Racks					22
23		Miscellaneous Accessories					23
24	*	TOTAL FREIGHT TRAIN CARS					24
25		OTHER EQUIPMENT-REVENUE FREIGHT HIGHWAY EQUIPMENT Refrigerated Trailers					25
26		Other Trailers					26
27		Refrigerated Containers					27
28		Other Containers					28
29		Bogies					29
30		Chassis					30
31		Other Highway Equipment (Freight)					31
32	*	TOTAL HIGHWAY EQUIPMENT					32
33		FLOATING EQUIPMENT-REVENUE SERVICE Manne Line-Haul					33
34		Local Manne					34
35	*	TOTAL FLOATING EQUIPMENT					35
36	*	OTHER EQUIPMENT Passenger and Other Revenue Equipment (Freight Portion)					36
37	*	Computer systems and word processing equip					37
38	*	Machinery-Locomotives 1					38
39	*	Machinery-Freight Cars 2					39
40	*	Machinery-Other Equipment 3					40
41	*	Work and Other Non-revenue Equipment			28		41
42		TOTAL OTHER EQUIPMENT			28		42
43		TOTAL ALL EQUIPMENT (FREIGHT PORTION)			132		43

1 The data to be reported on line 38 in column (b) is the amount reported in Schedule 410, column (f), line 203, reduced by the allocable portion of line 216

2 The data to be reported on line 39 in column (b) is the amount reported in Schedule 410, column (f), line 222, reduced by the allocable portion of line 235

3 The data to be reported on line 40 in column (b) is the amount reported in Schedule 410, column (f), lines 302 through 306, reduced by the allocable portion of line 320

**415 - SUPPORTING SCHEDULE--EQUIPMENT--Continued (Improvements on Leased Property)**  
 (Dollars in Thousands)

Line No.	Cross Check	Lease and rentals (net) (f)	Investment base as of 12/31		Accumulated depreciation as of 12/31		Line No.
			Owned (g)	Capitalized lease (h)	Owned (i)	Capitalized lease (j)	
1				193		15	1
2				2,644		273	2
3							3
4							4
5				2,837		288	5
6							6
7							7
8							8
9							9
10							10
11							11
12							12
13							13
14							14
15							15
16							16
17							17
18							18
19				2		2	19
20							20
21							21
22							22
23							23
24				2		2	24
25							25
26							26
27							27
28							28
29							29
30							30
31							31
32							32
33							33
34							34
35							35
36							36
37				16		17	37
38							38
39							39
40							40
41				256		127	41
42				272		144	42
43				3,111		434	43

1 The data to be reported on lines 38, 39 and 40 in columns (g) and (h) is the investment recorded in Property Account 44, allocated to Locomotives, Freight Cars, and Other Equipment

2 The depreciation to be reported on lines 38, 39, and 40 in column (c) is calculated by multiplying the investment in each element by the effective composite rate for Property Account 44, and then adding or subtracting the adjustment reported in column (e). This calculation should equal the amount shown in column (c), Schedule 335

## SCHEDULE 416 - SUPPORTING SCHEDULE - ROAD

(Dollars in Thousands)

Line No	Density category (Class)	Account No. (b)	Owned and used			Improvements to leased property			Capitalized leases			TOTAL		Line No
			Inv. Base (c)	Accum depr. (d)	Depr. rate % (e)	Inv. Base (f)	Accum. depr. (g)	Depr. rate % (h)	Inv. Base (i)	Current year amort. (j)	Accum. Amort. (k)	Inv. Base (l)	Accum. depr. & Amort. (m)	
1	I	3	23,687	11,958	0.84							23,687	11,958	1
2		8	65,611	33,555	5.71							65,611	33,555	2
3		9	116,027	38,873	4.28							116,027	38,873	3
4		11	24,810	22,903	5.81							24,810	22,903	4
5	SUB-TOTAL		230,135	107,289		0	0					230,135	107,289	5
6	II	3	73,148	5,299	0.84	502	37	1.00				73,650	5,336	6
7		8	173,188	56,374	4.54	3,668	593	4.30				176,856	56,967	7
8		9	264,639	56,454	2.85	674	116	3.16				265,313	56,570	8
9		11	57,042	27,747	5.55	691	210	6.67				57,733	27,957	9
10	SUB-TOTAL		568,017	145,874		5,535	956					573,552	146,830	10
11	III	3		N/A	N/A		N/A	N/A		N/A	N/A	0		11
12		8		N/A	N/A		N/A	N/A		N/A	N/A	0		12
13		9		N/A	N/A		N/A	N/A		N/A	N/A	0		13
14		11		N/A	N/A		N/A	N/A		N/A	N/A	0		14
15	SUB-TOTAL			N/A	N/A		N/A	N/A		N/A	N/A	0		15
16	IV	3	23,088	1,786	0.84							23,088	1,786	16
17		8	43,857	19,248	3.96	46	12	3.77				43,903	19,260	17
18		9	52,884	12,547	2.20	1,034	129	2.32				53,918	12,676	18
19		11	16,058	8,122	4.60	18	5	5.00				16,076	8,127	19
20	SUB-TOTAL		135,867	41,703		1,098	146					136,985	41,849	20
21	V	3												21
22		8												22
23		9												23
24		11												24
25	SUB-TOTAL		0	0		0	0							25
26	GRAND TOTAL		934,039	294,866	N/A	6,633	1,102	N/A				940,672	295,968	26

(1) Columns (c) + (f) + (i) = Column 12

Columns (d) + (g) + (k) = Column 13

(2) The base grand total for owned and used, improvements to leased property and capitalized leases should equal the sum of Accounts 3, 8, and 11 shown at year end on Schedule 330 and Schedule 330A.

NOTES AND REMARKS



# **SCHEDULE 417 - SPECIALIZED SERVICE SUBSCHEDULE -- TRANSPORTATION**

(Dollars in Thousands)

- 1 Report freight expenses only
- 2 Report in lines 1, 2, 3, 4, and 10 the total of those natural expenses (salaries and wages, material, tools, supplies, fuels and lubricants, purchases services, and general) incurred in the operation of each type of specialized service facility. This schedule does not include switching services performed by train and yard crews in connection with or within specialized service facilities.
3. When it is necessary to apportion expenses, such as administrative expenses to two or more services, they shall be apportioned on the most equitable basis available to the respondent and only to the services they support. The total expenses in column (i) should balance with the respective line items in Schedule 410, Railway Operating Expenses.
4. Report in column (b), line 2, the expenses incurred in highway movements of trailers and containers performed at the expense of the reporting railroad within a terminal area for the purpose of pick-up, delivery or highway interchange service. Report in column (b), line 3, the expenses incurred in operating facilities for handling trailers and/or containers, including storage expenses. See schedule 755, note R should be reported in column (c), line 3
5. The operation of floating equipment in line-haul service (between distinct terminals) should be reported in column (c) on line 2. Floating operations conducted within a general terminal or harbor area should be reported in column (c), line 3
6. Report in column (g), line 3, the expenses incurred by the railroad in loading and unloading automobiles, trucks, etc., to and from bi-level and tri-level auto rack cars. Report on line 2, column (g), the expense incurred by the railroad in moving automobiles, etc., between bi-level and tri-level loading and unloading facilities over the highway to shippers, receivers or connecting carriers. Report in column (f) operating expenses for land facilities in support of floating operations, including the operation of docks and wharves
7. Report on line 4, column (b), the expenses related to heating and refrigeration of TOFC/COFC trailers and containers (total debits and credits). The expenses on line 4, column (h), relate to refrigerator cars only.
8. Report in column (i) total expenses incurred in performing rail substitute service, other highway revenue service, LCL terminal operations, warehouse operations, freight car transloading, grain elevator terminal operations and livestock feeding operations only

Line No.	Cross Check	Items (a)	TOFC/COFC terminal (b)	Floating equipment (c)	Coal marine terminal (d)	Ore marine terminal (e)	Other marine terminal (f)	Motor vehicle load and distribution (g)	Protective services refrigerator car (h)	Other special services (i)	Total columns (b-i) (l)	Line No
1		Administration									-	1
2		Pick up and delivery, marine line haul							N/A		-	2
3		Loading and unloading and local marine	6,732						N/A	1	6,733	3
4		Protective services, total debit and credits							56		56	4
5		Freight lost or damaged-solely related	2								2	5
6		Fringe benefits	1								1	6
7		Casualty and insurance									-	7
8		Joint facility - Debit									-	8
9		Joint facility - Credit									-	9
10		Other								3	3	10
11		TOTAL	6,735	-	-	-	-	-	56	4	6,795	11

## Schedule 418

**Instruction:**

**This schedule will show the investment in capitalized leases in road and equipment by primary account**

**Column**

- (a) = primary account number and title for which capital lease amounts are included therein
- (b) = the total investment in that primary account
- (c) = the investment in capital leases at the end of the year
- (d) = the current year amortization
- (e) = the accumulated amortization relating to the leased properties

**SCHEDULE 418 - SUPPORTING SCHEDULE -- CAPITAL LEASES**  
(Dollars in Thousands)

[illegible]

## NOTES AND REMARKS

## SCHEDULE 450 - ANALYSIS OF TAXES

(Dollars in Thousands)

## A. Railway Taxes.

Line No	Cross Check	Kind of tax (a)	Amount (b)	Line No
1		Other than U.S. Government Taxes	16,105	1
		U S. Government Taxes		
		Income Taxes:		
2		Normal Tax and Surtax	5,797	2
3		Excess Profits		3
4	*	Total - Income Taxes L 2 + 3	5,797	4
5		Railroad Retirement	28,920	5
6		Hospital Insurance	2,203	6
7		Supplemental Annuities	1,454	7
8		Unemployment Insurance	435	8
9		All Other United States Taxes		9
10		Total - U.S. Government Taxes	38,809	10
11		Total - Railway Taxes	54,914	11

## B. Adjustments to Federal Income Taxes

1. In column (a) are listed the particulars which most often cause a differential between taxable income and pretax accounting income. Other particulars which cause such a differential should be listed under the caption Other (Specify), including State and other taxes deferred if computed separately. Minor items, each less than \$100,000, may be combined in a single entry under Other (Specify).
2. Indicate in column (b) the beginning of the year total of accounts 714, 744, 762 and 786 applicable to each particular item in column (a).
3. Indicate in column (c) the net change in accounts 714, 744, 762 and 786 for the net tax effect of timing differences originating and reversing in the current accounting period.
4. Indicate in column (d) and adjustments, as appropriate, including adjustments to eliminate or reinstate deferred tax effects (credits or debits) due to applying or recognizing a loss carry-forward or a loss carry-back.
5. The total of line 19 in columns (c) and (d) should agree with the total of the contra charges (credits) to Account 557, Provisions for Deferred Taxes, and Account 591, Provisions for deferred Taxes - Extraordinary Items, for the current year.
6. Indicate in column (e) the cumulative total of columns (b), (c), and (d). The total of column (e) must agree with the total of accounts 714, 744, 762 and 786.

Line No	Particulars (a)	Beginning of year balance (b)	Net credits (charges) for current year (c)	Adjustments (d)	End of year balance (e)	Line No.
1	Accelerated depreciation, Sec. 167 I.R.C.. Guidelines lives pursuant to Rev. Proc 62-21.	319,355	9,425		328,780	1
2	Accelerated amortization of facilities, Sec. 168 I.R.C.					2
3	Accelerated amortization of rolling stock, Sec. 184 I.R.C.					3
4	Amortization of rights of way, Sec. 185 I.R.C.					4
5	Other (specify)					5
6	Reserve for Claims	(21,859)	(634)		(22,493)	6
7	Future Benefits of Capitalized Leases	1,486	36		1,522	7
8	Provisions for Contingencies	(33,250)	(166)	(449)	(33,865)	8
9	Asset Dispositions	1,632	47		1,679	9
10						10
11						11
12						12
13						13
14						14
15						15
16						16
17						17
18	Investment tax credit*					18
19	TOTALS	267,364	8,708	(449)	275,623	19

**SCHEDULE 450 - ANALYSIS OF TAXES - Continued**

(Dollars in Thousands)

**\*Footnotes**

1. If flow-through method was elected, indicate net decrease (or increase) in tax accrual because of investment tax credit

\$

If deferral method for investment tax credit was elected:

(1) Indicate amount of credit utilized as a reduction of tax liability for current year

\$

(2) Deduct amount of current year's credit applied to reduction of tax liability but deferred for accounting purposes

\$

(3) Balance of current year's credit used to reduce current year's tax accrual

\$

(4) Add amount of prior year's deferred credits being amortized to reduce current year's tax accrual

\$

(5) Total decrease in current year's tax accrual resulting from use of investment tax credits

\$

2. Estimated amount of future earnings which can be realized before paying Federal income taxes because of unused and available net operating loss carryover on January 1 of the year following that for which the report is made

\$

**NONE**

**SCHEDULE 460 - ITEMS IN SELECTED INCOME AND RETAINED EARNINGS ACCOUNTS FOR THE YEAR**

(Dollars in Thousands)

Give a brief description for all items, regardless of amount, included during the year in Accounts 555, Unusual or infrequent items; 560, Income or Loss From Operations of Discontinued Segments; 562, Gain or Loss on Disposal of Discontinued Segments; 570, Extraordinary Items; 590, Income Taxes on Extraordinary Items; 592, Cumulative Effect of Changes in Accounting Principles; 603, Appropriations Released; 606, Other Credits to Retained Earnings; 616, Other Debits to Retained Earnings; 620, Appropriations for Sinking and Other Funds; 621, Appropriations for other Purposes. If appropriations released reflect appropriations provided during the year, each account should not be reported.

For Accounts 519, Miscellaneous Income, and 551, Miscellaneous Income Charges, if the total in either account exceeds 10% of net income before extraordinary items, describe the three largest items in the account and any other items in excess of 10% of net income.

Line No	Account No (a)	Item (b)	Debits (c)	Credits (d)	Line No
1	519	Miscellaneous Income		680	1
2	551	Miscellaneous Income Charges	745		2
3	555	Unusual or Infrequent items	NONE		3
4	560	Operations of Discontinued Segments	NONE		4
5	562	Disposal of Discontinued Segments		NONE	5
6	570	Extraordinary Items	NONE		6
7	590	Income Taxes on Extraordinary Items		NONE	7
8	592	Changes in Accounting Principles	NONE		8
9	603	Appropriations Released		NONE	9
10	606	Other Credits to Retained Earnings		NONE	10
11	616	Other Debits to Retained Earnings	NONE		11
12	620	Appropriations for Sinking Funds	NONE		12
13	621	Appropriations for Other Purposes	NONE		13
14					14
15					15
16					16
17					17
18					18
19					19
20					20
21					21
22					22
23					23
24					24
25					25
26					26
27					27
28					28
29					29
30					30

**MEMORANDA RELATING TO SELECTED INCOME AND RETAINED EARNINGS ACCOUNTS**

**SCHEDULE 501 - GUARANTIES AND SURETYSHIPS**

(Dollars in Thousands)

1. If the respondent was under obligation as guarantor or surety for the performance by any other corporation or other association of any agreement or obligation, show the particulars of each contract of guaranty or suretyship in effect at the close of the year or entered into and expired during the year.

This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after the date of issue. Items of less than \$50,000 may be shown as one total.

Line No.	Names of all parties principally and primarily liable (a)	Description (b)	Amount of contingent Liability (c)	Sole or joint contingent liability (d)	Line No.
1					1
2		NONE			2
3					3
4					4
5					5
6					6
7					7
8					8
9					9
10					10
11					11
12					12
13					13
14					14
15					15
16					16
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23					23
24					24
25					25
26					26
27					27
28					28
29					29
30					30
31					31
32					32
33					33
34					34
35					35
36					36
37					37
38					38

2. If any corporation or other associations was under obligation as guarantor or surety for the performance by the respondent of any agreement or obligation, show the particulars called for hereunder for each such contract of guaranty or suretyship at the close of the year or entered into and expired during the year.

This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after date of issue, nor does it include ordinary surety bonds or undertakings on appeals in court proceedings.

Line No	Finance Docket number, title atunty date and concise description of agreement or obligation (a)	Names of all guarantors and sureties (b)	Amount contingent liability of guarantors (c)	Sole or joint contingent liability (d)	Line No
1					1
2					2
3					3
4					4
5					5
6					6
7					7
8					8
9					9

**SCHEDULE 502 - COMPENSATING BALANCES AND SHORT-TERM BORROWING ARRANGEMENTS**

(Dollars in Thousands)

Using the following notes as a guideline, show the requirements compensating balances and short-term borrowing arrangements. Footnote disclosure is required even though the arrangement is not reduced to writing.

1. Disclose compensating balances not legally restricted, lines of credit used and unused, average interest rate of short-term borrowings outstanding at balance sheet date, maximum amount of outstanding borrowings during the period and the weighted average rate of those borrowings
2. Time deposits and certificates of deposit constituting compensating balances not legally restricted should be disclosed.
3. Compensating balance arrangements need only be disclosed for the latest fiscal year.
4. Compensating balances included in Account 703, Special Deposits, and in Account 717, Other Funds, should also be separately disclosed below.
5. Compensating Balance arrangements are sufficiently material to require disclosure in footnotes when the aggregate of written and oral agreement balances amount to 15 percent or more of liquid assets (current cash balances, restricted and unrestricted plus marketable securities).
6. When a carrier is not in compliance with a compensating balance requirement, the fact should be disclosed along with stated and possible sanctions whenever such possible sanctions may be immediate (not vague or unpredictable) and material.

The Company maintains a \$5 million uncollateralized revolving credit facility with Deposit Guaranty National Bank under which no borrowings were outstanding at December 31, 1999.



## NOTES AND REMARKS

**SCHEDULE 510 - SEPARATION OF DEBTHOLDINGS BETWEEN ROAD PROPERTY AND EQUIPMENT**

(Dollars in Thousands)

The principal use of this schedule is to determine the average embedded rate of debt capital.

**I. Debt Outstanding at End of Year:**

Line #	Account No.	Title	Source	Balance Close of Year
1	751	Loans and Notes Payable	Sch. 200, L. 30	
2	764	Equipment Obligations and Other Long Term Debt due Within One Year	Sch. 200, L. 39	10,092
3	765/767	Funded Debt Unmatured	Sch. 200, L. 41	
4	766	Equipment Obligations	Sch. 200, L. 42	54,938
5	766.5	Capitalized Lease Obligations	Sch. 200, L. 43	3,613
6	768	Debt in Default	Sch. 200, L. 44	
7	769	Accounts Payable, Affiliated Companies	Sch. 200, L. 45	394,271
8	770.1/770.2	Unamortized Debt Premium	Sch. 200, L. 46	
9		Total Debt	Sum L. 1-8	462,914
10		Debt Directly Related to Road Property	Note 1.	294,733
11		Debt Directly Related to Equipment	Note 1.	95,898
12		Total Debt Directly Related to Road & Equipment	Sum L. 10 and L. 11	390,631
13		Percent Directly Related to Road	L. 10 div. by L. 12 Whole % + 2 decimals	75.45%
14		Percent Directly Related to Equipment	L. 11 div. by L. 12 Whole % + 2 decimals	24.55%
15		Debt Not Directly Related to Road or Equipment	L. 9 - L. 12	72,283
16		Road Property Debt (Note 2)	(L. 13 X L. 15) + L. 10	349,271
17		Equipment Debt (Note 2)	(L. 14 X L. 15) + L. 11	113,643

**II. Interest Accrued During the Year:**

Line #	Account No.	Title	Source	Balance Close of Year
18	546-548	Total Interest and Amortization (Fixed Charges)	Sch. 210, L. 42	33,431
19	546	Contingent Interest on Funded Debt	Sch. 210, L. 44	-
20	517	Release of Premiums on Funded Debt	Sch. 210, L. 22	-
21		Total Interest	(L. 18 + L. 19) - L. 20	33,431
22		Interest Directly Related to Road Property Debt	Note 4.	21,233
23		Interest Directly Related to Equipment Debt	Note 4.	8,344
24		Interest Not Directly Related to Road or Equipment Property Debt	L. 21 - (L. 22 + L. 23)	3,854
25		Interest Road Property Debt	L. 22 + (L. 24 X L. 13)	24,141
26		Interest Equipment Debt	L. 23 + (L. 24 X L. 14)	9,290
27		Embedded Rate of Debt Capital - Road Property	L. 25 div. by L. 16	6.91%
28		Embedded Rate of Debt Capital - Equipment	L. 26 div. by L. 17	8.17%

Note 1. Directly related means the purpose which the funds were used when the debt was issued.

Note 2. Line 16 plus Line 17 must equal Line 9.

Note 3. Line 21 includes interest on debt in Account 769—Account Payable; Affiliated companies.

Note 4. This interest relates to debt reported in Lines 10 and 11, respectively.

Note 5. Line 25 plus Line 26 must equal Line 21.

## NOTES AND REMARKS

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 512

1. Furnish the information called for below between the respondent and the affiliated companies or persons affiliated with the respondent, including officers, directors, stockholders, owners, partners or their wives and other close relatives, or their agents. Examples of transactions are, but are not restricted to, management, legal, accounting, purchasing or other type of service including the furnishing of materials, supplies, purchase of equipment, leasing of structures, land and equipment, and agreements relating to allocation of officers' salaries and other common costs between affiliated companies.

To be excluded are payments for the following types of services:

- (a) Lawful tariff charges for transportation services
- (b) Payments to or from other carriers for interline services and interchange of equipment
- (c) Payment to or from other carriers which may reasonably be regarded as ordinarily connected with routine operation or maintenance, but any special or unusual transactions should be reported.
- (d) Payments to public utility companies for rates or charges fixed in conformity with government authority.

2. In column (a) enter the name of the affiliated company, person, or agent with which respondent received or provided services aggregating \$50,000 or more for the year. If an affiliated company provides services to more than one affiliate, and the aggregate compensation amounts to \$50,000 or more for the year, list all the affiliates included in the agreement and describe the allocation of charges. If the respondent provides services to more than one affiliate, and the aggregate compensation amounts to \$50,000 or more for the year, reference to this fact should be made and the detail as to the allocation of charges should be stated. For those affiliates providing services to the respondent, also enter in column (a) the percent of affiliate's gross income derived from transactions with respondent.

The respondent may be required to furnish as an attachment to Schedule 512 a balance sheet and income statement for each affiliate with which respondent carrier had reportable transactions during the year, or alternatively, attach a "Pro forma" balance sheet and income statement for that portion or

entity of each affiliate which furnished the agreed to services, equipment, or other reportable transaction. The statements, if required, should be prepared on a calendar year basis in conformity with the prescribed schedules for the balance sheet and income statement in Annual Report Form R-1, and should be noted (1) to indicate the method used for depreciating equipment or other property furnished the carrier, and (2) whether the affiliate's Federal income tax return for the year was filed on a consolidated basis with the respondent carrier.

3. In column (b) indicate nature of relationship or control between the respondent and the company or person identified in column (a) as follows:

- (a) If respondent directly controls affiliate, insert the word "direct"
- (b) If respondent controls through another company, insert the word "indirect"
- (c) If respondent is under common control with affiliate, insert the word "common"
- (d) If respondent is controlled directly or indirectly by the company listed in column (a), insert word "controlled"

(e) If control is exercised by other means such as a management contract or other arrangement of whatever kind, insert the word "other" and footnote to describe such arrangements.

4. In column (c) fully describe the transactions involved such as management fees, lease of building, purchase of material, etc. When the affiliate listed in column (a) provides more than one type of service in column (c), list each type of service separately and show total for the affiliate. When services are both provided and received between the respondent and an affiliate they should be listed separately and the amounts shown separately in column (e).

5. In column (d) report the dollar amounts of transaction shown and the effect of any change in the method of establishing the terms from that used in the preceding period.

6. In column (e) report the dollar amounts due from or to related parties and, if not otherwise apparent, the terms and manner of settlement. Insert (P) paid and (R) received by the amount in column (e).

## 512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED

Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	MID-SOUTH MICROWAVE, INC.		Direct	Rent	873		1
2				Maintenance	1,163		2
3				Real Estate Taxes	56		3
4							4
5							5
6	SOUTHERN DEVELOPMENT COMPANY		Direct	Rental of Buildings	952	86	6
7							7
8							8
9	RICE-GARDEN CORPORATION		Direct	Rental - Various	1,944		9
10				Maintenance on Leases	1,524	426	10
11				Joint Agency Rental	313		11
12				Maintenance on Locomotive Radios	408		12
13							13
14							14
15							15
16							16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25

512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							Line No	Line No
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No	Line No
1	SUPERIOR TIE & TIMBER COMPANY		Direct	Cross & Switch Ties	4,758		1	1
2							2	2
3				BILLINGS			3	3
4				Locomotive Lease	11		4	4
5				Ties & Lumber Sold	23		5	5
6				Pipeline Crossing	1		6	6
7				Insurance	111		7	7
8							8	8
9							9	9
10	TOLMAK, INC		Direct	Track Rental & Maintenance	385		10	10
11							11	11
12				BILLINGS			12	12
13				Building Repair	5		13	13
14				Track Repair, Maint & Construction	41		14	14
15							15	15
16							16	16
17							17	17
18							18	18
19							19	19
20							20	20
21							21	21
22							22	22
23							23	23
24							24	24
25							25	25

512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							Line No
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	GATEWAY WESTERN RAILWAY		Common	Lift & TOFC Charges	613		1
2				Inventory Material	1,002		2
3				Payroll	682		3
4				Computer Usage	305		4
5				Health Insurance	44		5
6				Locomotive Repairs	254		6
7				Car Lease	158		7
8							8
9							9
10	SOUTHERN CAPITAL CORPORATION		Common	Lease of Locomotives	13,205		10
11				Lease of Freight Cars	13,842		11
12							12
13							13
14							14
15							15
16							16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25

## 512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED

Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	KANSAS CITY SOUTHERN LINES, INC.		Controlled	Payroll	704		1
2				Plane Expense	17		2
3				Management Separation	81		3
4				DST & M&D Support Charges	115		4
5				Price Waterhouse	75		5
6				Outside Legal	75		6
7				Miscellaneous	3		7
8							8
9				BILLINGS			9
10				Auto Expense	1		10
11				Houston Project	334		11
12				Health & Vision Insurance	187		12
13				Airfare	120		13
14				Panama	1,386		14
15				Business Car	11		15
16				Plane Expense	588		16
17				Employee Expenses	6		17
18				Payroll	2		18
19				Telephone & Cellular	2		19
20				Insurance	7		20
21				Miscellaneous	5		21
22							22
23							23
24							24
25							25



## 512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED

Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	KANSAS CITY SOUTHERN INDUSTRIES, INC.		Controlled	Insurance Premiums	689		1
2				Employee Stock Option Plan	4,599		2
3				Employee Expenses	8		3
4				DST & M&D Support Charges	567		4
5				Office Supplies	6		5
6				PAC	2		6
7				Miscellaneous	3		7
8							8
9				BILLINGS			9
10				Plane Expense	74		10
11				Postage	3		11
12				Health & Vision Insurance	130		12
13				Auto Expense	9		13
14				Stock Options	1,809		14
15				Credit Card Expense	3		15
16				Airfare	2		16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 700

State particulars of all tracks operated by the respondent at the close of the year, according to the following classifications

- (1) Line owned by respondent
- (2) Line owned by proprietary companies
- (3) Line operated under lease for a specified sum, lessor being (A) an affiliated corporation, or (B) independent or not affiliated with respondent
- (4) Line operated under contract or agreement for contingent rent, owner being (A) an affiliated corporation, or (B) independent or not affiliated with respondent
- (5) Line operated under trackage rights.

Give subtotals for each of the several numbered classes, in the order listed above, as well as the total for all classes

Lengths of track should be reported to the nearest WHOLE mile adjusted to accord with footings; i.e., counting one-half mile and over as a whole mile and disregarding any fraction less than one-half mile.

In column (a) insert the figure (and letter, if any) indicating its class in accordance with the preceding classification.

In column (b) give the various proportions of each class owned or leased by respondent, listing each proportion once in any grouping. Canadian mileage should be segregated and identified on separate lines in the various groupings. For each listing, in column (d) give its entire length (the distances between termini of single or first main track), and in the following columns the lengths of second main track; all other main tracks, passing tracks, cross-overs and turn-outs; way switching tracks; and yard switching tracks. These classes of tracks are defined as follows:

**Running tracks:** Running tracks, passing tracks, cross-overs, etc., including turn-outs from those tracks to clearance points

**Way switching tracks:** Station, team, industry and other switching tracks for which no separate service is maintained.

**Yard switching tracks:** Yards where separate switching services are maintained, including classification, house, team, industry and other tracks switched by yard locomotives.

The returns in columns (h) and (i) should include tracks serving industries, such as mines, mills, smelters, factories, etc. Tracks belonging to an industry for which no rent is payable should not be included

Tracks leading to and in gravel and sand pits and quarries, the cost of which is chargeable to a clearing account and which are used in getting out material for the respondent's use, should not be included

Class (1) includes all lines operated by the respondent at the close of the year to which it has title in perpetuity

Class (2) includes each line, full title to which is in an inactive proprietary corporation of the respondent (i.e., one all of whose outstanding stocks or obligations are held by or for the respondent, and which is operated by the respondent or an affiliated system corporation without any accounting to the said proprietary corporation). It may also include such line when the actual title to all of the outstanding stocks or obligations rest in a corporation controlled by or controlling the respondent, but in the case of any such inclusion, the facts of relation to the respondent of the corporation holding the securities should be fully set forth in a footnote. An inactive corporation is one which has been practically absorbed in a controlling corporation, and which neither operates property nor administers its financial affairs, if it maintains an organization, it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises

Class (3) includes all tracks operated under a lease or formal conveyance of less than the grantor's interest in the property, with a specific and unconditional rent reserved. The fact that the lessor does or does not maintain an independent organization for financial purposes is immaterial in this connection.

Class (4) is the same as class (3), except that the rent reserved is conditional upon earnings or other fact.

Class (5) includes all tracks operated and maintained by others, but over which the respondent has the right to operate some or all of its trains. In the road of this class the respondent has no proprietary rights, but only the rights of a licensee. Include in this class, also, all main tracks, industrial tracks and sidings owned by noncarrier companies and individuals when the respondent operates over them, but does not have exclusive possession of them.

Road held by the respondent as joint or common owner or a joint lessee or under any joint arrangement should be shown in its appropriate class, and the entry of length should be of the entire length of the portion jointly held. The class symbol should have the letter (J) attached.

Road operated by the respondent as agent for another carrier should not be included in this schedule

## SCHEDULE 700 - MILEAGE OPERATED AT CLOSE OF YEAR

Line No	Class (a)	Proportion owned or leased by Respondent (b)	Running tracks, passing tracks, cross-overs, etc				Miles of way switching tracks (g)	Miles of yard switching tracks (h)	TOTAL (i)	Line No
			Miles of road (c)	Miles of second main track (d)	Miles of all other main tracks (e)	Miles of passing tracks, cross-overs, and turnouts (f)				
1	1	100 %	2,541	8	0	290	250	459	3,548	1
2										2
3	1J	50 %	0	4	0	1	3	77	85	3
4										4
5	1J	33 %	0	0	0	0	1	1	2	5
6										6
7	3A	100 %	0	0	0	0	20	20	40	7
8										8
9	3B	100 %	3	0	0	0	4	0	7	9
10										10
11	5	100 %	212	10	2	6	16	7	253	11
12										12
13										13
14										14
15										15
16										16
17										17
18										18
19										19
20										20
21										21
22										22
23										23
24										24
25										25
26										26
27										27
28										28
29										29
30										30
31										31
32										32
33										33
34										34
35										35
36										36
37										37
38										38
39										39
40										40
41										41
42										42
43										43
44										44
45										45
46										46
47										47
48										48
49										49
50										50
51										51
52										52
53										53
54										54
55										55
56										56
57		TOTAL	2,756	22	2	297	294	564	3,935	57
58		Miles of electrified road or track included in preceding grand total	N/A							58

## SCHEDULE 702 - MILES OF ROAD AT CLOSE OF YEAR - BY STATES AND TERRITORIES (SINGLE TRACK)

Give particulars, as of the close of the year, of all road operated and of all owned but not operated. The respondent's proportion of operated road held by it as joint or common owner, or under a joint lease or under any joint arrangement, should be shown in columns (b), (c), (d), or (e), as may be appropriate. The remainder of jointly operated mileage should be shown in column (f). Respondent's proportion of road jointly owned, not operated, should be shown in column (h), as may be appropriate. Mileage which has been permanently abandoned should not be included in column (h). Mileage should be reported to the nearest WHOLE mile adjusted to accord with footings, i.e. counting one-half mile and over as a whole mile and disregarding and fraction less than one-half mile.

Line No	Cross Check	State or territory (a)	MILES OF ROAD OPERATED BY RESPONDENT								Line owned but operated by respondent (h)	New line constructed during year (i)	Line No
			Line owned (b)	Line of proprietary companies (c)	Line operated under lease (d)	Line operated under contract, etc. (e)	Line operated under trackage rights (f)	Total mileage operated (g)					
1		Missouri	187					187					1
2													2
3		Kansas	18					18					3
4													4
5		Arkansas	213				4	217					5
6													6
7		Oklahoma	156				1	157					7
8													8
9		Louisiana	851				65	916					9
10													10
11		Texas	334				47	381					11
12													12
13		Tennessee	5				16	21					13
14													14
15		Mississippi	698				38	736					15
16													16
17		Alabama	79				44	123					17
18													18
19													19
20													20
21													21
22													22
23													23
24													24
25													25
26													26
27													27
28													28
29													29
30													30
31													31
32		TOTAL MILEAGE (single track)	2,541				215	2,756					32

## NOTES AND REMARKS

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 710

1. Give particulars of each of the various classes of equipment which respondent owned or leased during the year.
2. In column (c) give the number of units purchased new or built in company shops. In column (d) give the number of new units leased from others. The term "new" means a unit placed in service for the first time on any railroad.
3. Units leased to others for a period of one year or more are reportable in column (f). Units temporarily out of respondent's service and rented to others for less than one year are to be included in column (h). Units rented from others for a period less than one year should not be included in column (f).
4. For reporting purposes, a "locomotive unit" is a self-propelled vehicle generating or converting energy into motion, and designed solely for moving other equipment. An "A" unit is the least number of wheel bases with superstructure designed for use singly or as a lead locomotive unit in combination with other locomotive units. A "B" unit is similar to an "A" unit, but not equipped for use singly or as a lead locomotive unit. A "B" unit may be equipped with hostler controls for independent operation at terminals.
5. A "self-propelled car" is a rail motor car propelled by electric motors receiving power from a third rail or overhead, or internal combustion engines located on the car itself. Trailers equipped for use only in trains of cars that are self-propelled are to be included as self-propelled equipment.
6. A "diesel" unit includes all units propelled by diesel internal combustion engines irrespective of final drive or whether power may at times be supplied from an external conductor. Units other than diesel-electric, e.g., diesel-hydraulic, should be identified in a footnote giving the number and a brief description. An "electric" unit includes all units which receive electric power from an overhead contact wire or a third rail, and use the power to drive one or more electric motors that propel the vehicle. An "other self-powered unit" includes all units other than diesel, or electric, e.g., steam, gas turbine. Show the type of unit, service and number, as appropriate, in a brief description sufficient for positive identification. An "auxiliary unit" includes all units used in conjunction with locomotives, but

which draw their power from the "mother" unit, e.g., boosters, slugs, etc. For reporting purposes, indicate radio-controlled self-powered diesel units on lines 1 through 8, as appropriate. Radio-controlled units that are not self-powered, i.e., those without a diesel, should be reported on line 13 under "auxiliary units."

7. Column (k) should show aggregate capacity for all units reported in column (f), as follows: For locomotive units, report the manufacturers' rated horsepower (the maximum continuous power output from the diesel engine or engines delivered to the main generator or generators for tractive purposes). Exclude capacity data for steam locomotives. For passenger-train cars report the number of passenger seats available for revenue service, counting one passenger to each berth in sleeping cars.

8. Passenger-train car types and service equipment car types correspond to AAR Mechanical Division designations. Descriptions of car codes and designations are published in The Official Railway Equipment Register.

## 9. Cross-checks

Schedule 710	Schedule 710
Line 5, column (f)	= Line 11, column (f)
Line 6, column (f)	= Line 12, column (f)
Line 7, column (f)	= Line 13, column (f)
Line 8, column (f)	= Line 14, column (f)
Line 9, column (f)	= Line 15, column (f)
Line 10, column (f)	= Line 16, column (f)

When data appear in column (f) lines 1 thru 8, column (k) should have data on same lines.

When data appear in columns (k) or (f) lines 38 thru 53, and 55, column (m) should have data on same lines.

**SCHEDULE 710 - INVENTORY OF EQUIPMENT  
UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS**

Line No	Cross Check	Type or design of units (a)	Units in service of respondent at beginning of year (b)	Changes During the Year				Units retired from service of respondent whether owned or leased, including reclassification (g)	Units at Close of Year					Line No	
				Units installed					Owned and used (h)	Leased from others (i)	Total in service of respondent (col.(h)&(i)) (j)	Aggregate capacity of units reported in col. (j) (see ins. 7) (k)	Leased to others (l)		
				New units purchased or built (c)	New units leased from others (d)	Rebuilt units acquired and rebuilt units rewritten into property accounts (e)	All other units including reclassification and second hand units purchased or leased from others (f)								
1		Locomotive Units	366		50			21	2	112	323	435	(H.P.) 1,349,650		1
2		Diesel-freight units													2
3		Diesel-passenger units													3
4		Diesel-multiple purpose units													4
5	*	Diesel-switching units	52								52	52	75,900		5
6	*	TOTAL (lines 1 to 4) units	418		50			21	2	112	375	487	1,425,550		6
7	*	Electric-locomotives													7
8	*	Other self-powered units													8
9	*	TOTAL (lines 5, 6, and 7)	418		50			21	2	112	375	487	1,425,550		9
10	*	Auxiliary units	8					8	8	8		8	N/A		10
	*	TOTAL LOCOMOTIVE UNITS (lines 8 and 9)	426		50			29	10	120	375	495	N/A		10

**DISTRIBUTION OF LOCOMOTIVE UNITS IN SERVICE OF RESPONDENT AT CLOSE OF YEAR, ACCORDING TO YEAR BUILT, DISREGARDING YEAR OF REBUILDING**

Line No	Cross Check	Type or design of units (a)	Before Jan. 1, 1975 (b)	Between Jan. 1, 1975 and Dec. 31, 1979				Between Jan. 1, 1980 and Dec. 31, 1989				Between Jan. 1, 1990 and Dec. 31, 1994				During calendar year		Line No
				(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	(m)	(n)	(o)	(p)	
11	*	Diesel	313	58	19	11	36					50					487	11
12	*	Electric																12
13	*	Other self-powered units																13
14	*	TOTAL (lines 11 to 13)	313	58	19	11	36					50					487	14
15	*	Auxiliary units	8														8	15
16	*	TOTAL LOCOMOTIVE UNITS (lines 14 and 15)	321	58	19	11	36					50					495	16

**SCHEDULE 710 - INVENTORY OF EQUIPMENT - CONTINUED**  
**UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS**

Line No	Cross Check	Type or design of units (a)	Units in service of respondent at beginning of year (b)	Changes During the Year				Units retired from service of respondent whether owned or leased, including re-classification (g)	Units at Close of Year				Line No	
				Units installed					Owned and used (h)	Leased from others (i)	Total in service of respondent (col (h)&(i)) (j)	Aggregate capacity of units reported in col (j) (see ins 7) (k)		Leased to others (l)
				New units purchased or built (c)	New units leased from others (d)	Rebuilt units acquired and rewritten into property accounts (e)	All other units including re-classification and second hand units purchased or leased from others (f)							
17		PASSENGER-TRAIN CARS Non-Self-Propelled Coaches (PA, PB, PBO)												17
18		Combined cars												18
19		[All class C, except CSB]												19
20		Parlor cars [PBC, PC, PL, PO]												20
21		Sleeping cars [PS, PT, PAS, PDS]												21
22		Dining, grill and tavern cars												22
23		[All class D, PD]												23
24		Non-passenger-carrying cars												24
25		[All class B, CSB, M, PSA, IA]												25
26		TOTAL (lines 17 to 22)												26
27		Self-Propelled Electric passenger cars												27
28		[EP, ET]												28
29		Electric combined cars [EC]												29
30		Internal combustion rail motorcars												30
31		[ED, EG]												31
32		Other self-propelled cars												32
33		(Specify types)												33
34		TOTAL (lines 24 to 27)												34
35		TOTAL (lines 23 to 28)												35
36		COMPANY SERVICE CARS												36
37		Business cars [PV]	3								5	N/A		37
38		Board outfit cars [MWX]	5								12	N/A		38
39		Derrick and snow removal cars												39
40		[MWU, MWV, MWW, MWK]	7								7	N/A		40
41		Dump and ballast cars [MWB, MWD]	1								12	N/A		41
42		Other maintenance and service equipment cars	135								118	N/A		42
43		TOTAL (lines 30 to 34)	151								154	N/A		43



## SCHEDULE 710 - INVENTORY OF EQUIPMENT - CONTINUED

Instruction for reporting freight-train car data.

1. Give particulars of each of the various classes of equipment which respondent owned or leased during the year.
2. In column (d) give the number of units purchased or built in company shops. In column (e) give the number of new units leased from others. The term "new" means a unit placed in service for the first time on any railroad
3. Units leased to others for a period of one year or more are reportable in column (n). Units temporarily out of respondent's service and rented to others for less than one year are to be included in column (i). Units rented from others for a period less than one year should not be included in column (j).

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Class of equipment and car designations  (a)	Units in service of respondent at beginning of year		Changes during the year				Line No
			Time-mileage cars  (b)	All others  (c)	Units installed				
					New units purchased or built  (d)	New or rebuilt units leased from others  (e)	Rebuilt units acquired and rebuilt units rewritten into property accounts  (f)	All other units, including reclassification and second hand units purchased or leased from others  (g)	
36		<b>FREIGHT TRAIN CARS</b> Plain box cars - 40' (B1__, B2__)	10					1	36
37		Plain box cars - 50' and longer (B3_0-7, B4_0-7, B5__, B6__, B7__, B8__)	1,693						37
38		Equipped box cars (All Code A, Except A_5_)	6,952						38
39		Plain gondola cars (All Codes G & J__1, J__2, J__3, J__4)	628						39
40		Equipped gondola cars (All Code E)	251						40
41		Covered hopper cars (C__1, C__2, C__3, C__4)	2,229						41
42		Open top hopper cars-general service (All code H)	396					30	42
43		Open top hopper cars-special service (J__0, and All Codes K)	1,150					66	43
44		Refrigerator cars—mechanical (R_5_, R_6_, R_7_, R_8_, R_9_)	-						44
45		Refrigerator cars—non mechanical (R_0_, R_1_, R_2_)	2						45
46		Flat cars—TOFC/COFC (All Code P, Q and S, Except Q8__)	695						46
47		Flat cars—multi-level (All Code V)	-			201			47
48		Flat cars—general service (F10_, F20_, F30_)	35						48
49		Flat cars—other (F_1_, F_2_, F_3_, F_4_, F_5_, F_6_, F_8_, F40_)	900					14	49
50		Tank cars—22,000 gallons (T__0, T__1, T__2, T__3, T__4, T__5)	53						50
51		Tank cars—22,000 gallons and over (T__6, T__7, T__8, T__9)	39						51
52		All other freight cars (A_5_, F_7_, All Code L and Q8__)	658						52
53		TOTAL (lines 36 to 52)	15,691			201		111	53
54		Caboose (All Code M-930)	N/A	12				4	54
55		TOTAL (lines 53, 54)	15,691	12		201		115	55

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

4 Column (m) should show aggregate capacity for all units reported in columns (k) and (l), as follows. For freight-train cars, report the nominal capacity (in tons of 2,000 lbs) as provided for in Rule 86 of the AAR Code of Rules Governing Cars in Interchange. Convert the capacity of tank cars to capacity in tons of the commodity which the car is intended to carry customarily.

5 Time-mileage cars refers to freight cars, other than cabooses, owned or held under lease agreement, whose interline rental is settled on a per diem and line haul mileage basis under "Code of Car Hire Rules" or would be so settled if used by another railroad.

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Changes during year	Units at close of year						Line No
		(concluded)	Owned and used	Leased from others	Total in service of respondent		Aggregate capacity of units reported in col. (k) & (l) (see ins. 4) (m)	Leased to others	
		Units retired from service of respondent whether owned or leased, including reclassification (h)			(col (i) & (j))	(k)			
36			11		11		602		36
37		20	1,095	578	1,673		153,717		37
38		338	903	5,711	6,614		648,909		38
39		30	28	570	598		63,445		39
40			38	213	251		25,100		40
41		187	654	1,388	2,042		204,850		41
42		11	362	53	415		36,207		42
43		2	341	873	1,214		104,053		43
44					-				44
45			2		2		110		45
46		41	2	652	654		49,542		46
47				201	201		10,653		47
48			35		35		1,844		48
49			558	356	914		100,199		49
50		2	18	33	51		3,927		50
51		2	37		37		3,157		51
52		33	80	545	625		46,875		52
53		666	4,164	11,173	15,337		1,453,190		53
54		4	12		N/A	12	N/A		54
55		670	4,176	11,173	15,337	12	1,453,190		55

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Class of equipment and car designations  (a)	Units in service of respon- dent at beginning of year		Changes during the year				Line No
			Per diem  (b)	All others  (c)	Units installed				
					New units purchased or built (d)	New units leased from others (e)	Rebuilt units acquired and rebuilt units rewritten into property accounts (f)	All other units, including reclassi- fication and sec- ond hand units purchased or leased from others (g)	
56		FLOATING EQUIPMENT  Self-propelled vessels [Tugboats, car ferries, etc.]	N / A						56
57		Non-self-propelled vessels [Car floats, lighters, etc.]	N / A						57
58		TOTAL (lines 56 and 57)	N / A						58
59		HIGHWAY REVENUE EQUIPMENT  Chassis Z1 __, Z67 __, Z68 __, Z69 __	129						59
60		Dry van U __, Z __, Z6 __, 1-6	1,820						60
61		Flat bed U3 __, Z3 __	6						61
62		Open bed U4 __, Z4 __							62
63		Mechanical refrigerator U5 __, Z5 __							63
64		Bulk hopper U0 __, Z0 __							64
65		Insulated U7 __, Z7 __							65
66		Tank <sup>1</sup> Z0 __, U6 __							66
67		Other trailer and container (Special Equipped Dry Van U9 __, Z8 __, Z9 __)							67
68		Tractor							68
69		Truck							69
70		TOTAL (lines 59 to 69)	1,955						70

## NOTES AND REMARKS

<sup>1</sup> Must have fitting code "CN" to qualify for tank otherwise it is a bulk hopper

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Changes during year (concluded)	Units at close of year						Line No
		Owned and used  (i)	Leased from others  (j)	Total in service of respondent (col (i) & (j))		Aggregate capacity of units reported in col (k) & (l) (see ins 4) (m)	Leased to others  (n)		
				Per diem  (k)	All other  (l)				
56					N / A				56
57					N / A				57
58					N / A				58
59		2		127	127				59
60		590		1,230	1,230				60
61				6	6				61
62									62
63									63
64									64
65									65
66									66
67									67
68					-				68
69									69
70		592		1,363	1,363				70

## NOTES AND REMARKS

**SCHEDULE 710S - UNIT COST OF EQUIPMENT INSTALLED DURING THE YEAR**

(Dollars in Thousands)

1. Give particulars as requested, separately, for the various classes of new units and rebuilt units of equipment installed by respondent during the year. If information regarding the cost of any units installed is not complete at time of filing of report, the units should be omitted, but reference to the number of units omitted should be given in footnote, the details as to cost to be given in the report of the following year. The cost of units under construction at the close of the year should not be reflected in this schedule even though part of the cost appears in the property account for the year. Indicate in column (e) whether an installation represents equipment purchased (P), built or rebuilt by contract in outside railroad shops (C), or built or rebuilt in company or system shops (S) including units acquired through capitalized leases (L).

2. In column (a) list each class or type of locomotive unit, car, or TOFC/COFC equipment on a separate line. By class is meant the standard classification used to distinguish types of locomotive units freight cars or other equipment adopted by the Association of American Railroads, and should include physical characteristics requested by Schedule 710. Locomotive units should be identified as to power source, wheel arrangement, and horsepower per unit, such as aluminum-covered hopper car (LO), steel boxcars-special service (XAP), etc. For TOFC/COFC show type of equipment as enumerated in Schedule 710.

3. In column (c) show the total weight in tons of 2,000 pounds. The weight of the equipment acquired should be the weight empty.

4. The cost should be the complete cost as entered on the ledger, including foreign line freight charges and handling charges.

5. Data for this schedule should be confined to the units reported in Schedule 710, columns (c) and (e) for locomotive units, passenger-train cars and company service cars and columns (d) and (f) for freight train cars, floating equipment and highway revenue equipment. Disclose new units in the upper section of this schedule and in the lower section disclose rebuilt units acquired or rewritten into the respondent's accounts. The term "new" as used herein shall mean a unit or units placed in service for the first time on any railroad.

6. All unequipped boxcars acquired in whole or in part with incentive per diem funds should be reported on separate lines and appropriately identified by footnote or sub-heading.

**NEW UNITS**

Line No	Class of equipment (a)	Number of units (b)	Total weight (tons) (c)	Total cost (d)	Method of acquisition (see instruction) (e)	Line No
1						1
2						2
3						3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14						14
15						15
16						16
17						17
18						18
19						19
20						20
21						21
22						22
23						23
24						24
25	TOTAL	0	N/A	0	N/A	25

**REBUILT UNITS**

26						26
27						27
28						28
29						29
30						30
31						31
32						32
33						33
34						34
35						35
36						36
37						37
38	TOTAL	0	N/A	0	N/A	38
39	GRAND TOTAL	0	N/A	0	N/A	39

## GENERAL INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULES 720, 721, 723, AND 726

1 For purposes of these schedules, the track categories are defined as follows:

## Track category 1

- A - Freight density of 20 million or more gross ton-miles per track mile per year (include passing tracks, turnouts and crossovers)
- B - Freight density of less than 20 million gross ton-miles per track mile per year, but at least 5 million (include passing tracks, turnouts and crossovers).
- C - Freight density of less than 5 million gross ton-miles per track mile per year, but at least 1 million (include passing tracks, turnouts and crossovers)
- D - Freight density of less than 1 million gross ton-miles per track mile per year (include passing tracks, turnouts and crossovers)
- E - Way and yard switching tracks (include passing tracks, turnouts and crossovers shall be included in category A, B, C, D, F, and Potential abandonments, as appropriate)
- F - Track over which any passenger service is provided (other than potential abandonments). Mileage should be included within track categories A through E unless there is dedicated entirely to passenger service F

Potential abandonments - Route segments identified by railroads as potentially subject to abandonment as required by Section 10904 of the Interstate Commerce Act

2 This schedule should include all class 1, 2, 3, or 4 track from schedule 700 that is maintained by the respondent (class 5 is assumed to be maintained by others)

3 If, for two consecutive years, a line segment classified in one track category maintains a traffic density which would place it in another, it shall be reclassified into that category as of the beginning of the 2nd year.

4. Traffic density related to passenger service shall not be included in the determination of the track category of a line segment

## SCHEDULE 720 - TRACK AND TRAFFIC CONDITIONS

1 Disclose the requested information pertaining to track and traffic conditions

Line No.	Track category (a)	Mileage of tracks at end of period (whole numbers) (b)	Average annual traffic density in millions of gross ton-miles per track-mile * (c)	Average running speed limit (use two decimal places) (d)	Track miles under slow orders at end of period (e)	Line No.
1	A	788	27.90	47.29	144.80	1
2	B	1,072	18.72	47.50	434.10	2
3	C	793	2.55	24.03	112.50	3
4	D	191	0.63	20.78	77.00	4
5	E	838	XXXXXXXX	XXXXXXXX		5
6	TOTAL	3,682	15.54	39.10	768.40	6
7	F		XXXXXXXX	XXXXXXXX		7
8	Potential abandonments					8

\* To determine average density, total track miles (route miles times number of tracks) rather than route miles shall be used

## SCHEDULE 721 - TIES LAID IN REPLACEMENT

- 1 Furnish the requested information concerning ties laid in replacement
- 2 In column (j), report the total board feet of switch and bridge ties in replacement
3. The term "spot maintenance" in column (k) means repairs to track components during routing inspections, as opposed to programmed replacements aimed at upgrading the general condition of the tracks. "Percent of spot maintenance" refers to the percentage of total ties or board feet laid in replacement considered to be spot maintenance.
- 4 In No. 9, the average cost per tie should include transportation charges on foreign lines, tie trains, loading, inspection, and the cost of handling ties in general supply storage and seasoning yards, and in the case of treating ties, also the cost of handling at treating plants and the cost of treatment. The cost of unloading, hauling over carrier's own lines, and placing the ties in tracks and of train service other than that necessary in connection with loading or treatment should not be included in this schedule.

Line No	Track category (a)	Number of cross-ties laid in replacement								Switch and bridge ties (board feet) (j)	Cross-ties		
		New ties				Second-hand ties					Total (i)	Percent of spot maintenance (k)	Line No
		Wooden		Concrete (d)	Other (e)	Wooden		Other (h)					
		Treated (b)	Untreated (c)			Treated (f)	Untreated (g)						
1	A	59,998							59,998	50,682	5%	1	
2	B	178,212							178,212	237,235	5%	2	
3	C										5%	3	
4	D	1,299							1,299	11,162	5%	4	
5	E	5,304							5,304	23,903	5%	5	
6	TOTAL	244,813							244,813	322,982	5%	6	
7	F											7	
8	Potential abandonments											8	
9	Average cost per cross-tie	\$33.45	and switch-tie (MBM)				\$860.00					9	

# **SCHEDULE 722 - TIES LAID IN ADDITIONAL TRACKS AND IN NEW LINES AND EXTENSIONS**

(Dollars in Thousands)

Give particulars of ties laid during the year in new construction during the year

In column (a) classify the ties as follows

U - Wooden ties untreated when applied

T - Wooden ties treated before application

S - Ties other than wooden (steel, concrete, etc.) indicate type in column (h)

Report new and second-hand (relay) ties separately, indicating in column (h) which ties are new

In columns (d) and (g) show the total cost, including transportation charges on foreign lines, tie trains, loading, inspection, and the cost of handling ties in general supply, storage, and seasoning yard. In the case of treated ties, also show the cost of handling at treating plants and the cost of treatment. The cost of unloading, hauling over carrier's own lines and placing the ties in tracks, and of train service, other than that necessary in connection with loading or treatment, should not be included in this schedule.

Line No	Class of ties (a)	CROSSTIES			SWITCH AND BRIDGE TIES			Remarks (h)	Line No
		Total number of ties applied (b)	Average cost per tie (c)	Total cost of new cross-ties laid in year (d)	Number of feet (board measure) laid in tracks (e)	Average cost per M feet (board measure) (f)	Total cost of switch and bridge ties laid in new tracks during year (g)		
1	T	25,577	\$ 33.42	\$ 855	21,270	\$ 800.00	\$ 17		1
2									2
3									3
4									4
5									5
6									6
7									7
8									8
9									9
10									10
11									11
12									12
13									13
14									14
15									15
16									16
17									17
18									18
19									19
20	TOTAL	25,577	33.42	855	21,270	800.00	17		20
21	Number of miles of new running tracks, passing tracks, cross-overs, etc. in which ties were laid								21
22	Number of miles of new yard, station, team, industry, and other switching tracks in which ties were laid								22
							7.87		
							0.70		



## SCHEDULE 723 - RAILS LAID IN REPLACEMENT

- 1 Furnish the requested information concerning rails laid in replacement
- 2 The term "spot maintenance" in column (h) means repairs to track components during routine inspections, as opposed to programmed replacements aimed at upgrading the general condition of the tracks. "Percent of spot maintenance" refers to the percentage of total rails laid in replacement considered to be spot maintenance.
- 3 In No. 9, the average cost of new and relay rail should include the cost of loading at the point of purchase ready for shipment, the freight charges paid foreign lines, and the cost of handling rails in general supply and storage yards. The cost of unloading, hauling over carrier's own lines and placing the rails in tracks and of train service in connection with the distribution of rails should not be included in this schedule.

Line No	Track category (a)	Miles of rail laid in replacement (rail-miles)						Total		Percent of spot maintenance (h)	Line No
		New rail		Relay rail		Welded rail (f)	Bolted rail (g)				
		Welded rail (b)	Bolted rail (c)	Welded rail (d)	Bolted rail (e)						
1	A	22.59	0.06	24.09	0.34	46.68	0.40		10%	1	
2	B	7.97	0.26	10.97	5.16	18.94	5.42		5%	2	
3	C	0.00	0.00	0.00	0.00	0.00	0.00		0%	3	
4	D	0.04	0.07	0.16	0.20	0.20	0.27		0%	4	
5	E	1.02	0.04	1.61	0.60	2.63	0.64		1%	5	
6	TOTAL	31.62	0.43	36.83	6.30	68.45	6.73		16%	6	
7	F									7	
8	Potential Abandonments									8	
9	Average cost of new and relay rail laid in replacement per gross ton	New				Relay	\$	572.64	\$	227.03	9

## SCHEDULE 724 - RAILS LAID IN ADDITIONAL TRACKS AND IN NEW LINES AND EXTENSIONS

(Dollars in Thousands)

- 1 Give particulars of all rails applied during the year in connection with the construction of new track  
In column (a) classify the kind of rail applied as follows  
 (1) New steel rails, Bessemer process.  
 (2) New steel rails, open-hearth process  
 (3) New rails, special alloy (describe more fully in a footnote)  
 (4) Relay rails
2. Returns in columns (c) and (g) should be reported in WHOLE numbers. Fractions of less than one-half should be disregarded, and fractions of one-half or more should be counted as one
- 3 The returns in columns (d) and (h) should include the cost of loading at the point of purchase ready for shipment, the freight charges paid foreign lines, and the cost of handling rails in general supply and storage yards The cost of unloading, hauling over carrier's own lines, and placing the rails in tracks and of train service in connection with the distribution of the rail should not be included in this schedule.

Line No	Class of rail (a)	RAIL APPLIED IN RUNNING TRACKS, PASSING TRACKS, CROSS-OVERS, ETC				RAIL APPLIED IN YARD, STATION, TEAM, INDUSTRY, AND OTHER SWITCHING TRACKS				Line No	
		Weight of rail		Total cost of rail applied in running tracks, passing tracks, cross-overs, etc , during year (d)	Average cost per ton (2,000 lb) (e)	Weight of rail		Total cost of rail applied in yard, station, team, industry, and other switching tracks during year (h)	Average cost per ton (2,000 lb) (i)		
		Pounds per yard of rail (b)	Number of tons (2,000 lb) (c)			Pounds per yard of rail (f)	Number of tons (2,000 lb) (g)				
1	4	112	3.17	\$ 1	\$ 402.33					1	
2	4	115	4.81	1	208.11			NONE		2	
3	4	127	358.14	123	343.87					3	
4	4	130	345.52	93	270.59					4	
5	4	136	15.10	2	151.00					5	
6	4	137	24.34	7	307.31					6	
7	2	137	980.98	573	582.61					7	
8										8	
9										9	
10										10	
11										11	
12										12	
13										13	
14										14	
15										15	
16										16	
17										17	
18										18	
19										19	
20										20	
21										21	
22										22	
23										23	
24										24	
25										25	
26										26	
27										27	
28										28	
29										29	
30										30	
31										31	
32										32	
33	TOTAL	N/A	1,732.06	\$ 800	\$ 462.67	N/A	-	\$ -	\$ -	33	
34	Number of miles of new running tracks, passing tracks, cross-overs, etc in which rails were laid								7.40	34	
35	Number of miles of new yard, station, team, industry, and other switching tracks in which rails were laid								-	35	
36	Track-miles of welded rail installed on system this year				25.81	total to date				2,067.20	36

## SCHEDULE 725 - WEIGHT OF RAIL

Give the particulars called for below concerning the road and track operated by the respondent at the close of the year. Only the respondent's proportion of jointly owned mileage should be included. Under "Weight of rail," the various weights of rails should be given. Road and track occupied under trackage right or other form of license should not be included herein, but all road and track held under any form of lease (granting exclusive possession to the lessee) should be included.

Line No	Weight of rails per yard (a)	Line-haul companies (miles of main track) (b)	Switching and terminal companies (miles of all tracks) (c)	Remarks (d)	Line No
	Pounds				
1	152	1.06		Gauge of Track - 4 Feet 8 1/2 Inches	1
2	136	817.78		"	2
3	133	5.37		"	3
4	132	63.50		"	4
5	131	0.85		"	5
6	130	1.62		"	6
7	127	13.32		"	7
8	119	17.85		"	8
9	115	646.83		"	9
10	112	213.69		"	10
11	110	32.74		"	11
12	105	4.04		"	12
13	100	64.54		"	13
14	90	545.27		"	14
15	85	111.26		"	15
16	80	13.24		"	16
17	75	0.55		"	17
18	60	0.11		"	18
19					19
20					20
21					21
22					22
23					23
24					24
25					25
26					26
27					27
28					28
29					29
30					30
31					31
32					32
33					33
34					34
35					35
36					36
37					37
38					38
39					39
40					40
41					41
42					42
43					43
44					44
45					45
46					46
47					47
48	TOTAL	2,553.62			48

### SCHEDULE 726 - SUMMARY OF TRACK REPLACEMENTS

1. Furnish the requested information concerning the summary of track replacements  
 2. In columns (d), (e), (g), and (i) give the percentage of replacements to units of property in each track category at year end.

Line No.	Track category	TIES					Rail		Ballast	Track surfacing		Line No.
		Number of ties replaced		Percent replaced		Miles of rail replaced (rail-miles)	Percentage replaced	Cubic yards of ballast placed		Miles surfaced	Percent surfaced	
		Crossties (b)	Switch and bridge ties (board feet) (c)	Crossties (d)	Switch and bridge ties (board feet) (e)							
	(a)					(f)	(g)	(h)	(i)			
1	A	59,998	50,682	2.30	2.25	47.08	1.55	78,644	181.0	22.97	1	
2	B	178,212	237,235	5.11	6.50	24.36	0.76	162,622	246.0	22.95	2	
3	C	0	0	0.00	0.00	0.00	0.00	73,192	182.0	22.95	3	
4	D	1,299	11,162	0.21	1.16	0.47	0.11	11,970	44.0	23.04	4	
5	E	5,304	23,903	0.19	0.30	3.27	0.13	9,319	193.0	23.03	5	
6	TOTAL	244,813	322,982	2.05	2.18	75.18	0.59	335,747	846.0	22.98	6	
7	F										7	
8	Potential Abandonments										8	

### SCHEDULE 750 - CONSUMPTION OF DIESEL FUEL (Dollars in Thousands)

#### LOCOMOTIVES

Line No.	Kind of locomotive service (a)	Diesel		Line No.
		Diesel oil (gallons) (b)		
1	Freight	59,413,728		1
2	Passenger			2
3	Yard switching	4,578,655		3
4	TOTAL	63,992,383		4
5	Cost of fuel \$(000)	\$ 32,988		5
6	Work Train			6

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 755

Unit Train, Way Train, and through Train data under items 2,3,4, 6, and 12 shall be obtained from conductor's wheel reports (freight) or similar records. Unit train service is a specialized scheduled shuttle type service in equipment (Railroad or privately owned) dedicated to such service, moving between origin and destination. The applicable tariffs and/or contracts generally require that a specific minimum tonnage or quantity of carloads be tendered as a unit for shipment on one bill of lading or other shipping document in a solid train for movement between origin and destination. Such tariffs and/or contracts generally contain restricted detention provisions and are subject to time-volume requirements which reflect the approximate capacity of the unit trains for the stated period. Way Trains are defined as trains operated primarily to gather and distribute cars in road service and move them between way stations or way points. Through Trains are those trains operated between two or more major concentration or distribution points. Do not include Unit Train statistics in Way and Through Train statistics. A Work Train is a train operated solely or preponderantly for the purpose of transporting company freight, work equipment or company employees. Statistics for Work Trains should be reported under item 11 only. Statistics related to company equipment, company employees and company freight moving in transportation trains are not to be reported in item 11, but are to be reported in Items 4-17, 6-04, 7-02, and 8-04 and 8-05 as instructed in notes, I, K, and L.

(A) Report miles of road operated at close of year, excluding industrial tracks, yard tracks, and sidings.

(B) A train-mile is the movement of a train a distance of 1 mile. In computing train-miles, fractions representing less than one-half mile shall be disregarded and other fractions considered as 1 mile. Train-miles - Running shall be based on the actual distance run between terminals and/or stations and shall be computed from the official time tables or distance tables. Train-miles shall not be increased to cover the running of locomotives from shops to terminals, doubling hills, switching, or other work at way stations, or for the service of helper or pusher locomotives or of extra locomotives on double-head or triple-head trains. When the carrier's trains are detoured over foreign roads, the miles shall be computed on the basis of the miles actually run and in accordance with the service performed. Train-miles shall be kept separately for trains hauled by locomotives and trains moved by motorcars.

(C) A motorcar is a self-propelled unit of equipment designed to carry freight or passenger traffic, and is not considered a locomotive.

(D) A locomotive is self-propelled unit of equipment designed solely for moving other equipment. A locomotive unit-mile is a movement of a locomotive unit a distance of 1 mile under its own power. Include miles made by all locomotive units. Exclude miles made by motorcars. Miles of locomotives in helper service shall be computed on the basis of actual distance run in such service.

(E) All locomotives unit-miles in road service shall be based on the actual distance run between terminals and/or stations. Follow instructions (B) regarding fractions and official time tables for computing locomotive-miles.

(F) Train switching locomotive-miles shall be computed at the rate of 6 miles per hour for the time actually engaged in such service. Include miles allowed train locomotives for performing switching service at terminals and way stations.

(G) Yard switching locomotive-miles shall be computed at the rate of 6 miles per hour for the time actually engaged in yard switching service. Include miles allowed to yard locomotives for switching service in yards where regular switching service is maintained and in terminal switching and transfer service.

(H) Use car designations shown in Schedule 710. Under Railroad Owned and Leased cars, Items 4-01 and 4-11, report both foreign cars and respondents' own cars while on the line of the respondent railroad. In Items 4-13 and 4-15 report the private-line categories, miles for private-line cars (whether or not under railroad control) and shipper-owned cars. A car-mile is a movement of a unit of car equipment a distance of 1 mile. Report miles made by flatcars carrying loaded highway trailers or empty highway trailers moving under revenue billings as loaded freight car-miles and miles made by flatcars carrying other empty highway trailers as empty freight car-miles. Exclude miles made by motorcars and report miles made by business cars of other than reporting carrier as sleeping car-miles in Item 5-03. Report mail, express baggage cars and combination cars other than 5-02 combination cars, in Item 5-05.

(I) Exclude from Item 4-01, 4-11, 4-13, and 4-15 car-miles of work equipment, cars carrying company freight and no-payment cars moving in transportation trains. Include such car-miles in Item 4-17, 4-18, and 4-19. No payment car-miles are miles made by private-line cars (other than railroad controlled) and shipper-owned cars for which the railroad does not reimburse the owner on a loaded and/or empty miles basis. If the payment for the loaded miles includes the empty miles, the loaded and empty miles should not be considered no-payment car miles.

(J) Report miles actually run by passenger-train cars in transportation service. Passenger-train car-miles include miles run by coaches and cars in which passengers are carried at regular tariff fares without extra charge for space occupied; miles run by combination passenger and baggage, passenger and mail, passenger and express; miles run by sleeping, parlor and other cars for which an extra fare is charged; miles run by dining, cafe, and other cars devoted exclusively to the serving of meals and other refreshments and by club, lounge, and observation cars; and miles run by other passenger-train cars where where services are combined such as baggage, express and mail.

(K) From conductors' or dispatchers' train reports or other appropriate source, compute weight in tons, 2,000 pounds. Item 6-01 includes weight of all locomotive units moved 1 mile in transportation trains. Ton miles of motorcars should be excluded. Items 6-02 and 6-03 represent tons behind locomotive units (cars and contents, cabooses) moved one mile in transportation trains (excluding non-revenue gross ton-miles). Non-revenue gross ton-miles in transportation trains include work equipment and cars carrying company freight (and their contents). Use 150 lbs. as the average weight per passenger, and 4 tons as the average weight of contents of each head-end car.

(L) From conductors' train reports or other appropriate source, compute ton-miles of freight. Ton-miles represent the number of tons of revenue and non-revenue freight moved one mile in transportation train. Include net ton-miles in motorcar trains. Exclude I.C.I. shipment of freight handled in mixed baggage express cars. Total Ton-Miles Revenue Freight should correspond to the Ton-Miles reported on Form CBS.

(M) Road service represents elapsed time of transportation trains (both ordinary and light) between the time of leaving the initial terminals and the time at the final terminals, including trains switching at way stations and delays on road as shown by conductors' or dispatchers' train reports. Include time of motorcar service performed by train locomotives at terminals and way stations. Report in Item 9-02 train switching hours included in item 9-01. Train switching is the time spent by the train while performing switching service at terminals and way stations where no regular yard service is maintained. A train hour is independent of the number of locomotives in the train.

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 755 - CONCLUDED

(N) Yard switching hours are hours expended in switching service performed by yard crews in yards where regular switching service is maintained, including switching and transfer service in connection with the transportation of revenue and incidentally of company freight. Hours in yard switching are independent of the number of locomotives used.

(O) Work-train miles inside the miles run by trains engaged in company service such as official inspection; inspection trains for railway commissioners for which no revenue is received; trains running special with fire apparatus to save carrier's property destruction; trains run for transporting the carrier's employees to and from work when no transportation charge is made, wrecking trains; trains run solely for the purpose of transporting company material; trains run for distributing material and supplies for use in connection with operations; and all other trains used in work-train services. Exclude miles run by locomotives while engaged incidentally in switching company materials in company shops or material yards in connection with regular yard switching service or in switching equipment for repairs in between yards and shops.

(P) The number of loaded freight cars shall be obtained from the conductors' wheel report and shall be the sum of all loaded cars handled by each train. For example, if a car moves loaded (1) in a way train from the origination points, (2) in two through trains, and (3) in a way train to the destination point, the total count of loaded cars would be four. Two counts for the movements in the way trains and two counts for the movements in through trains. Therefore, each car originated or received from a connecting carrier receives an initial count, plus one count for each subsequent physical transfer between trains on respondents lines. No additional count is given because of crew change or changes in track identification number unless there is a physical transfer of the car between trains. Each car moving under revenue billing shall be considered as a loaded car.

(Q) Report vehicle (TOFC trailers/containers, automobiles and trucks) loaded and unloaded to and from TOFC and multiple level freight cars when the work is performed at the railroad's expense.

(R) Report the number of loaded revenue trailers/containers picked up, plus revenue trailers/containers delivered in TOFC/COFC and in highway interchange service, when the work is performed at the railroad's expense. (Performed at railroad's expense means that railroad employees perform the service or that the railroad hires a subsidiary or outside contractor to perform the service). Do not include those trailer/containers which are picked up or delivered by shipper or motor carrier etc. when a tariff provision requires the shipper-motor carrier etc. and not the railroad perform that service. Note: The count should reflect the trailer/containers for which expenses is reported in Schedule 417 Line 2 Column (b)

(S) Report under Marine Terminals, Item 16, the tons loaded onto and unloaded from marine vessels at the expense of the reporting railroad.

(T) Report the total number of foreign railroad cars on line at the end of the year (except surplus cars, see below). Foreign railroad cars refers to freight cars owned by other railroads whose interline rental is settled on time (by hour) and actual line-haul mileage charges under the Code of Car Hire Rules.

Carriers will be governed by local conditions in determining whether a car at an interchange point, should be considered "on line". Unserviceable cars include cars on repair tracks undergoing or awaiting repairs. They include cars on repair tracks repaired and awaiting switching, cars on repair tracks undergoing or awaiting repairs switching, cars awaiting movement to repair tracks held in train yard (Excluding cars which are to be repaired in train and without loss of time), car moving empty in trains en route to shop, and cars stored awaiting disposition.

Surplus cars are cars which are in serviceable condition for loading on the last day of the year, but have not been placed for loading within 48 hours. This count can be an annual average based on weekly count of cars that have not been placed for loading within 48 hours

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
1		1. Miles of Road Operated (A)	2,756		1
		2. Train Miles - Running (B)	XXXXXX	XXXXXX	
2		2-01 Unit Trains	1,217,045	XXXXXX	2
3		2-02 Way Trains	1,132,729	XXXXXX	3
4		2-03 Through Trains	4,956,596		4
5		2-04 TOTAL TRAIN MILES (lines 2-4)	7,306,370		5
6		2-05 Motorcars (C)			6
7		2-06 TOTAL, ALL TRAINS (lines 5,6)	7,306,370		7
		3. Locomotive Unit Miles (D)	XXXXXX	XXXXXX	
		Road Service (E)	XXXXXX	XXXXXX	
8		3-01 Unit Trains	3,812,326	XXXXXX	8
9		3-02 Way Trains	2,344,684	XXXXXX	9
10		3-03 Through Trains	13,031,795		10
11		3-04 TOTAL (lines 8-10)	19,188,805		11
12		3-11 Train Switching (F)		XXXXXX	12
13		3-21 Yard Switching (G)	1,697,590		13
14		3-31 TOTAL ALL SERVICES (lines 11,12,13)	20,886,395		14
		4. Freight Car-Miles (thousands) (H)	XXXXXX	XXXXXX	
		4-01 RR Owned and Leased Cars-Loaded	XXXXXX	XXXXXX	
15		4-010 Box-Plain 40-Foot		XXXXXX	15
16		4-011 Box-Plain 50-Foot and Longer	2,618	XXXXXX	16
17		4-012 Box-Equipped	43,436	XXXXXX	17
18		4-013 Gondola-Plain	326	XXXXXX	18
19		4-014 Gondola-Equipped	3,961	XXXXXX	19
20		4-015 Hopper-Covered	20,817	XXXXXX	20
21		4-016 Hopper-Open Top-General Service	1,806	XXXXXX	21
22		4-017 Hopper-Open Top-Special Service	3,043	XXXXXX	22
23		4-018 Refrigerator-Mechanical	209	XXXXXX	23
24		4-019 Refrigerator-Non-Mechanical	1,033	XXXXXX	24
25		4-020 Flat-TOFC/COFC	9,853	XXXXXX	25
26		4-021 Flat-Multi-Level	178	XXXXXX	26
27		4-022 Flat-General Service	45	XXXXXX	27
28		4-023 Flat-All Other	4,657	XXXXXX	28
29		4-024 All Other Car Types-Total	3,452	XXXXXX	29
30		4-025 TOTAL (lines 15-29)	95,434	XXXXXX	30

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS - Continued

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
		4-11 RR Owned and Leased Cars-Empty	XXXXXX	XXXXXX	
31		4-110 Box-Plain 40-Foot		XXXXXX	31
32		4-111 Box-Plain 50-Foot and Longer	1,509	XXXXXX	32
33		4-112 Box-Equipped	31,233	XXXXXX	33
34		4-113 Gondola-Plain	340	XXXXXX	34
35		4-114 Gondola-Equipped	4,314	XXXXXX	35
36		4-115 Hopper-Covered	21,310	XXXXXX	36
37		4-116 Hopper-Open Top-General Service	1,785	XXXXXX	37
38		4-117 Hopper-Open Top-Special Service	3,743	XXXXXX	38
39		4-118 Refrigerator-Mechanical	194	XXXXXX	39
40		4-119 Refrigerator-Non-Mechanical	1,019	XXXXXX	40
41		4-120 Flat-TOFC/COFC	3,138	XXXXXX	41
42		4-121 Flat-Multi-Level	66	XXXXXX	42
43		4-122 Flat-General Service	33	XXXXXX	43
44		4-123 Flat-All Other	4,645	XXXXXX	44
45		4-124 All Other Car Types-Total	3,683	XXXXXX	45
46		4-125 TOTAL (lines 31-45)	77,012	XXXXXX	46
		4-13 Private Line Cars - Loaded (H)	XXXXXX	XXXXXX	
47		4-130 Box-Plain 40-Foot		XXXXXX	47
48		4-131 Box-Plain 50-Foot and Longer	1,221	XXXXXX	48
49		4-132 Box-Equipped	49	XXXXXX	49
50		4-133 Gondola-Plain	453	XXXXXX	50
51		4-134 Gondola-Equipped	1,445	XXXXXX	51
52		4-135 Hopper-Covered	35,776	XXXXXX	52
53		4-136 Hopper-Open Top-General Service	279	XXXXXX	53
54		4-137 Hopper-Open Top-Special Service	247	XXXXXX	54
55		4-138 Refrigerator-Mechanical	4	XXXXXX	55
56		4-139 Refrigerator-Non-Mechanical	5	XXXXXX	56
57		4-140 Flat-TOFC/COFC	24,197	XXXXXX	57
58		4-141 Flat-Multi-Level	1,132	XXXXXX	58
59		4-142 Flat-General Service	13	XXXXXX	59
60		4-143 Flat-All Other	3,152	XXXXXX	60
61		4-144 Tank Under 22,000 Gallons	11,588	XXXXXX	61
62		4-145 Tank-22,000 Gallons and Over	21,487	XXXXXX	62
63		4-146 All Other Car Types	280	XXXXXX	63
64		4-147 TOTAL (lines 47-63)	101,328	XXXXXX	64



## SCHEDULE 755 - RAILROAD OPERATING STATISTICS - Continued

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No
		4-15 Private Line Cars-Empty (H)	XXXXXX	XXXXXX	
65		4-150 Box-Plain 40-Foot	1	XXXXXX	65
66		4-151 Box-Plain 50-Foot and Longer	259	XXXXXX	66
67		4-152 Box-Equipped	53	XXXXXX	67
68		4-153 Gondola-Plain	531	XXXXXX	68
69		4-154 Gondola-Equipped	1,806	XXXXXX	69
70		4-155 Hopper-Covered	37,797	XXXXXX	70
71		4-156 Hopper-Open Top-General Service	299	XXXXXX	71
72		4-157 Hopper-Open Top-Special Service	260	XXXXXX	72
73		4-158 Refrigerator-Mechanical	4	XXXXXX	73
74		4-159 Refrigerator-Non-Mechanical	9	XXXXXX	74
75		4-160 Flat-TOFC/COFC	6,169	XXXXXX	75
76		4-161 Flat-Multi-Level	462	XXXXXX	76
77		4-162 Flat-General Service	7	XXXXXX	77
78		4-163 Flat-All Other	2,182	XXXXXX	78
79		4-164 Tank Under 22,000 Gallons	12,079	XXXXXX	79
80		4-165 Tank-22,000 Gallons and Over	22,122	XXXXXX	80
81		4-166 All Other Car Types	373	XXXXXX	81
82		4-167 TOTAL (lines 65-81)	84,413	XXXXXX	82
83		4-17 Work Equipment and Company Freight Car-Miles	1,930	XXXXXX	83
84		4-18 No Payment Car-Miles (I)*	131,953	XXXXXX	84
		4-19 Total Car-Miles by Train Type (Note)	XXXXXX	XXXXXX	
85		4-191 Unit Trains	140,981	XXXXXX	85
86		4-192 Way Trains	38,986	XXXXXX	86
87		4-193 Through Trains	312,103	XXXXXX	87
88		4-194 TOTAL (lines 85-87)	492,070	XXXXXX	88
89		4-20 Caboose Miles	14	XXXXXX	89

\* Total number of loaded miles \_\_\_\_\_ and empty miles \_\_\_\_\_ by roadtrailer reported above.

Note: Line 88 total car miles is equal to the sum of Lines 30,46,64,82,83 and 84. Accordingly, the car miles reported on Lines 83 and 84 are to be allocated to Lines 85,86 and 87 and included in the total shown on Line 88.

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS - Continued

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
		6 Gross Ton-Miles (thousands) (K)	XXXXXX	XXXXXX	
98		6-01 Road Locomotives	3,419,224		98
		6-02 Freight Trains, Crs., Cnts., and Caboose	XXXXXX	XXXXXX	
99		6-020 Unit Trains	11,528,910	XXXXXX	99
100		6-021 Way Trains	2,813,691	XXXXXX	100
101		6-022 Through Trains	25,224,400	XXXXXX	101
102		6-03 Passenger-Trains, Crs , and Cnts.			102
103		6-04 Non-Revenue	172,457	XXXXXX	103
104		6-05 TOTAL (lines 98-103)	43,158,682		104
		7. Tons of Freight (thousands)	XXXXXX	XXXXXX	
105		7-01 Revenue	65,113	XXXXXX	105
106		7-02 Non-Revenue	1,016	XXXXXX	106
107		7-03 TOTAL (lines 105,106)	66,129	XXXXXX	107
		8. Ton-Miles of Freight (thousands) (L)	XXXXXX	XXXXXX	
108		8-01 Revenue-Road Service	22,225,657	XXXXXX	108
109		8-02 Revenue-Lake Transfer Service		XXXXXX	109
110		8-03 TOTAL (lines 108,109)	22,225,657	XXXXXX	110
111		8-04 Non-Revenue-Road Service	124,464	XXXXXX	111
112		8-05 Non-Revenue-Lake Transfer Service		XXXXXX	112
113		8-06 TOTAL (lines 111,112)	124,464	XXXXXX	113
114		8-07 TOTAL-REVENUE AND NON-REVENUE (lines 110,113)	22,350,121	XXXXXX	114
		9. Train Hours (M)	XXXXXX	XXXXXX	
115		9-01 Road Service	431,803	XXXXXX	115
116		9-02 Train Switching		XXXXXX	116
117		10. TOTAL YARD-SWITCHING HOURS (N)	193,051	XXXXXX	117
		11. Train-Miles Work Trains (O)	XXXXXX	XXXXXX	
118		11-01 Locomotives	13,978	XXXXXX	118
119		11-02 Motorcars		XXXXXX	119
		12. Number of Loaded Freight Cars (P)	XXXXXX	XXXXXX	
120		12-01 Unit Trains	260,021	XXXXXX	120
121		12-02 Way Trains	456,104	XXXXXX	121
122		12-03 Through Trains	832,495	XXXXXX	122
123		13. TOFC/COFC-No. Rev Trls & Cntnrs Load & Unload(Q)	370,912	XXXXXX	123
124		14. Multi-Level Cars-No. Motor Vhcls Load & Unload(Q)		XXXXXX	124
125		15. TOFC/COFC-No. of Rev. Trls Picked Up and Divrd(R)		XXXXXX	125
		16. Revenue Tons-Marine Terminal (S)	XXXXXX	XXXXXX	
126		16-01 Marine Terminals-Coal		XXXXXX	126
127		16-02 Marine-Terminals-Ore		XXXXXX	127
128		16-03 Marine Terminals-Other		XXXXXX	128
129		16-04 TOTAL (lines 126-128)		XXXXXX	129
		17. Number of Foreign Per Diem Cars on Line (T)	XXXXXX	XXXXXX	
130		17-01 Serviceable	4,175	XXXXXX	130
131		17-02 Unserviceable	22	XXXXXX	131
132		17-03 Surplus		XXXXXX	132
133		17-04 TOTAL (lines 130-132)	4,197	XXXXXX	133

## VERIFICATION

The foregoing report shall be verified by the oath of the officer having control of the accounting of the respondent. This report shall also be verified by the oath of the president or other chief officer of the respondent, unless the respondent states that such officer has no control over the respondent's accounting and reporting.

## OATH

(To be made by the officer having control of the accounting of the respondent)

State of MISSOURI

County of JACKSON

KENNETH L. SMITH

(Insert here name of the affiant)

makes oath and says that he is

ASST VICE PRESIDENT - GENERAL ACCOUNTING

(Insert here the official title of the affiant)

Of

THE KANSAS CITY SOUTHERN RAILWAY COMPANY

(Insert here the exact legal title or name of the respondent)

that it is his duty to have supervision over the books of accounts of the respondent and to control the manner in which such books are kept; that he knows that such books have been kept in good faith during the period covered by this report; that he knows that the entries contained in this report relating to accounting matters have been prepared in accordance with the provisions of the Uniform System of Accounts for Railroads and other accounting and reporting directives this Commission; that he believes that all other statements of fact contained in this report are true, and that this is a correct and complete statement, accurately taken from the books and records, of the business and affairs of the above - named respondent during the period of time from and including

JANUARY 1

, 19 99

to and including

DECEMBER 31

, 19 99

Kenneth L Smith  
(Signature of affiant)

Subscribed and sworn to before me, a

NOTARY PUBLIC

in and for the state and

county above named, this

30 th

day of

March

, 20

00

My commission expires

9/28/01

Use an

L.S.

impression seal

Barbara Mathews  
(signature of officer authorized to administer oaths)

## SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of MISSOURI

County of JACKSON

LOUIS G. VAN HORN

(Insert here name of the affiant)

makes oath and says that he is

VICE PRESIDENT & COMPTROLLER

(Insert here the official title of the affiant)

Of

THE KANSAS CITY SOUTHERN RAILWAY COMPANY

(Insert here the exact legal title or name of the respondent)

that he has carefully examined the foregoing report; that he believes that all statements of fact contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above - named respondent and the operations of its property during the period of time from and including

JANUARY 1

, 19 99

to and including

DECEMBER 31

, 19 99

Lou Van Horn  
(Signature of affiant)

Subscribed and sworn to before me, a

NOTARY PUBLIC

in and for the state and

county above named, this

30 th

day of

March

, 20

00

My commission expires

9/28/01

Use an

L.S.

impression seal

Barbara Mathews  
(signature of officer authorized to administer oaths)

## Year

### Title

**Railroad Annual Report R-1**

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