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# Class I Railroad Annual Report

	<div data-bbox="834 783 1005 819">RCD00352</div> <div data-bbox="1278 783 1370 819">R186</div> <div data-bbox="834 895 1382 1002">Kansas City Southern Railway Company 114 West 11th Street Kansas City, Missouri 64105</div>
Correct name and address if different than shown	Full name and address of reporting carrier (Use mailing label on original, copy on duplicate )



To The  
**Surface Transportation Board**  
For The Year Ended December 31, 2000

## NOTICE

1. This report is required for every class I railroad operating within the United States. Three copies of this Annual Report should be completed. Two of the copies must be filed with the Surface Transportation Board, Office of Economics, Environmental Analysis, and Administration, The Mercury Building, 1925 K St. N.W., Suite 500, Washington, DC 20423, by March 31 of the year following that for which the report is made. One copy should be retained by the carrier.
2. Every inquiry must be definitely answered. Where the word "none" truly and completely states the fact, it should be given as the answer. If any inquiry is inapplicable, the words "not applicable" should be used.
3. Wherever the space provided in the schedules is insufficient to permit a full and complete statement of the requested information, inserts should be prepared and appropriately identified by the number of the schedule.
4. All entries should be made in a permanent black ink or typed. Those of a contrary character must be indicated in parenthesis. Items of an unusual character must be indicated by appropriate symbols and explained in footnotes.
5. Money items, except averages, throughout the annual report form should be shown in thousands of dollars adjusted to accord with footings. Totals for amounts reported in subsidiary accounts included in supporting schedules must be in agreement with related primary accounts. For purposes of rounding, amounts of \$500 but less than \$1,000 should be raised to the nearest thousand dollars, and amounts of less than \$500 should be lowered.
6. Except where the context clearly indicates some other meaning, the following terms when used in this Form have the following meanings:
  - a) Board means *Surface Transportation Board*.
  - b) Respondent means *the person or corporation in whose behalf the report is made*.
  - c) Year means *the year ended December 31 for which the report is being made*.
  - d) Close of the Year means *the close of business on December 31 for the year in which the report is being made*. If the report is made for a shorter period than one year, it means *the close of the period covered by the report*.
  - e) Beginning of the Year means *the beginning of business on January 1 of the year for which the report is being made*. If the report is made for a shorter period than one year, it means *the beginning of that period*.
  - f) Preceding Year means *the year ended December 31 of the year preceding the year for which the report is made*.
  - g) The Uniform System of Accounts for Railroad Companies means *the system of accounts in Part 1201 of Title 49, Code of Federal Regulation, as amended*.
7. The ICC Termination Act of 1995 abolished the Interstate Commerce Commission and replaced it with the Surface Transportation Board. Any references to the Interstate Commerce Commission or Commission contained in this report refer to the Surface Transportation Board.
8. Any references to the Bureau of Accounts or the Office of Economics contained in this report refer to the Office of Economics, Environmental Analysis, and Administration of the Surface Transportation Board.

For Index, See Back of Form

# ANNUAL REPORT

OF

**THE KANSAS CITY SOUTHERN RAILWAY COMPANY**

TO THE

## **SURFACE TRANSPORTATION BOARD**

FOR THE

**YEAR ENDED DECEMBER 31, 2000**

\_\_\_\_\_  
Name, official title, telephone number, and office address of officer in charge of correspondence with the Commission regarding this report:

(Name) L.G. VAN HORN (Title) VICE PRESIDENT & COMPTROLLER

(Telephone number) 816 983 - 1303  
(Area code) (Telephone number)

(Office address) 114 WEST 11th STREET KANSAS CITY, MISSOURI 64105  
(Street and number, city, State, and ZIP code)

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**SPECIAL NOTICE**

Docket No 38559 Railroad Classification Index, served January 20, 1983, modified the reporting requirements for class II, III and all Switching and terminal companies. These carriers will notify the Board only if the calculation results in a different revenue level than its current classification

The dark border on the schedules represents data that are captured for processing by the Surface Transportation Board.

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It is estimated that an average of 800 burden hours per response are required to complete this collection of information. This estimate includes time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Comments concerning the accuracy of this burden estimate or suggestions for reducing this burden should be directed to the Office of the Secretary, Surface Transportation Board, and the Office of Information and Regulatory Affairs, Office of Management and Budget.

**A. SCHEDULES OMITTED BY RESPONDENT**

1. The respondent, at its option, may omit pages from this report provided there is nothing to report or the schedules are not applicable.
2. Show below the pages excluded and indicate the schedule number and title in the space provided below
3. If no schedules were omitted indicate "NONE."

Page	Schedule No.	Title
12 - 15	200	Comparative Statement of Financial Position Explanatory Notes Blank Pages

## B. IDENTITY OF RESPONDENT

Answers to the questions asked should be made in full, without reference to data returned on the corresponding page of previous reports. In case any changes of the nature referred to under inquiry 4 on this page have taken place during the year covered by this report, they should be explained in full detail.

1. Give in full the exact name of the respondent. Use the words "The" and "Company" only when they are parts of the corporate name. Be careful to distinguish between *railroad* and *railway*. The corporate name should be given uniformly throughout the report, notably on the cover, on the title page, and in the "Verification." If the report is made by receivers, trustees, a committee of bondholders, or individuals otherwise in possession of the property, state names and facts with precision. If the report is for a consolidated group, pursuant to Special Permission from the Board, indicate such fact on line 1 below and list the consolidated group on page 4.

2. If incorporated under a special charter, give date of passage of the act; if under a general law, give date of filing certificate of organizations, if a reorganization has been effected, give date of reorganization. If a receivership or other trust, give also date which such receivership or other possession began. If a partnership, give date of formation and also names in full of present partners.

3. State the occasion for the reorganization, whether by reason of foreclosure of mortgage or otherwise, according to the fact. Give date of organization of original corporation and refer to laws under which organized.

1. Exact name of common carrier making this report The Kansas City Southern Railway Company

2. Date of incorporation March 19, 1900

3. Under laws of what Government, State or Territory organized? If more than one, name all. If in bankruptcy, give court of jurisdiction and dates of beginning of receivership or trusteeship and of appointment of receivers or trustees

State of Missouri

Article II Chapter XII Revised Statutes of Missouri 1899

4. If the respondent was reorganized during the year, involved in a consolidation or merger, or conducted its business under a different name, give full

N/A

## STOCKHOLDERS REPORTS

5. The respondent is required to send to the Bureau of Accounts, immediately upon preparation, two copies of its latest annual report to stockholders.

Check appropriate box:

☐ Two copies are attached to this report.

☐ Two copies will be submitted \_\_\_\_\_  
(date)

☒ No annual report to stockholders is prepared.

## C. VOTING POWERS AND ELECTIONS

1. State the par value of each share of stock: Common, \$ No Par per share; first preferred, \$ 350,000 per share; second preferred, \$ None per share; debenture stock, \$ None per share.
2. State whether or not each share of stock has the right to one vote; if not, give full particulars in a footnote Yes.
3. Are voting rights proportional to holdings? Yes. If not, state in a footnote the relation between holdings and corresponding voting rights.
4. Are voting rights attached to any securities other than stock? No. If so, name in a footnote each security, other than stock to which voting rights are attached (as of the close of the year), and state in detail the relation between holdings and corresponding voting rights, stating whether voting rights are actual or contingent, and if contingent, showing the contingency.
5. Has any class or issue of securities any special privileges in the election of directors, trustees, or managers, or in the determination of corporate action by any method? No. If so, describe fully in a footnote each such class or issue and give a succinct statement showing clearly the character and extent of such privileges.
6. Give the date of the latest closing of the stock book prior to the actual filing of this report, and state the purpose of such closing \_\_\_\_\_  
Stock book was not closed in 2000. Last closed April 3, 1981
7. State the total voting power of all security holders of the respondent at the date of such closing, if within one year of the date of such filing; if not, state as of the close of the year. 9,840,057 votes, as of December 31, 2000  
(Date)
8. State the total number of stockholders of record, as of the date shown in answer to inquiry No. 7. 1 stockholder.
9. Give the names of the thirty security holders of the respondent who, at the date of the latest closing of the stock book or compilation of list of stockholders of the respondent (if within 1 year prior to the actual filing of this report), had the highest voting powers in the respondent, showing for each his address, the number of votes to which he was entitled, which respect to securities held by him, such securities being classified as common stock, second preferred stock, first preferred stock, and other securities, stating in a footnote the names of such other securities (if any). If any such holder held in trust, give (in a footnote) the particulars of the trust. In the case of voting trust agreements, give as supplemental information the names and addresses of the thirty largest holders of the voting trust certificates and the amount of their individual holdings. If the stock book was not closed or the list of stockholders compiled within such year, show such thirty security holders as of the close of the year

Line No	Name of security holder	address of security holder	Number of votes to which security holder was entitled	NUMBER OF VOTES, CLASSIFIED WITH RESPECT TO SECURITIES ON WHICH BASED			Line No.
				Stock			
				Common (d)	PREFERRED		
					Second (e)	First (f)	
(a)	(b)	(c)	(d)	(e)	(f)		
1	Kansas City Southern Lines, Inc.	114 West 11th Street Kansas City, Missoun 64105	9,840,057	9,840,000		57	1
2							2
3							3
4							4
5							5
6							6
7							7
8							8
9							9
10							10
11							11
12							12
13							13
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16							16
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20							20
21							21
22							22
23							23
24							24
25							25
26							26
27							27
28							28
29							29
30							30



## C. VOTING POWERS AND ELECTIONS - Continued

10. State the total number of votes cast at the latest general meeting for the election of directors of the respondent. 9,840,057  
votes cast.

11. Give the date of such meeting. June 14, 2000

12. Give the place of such meeting. Kansas City, Missouri 64105

## NOTES AND REMARKS

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - ASSETS**  
(DOLLARS IN THOUSANDS)

Line No.	Cross Check	Account	Title (a)	Balance at close of year (b)	Balance at Beginning of year (c)	Line No
<b>Current Assets</b>						
1		701	Cash	952	3,834	1
2		702	Temporary Cash Investments	16,517		2
3		703	Special Deposits	32	33	3
4		704	Accounts Receivable - Loan and Notes	83	232	4
5		705	- Interline and Other Balances	2,222	3,007	5
6		706	- Customers	58,924	60,081	6
7		707	- Other	11,930	14,119	7
8		709, 708	- Accrued Accounts Receivables	51,005	40,676	8
9		708.5	- Receivables from Affiliated Companies			9
10		709.5	- Less: Allowance for Uncollectible Accounts	(2,248)	(2,005)	10
11		710, 711, 714	Working Funds Prepayments Deferred Income Tax Debits	8,584	7,908	11
12		712	Materials and Supplies	31,731	37,759	12
13		713	Other Current Assets			13
14			<b>TOTAL CURRENT ASSETS</b>	<b>179,732</b>	<b>165,644</b>	<b>14</b>
<b>Other Assets</b>						
15		715, 716, 717	Special Funds			15
16		721, 721.5	Investments and Advances Affiliated Companies (Schedule 310 & 310A)	444,864	55,609	16
17		722, 723	Other Investments and Advances	134	134	17
18		724	Allowances for Net Unrealized Loss on Noncurrent Marketable Equity Securities-Cr.			18
19		737, 738	Property Used in Other than Carrier Operation (less Depreciation) \$329	2,387	2,399	19
20		739, 741	Other Assets	(1)	(1)	20
21		743	Other Deferred Debits	79,793	54,435	21
22		744	Accumulated Deferred Income Tax Debits			22
23			<b>TOTAL OTHER ASSETS</b>	<b>527,177</b>	<b>112,576</b>	<b>23</b>
<b>Road and Equipment</b>						
24		731, 732	Road (Schedule 330) L-30 Col. h & b	1,292,898	1,283,396	24
25		731, 732	Equipment (Schedule 330) L-39 Col. h & b	278,981	274,920	25
26		731, 732	Unallocated Items	162,135	96,553	26
27		733, 735	Accumulated Depreciation and Amortization (Schedules 335, 342, 351)	(560,329)	(520,827)	27
28			<b>Net Road and Equipment</b>	<b>1,173,685</b>	<b>1,134,042</b>	<b>28</b>
29			<b>TOTAL ASSETS</b>	<b>1,880,594</b>	<b>1,412,262</b>	<b>29</b>

**NOTES AND REMARKS**

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - LIABILITIES AND SHAREHOLDERS' EQUITY**  
(DOLLARS IN THOUSANDS)

Line No.	Cross Check	Account	Title (a)	Balance at close of year (b)	Balance at Beginning of year (c)	Line No.
<b>Current Liabilities</b>						
30		751	Loans and Notes Payable			30
31		752	Accounts Payable: Interline and Other Balances	22,671	25,572	31
32		753	Audited Accounts and Wages	42,967	41,715	32
33		754	Other Accounts Payable	2,349	3,673	33
34		755, 756	Interest and Dividends Payable	7,020	828	34
35		757	Payables to Affiliated Companies			35
36		759	Accrued Accounts Payable	117,353	109,502	36
37		760, 761, 761.5, 762	Taxes Accrued	(6,702)	9,674	37
38		763	Other Current Liabilities	83	83	38
39		764	Equipment Obligations and Other Long-Term Debt due Within One Year	34,421	10,092	39
40			<b>TOTAL CURRENT LIABILITIES</b>	<b>220,162</b>	<b>201,139</b>	<b>40</b>
<b>Non-Current Liabilities</b>						
41		765, 767	Funded Debt Unmatured	577,500		41
42		766	Equipment Obligations	43,498	54,938	42
43		766.5	Capitalized Lease Obligations	3,033	3,613	43
44		768	Debt in Default			44
45		769	Accounts payable: Affiliated Companies		394,271	45
46		770.1, 770.2	Unamortized Debt Premium			46
47		781	Interest in Default			47
48		783	Deferred Revenues-Transfers from Government Authorities			48
49		786	Accumulated Deferred Income Tax Credits	313,315	280,923	49
50		771, 772, 774, 775, 782, 784	Other Long-Term Liabilities and Deferred Credits	65,812	71,760	50
51			<b>TOTAL NONCURRENT LIABILITIES</b>	<b>1,003,158</b>	<b>805,505</b>	<b>51</b>
<b>Shareholders' Equity</b>						
52		791, 792	Total Capital Stock. (Schedule 230) (L 53 & 54)	57,504	57,504	52
53			Common Stock	36,504	36,504	53
54			Preferred Stock	21,000	21,000	54
55			Discount on Capital Stock			55
56		794, 795	Additional Capital (Schedule 230)	315,585	76,907	56
57		797	Retained Earnings: Appropriated			57
58		798	Unappropriated (Schedule 220)	287,972	274,994	58
59		798.1	Net Unrealized Loss on Noncurrent Marketable Equity Securities			59
60		798.5	Less Treasury Stock	(3,787)	(3,787)	60
61			Net Stockholders Equity	657,274	405,618	61
62			<b>TOTAL LIABILITIES AND SHAREHOLDERS EQUITY</b>	<b>1,880,594</b>	<b>1,412,262</b>	<b>62</b>

**NOTES AND REMARKS**

## SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES

(Dollars in Thousands)

The notes listed below are provided to disclose supplementary information on matters which have an important effect on the financial condition of the carrier. The carrier shall give the particulars called for herein and where there is nothing to report, insert the word "none"; and in addition thereto shall enter in separate notes with suitable particulars other matters involving material amounts of the character commonly disclosed in financial statements under generally accepted accounting and reporting principles, except as shown in other schedules. This includes statements explaining (1) service interruption insurance policies and indicating the amount of indemnity to which respondent will be entitled for work stoppage losses and the maximum amount of additional premium respondent may be obligated to pay in the event such losses are sustained by other railroads; (2) particulars concerning obligations for stock purchase options granted to officers and employees, and (3) what entries have been made for net income or retained income restricted under provisions of mortgages and other arrangements.

1 Amount (estimated, if necessary) of net income or retained income which has to be provided for capital expenditures, and for sinking and other funds pursuant to provisions of reorganization plans, mortgages, deeds of trust, or other contracts \_\_\_\_\_ \$ None

2. Estimated amount of future earnings which can be realized before paying Federal income taxes because of unused and available net operating loss carryover on January 1 of year following that for which the report is made \_\_\_\_\_ \$ None

3. (a) Explain the procedure in accounting for pension funds and recording in the accounts the current and past service pension costs, indicating whether or not consistent with the prior year In 1980 the Company implemented a qualified Profit Sharing

Plan. The amount to be charged to income each year is the amount to be deposited under the plan.

(b) State amount, if any, representing the excess of the actuarially computed value of vested benefits over the total of the pension fund \_\_\_\_\_  
Not Applicable \$

(c) Is any part of pension plan funded? Specify Yes \_\_\_\_\_ No \_\_\_\_\_

(i) If funding is by insurance, give name of insuring company \_\_\_\_\_

If funding is by trust agreement, list trustee(s) \_\_\_\_\_

Date of trust agreement or latest amendment \_\_\_\_\_

If respondent is affiliated in any way with the trustee(s), explain affiliation. \_\_\_\_\_

(d) List affiliated companies which are included in the pension plan funding agreement and describe basis for allocating charges under the agreement Not

(e) (i) Is any part of the pension plan fund invested in stock or other securities of the respondent or any of its affiliates? Specify.

Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, give number of the shares for each class of stock or other security. Not Applicable

(ii) Are voting rights attached to any securities held by the pension plan? Specify Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, who determines how stock is voted? Not Applicable

4 State whether a segregated political fund has been established as provided by the Federal Election Campaign Act of 1971 (18 U.S.C. 610).  
Yes \_\_\_\_\_ No X

5 (a) The amount of employers contribution to employee stock ownership plans for the current year was \$ None

(b) The amount of investment tax credit used to reduce current income tax expense resulting from contributions to qualified employee stock ownership plans for the current year was \$ None

6 In reference to Docket No. 37465 specify the total amount of business entertainment expenditures charged to the non-operating expense account. \$ None

Continued on following page

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES**

7 Give particulars with respect to contingent assets and liabilities at the close of the year, in accordance with Instruction 5-8 in the Uniform System of Accounts for Railroad Companies, that are not reflected in the amounts of the respondent. Disclose the nature and amount of contingency that is material.

Examples of contingent liabilities are items which may become obligations as a result of pending or threatened litigation, assessments or possible assessments of additional taxes and agreements or obligations to repurchase securities or property. Additional pages may be added if more space is needed. (Explain and/or reference to the following pages.)

**Litigation:**

The Company is involved as plaintiff or defendant in various legal actions arising in the normal course of business. While the ultimate outcome of the various legal proceedings involving the Company cannot be predicted with certainty, it is the opinion of management, after consultation with legal counsel, that these legal actions currently are not material to the financial position of the Company. Based upon information currently available, the Company believes that its litigation reserves are adequate. The following outlines four significant ongoing cases:

**Duncan Case**

In 1998, a jury in Beauregard Parish, Louisiana returned a verdict against KCSR in the amount of \$18.3 million. This Louisiana state case arose from a railroad crossing accident which occurred at Oretta, Louisiana on September 11, 1994, in which three individuals were injured. Of the three, one was injured fatally, one was rendered quadriplegic and the third suffered less serious injuries.

Subsequent to the verdict, the trial court held that the plaintiffs were entitled to interest on the judgement from the date the suit was filed, dismissed the verdict against one defendant and reallocated the amount of that verdict to the remaining defendants. The resulting total judgment against KCSR, together with interest, was \$27.0 million as of December 31, 1999.

On November 3, 1999, the Third Circuit Court of Appeals in Louisiana affirmed the judgment. Subsequently KCSR sought and obtained review of the case in the Supreme Court of Louisiana. On October 30, 2000 the Supreme Court of Louisiana entered its order affirming in part and reversing in part the judgement. The net effect of the Louisiana Supreme Court action was to reduce the allocation of negligence to KCSR and reduce the judgement, with interest, against KCSR from approximately \$28 million to approximately \$14.2 million (approximately \$9.7 million of damages and \$4.5 million of interest), which is in excess of KCSR's insurance coverage of \$10 million for this case. KCSR filed an application for rehearing in the Supreme Court of Louisiana which was denied on January 5, 2001. KCSR then sought a stay of judgement in the Louisiana court. The Louisiana court denied the stay application on January 12, 2001. KCSR reached an agreement as to the payment structure of the judgement in this case and payment of the settlement was made on March 7, 2001.

KCSR had previously recorded a liability of approximately \$3.0 million for this case. Based on the Supreme Court of Louisiana's decision, management recorded an additional liability of \$11.2 million and a receivable in the amount of \$7.0 million representing the amount of the insurance coverage. This resulted in recording \$4.2 million of net operating expense in the accompanying consolidated financial statements for the year ended December 31, 2000.

**Bogalusa Cases**

In July 1996, the Company was named as one of twenty-seven defendants in various lawsuits in Louisiana and Mississippi arising from the explosion of a rail car loaded with chemicals in Bogalusa, Louisiana on October 23, 1995. As a result of the explosion, nitrogen dioxide and oxides of nitrogen were released into the atmosphere over parts of that town and the surrounding area causing evacuations and injuries. Approximately 25,000 residents of Louisiana and Mississippi have asserted claims to recover damages allegedly caused by exposure to the chemicals.

The Company neither owned nor leased the rail car or the rails on which it was located at the time of the explosion in Bogalusa. The Company did, however, move the rail car from Jackson to Vicksburg, Mississippi, where it was loaded with chemicals, and back to Jackson where the car was tendered to the Illinois Central Railroad Company ("IC"). The explosion occurred more than 15 days after the Company last transported the rail car. The car was loaded by the shipper in excess of its standard weight when it was transported by the Company to interchange with the IC.

The trial of a group of twenty plaintiffs in the Mississippi lawsuits arising from the chemical release resulted in a jury verdict and judgment in favor of KCSR in June 1999. The jury found that KCSR was not negligent and that the plaintiffs had failed to prove that they were damaged. The trial of the Louisiana class action is scheduled to commence on June 11, 2001. The trial of a second group of Mississippi plaintiffs is scheduled for January 2002.

Management believes the probability of liability for damages in these cases to be remote. If the Company were to be found liable for punitive damages in these cases, such a judgement could have a material adverse effect on the Company's results of operations, financial position and cash flows.

**Houston Cases**

In August 2000 KCSR and certain of its affiliates were added as defendants in lawsuits pending in Jefferson and Harris Counties, Texas. These lawsuits allege damage to approximately 3,000 plaintiffs as a result of an alleged toxic chemical release from a tank car in Houston, Texas on August 21, 1998. Litigation involving the shipper and the delivering carrier had been pending for some time, but KCSR, which handled the car during the course of its transport, had not previously been named a defendant. On information currently available, management believes the Company's probability of liability for damages in these cases to be remote.

**Jaroslawicz Class Action**

On October 3, 2000, a lawsuit was filed in the New York State Supreme Court purporting to be a class action on behalf of the Company's preferred shareholders, and naming KCSI, its Board of Directors and Stihwell Financial Inc. as defendants. This lawsuit seeks a declaration that the spinoff was a de facto liquidation of KCSI, alleges violation of director's fiduciary duties to the preferred shareholders and also seeks a declaration that the preferred shareholders are entitled to receive the par value of their shares and other relief. The Company filed a motion to dismiss with prejudice in the New York State Supreme Court on December 22, 2000; the plaintiff filed its brief in opposition to the motion to dismiss on February 1, 2001, and the Company served reply papers on March 7, 2001. The motion to dismiss is now fully briefed and a ruling has not been rendered. Management believes the suit to be groundless and will continue to defend the matter vigorously.

## SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES

**Environmental Matters:**

The Company is subject to extensive regulation under environmental protection laws concerning, among other things, discharges to waters and the generation, handling, storage, transportation and disposal of waste and other materials where environmental risks are inherent. In particular, the Company is subject to various laws and certain legislation including, among others, the Federal Comprehensive Environmental Response, Compensation and Liability Act ("CERCLA," also known as the Superfund law), the Toxic Substances Control Act, the Federal Water Pollution Control Act, and the Hazardous Materials Transportation Act. This legislation generally imposes joint and several liability for clean up and enforcement costs, without regard to fault or legality of the original conduct, on current and predecessor owners and operators of a site. The Company does not foresee that compliance with the requirements imposed by the environmental legislation will impair its competitive capability or result in any material additional capital expenditures, operating or maintenance costs. As part of serving the petroleum and chemicals industry, the Company transports hazardous materials and has a Shreveport, Louisiana-based hazardous materials emergency team available to handle environmental issues which might occur in the transport of such materials. Additionally, the Company performs ongoing review and evaluation of the various environmental issues that arise in the Company's operations, and, as necessary, takes actions to limit the Company's exposure to potential liability.

The Company has recorded liabilities with respect to various environmental issues, which represent its best estimates of remediation and restoration costs that may be required to comply with present laws and regulations. At December 31, 2000, these recorded liabilities were not material. Although these costs cannot be predicted with certainty, management believes that the ultimate outcome of identified matters will not have a material adverse effect on the Company's consolidated results of operations or financial condition.

## (a) Changes in Valuation Accounts

## 8 Marketable Equity Securities

		Cost	Market	Dr. (Cr) to Income	Dr (Cr) to Stockholders Equity
(Current Yr.)	Current Portfolio				N / A
as of / /	Noncurrent Portfolio			N / A	\$
(Previous Yr.)	Current Portfolio			N / A	N / A
as of / /	Noncurrent Portfolio			N / A	N / A

(b) AT / / , gross unrealized gains and losses pertaining to marketable equity securities were as follows:

	Gains	Losses
Current	\$ _____	\$ _____
Noncurrent	_____	_____

(c) A net unrealized gain (loss) of \$ \_\_\_\_\_ on the sale of marketable equity securities was included in net income for \_\_\_\_\_ (year). The cost of securities sold was based on the \_\_\_\_\_ (method) cost of all the shares of each security held at time of sale.

Significant net realized and net unrealized gains and losses arising after date of the financial statements but prior to the filing, applicable to marketable equity securities owned at balance sheet date shall be disclosed below.

NOTE: / / (date) Balance sheet date of reported year unless specified as previous year.

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY - Continued**  
**NOTES TO FINANCIAL STATEMENTS**

In the fourth quarter of 1999, Kansas City Southern Lines, Inc., a Delaware corporation, acting pursuant to Section 228 of the Delaware General Corporation Law, and the sole stockholder of Rice-Carden Corporation, Southern Development Company, KCS Transportation Company, Mid-South Microwave, Inc., Caymex Transportation, Inc., and The Kansas City Northern Railway Company transferred all the capital stock of each company to KCSR.

Also in the fourth quarter of 1999, Kansas City Southern Lines, Inc., acting pursuant to Section 141 (f) of the Delaware General Corporation Law, transferred its interest in Mexrail, Inc., the parent of the Texas Mexican Railway Company to KCSR.

Southern Group, Inc. and Carland Inc., wholly owned subsidiaries of KCSR, were dissolved and merged into KCSR in the fourth quarter of 1999.

KCSR recorded additional cost in the fourth quarter of 1999 of \$12.1 million for facility and project closures, employee separations, labor and personal injury related issues.

Of the \$12.1 million, \$5.6 million were non-operating expenses and \$6.5 million were operating expenses.

**SCHEDULE 200 - COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY - Concluded**  
**NOTES TO FINANCIAL STATEMENTS**



**SCHEDULE 210 - RESULTS OF OPERATIONS**

(Dollars in Thousands)

1. Disclose the requested information for the respondent pertaining to the results of operations for the year

2. Report total operating expenses from Schedule 410 of this report. Any disparities in expense amounts shown in this schedule and expense amounts reported in Schedule 410 must be fully explained on page 18.

3. List dividends from investments accounted for under the cost method on the appropriate line No. 19 for Account No. 513 "Dividend Income." List dividends accounted for by the equity method on the appropriate dividend line No. 25 under the "Income from Affiliated Companies" subsection of this schedule.

4. All contra entries hereunder should be indicated in parentheses.

5. Cross-checks

Schedule 210

Line 15, column (b)  
Line 47 plus 48 plus 49, column (b)  
Line 50, column (b)

Schedule 210

= Line 62, column (b)  
= Line 63, column (b)  
= Line 64, column (b)  
Schedule 410  
= Line 620, column (h)  
= Line 620, column (f)  
= Line 620, column (g)

Line No.	Cross Check	Item (a)	Amount for current year (b)	Amount for preceding year (c)	Freight-related revenue & expenses (d)	Passenger-related revenue & expenses (e)	Line No
		<b>ORDINARY ITEMS</b>					
		<b>OPERATING INCOME</b>					
		<b>Railway Operating Income</b>					
1		(101) Freight	497,645	521,065	497,645		1
2		(102) Passenger					2
3		(103) Passenger-Related					3
4		(104) Switching	13,085	12,654	13,085		4
5		(105) Water Transfers					5
6		(106) Demurrage	9,167	8,311	9,167		6
7		(110) Incidental	2,083	2,803	2,083		7
8		(121) Joint Facility-Credit					8
9		(122) Joint Facility-Debit					9
10		(501) Railway operating revenues (Exclusive of transfers from Government Authorities-lines 1-9)	521,980	544,833	521,980		10
11		(502) Railway operating revenues-Transfers from Government Authorities for current operations					11
12		(503) Railway operating revenues-Amortization of deferred transfers from Government Authorities					12
13		<b>TOTAL RAILWAY OPERATING REVENUES (lines 10-12)</b>	521,980	544,833	521,980		13
14		(531) Railway operating expenses	463,645	473,838	463,645		14
15		Net revenue from railway operations	58,335	70,995	58,335		15
		<b>OTHER INCOME</b>					
16		(506) Revenue from property used in other than carrier operations	31	152			16
17		(510) Miscellaneous rent income	3,241	2,728			17
18		(512) Separately operated properties-Profit					18
19		(513) Dividend Income (cost method)	1,567				19
20		(514) Interest Income	3,566	56			20
21		(516) Income from sinking and other funds					21
22		(517) Release of premiums on funded debt					22
23		(518) Reimbursements received under contracts and agreements					23
24		(519) Miscellaneous income	363	680			24
		Income from affiliated companies: 519					
25		a. Dividends (equity method)	14,613				25
26		b. Equity in undistributed earnings (losses)	13,426	(1,704)			26
27		<b>TOTAL OTHER INCOME (lines 16-26)</b>	36,807	1,912			27
28		<b>TOTAL INCOME (lines 15, 27)</b>	95,142	72,907			28
		<b>MISCELLANEOUS DEDUCTIONS FROM INCOME</b>					
29		(534) Expenses of property used in other than carrier operations	13	72			29
30		(544) Miscellaneous taxes					30
31		(545) Separately operated properties-Loss					31
32		(549) Maintenance of investment organization					32
33		(550) Income Transferred under contracts and agreements					33
34		(551) Miscellaneous income charges	869	745			34
35		(553) Uncollectible accounts	774	262			35
36		<b>TOTAL MISCELLANEOUS DEDUCTIONS (lines 29-35)</b>	1,656	1,079			36
37		Income available for fixed charges (lines 28, 36)	93,486	71,828			37

**SCHEDULE 210 - RESULTS OF OPERATIONS - Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Item (a)	Amount for current year (b)	Amount for preceding year (c)	Line No.
<b>FIXED CHARGES</b>					
38		(546) Interest on funded debt: (a) Fixed interest not in default	64,259	33,256	38
39		(b) Interest in default			39
40		(547) Interest on unfunded debt	(4,985)	119	40
41		(548) Amortization of discount on funded debt	5,804	56	41
42		<b>TOTAL FIXED CHARGES (lines 38-41)</b>	<b>65,078</b>	<b>33,431</b>	<b>42</b>
43		Income after fixed charges (lines 37, 42)	28,408	38,397	43
<b>OTHER DEDUCTIONS</b>					
44		(548) Interest on funded debt: (c) Contingent interest			44
<b>UNUSUAL OR INFREQUENT ITEMS</b>					
45		(555) Unusual or infrequent items (debit) credit			45
46		Income (Loss) from continuing operations (before income taxes)	28,408	38,397	46
<b>PROVISIONS FOR INCOME TAXES</b>					
47		(556) Income taxes on ordinary income: (a) Federal income taxes	(28,385)	5,797	47
48		(b) State income taxes	(327)	1,479	48
49		(c) Other income taxes	171		49
50		(557) Provision for deferred taxes	27,549	9,022	50
51		<b>TOTAL PROVISIONS FOR INCOME TAXES (lines 47-50)</b>	<b>(992)</b>	<b>16,298</b>	<b>51</b>
52		Income from continuing operations (lines 46-51)	29,400	22,099	52
<b>DISCONTINUED OPERATIONS</b>					
53		(560) Income or loss from operations of discontinued segments (less applicable income taxes of \$ )			53
54		(562) Gain or loss on disposal of discontinued segments (less applicable income taxes of \$ )			54
55		Income before extraordinary items (lines 52 + 53 + 54)	29,400	22,099	55
<b>EXTRAORDINARY ITEMS AND ACCOUNTING CHANGES</b>					
56		(570) Extraordinary items (Net)	(1,830)		56
57		(590) Income taxes on extraordinary items	700		57
58		(591) Provision for deferred taxes—Extraordinary items			58
59		<b>TOTAL EXTRAORDINARY ITEMS (lines 56-58)</b>	<b>(1,130)</b>		<b>59</b>
60		(592) Cumulative effect of changes in accounting principles (less applicable tax of \$ )			60
61		Net income (Loss) (lines 55 + 59 + 60)	28,270	22,099	61
<b>Reconciliation of net railway operating income (NROI)</b>					
62		Net revenues from railway operations	58,335	70,995	62
63		(556) Income taxes on ordinary income (-)	(28,541)	7,276	63
64		(557) Provision for deferred taxes (-)	27,549	9,022	64
65		Income from lease of road and equipment (-)	116	(248)	65
66		Rent for leased roads and equipment (+)	2,701	1,736	66
67		Net railway operating income (loss)	61,912	56,681	67

See footnote on page 10.

## NOTES AND REMARKS FOR SCHEDULES 210 AND 220

**SCHEDULE 220 - RETAINED EARNINGS**

(Dollars in Thousands)

1. Show below the items of Retained Earnings Accounts of the respondent for the year, classified in accordance with the Uniform System of Accounts for Railroad Companies
2. All contra entries hereunder should be shown in parentheses
3. Show under "Remarks" the amount of assigned Federal income tax consequences for Accounts 606 and 616.
4. Segregate in column (c) all amounts applicable to the equity in undistributed earnings (losses) of affiliated companies based on the equity method of accounting
5. Line 3 (line 7 if debit balance), column (c), should agree with line 26, column (b), Schedule 210 The total of columns (b) and (c), lines 3 and 7, should agree with line 61 column (b), Schedule 210
6. Include in column (b) only amounts applicable to retained earnings exclusive of any amounts included in column (c).

Line No.	Cross Check	Item (a)	Retained earnings- Unappropriated (b)	Equity in undistributed earnings (losses) of affiliated companies (c)	Line No.
1		Balances at beginning of year	272,024	2,970	1
2		(601.5) Prior period adjustments to beginning retained earnings			2
		<b>CREDITS</b>			
3		(602) Credit balance transferred from income	14,844	13,426	3
4		(603) Appropriations released			4
5		(606) Other credits to retained earnings	7,468		5
6		<b>TOTAL</b>	22,312	13,426	6
		<b>DEBITS</b>			
7		(612) Debit balance transferred from income			7
8		(616) Other debits to retained earnings		7,468	8
9		(620) Appropriations for sinking and other funds			9
10		(621) Appropriations for other purposes			10
11		(623) Dividends: Common stock	15,292		11
12		Preferred stock*			12
13		<b>TOTAL</b>	15,292	7,468	13
14		Net increase (decrease) during Year (Line 6 minus line 13)	7,020	5,958	14
15		Balances at close of year (lines 1, 2, and 14)	279,044	8,928	15
16		Balances from line 15 (c)	8,928	N/A	16
17		Total unappropriated retained earnings and equity in undistributed earnings (losses) of affiliated companies at end of year (798)	287,972	N/A	17
18		(797) Total appropriated retained earnings.			18
19		Credits during year \$ _____			19
20		Debits during year \$ _____			20
21		Balance at Close of year \$ _____			21
		Amount of assigned Federal income tax consequences:			
22		Account 606 \$ _____			22
23		Account 616 \$ _____			23

\* If any dividends have not been declared on cumulative preferred stock, give cumulative undeclared dividends at beginning of year and end of year.

# **SCHEDULE 230 - CAPITAL STOCK**

## **PART I. CAPITAL STOCK**

(Dollars in Thousands)

- 1 Disclose in column (a) the particulars of the various issues of capital stock of the respondent, distinguishing separate issues of any general class, if different in any respect
- 2 Present in column (b) the par or stated value of each issue. If none, so state
- 3 Disclose in columns (c), (d), (e) and (f) the required information concerning the number of shares authorized, issued, in treasury and outstanding for the various issues
- 4 For the purpose of this report, capital stock and other securities are considered to be nominally issued when certificates are signed and sealed and placed with the proper officer for sale and delivery or are pledged or otherwise placed in some special fund of the respondent. They are considered to be actually issued when sold to a bona fide purchaser who holds them free from control by the respondent. All securities actually issued and not reacquired by or for the respondent are considered to be actually outstanding. If reacquired by or for the respondent, and not cancelled or retired, they are considered to be nominally outstanding

Line No	Class of Stock (a)	Par Value (b)	Number of shares			Book Value at End of Year		Line No
			Authorized (c)	Issued (d)	In Treasury (e)	Outstanding (f)	Outstanding (g)	
1	Common	Non-Par	10,140,000	10,140,000	300,000	9,840,000	33,767	2,737
2								
3								
4	Preferred	\$350,000	60	60	3	57	19,950	1,050
5								
6								
7								
8								
9								
10	TOTAL	-	10,140,060	10,140,060	300,003	9,840,057	\$53,717	\$3,787

## **PART II. SUMMARY OF CAPITAL STOCK CHANGES DURING YEAR**

(Dollars in Thousands)

- 1 The purpose of this part is to disclose capital stock changes during the year.
- 2 Column (a) presents the items to be disclosed
- 3 Columns (b), (d) and (f) require the applicable disclosure of the number of preferred, common and treasury stock, respectively, applicable to the items presented in column (a)
4. Columns (c), (e) and (g) require the applicable disclosure of the book values of preferred, common and treasury stock
- 5 Disclose in column (h) the additional paid-in capital realized from changes in capital stock during year.
- 6 Unusual circumstances arising from changes in capital stock changes shall be fully explained in footnotes to this schedule

Line No.	Items (a)	Preferred Stock		Common Stock		Treasury Stock		Additional Capital \$ (h)	Line No.
		Number of Shares (b)	Amount \$ (c)	Number of Shares (d)	Amount \$ (e)	Number of Shares (f)	Amount \$ (g)		
11	Balance at beginning of year	60	\$21,000	10,140,000	\$36,504	300,003	\$3,787	\$76,907	11
12	Capital Stock Sold <sup>1</sup>								12
13	Capital Stock Reacquired								13
14	Capital Stock Canceled								14
15	Capital Contribution From Parent (KCSI)							\$238,678	15
16	Capital Contribution - Intercompany								16
17	Balance at close of year	60	\$21,000	10,140,000	\$36,504	300,003	\$3,787	\$315,585	17

<sup>1</sup> By footnote on page 17 state the purpose of the issues and authority.

**SCHEDULE 240 - STATEMENT OF CASH FLOWS**

(Dollars in Thousands)

Give the information as requested concerning the cash flows during the year. Either the direct or indirect method can be used. The direct method shows as its principal components operating cash receipts and payments, such as cash received from customers as cash paid to suppliers and employees, the sum of which is net cash flow from operating activities. The indirect method starts with net income and adjusts it for revenue and expense items that were not the result of operating cash transactions in the current period to reconcile it to net cash flow from operating activities. If direct method is used complete lines 1 - 41; indirect method complete lines 10 - 41. Cash for the purpose of this schedule shall include cash and cash equivalents which are short-term, highly liquid investments readily convertible to known amounts of cash and so near their maturity that they present insignificant risk of changes in value because of changes in interest rates. Information about all investing and financing activities which do not directly affect cash shall be separately disclosed in footnotes to this schedule. They shall clearly relate the cash (if any) and non cash aspects of transactions. Examples of noncash investing and transactions include converting debt to equity acquiring assets by assuming directly related liabilities, such as purchasing a building by incurring a mortgage to the seller, obtaining an asset by entering into a capital lease; and exchanging noncash assets or liabilities for other noncash assets or liabilities. Some transactions are part cash and part noncash; only the cash portion shall be reported directly in the statement of cash flows. Refer to FAS Statement No. 95, Statement of Cash Flows, for further details.

**CASH FLOWS FROM OPERATING ACTIVITIES**

Line No.	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No.
1.		Cash received from operating revenues			1.
2.		Dividends received from affiliates			2.
3.		Interest received			3.
4.		Other income			4.
5.		Cash paid for operating expenses			5.
6.		Interest paid (net of amounts capitalized)			6.
7.		Income taxes paid			7.
8.		Other—net			8.
9.		NET CASH PROVIDED BY OPERATING ACTIVITIES (LINES 1 - 8)			9.

**RECONCILIATION OF NET INCOME TO NET CASH PROVIDED BY OPERATING ACTIVITIES**

Line No.	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No.
10.		Income from continuing operations	29,400	22,099	10.

**ADJUSTMENTS TO RECONCILE INCOME FROM CONTINUING OPERATIONS TO NET CASH PROVIDED BY OPERATING ACTIVITIES**

Line No.	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No.
11.		Loss (gain) on sale or disposal of tangible property and investments	(143)	5,273	11.
12.		Depreciation and amortization expenses	49,737	50,125	12.
13.		Increase (decrease) in provision for Deferred Income Taxes	30,420	8,263	13.
14.		Net decrease (increase) in undistributed earnings (losses) of affiliates	(13,426)	1,704	14.
15.		Decrease (increase) in accounts receivable	(5,806)	2,639	15.
16.		Decrease (increase) in materials and supplies, and other current assets	7,325	5,230	16.
17.		Increase (decrease) in current liabilities other than debt	(5,306)	49,441	17.
18.		Increase (decrease) in other—net	(33,168)	(16,632)	18.
19.		Net cash provided from continuing operations (Lines 10-18)	59,033	128,142	19.
20.		Add (subtract) cash generated (paid) by reason of discontinued operations and extraordinary items			20.
21.		NET CASH PROVIDED FROM OPERATING ACTIVITIES (Lines 19 & 20)	59,033	128,142	21.

**CASH FLOWS FROM INVESTING ACTIVITIES**

Line No.	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No.
22.		Proceeds from sale of property	2,822	(6,266)	22.
23.		Capital expenditures	(92,047)	(78,413)	23.
24.		Net change in temporary cash investments not qualifying as cash equivalents			24.
25.		Proceeds from sale/repayment of investment and advances		4,128	25.
26.		Purchase price of long-term investment and advances		(620)	26.
27.		Net decrease (increase) in sinking and other special funds			27.
28.		Other—net			28.
29.		NET CASH USED IN INVESTING ACTIVITIES (Lines 22-28)	(89,225)	(81,171)	29.

(Continued on next page)

**SCHEDULE 240 - STATEMENT OF CASH FLOWS (Concluded)**  
(Dollars in Thousands)

**CASH FLOWS FROM FINANCING ACTIVITIES**

Line No.	Cross Check	Description (a)	Current Year (b)	Prior Year (c)	Line No.
30.		Proceeds from issuance of long-term debt	973,248	463,099	30.
31.		Principal payments of long-term debt	(914,129)	(505,652)	31.
32.		Proceeds from issuance of capital stock			32.
33.		Purchase price of acquiring treasury stock			33.
34.		Cash dividends paid			34.
35.		Other—net	(15,292)	(27)	35.
36.		NET CASH FROM FINANCING ACTIVITIES (Lines 30-35)	43,827	(42,580)	36.
37.		NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS (LINES 21, 29 & 36)	13,635	4,391	37.
38.		Cash and cash equivalents at beginning of the year	3,834	(557)	38.
39.		CASH AND CASH EQUIVALENTS AT END OF THE YEAR (Lines 37 & 38)	17,469	3,834	39.
40.		Footnotes to Schedule 240 Cash paid during the year for: Interest (net of amount capitalized)*	60,287	7,404	40.
41.		Income taxes (net)*	(13,053)	(39,268)	41.

\*Only applies if indirect method is adopted

**NOTES AND REMARKS**

**NONCASH ITEMS:**

Reclass Accounts Payable to Intercompany Advances	1,401	21,698
Capital Contribution	238,678	9,802

**SCHEDULE 245 - WORKING CAPITAL**

(Dollars in Thousands)

- 1 This schedule should include only data pertaining to railway transportation services.  
 2 Carry out calculation of lines 9, 10, 20, and 21, to the nearest whole number.

Line No.	Item (a)	Source	Amount (b)	Line No.
<b>CURRENT OPERATING ASSETS</b>				
1	Interline and Other Balances (705)	Schedule 200, line 5, column b	2,222	1
2	Customers (706)	Schedule 200, line 6, column b	58,924	2
3	Other (707)	Note A	11,930	3
4	<b>TOTAL CURRENT OPERATING ASSETS</b>	Line 1 + 2 + 3	73,076	4
<b>OPERATING REVENUE</b>				
5	Railway Operating Revenue	Schedule 210, line 13, column b	521,980	5
6	Rent Income	Note B	30,555	6
7	<b>TOTAL OPERATING REVENUES</b>	Lines 5 + 6	552,535	7
8	Average Daily Operating Revenues	Line 7 / 360 days	1,535	8
	Days of Operating Revenue in			
9	Current Operating Assets	Line 4 / line 8	48	9
10	Revenue Delay Days Plus Buffer	Line 9 + 15 days	63	10
<b>CURRENT OPERATING LIABILITIES</b>				
11	Interline and Other Balances (752)	Schedule 200, line 31, column b	22,671	11
12	Audited Accounts and Wages Payable (753)	Note A	42,967	12
13	Accounts Payable - Other (754)	Note A	2,349	13
14	Other Taxes Accrued (761.5)	Note A	4,171	14
15	<b>TOTAL CURRENT OPERATING LIABILITIES</b>	Sum of lines 11 to 14	72,158	15
<b>OPERATING EXPENSES</b>				
16	Railway Operating Expenses	Schedule 210, line 14, column b	463,645	16
17	Depreciation	Schedule 410, line 136, 137, 138, 213, 232, 317, column h	49,725	17
18	Cash Related Operating Expenses	Line 16 + line 6 - line 17	444,475	18
19	Average Daily Expenditures	Line 18 / 360 days	1,235	19
	Days of Operating Expenses in Current			
20	Operating Liabilities	Line 15 / line 19	58	20
21	Days of Working Capital Required	Line 10 - line 20 (Note C)	5	21
22	Cash Working Capital Required	Line 21 * line 19	6,175	22
23	Cash and Temporary Cash Balance	Schedule 200, line 1 + line 2, column b	17,469	23
24	Cash Working Capital Allowed	Lesser line 22 and line 23	6,175	24
<b>MATERIALS AND SUPPLIES</b>				
25	Total Matenal and Supplies (712)	Note A	31,731	25
	Scrap and Obsolete Matenal included			
26	in Acct. 712	Note A	1,230	26
	Matenals and Supplies held for Common			
27	Carrier Purposes	Line 25 - line 26	30,501	27
28	<b>TOTAL WORKING CAPITAL</b>	Line 24 + line 27	36,676	28

**Notes:**

(A) Use common carrier portion only Common carrier refers to railway transportation service.

(B) Rent Income is the sum of Schedule 410, column h, lines 121, 122, 123, 127, 128, 129, 133, 134, 135, 208, 210, 212, 227, 229, 231, 312, 314, and 316 Rent income is added to railway operating revenues to produce total revenues. Rent income is also added to total operating expenses to exclude the rent revenue items from operating expense

(C) If result is negative, use zero.



## NOTES AND REMARKS

## GENERAL INSTRUCTIONS CONCERNING RETURNS IN SCHEDULES 310, 310A

1. Schedule 310 should give particulars of stocks, bonds, other secured obligations, unsecured notes, and investment advances of affiliated companies held by respondent at close of year. Also, disclose the investments made, disposed of, and written down during the year and the applicable dividends and interest credited to income as a result of those investments. They should exclude securities issued or assumed by respondent. For definition of affiliated companies, see the rules governing Account No. 721 "Investments and advances, affiliated companies," in the Uniform System of Accounts for Railroad Companies.

2. List the investments in the following order and show a total for each group and each class of investments by accounts in numerical order:

- (A) Stocks
  - 1) Carriers - active
  - 2) Carriers - inactive
  - 3) Noncarriers - active
  - 4) Noncarriers - inactive
- (B) Bonds (including U. S. Government Bonds)
- (C) Other secured obligations
- (D) Unsecured notes
- (E) Investment advances

3. The subclassification of classes (B), (C), (D), and (E) should be the same as that provided for class (A).

4. The kinds of industry represented by respondent's investments in the securities of other companies should be shown by symbol opposite the names of the issuing corporations, the symbols and industrial classifications to be as follows.

Symbol	Kind of Industry
I	Agriculture, forestry, and fisheries
II	Mining
III	Construction
IV	Manufacturing
V	Wholesale and retail trade
VI	Finance, insurance, and real estate
VII	Transportation, communications, and other public utilities
VIII	Services
IX	Government
X	All other

5. By carriers, as the term is used here, is meant companies owning or operating railroads, facilities auxiliary thereto such as bridges, ferries, union depots, and other terminal facilities, sleeping cars, parlor cars, dining cars, freight cars, express service and facilities, electric railways, highway motor vehicles, steamboats and other marine transportation equipment, pipe lines (other than those for transportation of water), and other instrumentalities devoted to the transportation of persons or property for hire. Telegraph and telephone companies are not meant to be included.

6. Noncarrier companies should, for the purpose of these schedules, include telephone companies, telegraph companies, mining companies, manufacturing companies, hotel companies, etc. Purely "holding companies" are to be classed as noncarrier companies, even though the securities held by such companies are largely or entirely those issued or assumed by carriers.

7. By an active corporation is meant one which maintains an organization for operating property or administering its financial affairs. An inactive corporation is one which has been practically absorbed in a controlling corporation and which neither operates property nor administers its financial affairs; if it maintains an organization it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises.

8. Combine, in one amount, investments in which the original cost or present equity in total assets is less than \$10,000.

9. Include investments in unincorporated entities such as lessee organizations. Exclude amounts normally settled on a current basis.

10. Do not include the value of securities issued or assumed by respondent.

11. For affiliates which do not report to the Surface Transportation Board and are jointly owned, disclose in footnotes the name and extent of control of the other controlling entities.

### SCHEDULE 310 - INVESTMENTS AND ADVANCES AFFILIATED COMPANIES

1. Give particulars of investments in stocks, bonds, other secured obligations, unsecured notes, and investment advances of companies affiliated with respondent, included in accounts Nos 715, "Sinking Funds", 716, "Capital Funds", 721, "Investments and Advances Affiliated Companies", and 717, "Other Funds."
2. Entries in this schedule should be made in accordance with the definitions and general instructions given on page 18, classifying the investments by means of letters, figures, and symbols in columns (a), (b), and (c).
3. Indicate by means of an arbitrary mark in column (d) the obligation in support of which any security is pledged, mortgaged, or otherwise encumbered, giving names and other important particulars of such obligations in footnotes
4. Give totals for each class and for each subclass and a grand total for each account.
5. Entries in column (d) should show date of maturity of bonds and other evidences of indebtedness. In case obligations of the same designation mature serially, the date in column (d) may be reported as "Serially 19\_\_ to 19\_\_." Abbreviations in common use in standard financial publications may

Line No	Account No. (a)	Class No (b)	Kind of Industry (c)	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds) (d)	Extent of control (e)	Line No.
1	721			Investment in Affiliated Companies		1
2						2
3		A - 1	VI	Rice-Carden Corporation	100.0%	3
4			VI	Southern Development Company	100.0%	4
5			VII	Caymex Transportation, Inc	100.0%	5
6			VII	Joplin Union Depot Company	33.3%	6
7			VII	Kansas City Terminal Railway Company	8.3%	7
8			VII	KCS Transportation Company	100.0%	8
9			VII	Mexrail, Inc.	49.0%	9
10			VII	Trailer Train Company	0.5%	10
11			X	Mid-South Microwave, Inc.	100.0%	11
12			X	Southern Capital Corporation - Holding Company	100.0%	12
13			X	Southern Capital Corporation	50.0%	13
14				Total Class A		14
15						15
16						16
17						17
18						18
19				Advances		19
20						20
21						21
22						22
23						23
24						24
25						25
26						26
27						27
28		E - 1	VII	Joplin Union Depot Company	33.3%	28
29			VII	Kansas City Terminal Railway Company	8.3%	29
30			VII	KCS Transportation Company	100.0%	30
31						31
32				Total E-1		32
33						33
34						34
35						35
36				Total Advances		36
37						37
38						38
39				Total Account 721		39
40						40

**SCHEDULE 310 - INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued**

(Dollars in Thousands)

be used to conserve space.

6. If any of the companies included in this schedule are controlled by respondent the percent of control should be shown in column (e). In case any company listed is controlled other than through actual ownership of securities, give particulars in a footnote. In cases of joint control, give names of other parties and particulars of control.

7. If any advances reported are pledged, give particulars in a footnote.

8. Investments in companies in which neither the original cost or present equity in total assets are less than \$10,000 may be combined in one figure.

9. Also included should be investments in unincorporated entities such as lessee organizations (exclusive of amounts nominally settled on a current basis).

10. This schedule should not include securities issued or assumed by respondent.

11. For affiliates which do not report to the Interstate Commerce Commission and are jointly owned, give names and extent of control of other entities by footnotes.

Line No.	Investments and Advances				Disposed of profit (loss)	Adjustments Account 721 5	Dividends or Interest credited to Income (l)	Line No
	Opening Balance (f)	Additions (g)	Deductions (if other than sale, explain) (h)	Closing Balance (i)				
1								1
2								2
3	29,091			29,091				3
4	3,847			3,847				4
5	(37,021)	342,860		305,839				5
6	10			10				6
7	183			183				7
8	12,703			12,703				8
9	14,036			14,036				9
10	20			20				10
11	5,880			5,880				11
12		28,088		28,088				12
13	20,619		20,619					13
14	49,368	370,948	20,619	399,697				14
15								15
16								16
17								17
18								18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27
28	127			127				28
29	3,144			3,144				29
30		32,968		32,968				30
31								31
32	3,271	32,968		36,239				32
33								33
34								34
35								35
36	3,271	32,968		36,239				36
37								37
38								38
39	52,639	403,916	20,619	435,936				39
40								40

## SCHEDULE 310 - INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued

Line No.	Account No. (a)	Class No. (b)	Kind of Industry (c)	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds) (d)	Extent of control (e)	Line No.
1						1
2						2
3						3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14				COMPLETED		14
15						15
16				ON PAGES		16
17						17
18				26 & 27		18
19						19
20						20
21						21
22						22
23						23
24						24
25						25
26						26
27						27
28						28
29						29
30						30
31						31
32						32
33						33
34						34
35						35
36						36
37						37
38						38
39						39
40						40

## SCHEDULE 310 - INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued

(Dollars in Thousands)

Line No.	Investments and Advances				Disposed of profit (loss)	Adjustments Account 721.5	Dividends or Interest credited to Income (l)	Line No.
	Opening Balance	Additions	Deductions (if other than sale, explain)	Closing Balance				
	(f)	(g)	(h)	(i)	(j)	(k)		
1								1
2								2
3								3
4								4
5								5
6								6
7								7
8								8
9								9
10								10
11								11
12								12
13								13
14				COMPLETED				14
15								15
16				ON PAGES				16
17								17
18				26 & 27				18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27
28								28
29								29
30								30
31								31
32								32
33								33
34								34
35								35
36								36
37								37
38								38
39								39
40								40

## SCHEDULE 310A - INVESTMENTS IN COMMON STOCKS OF AFFILIATED COMPANIES

(Dollars in Thousands)

## Undistributed Earnings From Certain Investments in Affiliated Companies

1. Report below the details of all investments in common stocks included in Account 721, Investments and Advances Affiliated Companies.
2. Enter in column (c) the amount necessary to retroactively adjust those investments (See instruction 5-2, Uniform System of Accounts.)
3. Enter in column (d) the share of undistributed earnings (i.e., less dividends) or losses.
4. Enter in column (e) the amortization for the year of the excess of cost over equity in net assets (equity over cost) at date of acquisition
5. For definitions of "carrier" and "noncarrier," see general instructions

Line No	Name of issuing company and description of security held (a)	Balance at beginning of year (b)	Adjustments for investments equity method (c)	Equity in undistributed earnings (losses) during year (d)	Amortization during year (e)	Adjustment for investments disposed of or written down during year (f)	Balance at close of year (g)	Line No
1	Carriers: (List specifics for each company)			907			907	1
2	Southern Capital Corporation - Holding Company	7,468				(7,468)		2
3	Southern Capital Corporation	417		(1,340)			(923)	3
4	Rice-Carden Corporation	40		54			94	4
5	Southern Development Company	(5,408)		9,576			4,168	5
6	Caymex Transportation, Inc.	752		5,523			6,275	6
7	KCS Transportation Company	5		(889)			(884)	7
8	Mid-South Microwave, Inc.	(304)		(405)			(709)	8
9	Mexrail, Inc.							9
10								10
11								11
12								12
13	Total	2,970		13,426		(7,468)	8,928	13
Noncarrier (List specifics for each company)								
14								14
15								15
16								16
17								17
18								18
19								19
20								20
21								21
22								22
23								23
24								24
25								25
26								26
27								27

**INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 330**

1. Give particulars of balances at the beginning and close of the year and of all changes during the year in Account No. 731, "Road and Equipment Property," and Account No. 732, "Improvements on Leased Property," classified by primary accounts in accordance with the Uniform System of Accounts for Railroad Companies. The balances, by primary accounts, should insofar as known, be stated in column (b) and all changes made during the year should be analyzed in columns (c) to (f), inclusive. Column (g) should be the net of the amounts in columns (c) through (f). Column (h) is the aggregate of columns (b) to (f), inclusive. Grand totals of columns (b) and (h) should equal the sum of Accounts 731 and 732 for the respective periods; if not, full explanation should be made in a footnote.
2. In column (c) are to be shown disbursements made for the specific purpose of purchasing, constructing, and equipping new lines, and for the extension of old lines, as provided for in instruction 2-1, "Items to be charged," of the Uniform System of Accounts for Railroad Companies for such items.
3. In column (d) is to be shown the cost of a railway or portion thereof, acquired as an operating entity or system by purchase, merger, consolidation, reorganization, receivership sale or transfer, or otherwise.
4. In column (c) and (e) should be included all entries covering expenditures for additions and betterments, as defined, whether or not replacing other property.
5. In column (f) should be entered all credits representing property sold, abandoned, or otherwise retired.
6. Both the debit and credit involved in each transfer, adjustment, or clearance, between road and equipment accounts, should be included in the column in which the item was initially included, also the transfer of prior years' debits or credits from investment in road and equipment to operating expenses or other accounts, or vice versa, should be included in the column applicable to current items of like nature. Each such transfer, adjustment, or clearance should be fully explained when in excess of \$100,000.
7. If during the year an individual charge of \$100,000 or more was made to Account No. 2, "Land for Transportation Purposes," state in a footnote the cost, location, area, and other details which will identify the property.
8. Report on line 29 amounts not includible in the primary road accounts. The items reported should be briefly identified and explained under "Notes and Remarks," below. Amounts should be reported on this line only under special circumstances, usually after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Board.
9. If during the year a segment of transportation property was acquired, state in a footnote the name of the vendor, the mileage acquired, and the date of acquisition, giving termini and the cost of the property to the respondent. Also furnish a statement of the amount included in each primary account representing such property acquired, referring to the column or columns in which the entries appear.
10. If an amount of less than \$2,000 is used as the minimum for additions and betterments to property investment accounts as provided for in Instruction 2-2 of the Uniform System of Accounts for Railroad Companies, state in a footnote the amount used.

**NOTES AND REMARKS**



**SCHEDULE 330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT**

(Dollars in Thousands)

Line No.	Cross Check	Account (a)	Balance at beginning of year (b)	Expenditures during the year for original road and equipment, and road extensions (c)	Expenditures during the year for purchase of existing lines, reorganizations, etc. (d)	Line No.
1		(2) Land for transportation purposes	24,774			1
2		(3) Grading	120,425			2
3		(4) Other right-of-way expenditures	757			3
4		(5) Tunnels and subways	36			4
5		(6) Bridges, trestles, and culverts	149,669			5
6		(7) Elevated structures				6
7		(8) Ties	286,370			7
8		(9) Rail and other track material	435,258			8
9		(11) Ballast	98,619			9
10		(13) Fences, snowsheds, and signs	767			10
11		(16) Station and office buildings	18,564			11
12		(17) Roadway buildings	447			12
13		(18) Water stations	84			13
14		(19) Fuel stations	4,771			14
15		(20) Shops and enginehouses	32,634			15
16		(22) Storage warehouses				16
17		(23) Wharves and docks				17
18		(24) Coal and ore wharves				18
19		(25) TOFC/COFC terminals	19,898			19
20		(26) Communication systems	12,700			20
21		(27) Signals and interlockers	35,881			21
22		(29) Power plants	23			22
23		(31) Power-transmission systems	791			23
24		(35) Miscellaneous structures	470			24
25		(37) Roadway machines	26,003			25
26		(39) Public improvements - Construction	5,937			26
27		(44) Shop machinery	8,343			27
28		(45) Power-plant machinery	175			28
29		Other (specify and explain)				29
30		<b>TOTAL EXPENDITURES FOR ROAD</b>	<b>1,283,396</b>			<b>30</b>
31		(52) Locomotives	89,292			31
32		(53) Freight-train cars	138,768			32
33		(54) Passenger-train cars				33
34		(55) Highway revenue equipment				34
35		(56) Floating equipment				35
36		(57) Work equipment	6,774			36
37		(58) Miscellaneous equipment	22,415			37
38		(59) Computer systems and word processing equipment	17,671			38
39		<b>TOTAL EXPENDITURES FOR EQUIPMENT</b>	<b>274,920</b>			<b>39</b>
40		(76) Interest during construction	2,157			40
41		(80) Other elements of investment				41
42		(80) Construction in progress	94,396			42
43		<b>GRAND TOTAL</b>	<b>1,654,869</b>			<b>43</b>

**330 - ROAD PROPERTY AND EQUIPMENT AND IMPROVEMENTS TO LEASED PROPERTY AND EQUIPMENT - Continued**  
 (Dollars in Thousands)

Line No.	Cross Check	Expenditures for additions during the year (e)	Credits for property retired during the year (f)	Net changes during the year (g)	Balance at close of year (h)	Line No
1			1,440	(1,440)	23,334	1
2		361	199	162	120,587	2
3					757	3
4					36	4
5		(459)	806	(1,265)	148,404	5
6						6
7		12,689	3,911	8,778	295,148	7
8		(2,785)	728	(3,513)	431,745	8
9		3,682	982	2,700	101,319	9
10					767	10
11		682		682	19,246	11
12					447	12
13					84	13
14		232		232	5,003	14
15		1,009	1	1,008	33,642	15
16						16
17						17
18						18
19		1		1	19,899	19
20		414	1	413	13,113	20
21			4	(4)	35,877	21
22					23	22
23					791	23
24					470	24
25		1,268		1,268	27,271	25
26		33	16	17	5,954	26
27		468	5	463	8,806	27
28					175	28
29						29
30		17,595	8,093	9,502	1,292,898	30
31		6,057	269	5,788	95,080	31
32			4,117	(4,117)	134,651	32
33						33
34						34
35						35
36		1,696	43	1,653	8,427	36
37		223	380	(157)	22,258	37
38		894		894	18,565	38
39		8,870	4,809	4,061	278,981	39
40					2,157	40
41						41
42		65,582		65,582	159,978	42
43		92,047	12,902	79,145	1,734,014	43

**SCHEDULE 332 - DEPRECIATION BASE AND RATES—ROAD AND EQUIPMENT OWNED AND USED AND LEASED FROM OTHERS**  
 (Dollars in Thousands)

1. Show in columns (b) and (e), for each primary account, the depreciation base used to compute the depreciation charges for the month of January, and in columns (c) and (f) show the depreciation base used to compute the depreciation charges for the month of December; in columns (d) and (g) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 39 of these columns show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the same month. The depreciation base should not include the cost of equipment used, but not owned, when the rents therefore are included in the rent for equipment and Account Nos. 31-22-00, 31-23-00, 31-25-00, 31-21-00, 35-21-00, 35-23-00, 35-22-00, and 35-25-00. It should include the cost of equipment owned and leased to others when the rents therefrom are included in the rent for equipment Accounts Nos. 32-21-00, 32-22-00, 32-23-00, 32-25-00, 36-21-00, 36-22-00, 36-23-00, and 36-25-00, inclusive. The composite rates used should be those prescribed or otherwise authorized by the Board, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any changes in rates were effective during the year, give full particulars in a footnote.

2. All leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.

3. Show in columns (e), (f), and (g) data applicable to Lessor property, when the rent therefore is included in Account Nos. 31-11-00, 31-12-00, 31-13-00, 31-21-00, 31-22-00, and 31-23-00, inclusive.

4. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) effected.

5. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively.

Line No	Account  (a)	OWNED AND USED			LEASED FROM OTHERS			Line No
		Depreciation base		Annual composite rate (percent) (d)	Depreciation base		Annual composite rate (percent) (g)	
		1/1 At beginning of year (b)	12/1 At close of year (c)		At beginning of year (e)	At close of year (f)		
	ROAD							
1	(3) Grading	119,923	120,085	0.84				1
2	(4) Other, right-of-way expenditures	757	757	1.63				2
3	(5) Tunnels and subways	36	36	0.93				3
4	(6) Bridges, trestles, and culverts	148,892	147,627	1.29				4
5	(7) Elevated structures							5
6	(8) Ties	282,656	290,098	4.55				6
7	(9) Rail and other track material	433,551	429,986	3.20				7
8	(11) Ballast	97,909	100,601	5.56				8
9	(13) Fences, snow sheds, and signs	767	767	0.57				9
10	(16) Station and office buildings	18,332	19,015	2.35				10
11	(17) Roadway buildings	447	447	3.17				11
12	(18) Water stations	84	84	6.43				12
13	(19) Fuel stations	4,772	5,004	2.88				13
14	(20) Shops and enginehouses	32,634	33,642	1.99				14
15	(22) Storage warehouses							15
16	(23) Wharves and docks							16
17	(24) Coal and ore wharves							17
18	(25) TOFC/COFC terminals	19,898	19,899	3.55				18
19	(26) Communication systems	12,529	12,942	2.55				19
20	(27) Signals and interlockers	35,597	35,594	2.24				20
21	(29) Power plants	23	23	3.60				21
22	(31) Power-transmission systems	791	791	1.09				22
23	(35) Miscellaneous structures	470	470	1.48				23
24	(37) Roadway machines	24,967	26,235	3.60				24
25	(39) Public improvements—Construction	5,937	5,954	1.45				25
26	(44) Shop machinery	8,343	8,806	2.29				26
27	(45) Power-plant machinery	175	175	1.91				27
28	All other road accounts							28
29	Amortization (Adjustments)							29
30	TOTAL ROAD	1,249,490	1,259,038	3.16				30
	EQUIPMENT							
31	(52) Locomotives	86,465	86,254	2.62				31
32	(53) Freight-train cars	138,766	134,649	2.83				32
33	(54) Passenger-train cars	0	0					33
34	(55) Highway revenue equipment	0	0					34
35	(56) Floating equipment	0	0					35
36	(57) Work equipment	6,640	8,292	1.29				36
37	(58) Miscellaneous equipment	22,294	22,137	3.90				37
38	(59) Computer systems and word processing equipment	17,564	18,535	13.09				38
39	TOTAL EQUIPMENT	271,729	269,867	3.51				39
40	GRAND TOTAL	1,521,219	1,528,905	N/A			N/A	40

**SCHEDULE 335 - ACCUMULATED DEPRECIATION—ROAD AND EQUIPMENT OWNED AND USED**

(Dollars in Thousands)

1. Disclose the required information in regard to credits and debits to Account No. 735, "Accumulated depreciation: Road and Equipment Property," during the year relating to owned and used road and equipment. Include entries for depreciation of equipment owned but not used when the resulting rents are included in the "Lease Rentals—Credit—Equipment" accounts and "Other Rents—Credit—Equipment" accounts. Exclude any entries for depreciation of equipment that is used but not owned when the resulting rents are included in "Lease Rental—Debit—Equipment" account and "Other Rents—Debit—Equipment" accounts (See Schedule 351 for the accumulated depreciation to road and equipment owned and leased to others)

2. If any data are included in columns (d) or (f), explain the entries in detail.

3. A debit balance in columns (b) or (g) for any primary account should be designated "Dr."

4. If there is any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses, a full explanation should be given.

5. Enter amounts representing amortization under an authorized amortization program other than for defense projects on lines 29 and 39.

Line No	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE During the year		DEBITS TO RESERVE During the year		Balance at close of year (g)	Line No.
				Charges to operating expenses ** (c)	Other credits (d)	Retirements (e)	Other debits (f)		
ROAD									
1		(3) Grading	19,043	1,003	-	25	-	20,021	1
2		(4) Other, right-of-way expenditures	376	12	-	(1)	-	389	2
3		(5) Tunnels and subways	2	1	-	-	-	3	3
4		(6) Bridges, trestles, and culverts	20,057	1,933	-	556	-	21,434	4
5		(7) Elevated structures	-	-	-	-	-	-	5
6		(8) Ties	109,177	13,057	-	3,904	-	118,330	6
7		(9) Rail and other track material	107,874	13,776	-	680	-	120,970	7
8		(11) Ballast	58,772	5,521	-	954	-	63,339	8
9		(13) Fences, snow sheds, and signs	543	12	-	-	-	555	9
10		(16) Station and office buildings	7,288	377	-	-	-	7,665	10
11		(17) Roadway buildings	342	10	-	1	-	351	11
12		(18) Water stations	78	1	-	-	-	79	12
13		(19) Fuel stations	1,896	136	-	(2)	-	2,034	13
14		(20) Shops and enginehouses	12,671	606	-	1	-	13,276	14
15		(22) Storage warehouses	-	-	-	-	-	-	15
16		(23) Wharves and docks	-	-	-	-	-	-	16
17		(24) Coal and ore wharves	-	-	-	-	-	-	17
18		(25) TOFC/COFC terminals	5,390	705	-	-	-	6,095	18
19		(26) Communication systems	3,727	290	-	1	-	4,016	19
20		(27) Signals and interlockers	11,587	837	-	1	-	12,423	20
21		(29) Power plants	23	-	-	-	-	23	21
22		(31) Power-transmission systems	612	14	-	-	-	626	22
23		(35) Miscellaneous structures	230	10	-	-	-	240	23
24		(37) Roadway machines	20,912	919	-	-	-	21,831	24
25		(39) Public improvements--Construction	2,838	86	-	1	-	2,923	25
26		(44) Shop machinery *	6,532	172	-	5	-	6,699	26
27		(45) Power-plant machinery	165	1	-	-	-	166	27
28		All other road accounts	-	-	-	-	-	-	28
29		Amortization (Adjustments)	(211)	106	-	-	-	(105)	29
30		TOTAL ROAD	389,924	39,585	-	6,126	-	423,383	30
EQUIPMENT									
31		(52) Locomotives	33,020	2,024	-	12	-	35,032	31
32		(53) Freight-train cars	63,682	4,146	-	4,001	-	63,827	32
33		(54) Passenger-train cars	-	-	-	-	-	-	33
34		(55) Highway revenue equipment	-	-	-	-	-	-	34
35		(56) Floating equipment	-	-	-	-	-	-	35
36		(57) Work equipment	4,157	64	-	1	-	4,220	36
37		(58) Miscellaneous equipment	14,893	558	-	(115)	-	15,566	37
38		(59) Computer systems and word processing equipment	12,993	2,855	-	(2)	-	15,850	38
39		Amortization Adjustments	-	-	-	-	-	-	39
40		TOTAL EQUIPMENT	128,745	9,647	-	3,897	-	134,495	40
41		GRAND TOTAL	518,669	49,232	-	10,023	-	557,878	41

\* To be reported with equipment expenses rather than W & S expenses

\*\* Depreciation Exp is calculated using the remaining life method for KCSR.

**SCHEDULE 339 - ACCRUED LIABILITY - LEASED PROPERTY**

(Dollars in Thousands)

1. Disclose the required information relating to credits and debits of Account 772, "Accrued Liability Leased Property," during the year concerning road and equipment leased from others.
2. In column (c), enter amounts charged to operating expenses; in column (e), enter debits to account arising from retirements, in column (f), enter amounts paid to lessor.
3. Any inconsistencies between credits to account, charges to operating expenses and payments to lessors should be fully explained.
4. Required disclosure may be omitted if leased road and equipment property represents 5% or less of total property owned and used.
5. If settlement for depreciation is made currently between lessee and lessor, and no debits or credits to Account No. 772 are made by the accounting company, show in column (c) the charges to operating expenses, and in column (f) show payments made to the lessor in settlement thereof.

Line No.	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE			Line No.
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)	Balance at close of year (g)	
ROAD									
1		(3) Grading							1
2		(4) Other, right-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts							4
5		(7) Elevated structures							5
6		(8) Ties							6
7		(9) Rail and other track material							7
8		(11) Ballast							8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings							10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals							18
19		(26) Communication systems							19
20		(27) Signals and interlockers							20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines							24
25		(39) Public improvements--Construction							25
26		(44) Shop machinery*							26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		Amortization (Adjustments)							29
30		TOTAL ROAD							30
EQUIPMENT									
31		(52) Locomotives							31
32		(53) Freight-train cars							32
33		(54) Passenger-train cars							33
34		(55) Highway revenue equipment							34
35		(56) Floating equipment							35
36		(57) Work equipment							36
37		(58) Miscellaneous equipment							37
38		(59) Computer systems and word processing equipment							38
39		Amortization Adjustments							39
40		TOTAL EQUIPMENT							40
41		GRAND TOTAL	NONE					NONE	41

\* To be reported with equipment expenses rather than W &amp; S expenses.

**SCHEDULE 340 - DEPRECIATION BASE AND RATES-IMPROVEMENTS TO ROAD AND EQUIPMENT LEASED FROM OTHERS**  
 (Dollars in Thousands)

1. Show in column (b) for each primary account the depreciation base used in computing the depreciation charges for the month of January, and in column (c) show the depreciation base used in computing the depreciation charges for the month of December, in column (d) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 40 of these columns show the composite percentage of all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the for the same month. This schedule should include only improvements to leased property charged to Account 732, "Improvements on Leased Property." The composite rates used should be those prescribed or otherwise authorized by the Board except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any charges in rates were effective during the year, give full particulars in a footnote.
2. All improvements to leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.
3. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.
4. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively. However, line 41, Grand Total, should be completed.

Line No.	Account (a)	Depreciation base		Annual composite rate (percent) (d)	Line No
		At beginning of year (b)	At close of year (c)		
	ROAD				
1	(3) Grading	502	502	1.00	1
2	(4) Other, right-of-way expenditures				2
3	(5) Tunnels and subways				3
4	(6) Bridges, trestles, and culverts	776	776	1.13	4
5	(7) Elevated structures				5
6	(8) Ties	3,714	3,532	4.29	6
7	(9) Rail and other track material	1,708	1,704	2.64	7
8	(11) Ballast	710	718	6.63	8
9	(13) Fences, snow sheds, and signs				9
10	(16) Station and office buildings	231	231	2.17	10
11	(17) Roadway Buildings				11
12	(18) Water stations				12
13	(19) Fuel stations				13
14	(20) Shops and enginehouses				14
15	(22) Storage warehouses				15
16	(23) Wharves and docks				16
17	(24) Coal and ore wharves				17
18	(25) TOFC/COFC terminals				18
19	(26) Communication systems	171	171	0.00	19
20	(27) Signals and interlockers	284	284	2.45	20
21	(29) Power plants				21
22	(31) Power-transmission systems				22
23	(35) Miscellaneous structures				23
24	(37) Roadway machines	1,036	1,036	3.17	24
25	(39) Public improvements—Construction				25
26	(44) Shop machinery *				26
27	(45) Power-plant machinery				27
28	All other road accounts				28
29	Amortization (Adjustments)				29
30	TOTAL ROAD	9,132	8,954	3.38	30
	EQUIPMENT				
31	(52) Locomotives	2,827	8,826	3.69	31
32	(53) Freight-train cars	2	2	0.00	32
33	(54) Passenger-train cars				33
34	(55) Highway revenue equipment				34
35	(56) Floating equipment				35
36	(57) Work equipment	135	135	7.67	36
37	(58) Miscellaneous equipment	121	121	13.28	37
38	(59) Computer systems and word processing equipment	17	17	0.00	38
39	Amortization Adjustments				39
40	TOTAL EQUIPMENT	3,102	9,101	3.87	40
41	GRAND TOTAL	12,234	18,055	3.63	41

\* To be reported with equipment expense rather than W & S expenses.

**SCHEDULE 342 - ACCUMULATED DEPRECIATION-IMPROVEMENTS TO ROAD AND EQUIPMENT LEASED FROM OTHERS**  
 (Dollars in Thousands)

- 1 Enter the required information concerning to debits and credits to Account 733 "Accumulated depreciation-Improvements on Leased Property," during the year relating to improvements made to road and equipment property leased from others, the depreciation charges for which are included in operating expenses of the respondent.
- 2 If any entries are made for column (d) "Other credits" or column (f) "Other debits," state the facts occasioning such entries on page 39. A debit balance in column (b) or (g) for any primary account should be shown in parenthesis or designated "Dr."
- 3 Any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses should be fully explained on page 35.
- 4 Show in column (e) the debits to the reserve arising from retirements. These debits should not exceed investment, etc.
- 5 Details in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively. However, line 39, Grand Total, should be completed.

Line No.	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No.
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)		
ROAD									
1		(3) Grading	37	5				42	1
2		(4) Other, right-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts	47	9		1		55	4
5		(7) Elevated structures							5
6		(8) Ties	605	158		182		581	6
7		(9) Rail and other track material	245	45		4		286	7
8		(11) Ballast	215	48		13		250	8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings	35	5				40	10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals							18
19		(26) Communication systems	171					171	19
20		(27) Signals and interlockers	21	7				28	20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines	80	33		1		112	24
25		(39) Public improvements--Construction							25
26		(44) Shop machinery*							26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		TOTAL ROAD	1,456	310		201		1,565	29
EQUIPMENT									
30		(52) Locomotives	287	158		(1)		446	30
31		(53) Freight-train cars	2					2	31
32		(54) Passenger-train cars							32
33		(55) Highway revenue equipment							33
34		(56) Floating equipment							34
35		(57) Work equipment	69	10				79	35
36		(58) Miscellaneous equipment	58	16				74	36
37		(59) Computer systems and word processing equipment	17					17	37
38		TOTAL EQUIPMENT	433	184		(1)		618	38
39		GRAND TOTAL	1,889	494		200		2,183	39

\* To be reported with equipment expenses rather than W & S expenses

NOTES AND REMARKS FOR SCHEDULE 342



**SCHEDULE 350 - DEPRECIATION BASE AND RATES--ROAD AND EQUIPMENT LEASED TO OTHERS**

(Dollars in Thousands)

- 1 This schedule is to be used in cases where the related depreciation reserve is carried in the accounts of the respondent and the rent therefrom is included in Accounts 32-11-00, 32-12-00, 32-13-00, 32-21-00, 32-22-00 and 32-23-00.
- 2 Show in columns (b) and (c) for each primary account, the depreciation base used in computing the depreciation for the months of January and December, respectively, with respect to road and equipment owned by the respondent but leased to others, the depreciation charges for which are not includible in operating expenses of the respondent, but for which the depreciation reserve is recorded in the accounts of the respondent. If the base for road is other than the original cost or estimated original cost as found by the Board's Office of Economic and Environmental Analysis brought to a current date by the respondent from its Order No. 3 records and accounts, or is other than ledger value for equipment, a full explanation should be given.
- 3 In column (d) show the composite rates used to compute the depreciation for the month of December and on lines 29 and 38 of this column show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary accounts composite rates to the depreciation base used to compute the depreciation for December and dividing the total also computed by the depreciation base.
- 4 If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.
- 5 If total road leased to others is less than 5% of total road owned; omit. If total equipment leased to others is less than 5% of total equipment owned, omit. However, Line 39, Grand Total, should be completed.

Line No.	Account (a)	Depreciation base		Annual composite rate (percent) (d)	Line No.
		At beginning of year (b)	At close of year (c)		
	ROAD				
1	(3) Grading				1
2	(4) Other, right-of-way expenditures				2
3	(5) Tunnels and subways				3
4	(6) Bridges, trestles, and culverts				4
5	(7) Elevated structures				5
6	(8) Ties				6
7	(9) Rail and other track material				7
8	(11) Ballast				8
9	(13) Fences, snow sheds, and signs				9
10	(16) Station and office buildings				10
11	(17) Roadway Buildings				11
12	(18) Water stations				12
13	(19) Fuel stations				13
14	(20) Shops and enginehouses				14
15	(22) Storage warehouses				15
16	(23) Wharves and docks				16
17	(24) Coal and ore wharves				17
18	(25) TOFC/COFC terminals				18
19	(26) Communication systems				19
20	(27) Signals and interlockers				20
21	(29) Power plants				21
22	(31) Power-transmission systems				22
23	(35) Miscellaneous structures				23
24	(37) Roadway machines				24
25	(39) Public improvements--Construction				25
26	(44) Shop machinery				26
27	(45) Power-plant machinery				27
28	All other road accounts				28
29	TOTAL ROAD				29
	EQUIPMENT				
30	(52) Locomotives				30
31	(53) Freight-train cars				31
32	(54) Passenger-train cars				32
33	(55) Highway revenue equipment				33
34	(56) Floating equipment				34
35	(57) Work equipment				35
36	(58) Miscellaneous equipment			N/A	36
37	(59) Computer systems and word processing equipment				37
38	TOTAL EQUIPMENT				38
39	GRAND TOTAL	NONE	NONE		39

### SCHEDULE 351 - ACCUMULATED DEPRECIATION—ROAD AND EQUIPMENT LEASED TO OTHERS

(Dollars in Thousands)

1 This schedule is to be used in cases where the depreciation reserve is carried in the accounts of the respondent and the rent therefrom is included in Accounts 32-11-00, 32-12-00, 32-13-00, 32-21-00, 32-22-00, and 32-23-00

2 Disclose credits and debits to Account 735, "Accumulated Depreciation—Road and Equipment Property," during the year relating to road and equipment leased to others, the department charges for which are not includible in operating expenses of the respondent. (See Schedule 330 for the reserve relating to road and equipment owned and used by the respondent).

3. If any entries are made for "Other Credits" and "Other Debits," state the facts occasioning such entries. A debit balance in columns (b) or (g) for any primary account should be shown in parenthesis or designated "Dr "

4. Details in the respective sections of this schedule may be omitted if either total road leased to others or total equipment leased from others represents less than 5% of the total road owned or total equipment owned, respectively. However, line 39, Grand Total, should be completed.

Line No.	Cross Check	Account  (a)	Balance at beginning of year (b)	CREDITS TO RESERVE		DEBITS TO RESERVE		Balance at close of year (g)	Line No.
				During the year		During the year			
				Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)		
		ROAD							
1		(3) Grading							1
2		(4) Other, right-of-way expenditures							2
3		(5) Tunnels and subways							3
4		(6) Bridges, trestles, and culverts							4
5		(7) Elevated structures							5
6		(8) Ties							6
7		(9) Rail and other track material							7
8		(11) Ballast							8
9		(13) Fences, snow sheds, and signs							9
10		(16) Station and office buildings							10
11		(17) Roadway buildings							11
12		(18) Water stations							12
13		(19) Fuel stations							13
14		(20) Shops and enginehouses							14
15		(22) Storage warehouses							15
16		(23) Wharves and docks							16
17		(24) Coal and ore wharves							17
18		(25) TOFC/COFC terminals							18
19		(26) Communication systems							19
20		(27) Signals and interlockers							20
21		(29) Power plants							21
22		(31) Power-transmission systems							22
23		(35) Miscellaneous structures							23
24		(37) Roadway machines							24
25		(39) Public improvements—Construction							25
26		(44) Shop machinery*							26
27		(45) Power-plant machinery							27
28		All other road accounts							28
29		TOTAL ROAD							29
		EQUIPMENT							
30		(52) Locomotives							30
31		(53) Freight-train cars							31
32		(54) Passenger-train cars							32
33		(55) Highway revenue equipment							33
34		(56) Floating equipment							34
35		(57) Work equipment							35
36		(58) Miscellaneous equipment							36
37		(59) Computer systems and word processing equipment							37
38		TOTAL EQUIPMENT							38
39		GRAND TOTAL	NONE					NONE	39

\* To be reported with equipment expenses rather than W & S expenses.

**SCHEDULE 352A - INVESTMENT IN RAILROAD PROPERTY USED IN TRANSPORTATION SERVICE (By Company)**  
(Dollars in Thousands)

1. Disclose the investment in railway property used in transportation service at the close of the year. This investment represents the aggregate of property owned or leased by the respondent and used in the respondent's transportation service. Such property includes (a) the investment reported in Accounts 731, "Road and Equipment Property," and 732, "Improvements on Leased Property," of the respondent, less any 731 or 732 property leased to others for their exclusive use of road, track, or bridges (including equipment or other railway property covered by the contract). Equipment leased to others under separate distinct contracts shall not be deducted from the respondent's 731 or 732 property, and (b) the investment of other companies 731 or 732 property (including operating and lessor railroads) used by the respondent when the lease is for exclusive use or control of roads, tracks, or bridges (including equipment of other railway property covered by the contract). This excludes leased equipment from operating railroads under separate distinct contracts and the investment of other carriers in property jointly used by the respondent.

2. In column (a), classify each company in this schedule as respondent (R), lessor railroad (L), inactive or proprietary company (P), and other leased properties (O).

3. In column (a) to (e), inclusive, first show the data requested for the respondent (R), next the data for companies whose entire properties are used in transportation service of the respondent, divided between lessor (L) and proprietary (P) companies; followed by data for carriers and others (O), portions of whose property are used in transportation service of the respondent. Show a total for each class of company in column (d) and (e). Then show, as deductions, data for transportation property leased to carriers and others.

4. In column (c), line-haul carriers report the miles of road used in line-haul service. Report miles in whole numbers.

5. In column (d), show the amount applicable in Accounts 731 and 732 on the books of the companies whose names appear in column (b). Values of property of other carriers segregated by estimate or otherwise should correspond in amount to the deductions made by the owners in their reports. If separate value is not available, explanations should be given. Differences between the amounts in column (d) of this schedule and the amounts shown in column (c), line 24, on the asset side of the comparative general balance sheet of each individual railway, should be explained in a footnote. Book value included in Accounts 731 or 732 of the owner should be reported in column (d) in reference to the investment of the respondent in the securities of the owner unless a good reason can be given for the contrary. Methods of estimating (by capitalizing rentals at 6 percent or otherwise) value of property of private owners, or portions of property of other carriers should be explained.

6. In column (e), show the amount of depreciation and amortization accrued as of the close of the year in Accounts 733, 734, 735, 736, and 772, that is applicable to the property of the carriers whose names are listed in column (b), regardless of where the reserves therefor are recorded.

Line No	Class (See Ins. 2) (a)	Name of company (b)	Miles of road used (See Ins. 4) (whole number) (c)	Investments in property (See Ins. 5) (d)	Depreciation and amortization of defense projects (See Ins. 6) (e)	Line No.
1	R	Kansas City Southern Railway Company	2,486	1,715,958	557,878	1
2		732 - 733 Improvements on Leased Property		18,056	2,183	2
3		736 Amortization			268	3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14						14
15						15
16						16
17						17
18						18
19						19
20						20
21						21
22						22
23						23
24						24
25						25
26						26
27						27
28						28
29						29
30						30
31		TOTAL	2,486	1,734,014	560,329	31

# **SCHEDULE 352B - INVESTMENT IN RAILWAY PROPERTY USED IN TRANSPORTATION SERVICE** **(By Property Accounts)**

(Dollars in Thousands)

1. In columns (b) through (e) give, by primary accounts, the amount of investment at the close of the year in property of respondent and each group or class of companies and properties.
2. The amounts for respondent and for each group or class of companies and properties on line 44 herein, should correspond with the amounts for each class of company and properties shown in schedule 352A. Continuing records shall be maintained by respondent of the primary property accounts separately for each company or property included in the schedule.
3. Report on line 29 amounts representing capitalization of rentals for leased property based on 6 percent per year where property is not classified by accounts by non-carrier owners, or where cost of property leased from other carriers is not ascertainable. Identify non-carrier owners, and briefly explain on page 37 methods of estimating value of property of non-carriers or property of other carriers.
4. Report on line 30 amounts not includible in the accounts shown, or in line 29. The items reported should be briefly identified and explained. Also include here those items after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.

Line No.	Cross Check	Account (a)	Respondent (b)	Lessor railroads (c)	Inactive (proprietary companies) (d)	Other Leased properties (e)	Line No.
1		(2) Land for Transportation purposes	23,334				1
2		(3) Grading	120,587				2
3		(4) Other, right-of-way expenditures	757				3
4		(5) Tunnels and subways	36				4
5		(6) Bridges, trestles, and culverts	148,404				5
6		(7) Elevated structures					6
7		(8) Ties	295,148				7
8		(9) Rail and other track material	431,745				8
9		(11) Ballast	101,319				9
10		(13) Fences, snow sheds, and signs	767				10
11		(16) Station and office buildings	19,246				11
12		(17) Roadway buildings	447				12
13		(18) Water stations	84				13
14		(19) Fuel stations	5,003				14
15		(20) Shops and enginehouses	33,642				15
16		(22) Storage warehouses					16
17		(23) Wharves and docks					17
18		(24) Coal and ore wharves					18
19		(25) TOFC/COFC terminals	19,899				19
20		(26) Communication systems	13,113				20
21		(27) Signals and interlockers	35,877				21
22		(29) Power plants	23				22
23		(31) Power-transmission systems	791				23
24		(35) Miscellaneous structures	470				24
25		(37) Roadway machines	27,271				25
26		(39) Public improvements—Construction	5,954				26
27		(44) Shop machinery	8,806				27
28		(45) Power-plant machinery	175				28
29		Leased property capitalized rentals (explain)					29
30		Other (specify and explain)					30
31		<b>TOTAL ROAD</b>	<b>1,292,898</b>				31
32		(52) Locomotives	95,080				32
33		(53) Freight-train cars	134,651				33
34		(54) Passenger-train cars					34
35		(55) Highway revenue equipment					35
36		(56) Floating equipment					36
37		(57) Work equipment	8,427				37
38		(58) Miscellaneous equipment	22,258				38
39		(59) Computer systems and word processing equipment	18,565				39
40		<b>TOTAL EQUIPMENT</b>	<b>278,981</b>				40
41		(76) Interest during construction	2,157				41
42		(80) Other elements of investment					42
43		(90) Construction work in progress	159,978				43
44		<b>GRAND TOTAL</b>	<b>1,734,014</b>				44

**SCHEDULE 352B - INVESTMENT IN RAILWAY PROPERTY USED IN TRANSPORTATION SERVICE**  
**(By Property Accounts)**  
(Dollars in Thousands)

- 1 In columns (b) through (e) give, by primary accounts, the amount of investment at the close of the year in property of respondent and each group or class of companies and properties.
- 2 The amounts for respondent and for each group or class of companies and properties on line 44 herein, should correspond with the amounts for each class of company and properties shown in schedule 352A. Continuing records shall be maintained by respondent of the primary property accounts separately for each company or property included in the schedule.
- 3 Report on line 29 amounts representing capitalization of rentals for leased property based on 6 percent per year where property is not classified by accounts by non-carrier owners, or where cost of property leased from other carriers is not ascertainable. Identify non-carrier owners, and briefly explain on page 37 methods of estimating value of property of non-carriers or property of other carriers.
- 4 Report on line 30 amounts not includible in the accounts shown, or in line 29. The items reported should be briefly identified and explained. Also include here those items after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.

Line No.	Cross Check	Account (a)	Respondent (b)	Lessor railroads (c)	Inactive (proprietary companies) (d)	Other Leased properties (e)	Line No
1		(2) Land for Transportation purposes	23,334				1
2		(3) Grading	120,587				2
3		(4) Other, right-of-way expenditures	757				3
4		(5) Tunnels and subways	36				4
5		(6) Bndges, trestles, and culverts	148,404				5
6		(7) Elevated structures					6
7		(8) Ties	295,148				7
8		(9) Rail and other track material	431,745				8
9		(11) Ballast	101,319				9
10		(13) Fences, snow sheds, and signs	767				10
11		(16) Station and office buildings	19,246				11
12		(17) Roadway buildings	447				12
13		(18) Water stations	84				13
14		(19) Fuel stations	5,003				14
15		(20) Shops and enginehouses	33,642				15
16		(22) Storage warehouses					16
17		(23) Wharves and docks					17
18		(24) Coal and ore wharves					18
19		(25) TOFC/COFC terminals	19,899				19
20		(26) Communication systems	13,113				20
21		(27) Signals and interlockers	35,877				21
22		(29) Power plants	23				22
23		(31) Power-transmission systems	791				23
24		(35) Miscellaneous structures	470				24
25		(37) Roadway machines	27,271				25
26		(39) Public improvements--Construction	5,954				26
27		(44) Shop machinery	8,806				27
28		(45) Power-plant machinery	175				28
29		Leased property capitalized rentals (explain)					29
30		Other (specify and explain)					30
31		<b>TOTAL ROAD</b>	<b>1,292,898</b>				31
32		(52) Locomotives	95,080				32
33		(53) Freight-train cars	134,651				33
34		(54) Passenger-train cars					34
35		(55) Highway revenue equipment					35
36		(56) Floating equipment					36
37		(57) Work equipment	8,427				37
38		(58) Miscellaneous equipment	22,258				38
39		(59) Computer systems and word processing equipment	18,565				39
40		<b>TOTAL EQUIPMENT</b>	<b>278,981</b>				40
41		(76) Interest during construction	2,157				41
42		(80) Other elements of investment					42
43		(90) Construction work in progress	159,978				43
44		<b>GRAND TOTAL</b>	<b>1,734,014</b>				44

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 410

## Cross-checks

Schedule 410		Schedule 210	
Line 620, column (h)	=	Line 14, column (b)	
Line 620, column (f)	=	Line 14, column (d)	
Line 620, column (g)	=	Line 14, column (e)	
		Schedule 412	
Lines 136 thru 138 column (f)	=	Line 29, column (b)	
Lines 118 thru 123, and 130 thru 135 column (f)	=	Line 29, column (c)	
		Schedule 414	
Line 231, column (f)	=	Line 19, columns (b) thru (d)	
Line 230, column (f)	=	Line 19, columns (e) thru (g)	
		Schedule 415	
Lines 207, 208, 211, 212, column (f)	=	Lines 5, 38, column (f)	
Lines 226, 227, column (f)	=	Lines 24, 39, column (f)	
Lines 311, 312, 315, 316, column (f)	=	Lines 32, 35, 36, 37, 40, 41, column (f)	
		And	
		Schedule 414	
		Minus line 24, columns (b) thru (d) plus line 24, columns (e) thru (g)	
		Schedule 415	
Line 213, column (f)	=	Lines 5, 38, columns (c) and (d)	
Line 232, column (f)	=	Lines 24, 39, columns (c) and (d)	
Line 317, column (f)	=	Lines 32, 35, 36, 37, 40, 41, columns (c) and (d)	
Lines 202, 203, 216, column (f) (equal to or greater than, but variance cannot exceed line 216, column (f))		Lines 5, 38, column (b)	
Lines 221, 222, 235, column (f) (equal to or greater than, but variance cannot exceed line 235, column (f))		Lines 24, 39, column (b)	
Lines 302 thru 307 and 320, column (f) (equal to or greater than, but variance cannot exceed line 320, column (f))		Lines 32, 35, 36, 37, 40, 41, column (b)	
		Schedule 417	
Line 507, column (f)	=	Line 1, column (j)	
Line 508, column (f)	=	Line 2, column (j)	
Line 509, column (f)	=	Line 3, column (j)	
Line 510, column (f)	=	Line 4, column (j)	
Line 511, column (f)	=	Line 5, column (j)	
Line 512, column (f)	=	Line 6, column (j)	
Line 513, column (f)	=	Line 7, column (j)	
Line 514, column (f)	=	Line 8, column (j)	
Line 515, column (f)	=	Line 9, column (j)	
Line 516, column (f)	=	Line 10, column (j)	
Line 517, column (f)	=	Line 11, column (j)	
Schedule 450		Schedule 210	
Line 4, column b	=	Line 47, column b	

# SCHEDULE 410 - RAILWAY OPERATING EXPENSES (Dollars in Thousands)

State the railway operating expenses on respondent's road for the year, classifying them in accordance with the Uniform System of Accounts for Railroad Companies, and allocate the common operation expense in accordance with the commission's rules governing the separation of such expenses between freight and passenger services.

Line No	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
1		WAY AND STRUCTURES ADMINISTRATION								
2		Track	3,368	575	296	434	4,673		4,673	1
3		Bridge and building		1	2	2	5		5	2
4		Signal	583	(4)	34	22	635		635	3
5		Communication	212	33	7	1	253		253	4
6		Other	256	35	298	71	660		660	5
7		REPAIR AND MAINTENANCE								
8		Roadway - Running	739	81	2,625		3,445		3,445	6
9		Roadway - Switching	46		49		95		95	7
10		Tunnels and Subways - Running								8
11		Tunnels and Subways - Switching								9
12		Bridges and Culverts - Running	787	213	409	145	1,554		1,554	10
13		Bridges and Culverts - Switching	13				13		13	11
14		Ties - Running	54	554	20	(1,234)	(806)		(606)	12
15		Ties - Switching	41	50	9	(146)	(46)		(46)	13
16		Rail and other track material - Running	3,615	704	1,117	(168)	5,268		5,268	14
17		Rail and other track material - Switching	879	73	51	(44)	959		959	15
18		Ballast - Running	1,497	105	15	49	1,666		1,666	16
19		Ballast - Switching	89		6		95		95	17
20		Road Property Damaged - Running	472	392			864		864	18
21		Road Property Damaged - Switching	188	63			251		251	19
22		Road Property Damaged - Other			347		347		347	20
23		Signals and Interlockers - Running	742	887	277	337	2,243		2,243	21
24		Signals and Interlockers - Switching			12		12		12	22
25		Communications Systems		60	3,057		3,117		3,117	23
26		Power Systems								24
27		Highway Grade Crossings - Running	1,689	(19)	32		1,702		1,702	25
28		Highway Grade Crossings - Switching	11	17			28		28	26
29		Station and Office Buildings		7	479		486		486	27
30		Shop Building - Locomotives	1	4	21		26		26	28
		Shop Building - Freight Cars		2	8		10		10	29
		Shop Building - Other Equipment			6		6		6	30

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES - Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
		REPAIR AND MAINTENANCE - Continued								
101		Locomotive Servicing Facilities		2	3		5		5	101
102		Miscellaneous Buildings and Structures	47	9	(2)	2	56		56	102
103		Coal Terminals								103
104		Ore Terminals								104
105		Other Marine Terminals								105
106		TOFC/COFC - Terminals		35	46		81		81	106
107		Motor Vehicle Loading and Distribution Facilities								107
108		Facilities for Other Specialized Service Operations								108
109		Roadway Machines	1,149	1,764	771	35	3,719		3,719	109
110		Small Tools and Supplies		2,030	113		2,143		2,143	110
111		Snow Removal	4		2		6		6	111
112		Fringe Benefits - Running	N/A	N/A	N/A	4,285	4,285		4,285	112
113		Fringe Benefits - Switching	N/A	N/A	N/A	504	504		504	113
114		Fringe Benefits - Other	N/A	N/A	N/A	1,982	1,982		1,982	114
115		Casualties and Insurance - Running	N/A	N/A	N/A	777	777		777	115
116		Casualties and Insurance - Switching	N/A	N/A	N/A	29	29		29	116
117		Casualties and Insurance - Other	N/A	N/A	N/A	1,472	1,472		1,472	117
118		Lease Rentals - Debit - Running	N/A	N/A	307	N/A	307		307	118
119		Lease Rentals - Debit - Switching	N/A	N/A	772	N/A	772		772	119
120		Lease Rentals - Debit - Other	N/A	N/A	3,063	N/A	3,063		3,063	120
121		Lease Rentals - [Credit] - Running	N/A	N/A		N/A				121
122		Lease Rentals - [Credit] - Switching	N/A	N/A		N/A				122
123		Lease Rentals - [Credit] - Other	N/A	N/A		N/A				123
124		Joint Facility Rent - Debit - Running	N/A	N/A	174	N/A	174		174	124
125		Joint Facility Rent - Debit - Switching	N/A	N/A	269	N/A	269		269	125
126		Joint Facility Rent - Debit - Other	N/A	N/A	4	N/A	4		4	126
127		Joint Facility Rent - [Credit] - Running	N/A	N/A	(350)	N/A	(350)		(350)	127
128		Joint Facility Rent - [Credit] - Switching	N/A	N/A	(9)	N/A	(9)		(9)	128
129		Joint Facility Rent - [Credit] - Other	N/A	N/A	9	N/A	9		9	129
130		Other Rents - Debit - Running	N/A	N/A		N/A				130
131		Other Rents - Debit - Switching	N/A	N/A		N/A				131
132		Other Rents - Debit - Other	N/A	N/A	303	N/A	303		303	132
133		Other Rents - [Credit] - Running	N/A	N/A		N/A				133



**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight				Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)		
		REPAIR AND MAINTENANCE - Continued							
134		Other Rents - [Credit] - Switching	N/A	N/A		N/A			134
135		Other Rents - [Credit] - Other	N/A	N/A	(6)	N/A	(6)		135
136		Depreciation - Running	N/A	N/A	N/A	32,172	32,172	32,172	136
137		Depreciation - Switching	N/A	N/A	N/A	4,275	4,275	4,275	137
138		Depreciation - Other	N/A	N/A	N/A	3,252	3,252	3,252	138
139		Joint Facility - Debit - Running	N/A	N/A	514	N/A	514	514	139
140		Joint Facility - Debit - Switching	N/A	N/A	566	N/A	566	566	140
141		Joint Facility - Debit - Other	N/A	N/A	1	N/A	1	1	141
142		Joint Facility - [Credit] - Running	N/A	N/A	(2,241)	N/A	(2,241)	(2,241)	142
143		Joint Facility - [Credit] - Switching	N/A	N/A	(31)	N/A	(31)	(31)	143
144		Joint Facility - [Credit] - Other	N/A	N/A		N/A			144
145		Dismantling Retired Road Property - Running	7				7	7	145
146		Dismantling Retired Road Property - Switching	4				4	4	146
147		Dismantling Retired Road Property - Other							147
148		Other - Running	(884)	31		356	(497)	(497)	148
149		Other - Switching	(24)		1		(23)	(23)	149
150		Other - Other	(716)	42	2,022	54	1,402	1,402	150
151		TOTAL WAY AND STRUCTURES	14,869	7,746	15,478	48,664	86,757	86,757	151
		EQUIPMENT							
		LOCOMOTIVES							
201		Administration	738	30	35	47	850	850	201
202		Repair and Maintenance	4,082	9,110	6,110	187	19,489	19,489	202
203		Machinery Repair		3	40		43	43	203
204		Equipment Damaged			502		502	502	204
205		Fringe Benefits	N/A	N/A	N/A	2,244	2,244	2,244	205
206		Other Casualties and Insurance	N/A	N/A	N/A	352	352	352	206
207		Lease Rentals - Debit	N/A	N/A	17,833	N/A	17,833	17,833	207
208		Lease Rentals - [Credit]	N/A	N/A		N/A			208
209		Joint Facility Rent - Debit	N/A	N/A		N/A			209
210		Joint Facility Rent - [Credit]	N/A	N/A		N/A			210
211		Other Rents - Debit	N/A	N/A	45	N/A	45	45	211
212		Other Rents - [Credit]	N/A	N/A	(938)	N/A	(938)	(938)	212
213		Depreciation	N/A	N/A	N/A	2,253	2,253	2,253	213
214		Joint Facility - Debit	N/A	N/A	98	N/A	98	98	214
215		Joint Facility - [Credit]	N/A	N/A	(1,145)	N/A	(1,145)	(1,145)	215
216		Repairs Billed to Others - [Credit]	N/A	N/A		N/A			216

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No.
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
217		LOCOMOTIVES - Continued								217
218		Dismantling Retired Property								218
219		Other		40	40		80		80	219
		TOTAL LOCOMOTIVES	4,820	9,183	22,620	5,083	41,706		41,706	219
		FREIGHT CARS								
220		Administration	963	32	50	66	1,111		1,111	220
221		Repair and Maintenance	3,811	7,626	8,134	136	19,707		19,707	221
222		Machinery Repair		1	9		10		10	222
223		Equipment Damaged	160	316	480	6	962		962	223
224		Fringe Benefits	N/A	N/A	N/A	2,016	2,016		2,016	224
225		Other Casualties and Insurance	N/A	N/A	N/A	3,797	3,797		3,797	225
226		Lease Rentals - Debit	N/A	N/A	25,602	N/A	25,602		25,602	226
227		Lease Rentals - [Credit]	N/A	N/A	116	N/A	116		116	227
228		Joint Facility Rent - Debit	N/A	N/A		N/A				228
229		Joint Facility Rent - [Credit]	N/A	N/A		N/A				229
230		Other Rents - Debit	N/A	N/A	35,678	N/A	35,678		35,678	230
231		Other Rents - [Credit]	N/A	N/A	(27,207)	N/A	(27,207)		(27,207)	231
232		Depreciation	N/A	N/A	N/A	4,268	4,268		4,268	232
233		Joint Facility - Debit	N/A	N/A		N/A				233
234		Joint Facility - [Credit]	N/A	N/A		N/A				234
235		Repairs Billed to Others - [Credit]	N/A	N/A	(10,652)	N/A	(10,652)		(10,652)	235
236		Dismantling Retired Property								236
237		Other		2	37	(1,300)	(1,261)		(1,261)	237
238		TOTAL FREIGHT CARS	4,934	7,977	32,247	8,989	54,147		54,147	238
		OTHER EQUIPMENT								
301		Administration	168		229	3	400		400	301
		Repair and Maintenance								
302		Trucks, Trailers, and Containers - Revenue Service		7	2,956		2,963		2,963	302
303		Floating Equipment - Revenue Service								303
304		Passenger and Other Revenue Equipment								304
305		Computer systems and word processing equipment		24	335		359		359	305
306		Machinery								306
307		Work and Other Non-Revenue Equipment		26	1,451		1,477		1,477	307
308		Equipment Damaged			(42)		(42)		(42)	308
309		Fringe Benefits	N/A	N/A	N/A	33	33		33	309
310		Other Casualties and Insurance	N/A	N/A	N/A	91	91		91	310
311		Lease Rentals - Debit	N/A	N/A	6,791	N/A	6,791		6,791	311
312		Lease Rentals - [Credit]	N/A	N/A		N/A				312

## SCHEDULE 410 - RAILWAY OPERATING EXPENSES - Continued

(Dollars in Thousands)

Line No	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
313		OTHER EQUIPMENT - Continued								313
314		Joint Facility Rent - Debit	N/A	N/A		N/A				314
315		Joint Facility Rent - [Credit]	N/A	N/A		N/A				315
316		Other Rents - Debit	N/A	N/A	8,437	N/A	8,437		8,437	316
317		Other Rents - [Credit]	N/A	N/A	(2,170)	N/A	(2,170)		(2,170)	317
318		Depreciation	N/A	N/A	N/A	3,505	3,505		3,505	318
319		Joint Facility - Debit	N/A	N/A		N/A				319
320		Joint Facility - [Credit]	N/A	N/A		N/A				320
321		Repairs Billed to Others - [Credit]	N/A	N/A	(1,261)	N/A	(1,261)		(1,261)	321
322		Dismantling Retired Property								322
323		Other	7				7		7	323
324		TOTAL OTHER EQUIPMENT	175	57	16,726	3,632	20,590		20,590	324
401		TOTAL EQUIPMENT	9,929	17,217	71,593	17,704	116,443		116,443	401
402		TRANSPORTATION								402
403		TRAIN OPERATIONS								403
404		Administration	4,241	573	798	746	6,358		6,358	404
405		Engine Crews	23,347	385		3,017	26,749		26,749	405
406		Train Crews	26,138	76	2	2,894	29,110		29,110	406
407		Dispatching Trains	3,949		118	76	4,143		4,143	407
408		Operating Signals and Interlockers	320		193		513		513	408
409		Operating Drawbridges	50				50		50	409
410		Highway Crossing Protection		3	5		8		8	410
411		Train Inspection and Lubrication	1,773		2		1,775		1,775	411
412		Locomotive Fuel	224	41,940	23		42,187		42,187	412
413		Electric Power Purchased or Produced for Motive Power								413
414		Servicing Locomotives	647	1,536	15		2,198		2,198	414
415		Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	169	169		169	415
416		Clearing Wrecks			N/A					416
417		Fringe Benefits	N/A	N/A	1,529	23,335	24,864		24,864	417
418		Other Casualties and Insurance	N/A	N/A	N/A	20,481	20,481		20,481	418
419		Joint Facility - Debit	N/A	N/A	N/A	N/A				419
420		Joint Facility - [Credit]	N/A	N/A	2,263	N/A	2,263		2,263	420
421		Other	(14)	324	(187)	11	(187)		(187)	421
422		TOTAL TRAIN OPERATIONS	60,675	44,837	5,192	50,729	161,433		161,433	422
423		YARD OPERATIONS								423
424		Administration	679	214	94	36	1,023		1,023	424
425		Switch Crews	17,070	50	13	4	17,137		17,137	425

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No	Cross Check	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)	Line No
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
422		YARD OPERATIONS - Continued								
423		Controlling Operations	1,336		155		1,491		1,491	422
424		Yard and Terminal Clerical	1,984	55	168		2,207		2,207	423
425		Operating Switches, Signals, Retarders and Humps			4		4		4	424
426		Locomotive Fuel	122	2,990			3,112		3,112	425
427		Electric Power Purchased or Produced for Motive Power								426
428		Servicing Locomotives	316	2	7		325		325	427
429		Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	67	67		67	428
430		Clearing Wrecks	(1)		41		40		40	429
431		Fringe Benefits	N/A	N/A	N/A	8,538	8,538		8,538	430
432		Other Casualties and Insurance	N/A	N/A	N/A	256	256		256	431
433		Joint Facility - Debit	N/A	N/A	501	N/A	501		501	432
434		Joint Facility - [Credit]	N/A	N/A	(3,493)	N/A	(3,493)		(3,493)	433
435		Other		3	180	299	482		482	434
		TOTAL YARD OPERATIONS	21,506	3,314	(2,330)	9,200	31,690		31,690	435
501		TRAIN AND YARD OPERATIONS COMMON								
502		Cleaning Car Interiors	17		630	N/A	647		647	501
503		Adjusting and Transferring Loads		5	305	N/A	310		310	502
504		Car Loading Devices and Grain Doors				N/A				503
505		Freight Lost or Damaged - all other	N/A	N/A	N/A	516	516		516	504
506		Fringe Benefits	N/A	N/A	N/A	(19)	(19)		(19)	505
		TOTAL TRAIN AND YARD OPERATIONS COMMON	17	5	935	497	1,454		1,454	506
507		SPECIALIZED SERVICE OPERATIONS								
508		Administration								507
509		Pickup and Delivery and Marine Line Haul								508
510		Loading and Unloading and Local Marine		80	4,299		4,379		4,379	509
511		Protective Services		63			63		63	510
512		Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	19	19		19	511
513		Fringe Benefits	N/A	N/A	N/A					512
514		Casualties and Insurance	N/A	N/A	N/A					513
515		Joint Facility - Debit	N/A	N/A		N/A				514
516		Joint Facility - [Credit]	N/A	N/A		N/A				515
517		Other			4		4		4	516
		TOTAL SPECIALIZED SERVICES OPERATIONS		143	4,303	19	4,465		4,465	517

**SCHEDULE 410 - RAILWAY OPERATING EXPENSES -- Continued**  
(Dollars in Thousands)

Line No	Cross Check	Name of railway operating expense account (e)	Freight					Passenger (g)	Total (h)	Line No
			Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased Services (d)	General (e)	Total freight expense (f)			
518		ADMINISTRATIVE SUPPORT OPERATIONS								
519		Administration	1,392	66	423	489	2,370		2,370	518
520		Employees Performing Clerical and Accounting Functions	4,226	162	945	20	5,353		5,353	519
521		Communications Systems Operation			12		12		12	520
522		Loss and Damage Claims Processing	248	3	12	11	274		274	521
523		Fringe Benefits	N/A	N/A	N/A	2,612	2,612		2,612	522
524		Casualties and Insurance	N/A	N/A	N/A					523
525		Joint Facility - Debit	N/A	N/A		N/A				524
526		Joint Facility - [Credit]	N/A	N/A		N/A				525
527		Other								526
528		TOTAL ADMINISTRATIVE SUPPORT OPERATIONS	5,866	231	1,392	3,132	10,621		10,621	527
601		TOTAL TRANSPORTATION	88,064	48,530	9,492	63,577	209,663		209,663	528
602		GENERAL AND ADMINISTRATIVE								
603		Officers - General Administration	840	181	2,076	251	3,348		3,348	601
604		Accounting, Auditing, and Finance	2,283	103	726	113	3,225		3,225	602
605		Management Services and Data Processing	2,133	158	5,624	373	8,288		8,288	603
606		Marketing	2,046	87	370	557	3,060		3,060	604
607		Sales	1,095	20	94	321	1,530		1,530	605
608		Industrial Development	338	3	21	21	383		383	606
609		Personnel and Labor Relations	1,292	149	503	500	2,444		2,444	607
610		Legal and Secretarial	595	26	7,445	70	8,136		8,136	608
611		Public Relations and Advertising			34	1	35		35	609
612		Research and Development								610
613		Fringe Benefits	N/A	N/A	N/A	4,376	4,376		4,376	611
614		Casualties and Insurance	N/A	N/A	N/A	1,668	1,668		1,668	612
615		Writedown of Uncollectible Accounts	N/A	N/A	N/A					613
616		Property Taxes	N/A	N/A	N/A	7,256	7,256		7,256	614
617		Other Taxes Except on Corporate Income or Payrolls	N/A	N/A	N/A	5,445	5,445		5,445	615
618		Joint Facility - Debit	N/A	N/A	57	N/A	57		57	616
619		Joint Facility - [Credit]	N/A	N/A	(10)	N/A	(10)		(10)	617
620		Other	745	265	400	131	1,541		1,541	618
		TOTAL GENERAL AND ADMINISTRATIVE	11,367	992	17,340	21,083	50,782		50,782	619
		TOTAL CARRIER OPERATING EXPENSES	124,229	74,485	113,903	151,028	463,645		463,645	620

See footnote on page 10.

**SCHEDULE 412 - WAY AND STRUCTURES**  
(Dollars in Thousands)

1 Report freight expenses only.

2 The total depreciation expense reported in column (b), line 29, should balance to the sum of the depreciation expense reported in schedule 410, column (f) lines 136, 137, and 138.

3 Report in column (c) the lease/rentals for the various property categories of Way and Structures. The total net lease/rental reported in column (c), line 29 should balance the net amount reported in schedule 410, column (f), lines 118 through 123, plus lines 130 through 135

If an entire road or segment of track is leased and if the actual breakdown of lease/rentals by property categories is not known, apportion the lease/rentals based on the percentage of the categories depreciation bases to the depreciation bases for all categories of depreciable leased property. Use schedule 352B of this report for obtaining the depreciation bases of the categories of leased property.

4. Amortization adjustment of each road property type which is included in column (b) shall be repeated in column (d) as a debit or credit to the appropriate line item; the net adjustment on line 29 shall equal the adjustment reported on line 29 of schedule 335.

5. Report on line 28 all other lease rentals not apportioned to any category listed on lines 1 - 27.

6. Line 11, Account 16, should not include computer and data processing equipment reported on line 37 of schedule 415

Line No.	Cross Check	Property account	Category (a)	Depreciation (b)	Lease/Rentals (net) (c)	Amortization adjustment during year (d)	Line No.
1		2	Land for transportation purposes	N/A	29		1
2		3	Grading	1,008	149		2
3		4	Other right-of-way expenditures	12			3
4		5	Tunnels and subways	1			4
5		6	Bridges, trestles and culverts	1,929		(13)	5
6		7	Elevated structures				6
7		8	Ties	13,215	365		7
8		9	Rail and other track material	13,821	535		8
9		11	Ballast	5,569	125		9
10		13	Fences, snowsheds and signs	4		(8)	10
11		16	Station and office buildings	439	1,038	57	11
12		17	Roadway buildings	14		4	12
13		18	Water stations	5		4	13
14		19	Fuel stations	139		3	14
15		20	Shops and enginehouses	653		47	15
16		22	Storage warehouses				16
17		23	Wharves and docks				17
18		24	Coal and ore wharves				18
19		25	TOFC/COFC terminals	705	5		19
20		26	Communications systems	324	1,589	34	20
21		27	Signals and interlockers	803	307	(41)	21
22		29	Power plants	1		1	22
23		31	Power transmission systems	9		(5)	23
24		35	Miscellaneous structures	7		(3)	24
25		37	Roadway machines	952	297		25
26		39	Public improvements; construction	86			26
27		45	Power plant machines	3		2	27
28		—	Other lease/rentals	N/A		N/A	28
29		—	TOTAL	39,699	4,439	82	29

# **SCHEDULE 414 - RENTS FOR INTERCHANGED FREIGHT TRAIN CARS AND OTHER FREIGHT-CARRYING EQUIPMENT**

(Dollars in Thousands)

- 1 Report freight expenses only
- 2 Report in this supporting schedule rental information by car type and other freight-carrying equipment relating to the interchange of railroad, owned or leased equipment and privately owned equipment (reporting for leased equipment covers equipment that carrier on railroad markings).
- 3 The gross amounts receivable and payable for freight-train cars (line 19, columns (b) through (d), and line 19, columns (e) through (g), respectively) should balance with Schedule 410, column (f), lines 231 (credits) and 230 (debits). Trailer and container rentals in this schedule are included in Schedule 410, column (f), lines 315 and 316. However, the trailer and container rentals in this schedule will not balance to lines 315 and 316 of Schedule 410 because those lines include rents for "Other Equipment" which is reported in Schedule 415, column (e). The balancing of Schedule 410, 414, and 415 "Other Equipment" is outlined in note 6 to Schedule 415.
- 4 Report in columns (b) and (e) rentals for private-line cars (whether under railroad control or not) and shipper-owned cars
- 5 Report in columns (c), (d), (f), and (g) rentals for railroad owned cars prescribed by the Commission in Ex Parte No. 334, for which rentals are settled on a combination mileage and time basis (basis per diem). Include railroad owned per diem tank cars on line 17

NOTES Mechanical designations for each car type are shown in Schedule 710

Line No.	Cross Check	Type of equipment (a)	GROSS AMOUNTS RECEIVABLE Per diem basis			GROSS AMOUNTS PAYABLE Per diem basis			Line No
			Private line cars (b)	Mileage (c)	Time (d)	Private line cars (e)	Mileage (f)	Time (g)	
CAR TYPES									
1		Box-Plain 40 Foot							1
2		Box-Plain 50 Foot and Longer		7	19	1,054	214	1,144	2
3		Box-Equipped		4,641	13,093		1,586	6,612	3
4		Gondola-Plain		1	6	31	33	155	4
5		Gondola-Equipped		125	357		236	1,002	5
6		Hopper-Covered		389	1,638	1,778	800	3,643	6
7		Hopper-Open Top-General Service		2	8		197	712	7
8		Hopper-Open Top-Special Service		66	344		27	213	8
9		Refrigerator-Mechanical					55	304	9
10		Refrigerator-Non-Mechanical		7	10		52	356	10
11		Fiat TOFC/COFC		862	2,424	4,210	311	1,282	11
12		Fiat Multi-Level		238	967	1,230	9	79	12
13		Fiat-General Service				1	7	19	13
14		Fiat-Other		197	901	1,740	318	1,225	14
15		Tank-Under 22,000 Gallons				2,912			15
16		Tank-22,000 Gallons and Over			4	-	1	3	16
17		All Other Freight Cars		46	855		16	118	17
18		Auto Racks							18
19		TOTAL FREIGHT TRAIN CARS		6,581	20,626	14,949	3,862	16,867	19
OTHER FREIGHT-CARRYING EQUIPMENT									
20		Refrigerated Trailers							20
21		Other Trailers			1,827	3,163		924	21
22		Refrigerated Containers							22
23		Other Containers							23
24	*	TOTAL TRAILERS AND CONTAINERS			1,827	3,163		924	24
25		GRAND TOTAL (LINES 19 AND 24)		6,581	22,453	18,112	3,862	17,791	25

**NOTES AND REMARKS**



**GENERAL INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 415****1. Report Freight expenses only**

2. Report by type of equipment all natural expenses relating to the equipment functions (salaries and wages, materials, tools, supplies, fuels and lubricants, purchased services and general).

**3. Report in column (b) net repair expense excluding the cost to repair damaged equipment**

Schedule 415, column (b), will balance to Schedule 410, column (f), as follows

(a) Locomotives, line 5 plus line 38 compared to the sum of Schedule 410, lines 202, 203 plus 216 (excluding wreck repairs) Do not report in Schedule 415, Equipment Damaged from Schedule 410, line 204

(b) Freight Cars, line 24 plus line 39 compared to the sum of Schedule 410, lines 221, 222 plus 235 (excluding wreck repairs) Do not report in Schedule 415, Equipment Damaged from Schedule 410, line 223.

(c) Sum of Highway Equipment (line 32), Floating Equipment (line 35), Passenger and Other Revenue Equipment (line 36), Computer and Data Processing Equipment (line 37), Machinery-Other Equipment (line 40), plus Work and Other Non-Revenue Equipment (line 41 compared to Schedule 410, the sum of lines 302 through 307, inclusive, plus line 320 (excluding wreck repairs). Do not report in Schedule 415.

Equipment Damaged from Schedule 410, line 308

Note: Lines 216, 235 and 320 of Schedule 410 are credit amounts

The allocation of freight car repair expense reportable on Schedule 415 by car types shall be in accordance with Instruction 2-21, Freight train repair costing 49 CFR 1201.

**4. Depreciation expense for each class of equipment by car type shall be reported in columns (c) and (d) For improvements on leased property, Accounts 732 and 733, use a supplementary Schedule 415, which will relate to Schedules 340 and 342.**

Depreciation charges reported on columns (c) and (d) will balance to Schedule 410, column (f), as follows

a. Locomotives, line 5 plus 38 compared to Schedule 410, line 213

b. Freight Cars, line 24 plus line 39 compared to Schedule 410, line 232

c. Sum of Highway Equipment (line 32), Floating Equipment (line 35), Passenger and Other Revenue Equipment (line 36), Computer and Data Processing Equipment (line 37); Machinery-Other Equipment (line 40); and Work and Other Non-Revenue Equipment (line 41) compared to Schedule 410, line 317.

**5. Amortization adjustment of each equipment type which is included in column (c) shall be reported in column (e) as a debit or credit to the appropriate line item; the net adjustment on line 43 shall equal the equipment amortization adjustment applicable to equipment used in freight service included in line 38, column (c), schedule 335.****6. Lease/Rentals reported in column (f) should balance to column (f) of Schedule 410 as follows:**

a. Locomotives, line 5 plus 38 compared with Schedule 410, lines 207, 208, 211 and 212.

b. Freight Cars, line 24 plus line 39 compared with Schedule 410, lines 226 plus 227 (note that Schedule 410, lines 230 and 231, are reported in Schedule 414 and are not to be included in Schedule 415).

(c) Sum of Lease/Rentals for All Other Equipment, lines 32, 35, 36, 37, 40 and 41, will balance to Schedule 410, lines 311, 312, 315 and 316, except for the interchange rental on trailers and containers which is reported in Schedule 414. Therefore, both Schedules 414 and 415 should be used when balancing Lease/Rentals Other Equipment to Schedule 410. Do not report in Schedule 415 the Trailer and Container rentals reported in Schedule 414.

**7. Investment base by types of equipment shall be reported in columns (g) and (h) and should not include the cost of Equipment Used But Not Owned when the rents therefore are included in the rent for equipment and Account Nos 31-21-00, 31-22-00, 31-23-00, 35-21-00, 35-22-00 and 35-23-00. It should include the Cost of Equipment Owned and Leased to Others when the rents are included in the rent for Equipment Account Nos 32-21-00, 32-22-00, 32-23-00, 36-21-00, 36-22-00 and 36-23-00**

Property Used But Not Owned should also be included when the rent is included in Account Nos 31-12-00, 31-13-00, 31-22-00 and 31-23-00, inclusive.

The grand total of each equipment account in column (h) of Schedule 330 should equal the totals of line items constituting the equipment account totals of columns (g) and (h), of schedule 415.

**8. Accumulated depreciation for each class of equipment shall be reported in columns (i) and (j) The grand total of each equipment reserve account in column (g), Schedule 335, shall equal the combined aggregate total accumulated depreciation for line items constituting the corresponding equipment accounts reported in columns (i) and (j), on Schedule 415**

## SCHEDULE 415 - SUPPORTING SCHEDULE-EQUIPMENT

(Dollars in Thousands)

Line No.	Cross Check	Types of equipment (a)	Repairs (net expense) (b)	Depreciation		Amortization	Line No.
				Owned (c)	Capitalized lease (d)	Adjustment net during year (e)	
1		LOCOMOTIVES					1
2		Diesel Locomotive-Yard	1,468				2
3		Diesel Locomotive-Road	18,021	2,024			3
4		Other Locomotive-Yard					4
5	*	Other Locomotive-Road					5
6		TOTAL	19,489	2,024			6
7		FREIGHT TRAIN CARS					7
8		Box-Plain 40 Foot		14			8
9		Box-Plain 50 Foot and Longer	25	727			9
10		Box-Equipped	5,709	1,870			10
11		Gondola-Plain	27	1	143		11
12		Gondola-Equipped	474	1	198		12
13		Hopper-Covered	892	527	77		13
14		Hopper-Open Top-General Service	100	291			14
15		Hopper-Open Top-Special Service	354	94			15
16		Refrigerator-Mechanical	16				16
17		Refrigerator-Nonmechanical					17
18		Flat TOFC/COFC	618				18
19		Flat Multi-level	14				19
20		Flat-General Service	3	(6)			20
21		Flat-Other	149	168			21
22		All Other Freight Cars	672	41			22
23		Cabooses	2				23
24		Auto Racks					24
25		Miscellaneous Accessories					25
26	*	TOTAL FREIGHT TRAIN CARS	9,055	3,728	418		26
27		OTHER EQUIPMENT-REVENUE FREIGHT HIGHWAY EQUIPMENT					27
28		Refrigerated Trailers					28
29		Other Trailers	1,702				29
30		Refrigerated Containers					30
31		Other Containers					31
32		Bogies					32
33		Chassis					33
34		Other Highway Equipment (Freight)					34
35	*	TOTAL HIGHWAY EQUIPMENT	1,702				35
36		FLOATING EQUIPMENT-REVENUE SERVICE					36
37		Marine Line-Haul					37
38		Local Marine					38
39	*	TOTAL FLOATING EQUIPMENT					39
40		OTHER EQUIPMENT Passenger and Other Revenue Equipment (Freight Portion)					40
41	*	Computer systems and word processing equip	359	2,855			41
42	*	Machinery-Locomotives 1	43	71		8	42
43	*	Machinery-Freight Cars 2	10	122		15	43
44	*	Machinery-Other Equipment 3		2			44
45	*	Work and Other Non-revenue Equipment	1,477	622			45
46		TOTAL OTHER EQUIPMENT	1,889	3,672		23	46
47		TOTAL ALL EQUIPMENT (FREIGHT PORTION)	32,135	9,424	418	23	47

1 The data to be reported on line 38 in column (b) is the amount reported in Schedule 410, column (f), line 203, reduced by the allocable portion of line 216

2 The data to be reported on line 39 in column (b) is the amount reported in Schedule 410, column (f), line 222, reduced by the allocable portion of line 235

3 The data to be reported on line 40 in column (b) is the amount reported in Schedule 410, column (f), lines 302 through 306, reduced by the allocable portion of line 320

**SCHEDULE 415 - SUPPORTING SCHEDULE-EQUIPMENT-Continued**  
(Dollars in Thousands)

Line No.	Cross Check	Lease and rentals (net) (f)	Investment base as of 12/31		Accumulated depreciation as of 12/31		Line No.
			Owned (g)	Capitalized lease (h)	Owned (i)	Capitalized lease (j)	
1		1,276	781		781		1
2		15,664	85,473		34,251		2
3							3
4							4
5		16,940	86,254		35,032		5
6			60		30		6
7		2,340	26,816		15,533		7
8		7,742	52,877		18,595		8
9		1,567	1,123	2,582	951	2,080	9
10		663	180	2,970	149	1,237	10
11		5,207	23,867	1,157	10,442	761	11
12		178	2,035		1,296		12
13		2,575	8,410		5,747		13
14							14
15			36		36		15
16		1,558	29		19		16
17							17
18			268		268		18
19		1,522	9,722		4,859		19
20		1,143	2,454		1,767		20
21			63		57		21
22		1,223					22
23							23
24		25,718	127,940	6,709	59,749	4,078	24
25							25
26		2,659					26
27							27
28							28
29							29
30							30
31							31
32		2,659					32
33							33
34							34
35							35
36							36
37		5,901	18,549		15,850		37
38			3,205		2,439		38
39			5,507		4,189		39
40			94		71		40
41		2,238	30,429		19,786		41
42		8,139	57,784		42,335		42
43		53,456	271,978	6,709	137,116	4,078	43

1 The data to be reported on lines 38, 39 and 40 in columns (g) and (h) is the investment recorded in Property Account 44, allocated to Locomotives, Freight Cars, and Other Equipment

2 The depreciation to be reported on lines 38, 39, and 40 in column (i) is calculated by multiplying the investment in each element by the effective composite rate for Property Account 44, and then adding or subtracting the adjustment reported in column (j). This calculation should equal the amount shown in column (i), Schedule 335

**SCHEDULE 415 - SUPPORTING SCHEDULE-EQUIPMENT (Improvements on Leased Property)**

(Dollars in Thousands)

Line No.	Cross Check	Types of equipment (a)	Repairs (net expense) (b)	Depreciation		Amortization Adjustment net during year (e)	Line No.
				Owned (c)	Capitalized lease (d)		
1		LOCOMOTIVES					1
		Diesel Locomotive-Yard			6		
2		Diesel Locomotive-Road			152		2
3		Other Locomotive-Yard					3
4		Other Locomotive-Road					4
5	*	TOTAL			158		5
6		FREIGHT TRAIN CARS					6
		Box-Plain 40 Foot					
7		Box-Plain 50 Foot and Longer					7
8		Box-Equipped					8
9		Gondola-Plain					9
10		Gondola-Equipped					10
11		Hopper-Covered					11
12		Hopper-Open Top-General Service					12
13		Hopper-Open Top-Special Service					13
14		Refrigerator-Mechanical					14
15		Refrigerator-Nonmechanical					15
16		Flat TOFC/COFC					16
17		Flat Multi-level					17
18		Flat-General Service					18
19		Flat-Other					19
20		All Other Freight Cars					20
21		Cabooses					21
22		Auto Racks					22
23		Miscellaneous Accessones					23
24	*	TOTAL FREIGHT TRAIN CARS					24
		OTHER EQUIPMENT-REVENUE FREIGHT HIGHWAY EQUIPMENT					
25		Refrigerated Trailers					25
26		Other Trailers					26
27		Refrigerated Containers					27
28		Other Containers					28
29		Bogies					29
30		Chassis					30
31		Other Highway Equipment (Freight)					31
32	*	TOTAL HIGHWAY EQUIPMENT					32
		FLOATING EQUIPMENT-REVENUE SERVICE					
33		Manne Line-Haul					33
34		Local Manne					34
35	*	TOTAL FLOATING EQUIPMENT					35
		OTHER EQUIPMENT					
36	*	Passenger and Other Revenue Equipment (Freight Portion)					36
37	*	Computer systems and word processing equip					37
38	*	Machinery-Locomotives 1					38
39	*	Machinery-Freight Cars 2					39
40	*	Machinery-Other Equipment 3					40
41	*	Work and Other Non-revenue Equipment			26		41
42		TOTAL OTHER EQUIPMENT			26		42
43		TOTAL ALL EQUIPMENT (FREIGHT PORTION)			184		43

- 1 The data to be reported on line 38 in column (b) is the amount reported in Schedule 410, column (f), line 203, reduced by the allocable portion of line 216  
2 The data to be reported on line 39 in column (b) is the amount reported in Schedule 410, column (f), line 222, reduced by the allocable portion of line 235  
3 The data to be reported on line 40 in column (b) is the amount reported in Schedule 410, column (f), lines 302 through 306, reduced by the allocable portion of line 320

**415 - SUPPORTING SCHEDULE-EQUIPMENT--Continued (Improvements on Leased Property)**  
 (Dollars in Thousands)

Line No	Cross Check	Lease and rentals (net) (f)	Investment base as of 12/31		Accumulated depreciation as of 12/31		Line No.
			Owed (g)	Capitalized lease (h)	Owed (i)	Capitalized lease (j)	
1				204		22	1
2				8,622		424	2
3							3
4							4
5				8,826		446	5
6							6
7							7
8							8
9							9
10							10
11							11
12							12
13							13
14							14
15							15
16							16
17							17
18							18
19				2		2	19
20							20
21							21
22							22
23							23
24				2		2	24
25							25
26							26
27							27
28							28
29							29
30							30
31							31
32							32
33							33
34							34
35							35
36							36
37				16		17	37
38							38
39							39
40							40
41				256		153	41
42				272		170	42
43				9,100		618	43

1 The data to be reported on lines 38, 39 and 40 in columns (g) and (h) is the investment recorded in Property Account 44, allocated to Locomotives, Freight Cars, and Other Equipment

2 The depreciation to be reported on lines 38, 39, and 40 in column (i) is calculated by multiplying the investment in each element by the effective composite rate for Property Account 44, and then adding or subtracting the adjustment reported in column (e). This calculation should equal the amount shown in column (j), Schedule 335

## SCHEDULE 416 - SUPPORTING SCHEDULE - ROAD

(Dollars in Thousands)

Line No	Density category (Class) (a)	Account No (b)	Owned and used			Improvements to leased property			Capitalized leases			TOTAL		Line No
			Inv Base (c)	Accum depr. (d)	Depr rate % (e)	Inv. Base (f)	Accum. depr (g)	Depr. rate % (h)	Inv. Base (i)	Current year amort. (j)	Accum Amort (k)	Inv. Base (l)	Accum depr & Amort (m)	
1	I	3	23,687	11,996	0.84							23,687	11,996	1
2		8	68,590	36,276	5.71							68,590	36,276	2
3		9	116,073	43,702	4.28							116,073	43,702	3
4		11	25,653	23,914	5.81							25,653	23,914	4
5	SUB-TOTAL		234,003	115,888		0	0					234,003	115,888	5
6	II	3	73,356	6,118	0.84	502	42	1.00				73,858	6,160	6
7		8	179,253	61,408	4.54	3,486	570	4.30				182,739	61,978	7
8		9	261,378	63,740	2.85	671	135	3.16				262,049	63,875	8
9		11	58,882	30,520	5.55	700	243	6.67				59,582	30,763	9
10	SUB-TOTAL		572,869	161,786		5,359	990					578,228	162,776	10
11	III	3		N/A	N/A		N/A	N/A		N/A	N/A	0		11
12		8		N/A	N/A		N/A	N/A		N/A	N/A	0		12
13		9		N/A	N/A		N/A	N/A		N/A	N/A	0		13
14		11		N/A	N/A		N/A	N/A		N/A	N/A	0		14
15	SUB-TOTAL			N/A	N/A		N/A	N/A		N/A	N/A	0		15
16	IV	3	23,042	1,907	0.84							23,042	1,907	16
17		8	43,773	20,646	3.96	46	11	3.77				43,819	20,657	17
18		9	52,589	13,528	2.20	1,034	151	2.32				53,623	13,679	18
19		11	16,066	8,905	4.60	18	7	5.00				16,084	8,912	19
20	SUB-TOTAL		135,470	44,986		1,098	169					136,568	45,155	20
21	V	3												21
22		8												22
23		9												23
24		11												24
25	SUB-TOTAL		0	0		0	0							25
26	GRAND TOTAL		942,342	322,660	N/A	6,457	1,159	N/A				948,799	323,819	26

(1) Columns (c) + (f) + (i) = Column 12

Columns (d) + (g) + (k) = Column 13

(2) The base grand total for owned and used, improvements to leased property and capitalized leases should equal the sum of Accounts 3, 8, and 11 shown at year end on Schedule 330 and Schedule 330A

NOTES AND REMARKS

# **SCHEDULE 417 - SPECIALIZED SERVICE SUBSCHEDULE -- TRANSPORTATION**

(Dollars in Thousands)

1 Report freight expenses only

2 Report in lines 1, 2, 3, 4, and 10 the total of those natural expenses (salaries and wages, material, tools, supplies, fuels and lubricants, purchases services, and general) incurred in the operation of each type of specialized service facility. This schedule does not include switching services performed by train and yard crews in connection with or within specialized service facilities.

3 When it is necessary to apportion expenses, such as administrative expenses to two or more services, they shall be apportioned on the most equitable basis available to the respondent and only to the services they support. The total expenses in column (j) should balance with the respective line items in Schedule 410, Railway Operating Expenses.

4 Report in column (b), line 2, the expenses incurred in highway movements of trailers and containers performed at the expense of the reporting railroad within a terminal area for the purpose of pick-up, delivery or highway interchange service. Report in column (b), line 3, the expenses incurred in operating facilities for handling trailers and/or containers, including storage expenses. See schedule 755, note R.

5 The operation of floating equipment in line-haul service (between distinct terminals) should be reported in column (c) on line 2. Floating operations conducted within a general terminal or harbor area should be reported in column (c), line 3.

6 Report in column (g), line 3, the expenses incurred by the railroad in loading and unloading automobiles, trucks, etc., to and from bi-level and tri-level auto rack cars. Report on line 2, column (g), the expense incurred by the railroad in moving automobiles, etc., between bi-level and tri-level loading and unloading facilities over the highway to shippers, receivers or connecting carriers. Report in column (f) operating expenses for land facilities in support of floating operations, including the operation of docks and wharves.

7 Report on line 4, column (b), the expenses related to heating and refrigeration of TOFC/COFC trailers and containers (total debits and credits). The expenses on line 4, column (h), relate to refrigerator cars only.

8 Report in column (i) total expenses incurred in performing rail substitute service, other highway revenue service, LCL terminal operations, warehouse operations, freight car transloading, grain elevator terminal operations and livestock feeding operations only.

Line No.	Cross Check	Items (a)	TOFC/COFC terminal (b)	Floating equipment (c)	Coal marine terminal (d)	Ore marine terminal (e)	Other marine terminal (f)	Motor vehicle load and distribution (g)	Protective services refrigerator car (h)	Other special services (i)	Total columns (b-i) (j)	Line No.
1		Administration									-	1
2		Pick up and delivery, marine line haul							N/A		-	2
3		Loading and unloading and local marine	4,378						N/A	1	4,379	3
4		Protective services, total debit and credits							63		63	4
5		Freight lost or damaged-solely related	19								19	5
6		Fringe benefits									-	6
7		Casualty and insurance									-	7
8		Joint facility - Debit									-	8
9		Joint facility - Credit									-	9
10		Other								4	4	10
11		TOTAL	4,397	-	-	-	-	-	63	5	4,465	11



## Schedule 418

## Instruction

This schedule will show the investment in capitalized leases in road and equipment by primary account.

## Column

- (a) = primary account number and title for which capital lease amounts are included therein.  
(b) = the total investment in that primary account.  
(c) = the investment in capital leases at the end of the year.  
(d) = the current year amortization.  
(e) = the accumulated amortization relating to the leased properties.

**SCHEDULE 418 - SUPPORTING SCHEDULE -- CAPITAL LEASES**  
(Dollars in Thousands)

Primary Account No. & Title (a)	Total Investment At End of Year (b)	Capital Leases		
		Investment At End of Year (c)	Current Year Amort. (d)	Accum Amort (e)
53 - Freight Cars	134,651	6,709	418	4,078

## NOTES AND REMARKS

**SCHEDULE 450 - ANALYSIS OF TAXES**

(Dollars in Thousands)

**A. Railway Taxes.**

Line No	Cross Check	Kind of tax (a)	Amount (b)	Line No
1		<b>Other than U.S. Government Taxes</b>	<b>12,375</b>	1
		U.S. Government Taxes		
		Income Taxes:		
2		Normal Tax and Surtax	(28,385)	2
3		Excess Profits		3
4	*	<b>Total - Income Taxes L 2 + 3</b>	<b>(28,385)</b>	4
5		Railroad Retirement	28,211	5
6		Hospital Insurance	2,603	6
7		Supplemental Annuities	1,395	7
8		Unemployment Insurance	254	8
9		All Other United States Taxes		9
10		<b>Total - U.S. Government Taxes</b>	<b>4,078</b>	10
11		<b>Total - Railway Taxes</b>	<b>16,453</b>	11

**B. Adjustments to Federal Income Taxes**

- 1 In column (a) are listed the particulars which most often cause a differential between taxable income and pretax accounting income. Other particulars which cause such a differential should be listed under the caption Other (Specify), including State and other taxes deferred if computed separately. Minor items, each less than \$100,000, may be combined in a single entry under Other (Specify).
- 2 Indicate in column (b) the beginning of the year total of accounts 714, 744, 762 and 786 applicable to each particular item in column (a).
- 3 Indicate in column (c) the net change in accounts 714, 744, 762 and 786 for the net tax effect of timing differences originating and reversing in the current accounting period.
- 4 Indicate in column (d) and adjustments, as appropriate, including adjustments to eliminate or reinstate deferred tax effects (credits or debits) due to applying or recognizing a loss carry-forward or a loss carry-back.
- 5 The total of line 19 in columns (c) and (d) should agree with the total of the contra charges (credits) to Account 557, Provisions for Deferred Taxes, and Account 591, Provisions for deferred Taxes - Extraordinary Items, for the current year.
- 6 Indicate in column (e) the cumulative total of columns (b), (c), and (d). The total of column (e) must agree with the total of accounts 714, 744, 762 and 786.

Line No	Particulars (a)	Beginning of year balance (b)	Net credits (charges) for current year (c)	Adjustments (d)	End of year balance (e)	Line No
1	Accelerated depreciation, Sec. 167 I R C . Guidelines lives pursuant to Rev. Proc. 62-21	328,780	32,503		361,283	1
2	Accelerated amortization of facilities, Sec. 168 I R C					2
3	Accelerated amortization of rolling stock, Sec. 184 I R C					3
4	Amortization of rights of way, Sec. 185 I R C					4
5	Other (specify)					5
6	Reserve for Claims	(22,493)	(2,084)		(24,577)	6
7	Future Benefits of Capitalized Leases	1,522	94		1,616	7
8	Provisions for Contingencies	(33,865)	(350)	111	(34,104)	8
9	Asset Dispositions	1,679	146		1,825	9
10						10
11						11
12						12
13						13
14						14
15						15
16						16
17						17
18	Investment tax credit*					18
19	<b>TOTALS</b>	<b>275,623</b>	<b>30,309</b>	<b>111</b>	<b>306,043</b>	<b>19</b>

**SCHEDULE 450 - ANALYSIS OF TAXES - Continued**

(Dollars in Thousands)

**\*Footnotes**

1. If flow-through method was elected, indicate net decrease (or increase) in tax accrual because of investment tax credit

\$

If deferral method for investment tax credit was elected.

- (1) Indicate amount of credit utilized as a reduction of tax liability for current year

\$

- (2) Deduct amount of current year's credit applied to reduction of tax liability but deferred for accounting purposes

\$

- (3) Balance of current year's credit used to reduce current year's tax accrual

\$

- (4) Add amount of prior year's deferred credits being amortized to reduce current year's tax accrual

\$

- (5) Total decrease in current year's tax accrual resulting from use of investment tax credits

\$

2. Estimated amount of future earnings which can be realized before paying Federal income taxes because of unused and available net operating loss carryover on January 1 of the year following that for which the report is made

\$

NONE

**SCHEDULE 460 - ITEMS IN SELECTED INCOME AND RETAINED EARNINGS ACCOUNTS FOR THE YEAR**

(Dollars in Thousands)

Give a brief description for all items, regardless of amount, included during the year in Accounts 555, Unusual or infrequent items, 560, Income or Loss From Operations of Discontinued Segments, 562, Gain or Loss on Disposal of Discontinued Segments; 570, Extraordinary Items; 590, Income Taxes on Extraordinary Items, 592, Cumulative Effect of Changes in Accounting Principles, 603, Appropriations Released; 606, Other Credits to Retained Earnings, 616, Other Debits to Retained Earnings; 620, Appropriations for Sinking and Other Funds; 621, Appropriations for other Purposes. If appropriations released reflect appropriations provided during the year, each account should not be reported.

For Accounts 519, Miscellaneous Income, and 551, Miscellaneous Income Charges, if the total in either account exceeds 10% of net income before extraordinary items, describe the three largest items in the account and any other items in excess of 10% of net income.

Line No	Account No (a)	Item (b)	Debits (c)	Credits (d)	Line No
1	519	Miscellaneous Income		363	1
2	551	Miscellaneous Income Charges	869		2
3	555	Unusual or Infrequent items	NONE		3
4	560	Operations of Discontinued Segments	NONE		4
5	562	Disposal of Discontinued Segments		NONE	5
6	570	Extraordinary Items	NONE		6
7	590	Income Taxes on Extraordinary Items		NONE	7
8	592	Changes in Accounting Principles	NONE		8
9	603	Appropriations Released		NONE	9
10	606	Other Credits to Retained Earnings		NONE	10
11	616	Other Debits to Retained Earnings	NONE		11
12	620	Appropriations for Sinking Funds	NONE		12
13	621	Appropriations for Other Purposes	NONE		13
14					14
15					15
16					16
17					17
18					18
19					19
20					20
21					21
22					22
23					23
24					24
25					25
26					26
27					27
28					28
29					29
30					30

**MEMORANDA RELATING TO SELECTED INCOME AND RETAINED EARNINGS ACCOUNTS**

**SCHEDULE 501 - GUARANTIES AND SURETYSHIPS**

(Dollars in Thousands)

1. If the respondent was under obligation as guarantor or surety for the performance by any other corporation or other association of any agreement or obligation, show the particulars of each contract of guaranty or suretyship in effect at the close of the year or entered into and expired during the year. This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after the date of issue. Items of less than \$50,000 may be shown as one total

Line No.	Names of all parties principally and primarily liable (a)	Description (b)	Amount of contingent Liability (c)	Sole or joint contingent liability (d)	Line No.
1					1
2		NONE			2
3					3
4					4
5					5
6					6
7					7
8					8
9					9
10					10
11					11
12					12
13					13
14					14
15					15
16					16
17					17
18					18
19					19
20					20
21					21
22					22
23					23
24					24
25					25
26					26
27					27
28					28
29					29
30					30
31					31
32					32
33					33
34					34
35					35
36					36
37					37
38					38

2. If any corporation or other associations was under obligation as guarantor or surety for the performance by the respondent of any agreement or obligation, show the particulars called for hereunder for each such contract of guaranty or suretyship at the close of the year or entered into and expired during the year

This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after date of issue, nor does it include ordinary surety bonds or undertakings on appeals in court proceedings.

Line No	Finance Docket number, title, maturity date and concise description of agreement or obligation (a)	Names of all guarantors and sureties (b)	Amount contingent liability of guarantors (c)	Sole or joint contingent liability (d)	Line No
1					1
2					2
3					3
4					4
5					5
6					6
7					7
8					8
9					9

**SCHEDULE 502 - COMPENSATING BALANCES AND SHORT-TERM BORROWING ARRANGEMENTS**

(Dollars in Thousands)

Using the following notes as a guideline, show the requirements compensating balances and short-term borrowing arrangements Footnote disclosure is required even though the arrangement is not reduced to writing

- 1 Disclose compensating balances not legally restricted, lines of credit used and unused, average interest rate of short-term borrowings outstanding at balance sheet date, maximum amount of outstanding borrowings during the period and the weighted average rate of those borrowings
- 2 Time deposits and certificates of deposit constituting compensating balances not legally restricted should be disclosed.
- 3 Compensating balance arrangements need only be disclosed for the latest fiscal year
- 4 Compensating balances included in Account 703, Special Deposits, and in Account 717, Other Funds, should also be separately disclosed below
- 5 Compensating Balance arrangements are sufficiently material to require disclosure in footnotes when the aggregate of written and oral agreement balances amount to 15 percent or more of liquid assets (current cash balances, restricted and unrestricted plus marketable securities).
- 6 When a carrier is not in compliance with a compensating balance requirement, the fact should be disclosed along with stated and possible sanctions whenever such possible sanctions may be immediate (not vague or unpredictable) and material

The Company maintains a \$150 million revolving line of credit with a syndication of various lenders and Chase Manhattan Bank as agent.

## NOTES AND REMARKS



**SCHEDULE 510 - SEPARATION OF DEBTHOLDINGS BETWEEN ROAD PROPERTY AND EQUIPMENT**

(Dollars in Thousands)

The principal use of this schedule is to determine the average embedded rate of debt capital

**I. Debt Outstanding at End of Year:**

Line #	Account No.	Title	Source	Balance Close of Year
1	751	Loans and Notes Payable	Sch 200, L. 30	
2	764	Equipment Obligations and Other Long Term Debt due Within One Year	Sch 200, L. 39	34,421
3	765/767	Funded Debt Unmatured	Sch. 200, L. 41	577,500
4	766	Equipment Obligations	Sch 200, L. 42	43,498
5	766 5	Capitalized Lease Obligations	Sch 200, L. 43	3,033
6	768	Debt in Default	Sch. 200, L. 44	
7	769	Accounts Payable, Affiliated Companies	Sch 200, L. 45	
8	770.1/770.2	Unamortized Debt Premium	Sch. 200, L. 46	
9		Total Debt	Sum L. 1-8	658,452
10		Debt Directly Related to Road Property	Note 1	294,733
11		Debt Directly Related to Equipment	Note 1	130,872
12		Total Debt Directly Related to Road & Equipment	Sum L. 10 and L. 11	425,605
13		Percent Directly Related to Road	L. 10 div. by L. 12 Whole % + 2 decimals	69.25%
14		Percent Directly Related to Equipment	L. 11 div. by L. 12 Whole % + 2 decimals	30.75%
15		Debt Not Directly Related to Road or Equipment	L. 9 - L. 12	232,847
16		Road Property Debt (Note 2)	(L. 13 X L. 15) + L. 10	455,980
17		Equipment Debt (Note 2)	(L. 14 X L. 15) + L. 11	202,472

**II. Interest Accrued During the Year:**

Line #	Account No.	Title	Source	Balance Close of Year
18	548-548	Total Interest and Amortization (Fixed Charges)	Sch. 210, L. 42	65,078
19	546	Contingent Interest on Funded Debt	Sch. 210, L. 44	-
20	517	Release of Premiums on Funded Debt	Sch 210, L. 22	-
21		Total Interest	(L. 18 + L. 19) - L. 20	65,078
22		Interest Directly Related to Road Property Debt	Note 4	26,526
23		Interest Directly Related to Equipment Debt	Note 4	18,079
24		Interest Not Directly Related to Road or Equipment Property Debt	L. 21 - (L. 22 + L. 23)	20,473
25		Interest Road Property Debt	L. 22 + (L. 24 X L. 13)	40,704
26		Interest Equipment Debt	L. 23 + (L. 24 X L. 14)	24,374
27		Embedded Rate of Debt Capital - Road Property	L. 25 div. by L. 16	8.93%
28		Embedded Rate of Debt Capital - Equipment	L. 26 div. by L. 17	12.04%

Note 1. Directly related means the purpose which the funds were used when the debt was issued.

Note 2. Line 16 plus Line 17 must equal Line 9.

Note 3. Line 21 includes interest on debt in Account 769—Account Payable, Affiliated companies

Note 4. This interest relates to debt reported in Lines 10 and 11, respectively.

Note 5. Line 25 plus Line 26 must equal Line 21.

## NOTES AND REMARKS

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 512

1 Furnish the information called for below between the respondent and the affiliated companies or persons affiliated with the respondent, including officers, directors, stockholders, owners, partners or their wives and other close relatives, or their agents. Examples of transactions are, but are not restricted to, management, legal, accounting, purchasing or other type of service including the furnishing of materials, supplies, purchase of equipment, leasing of structures, land and equipment, and agreements relating to allocation of officers' salaries and other common costs between affiliated companies.

To be excluded are payments for the following types of services

- (a) Lawful tariff charges for transportation services
- (b) Payments to or from other carriers for interline services and interchange of equipment
- (c) Payment to or from other carriers which may reasonably be regarded as ordinarily connected with routine operation or maintenance, but any special or unusual transactions should be reported
- (d) Payments to public utility companies for rates or charges fixed in conformity with government authority

2 In column (a) enter the name of the affiliated company, person, or agent with which respondent received or provided services aggregating \$50,000 or more for the year. If an affiliated company provides services to more than one affiliate, and the aggregate compensation amounts to \$50,000 or more for the year, list all the affiliates included in the agreement and describe the allocation of charges. If the respondent provides services to more than one affiliate, and the aggregate compensation amounts to \$50,000 or more for the year, reference to this fact should be made and the detail as to the allocation of charges should be stated. For those affiliates providing services to the respondent, also enter in column (a) the percent of affiliate's gross income derived from transactions with respondent.

The respondent may be required to furnish as an attachment to Schedule 512 a balance sheet and income statement for each affiliate with which respondent carrier had reportable transactions during the year, or alternatively, attach a "Pro forma" balance sheet and income statement for that portion or

entity of each affiliate which furnished the agreed to services, equipment, or other reportable transaction. The statements, if required, should be prepared on a calendar year basis in conformity with the prescribed schedules for the balance sheet and income statement in Annual Report Form R-1, and should be noted (1) to indicate the method used for depreciating equipment or other property furnished the carrier, and (2) whether the affiliate's Federal income tax return for the year was filed on a consolidated basis with the respondent carrier.

3 In column (b) indicate nature of relationship or control between the respondent and the company or person identified in column (a) as follows.

- (a) If respondent directly controls affiliate, insert the word "direct"
- (b) If respondent controls through another company, insert the word "indirect"
- (c) If respondent is under common control with affiliate, insert the word "common"
- (d) If respondent is controlled directly or indirectly by the company listed in column (a), insert word "controlled"

(e) If control is exercised by other means such as a management contract or other arrangement of whatever kind, insert the word "other" and footnote to describe such arrangements

4 In column (c) fully describe the transactions involved such as management fees, lease of building, purchase of material, etc. When the affiliate listed in column (a) provides more than one type of service in column (c), list each type of service separately and show total for the affiliate. When services are both provided and received between the respondent and an affiliate they should be listed separately and the amounts shown separately in column (e).

5 In column (d) report the dollar amounts of transaction shown and the effect of any change in the method of establishing the terms from that used in the preceding period.

6 In column (e) report the dollar amounts due from or to related parties and, if not otherwise apparent, the terms and manner of settlement. Insert (P) paid and (R) received by the amount in column (e).

## 612 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED

Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	MID-SOUTH MICROWAVE, INC		Direct	Rent	912	87	1
2				Maintenance	1,197		2
3				Real Estate Taxes	47	6	3
4				Misc	9		4
5							5
6							6
7	SOUTHERN DEVELOPMENT COMPANY		Direct	Rental of Buildings	1,038		7
8							8
9							9
10	RICE-CARDEN CORPORATION		Direct	Closed Circuit TV	801		10
11				Central Traffic Control	299		11
12				Rollm Telephone System	500		12
13				AVL Train System	13		13
14				Telephone System - Baton Rouge	13		14
15				Video Conference Equipment	86		15
16				Spur Track Rental - Old Tolmak	638		16
17				Other	50		17
18				Maintenance on Leases	1,501		18
19				Track Rental	40		19
20				Joint Agency Portion - Leases	340		20
21				Estimated Lease - All Equipment		445	21
22							22
23							23
24							24
25							25

512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	SUPERIOR TIE & TIMBER COMPANY		Direct	Cross & Switch Ties	8,351		1
2							2
3				BILLINGS			3
4				Pipeline Crossing	1		4
5				Insurance	80		5
6							6
7							7
8							8
9							9
10							10
11							11
12							12
13							13
14							14
15							15
16							16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25

512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							Line No	Amount due from or to related parties (e)
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)		Line No	Amount due from or to related parties (e)
1	GATEWAY WESTERN RAILWAY		Common	Payroll Expense - Management	1,276		1	
2				Computer Usage	300		2	
3				Insurance	308		3	
4				Invoice Payments	28,537		4	
5				Haulage	1,724		5	
6				Gas, Oil & Repairs - Auto	249		6	
7				Payroll Expense - Union	6,079		7	
8				Diesel Fuel	102		8	
9				Legal Expense	528		9	
10				401K	628		10	
11				Purchase Card Expense	144		11	
12							12	
13							13	
14	SOUTHERN CAPITAL CORPORATION		Common	Lease of Locomotives	15,797		14	
15				Lease of Freight Cars	11,505		15	
16							16	
17							17	
18							18	
19							19	
20							20	
21							21	
22							22	
23							23	
24							24	
25							25	

512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							Line No
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	KANSAS CITY SOUTHERN LINES, INC		Controlled	Payroll, SEP Allow, Etc	356		1
2				Miscellaneous	94		2
3							3
4							4
5				BILLINGS			5
6				Stationery	1		6
7				Airfare	57		7
8				Expense Accounts	11		8
9				Panama Expense	52		9
10				Insurance - Health, Etc	293		10
11				Phone, Wireless, Etc	1		11
12				Business Car & Stadium Use	5		12
13				Stock Options	4,286		13
14				Plane Depreciation	374		14
15				Payroll Expense	41		15
16				Legal	222		16
17				Royal Suite	45		17
18				Lawrence - Prof Services	48		18
19				Misc	41		19
20							20
21							21
22							22
23							23
24							24
25							25

512 - TRANSACTIONS BETWEEN RESPONDENT AND COMPANIES OR PERSONS AFFILIATED WITH RESPONDENT FOR SERVICES RECEIVED OR PROVIDED							Line No
Line No	Name of company or related party with percent of gross income (a)	%	Nature of relationship (b)	Description of transaction (c)	Dollar amounts of transactions (in thousands) (d)	Amount due from or to related parties (e)	Line No
1	KANSAS CITY SOUTHERN INDUSTRIES, INC		Controlled	Insurance Premiums	476		1
2			thru July 12, 2000	Employee Stock Option Plan	2,801		2
3				Employee Expenses	10		3
4				Payroll Expense	306		4
5				Legal & Consulting Fees	19		5
6				Miscellaneous	52		6
7							7
8				BILLINGS			8
9				Airfare	5		9
10				Health & Vision Insurance	130		10
11				Postage	3		11
12				Stock Options	4,670		12
13				Credit Card Expense	1		13
14							14
15							15
16							16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25



## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 700

State particulars of all tracks *operated* by the respondent at the close of the year, according to the following classifications

- (1) Line owned by respondent
- (2) Line owned by proprietary companies
- (3) Line operated under *lease for a specified sum*, lessor being (A) an affiliated corporation, or (B) independent or not affiliated with respondent
- (4) Line operated under contract or agreement for contingent rent, owner being (A) an affiliated corporation, or (B) independent or not affiliated

with respondent

- (5) Line operated under *trackage rights*.

Give subtotals for each of the several numbered classes, in the order listed above, as well as the total for all classes.

Lengths of track should be reported to the nearest WHOLE mile adjusted to accord with footings, i.e., counting one-half mile and over as a whole mile and disregarding any fraction less than one-half mile.

In column (a) insert the figure (and letter, if any) indicating its class in accordance with the preceding classification.

In column (b) give the various proportions of each class owned or leased by respondent, listing each proportion once in any grouping. Canadian mileage should be segregated and identified on separate lines in the various groupings. For each listing, in column (d) give its entire length (the distances between termini of single or first main track), and in the following columns the lengths of second main track, all other main tracks, passing tracks, cross-overs and turn-outs; way switching tracks, and yard switching tracks. These classes of tracks are defined as follows:

**Running tracks:** Running tracks, passing tracks, cross-overs, etc., including turn-outs from those tracks to clearance points.

**Way switching tracks:** Station, team, industry and other switching tracks for which no separate service is maintained.

**Yard switching tracks:** Yards where separate switching services are maintained, including classification, house, team, industry and other tracks switched by yard locomotives.

The returns in columns (h) and (i) should include tracks serving industries, such as mines, mills, smelters, factories, etc. Tracks belonging to an industry for which no rent is payable should not be included.

Tracks leading to and in gravel and sand pits and quarries, the cost of which is chargeable to a clearing account and which are used in getting out material for the respondent's use, should not be included.

Class (1) includes all lines operated by the respondent at the close of the year to which it has title in perpetuity.

Class (2) includes each line, full title to which is in an inactive proprietary corporation of the respondent (i.e., one *all* of whose outstanding stocks or obligations are held by or for the respondent, and which is operated by the respondent or an affiliated system corporation without any accounting to the said proprietary corporation). It may also include such line when the actual title to all of the outstanding stocks or obligations rest in a corporation controlled by or controlling the respondent, *but in the case of any such inclusion, the facts of relation to the respondent of the corporation holding the securities should be fully set forth in a footnote.* An *inactive corporation* is one which has been practically absorbed in a controlling corporation, and which neither operates property nor administers its financial affairs, if it maintains an organization, it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises.

Class (3) includes all tracks operated under a lease or formal conveyance of less than the grantor's interest in the property, with a specific and unconditional rent reserved. The fact that the lessor does or does not maintain an independent organization for financial purposes is immaterial in this connection.

Class (4) is the same as class (3), except that the rent reserved is conditional upon earnings or other fact.

Class (5) includes all tracks operated and maintained by others, but over which the respondent has the right to operate some or all of its trains. In the road of this class the respondent has no proprietary rights, but only the rights of a licensee. Include in this class, also, all main tracks, industrial tracks and sidings owned by noncarrier companies and individuals when the respondent operates over them, but does not have exclusive possession of them.

Road held by the respondent as *joint or common owner* or a *joint lessee* or under any *joint arrangement* should be shown in its appropriate class, and the entry of length should be of the entire length of the portion jointly held. The class symbol should have the letter (J) attached.

Road operated by the respondent as agent for another carrier should not be included in this schedule.

## SCHEDULE 700 - MILEAGE OPERATED AT CLOSE OF YEAR

Line No	Class (a)	Proportion owned or leased by Respondent (b)	Running tracks, passing tracks, cross-overs, etc				Miles of way switching tracks (g)	Miles of yard switching tracks (h)	TOTAL (i)	Line No
			Miles of road (c)	Miles of second main track (d)	Miles of all other main tracks (e)	Miles of passing tracks, cross-overs, and turnouts (f)				
1	1	100 %	2,486	8	0	287	250	464	3,495	1
2										2
3	1J	50 %	0	4	0	1	3	77	85	3
4										4
5	1J	33 %	0	0	0	0	1	1	2	5
6										6
7	3A	100 %	0	0	0	0	20	20	40	7
8										8
9	3B	100 %	3	0	0	0	4	0	7	9
10										10
11	5	100 %	212	10	2	6	16	7	253	11
12										12
13										13
14										14
15										15
16										16
17										17
18										18
19										19
20										20
21										21
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40										40
41										41
42										42
43										43
44										44
45										45
46										46
47										47
48										48
49										49
50										50
51										51
52										52
53										53
54										54
55										55
56										56
57		TOTAL	2,701	22	2	294	294	569	3,882	57
58		Miles of electrified road or track included in preceding grand total	N/A							58

## SCHEDULE 702 - MILES OF ROAD AT CLOSE OF YEAR - BY STATES AND TERRITORIES (SINGLE TRACK)

Give particulars, as of the close of the year, of all road operated and of all owned but not operated. The respondent's proportion of operated road held by it as joint or common owner, or under a joint lease or under any joint arrangement, should be shown in columns (b), (c), (d), or (e), as may be appropriate. The remainder of jointly operated mileage should be shown in column (f). Respondent's proportion of road jointly owned, not operated, should be shown in column (h), as may be appropriate. Mileage which has been permanently abandoned should not be included in column (h). Mileage should be reported to the nearest WHOLE mile adjusted to accord with footings, i.e. counting one-half mile and over as a whole mile and disregarding and fraction less than one-half mile.

Line No	Cross Check	State or territory  (a)	MILES OF ROAD OPERATED BY RESPONDENT								Line owned but operated by respondent by respondent (h)	New line constructed during year (i)	Line No
			Line owned (b)	Line of propne- lary companies (c)	Line operated under lease (d)	Line operated under contract, etc (e)	Line operated under trackage rights (f)	Total mileage operated (g)					
1		Missoun	187							187			1
2													2
3		Kansas	18							18			3
4													4
5		Arkansas	213					4		217			5
6													6
7		Oklahoma	156					1		157			7
8													8
9		Louisiana	851			2		63		916			9
10													10
11		Texas	334					47		381			11
12													12
13		Tennessee	5					16		21			13
14													14
15		Mississippi	643			1		37		681			15
16													16
17		Alabama	79					44		123			17
18													18
19													19
20													20
21													21
22													22
23													23
24													24
25													25
26													26
27													27
28													28
29													29
30													30
31													31
32		TOTAL MILEAGE (single track)	2,486			3		212		2,701			32

## NOTES AND REMARKS

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 710

- 1 Give particulars of each of the various classes of equipment which respondent owned or leased during the year.
- 2 In column (c) give the number of units purchased new or built in company shops In column (d) give the number of new units leased from others The term "new" means a unit placed in service for the first time on any railroad
- 3 Units leased to others for a period of one year or more are reportable in column (f) Units temporarily out of respondent's service and rented to others for less than one year are to be included in column (h) Units rented from others for a period less than one year should not be included in column (f)
- 4 For reporting purposes, a "locomotive unit" is a self-propelled vehicle generating or converting energy into motion, and designed solely for moving other equipment An "A" unit is the least number of wheel bases with superstructure designed for use singly or as a lead locomotive unit in combination with other locomotive units A "B" unit is similar to an "A" unit, but not equipped for use singly or as a lead locomotive unit A "B" unit may be equipped with hostler controls for independent operation at terminals
- 5 A "self-Propelled car" is a rail motor car propelled by electric motors receiving power from a third rail or overhead, or internal combustion engines located on the car itself Trailers equipped for use only in trains of cars that are self-propelled are to be included as self-propelled equipment.
- 6 A "diesel" unit includes all units propelled by diesel internal combustion engines irrespective of final drive or whether power may at times be supplied from an external conductor Units other than diesel-electric, e.g., diesel-hydraulic, should be identified in a footnote giving the number and a brief description An "electric" unit includes all units which receive electric power from an overhead contact wire or a third rail, and use the power to drive one or more electric motors that propel the vehicle An "other self-powered unit" includes all units other than diesel, or electric, e.g., steam, gas turbine Show the type of unit, service and number, as appropriate, in a brief description sufficient for positive identification An "auxiliary unit" includes all units used in conjunction with locomotives, but

which draw their power from the "mother" unit, e.g., boosters, slugs, etc For reporting purposes, indicate radio-controlled self-powered diesel units on lines 1 through 8, as appropriate Radio-controlled units that are not self-powered, i.e., those without a diesel, should be reported on line 13 under "auxiliary units"

7 Column (k) should show aggregate capacity for all units reported in column (j), as follows. For locomotive units, report the manufacturers' rated horsepower (the maximum continuous power output from the diesel engine or engines delivered to the main generator or generators for tractive purposes). Exclude capacity data for steam locomotives For passenger-train cars report the number of passenger seats available for revenue service, counting one passenger to each berth in sleeping cars

8 Passenger-train car types and service equipment car types correspond to AAR Mechanical Division designations Descriptions of car codes and designations are published in The Official Railway Equipment Register

## 9. Cross-checks

Schedule 710	Schedule 710
Line 5, column (j)	= Line 11, column (l)
Line 6, column (j)	= Line 12, column (l)
Line 7, column (j)	= Line 13, column (l)
Line 8, column (j)	= Line 14, column (l)
Line 9, column (j)	= Line 15, column (l)
Line 10, column (j)	= Line 16, column (l)

When data appear in column (j) lines 1 thru 8, column (k) should have data on same lines  
When data appear in columns (k) or (l) lines 36 thru 53, and 55, column (m) should have data on same lines

**SCHEDULE 710 - INVENTORY OF EQUIPMENT  
UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS**

Line No	Cross Check	Type or design of units (a)	Units in service of respondent at beginning of year (b)	Changes During the Year				Units retired from service of respondent whether owned or leased, including re-classification (g)	Units at Close of Year				Line No	
				Units installed					Owned and used (h)	Leased from others (i)	Total in service of respondent (col (h)&(i)) (j)	Aggregate capacity of units reported in col (j) (see ins 7) (k)		Leased to others (l)
				New units purchased or built (c)	New units leased from others (d)	Rebuilt units acquired and rebuilt units rewritten into property accounts (e)	All other units including re-classification and second hand units purchased or leased from others (f)							
1		Locomotive Units						4	108	323	431	(H.P.) 1,342,250	1	
2		Diesel-freight units	435										2	
3		Diesel-passenger units											3	
4		Diesel-multiple purpose units	52							52	52	75,900	4	
5	*	Diesel-switching units	487					4	108	375	483	1,418,150	5	
6	*	TOTAL (lines 1 to 4) units											6	
7	*	Electric-locomotives											7	
8	*	Other self-powered units											8	
9	*	TOTAL (lines 5,6, and 7)	487					4	108	375	483	1,418,150	9	
10	*	Auxiliary units	8						8		8	N/A	10	
		TOTAL LOCOMOTIVE UNITS (lines 8 and 9)	495					4	116	375	491	N/A		

**DISTRIBUTION OF LOCOMOTIVE UNITS IN SERVICE OF RESPONDENT AT CLOSE OF YEAR, ACCORDING TO YEAR BUILT, DISREGARDING YEAR OF REBUILDING**

Line No	Cross Check	Type or design of units (a)	Before Jan 1, 1980 (b)	Between Jan 1, 1980 and Dec 31, 1984 (c)	Between Jan 1, 1985 and Dec 31, 1989 (d)	Between Jan 1, 1990 and Dec. 31, 1994 (e)	During calendar year					Total (l)	Line No
							1995 (f)	1996 (g)	1997 (h)	1998 (i)	1999 (j)		
11	*	Diesel	367	19	11	36				50		483	11
12	*	Electric											12
13	*	Other self-powered units											13
14	*	TOTAL (lines 11 to 13)	367	19	11	36				50		483	14
15	*	Auxiliary units	8									8	15
16	*	TOTAL LOCOMOTIVE UNITS (lines 14 and 15)	375	19	11	36				50		491	16

**SCHEDULE 710 - INVENTORY OF EQUIPMENT - CONTINUED**  
**UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS**

Line No	Cross Check	Type or design of units (a)	Units in service of respondent at beginning of year (b)	Changes During the Year				Units retired from service of respondent whether owned or leased, including re-classification (g)	Units at Close of Year				Line No	
				Units installed					Owned and used (h)	Leased from others (i)	Total in service of respondent (col (h)&(i)) (j)	Aggregate capacity of units reported in col (j) (see ins 7) (k)		Leased to others (l)
				New units purchased or built (c)	New units leased from others (d)	Rebuilt units acquired and rewritten into property accounts (e)	All other units including re-classification and second hand units purchased or leased from others (f)							
		PASSENGER-TRAIN CARS												
17		Non-Self-Propelled Coaches (PA, PB, PBO)												17
18		Combined cars												18
19		[All class C, except CSB]												19
20		Parlor cars [PBC, PC, PL, PO]												20
21		Sleeping cars [PS, PT, PAS, PDS]												21
22		Dining, grill and tavern cars												22
23		[All class D, PD]												23
24		Non-passenger-carrying cars												24
25		[All class B, CSB, M, PSA, IA]												25
26		TOTAL (lines 17 to 22)												26
27		Self-Propelled Electric passenger cars [EP, ET]												27
28		Electric combined cars [EC]												28
29		Internal combustion rail motorcars [ED, EG]												29
30		Other self-propelled cars (Specify types)												30
31		TOTAL (lines 24 to 27)												31
32		TOTAL (lines 23 to 28)												32
33		COMPANY SERVICE CARS												33
34		Business cars [PV]	5											34
35		Board outfit cars [MWX]	12											35
36		Derrick and snow removal cars [MWU, MWV, MWW, MWK]	7											36
37		Dump and ballast cars [MWB, MWD]	12											37
38		Other maintenance and service equipment cars	118											38
39		TOTAL (lines 30 to 34)	154											39

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - CONTINUED

Instruction for reporting freight-train car data

- 1 Give particulars of each of the various classes of equipment which respondent owned or leased during the year.
- 2 In column (d) give the number of units purchased or built in company shops. In column (e) give the number of new units leased from others. The term "new" means a unit placed in service for the first time on any railroad
- 3 Units leased to others for a period of one year or more are reportable in column (n) Units temporarily out of respondent's service and rented to others for less than one year are to be included in column (i) Units rented from others for a period less than one year should not be included in column (j)

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Class of equipment and car designations  (a)	Units in service of respondent at beginning of year		Changes during the year				Line No
			Time-mileage cars  (b)	All others  (c)	Units installed				
					New units purchased or built  (d)	New or rebuilt units leased from others  (e)	Rebuilt units acquired and rebuilt units rewritten into property accounts  (f)	All other units, including reclassification and second hand units purchased or leased from others  (g)	
36		<b>FREIGHT TRAIN CARS</b> Plain box cars - 40' (B1_ , B2_ )	11						36
37		Plain box cars - 50' and longer (B3_0-7, B4_0-7, B5_ , B6_ , B7_ , B8_ )	1,673						37
38		Equipped box cars (All Code A, Except A_5_ )	6,614						38
39		Plain gondola cars (All Codes G & J_1, J_2, J_3, J_4)	598						39
40		Equipped gondola cars (All Code E)	251					8	40
41		Covered hopper cars (C_1, C_2, C_3, C_4)	2,042						41
42		Open top hopper cars-general service (All code H)	415						42
43		Open top hopper cars-special service (J_0, and All Codes K)	1,214						43
44		Refrigerator cars--mechanical (R_5_ , R_6_ , R_7_ , R_8_ , R_9_ )	-						44
45		Refrigerator cars--non mechanical (R_0_ , R_1_ , R_2_ )	2						45
46		Fiat cars--TOFC/COFC (All Code P, Q and S, Except Q8_ )	654					72	46
47		Fiat cars--multi-level (All Code V)	201						47
48		Fiat cars--general service (F10_ , F20_ , F30_ )	35						48
49		Fiat cars--other (F_1_ , F_2_ , F_3_ , F_4_ , F_5_ , F_6_ , F_8_ , F40_ )	914						49
50		Tank cars--22,000 gallons (T_0, T_1, T_2, T_3, T_4, T_5)	51					3	50
51		Tank cars--22,000 gallons and over (T_6, T_7, T_8, T_9)	37					10	51
52		All other freight cars (A_5_ , F_7_ , All Code L and Q8_ )	625						52
53		TOTAL (lines 36 to 52)	15,337					93	53
54		Caboose (All Code M-930)	N/A	12					54
55		TOTAL (lines 53, 54)	15,337	12				93	55



## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

4. Column (m) should show aggregate capacity for all units reported in columns (k) and (l), as follows. For freight-train cars, report the nominal capacity (in tons of 2,000 lbs) as provided for in Rule 86 of the AAR Code of Rules Governing Cars in Interchange. Convert the capacity of tank cars to capacity in tons of the commodity which the car is intended to carry customarily.

5. Time-mileage cars refers to freight cars, other than cabooses, owned or held under lease agreement, whose interline rental is settled on a per diem and line haul mileage basis under "Code of Car Hire Rules" or would be so settled if used by another railroad.

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Changes during year	Units at close of year						Line No
		(concluded)	Owned and used	Leased from others	Total in service of respondent		Aggregate capacity of units reported in col. (k) & (l) (see ins. 4) (m)	Leased to others	
		Units retired from service of respondent whether owned or leased, including reclassification (h)			(col (i) & (j))	(k)			
			(i)	(j)	(k)	(l)	(m)		
36			11		11		602		36
37		2	1,098	573	1,671		153,533		37
38		337	908	5,369	6,277		615,845		38
39		5	28	565	593		62,915		39
40			50	209	259		25,900		40
41		161	649	1,232	1,881		188,699		41
42		163	246	6	252		21,986		42
43		49	276	889	1,165		99,853		43
44					-				44
45			2		2		110		45
46			2	724	726		54,996		46
47				201	201		10,653		47
48		3	32		32		1,686		48
49		20	543	351	894		98,006		49
50			18	36	54		4,158		50
51			37	10	47		4,010		51
52		80	36	509	545		40,875		52
53		820	3,936	10,674	14,610		1,383,827		53
54					N/A	12	N/A		54
55		820	3,936	10,674	14,610	12	1,383,827		55

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Class of equipment and car designations  (a)	Units in service of respondent at beginning of year		Changes during the year				Line No
			Per diem (b)	All others (c)	Units installed				
					New units purchased or built (d)	New units leased from others (e)	Rebuilt units acquired and rebuilt units rewritten into property accounts (f)	All other units, including reclassification and second hand units purchased or leased from others (g)	
56		FLOATING EQUIPMENT Self-propelled vessels [Tugboats, car ferries, etc.]	N / A						56
57		Non-self-propelled vessels [Car floats, lighters, etc.]	N / A						57
58		TOTAL (lines 56 and 57)	N / A						58
59		HIGHWAY REVENUE EQUIPMENT Chassis Z1 __, Z67 __, Z68 __, Z69 __	127					975	59
60		Dry van U __, Z __, Z6 __, 1-6	1,230					540	60
61		Flat bed U3 __, Z3 __	6					6	61
62		Open bed U4 __, Z4 __							62
63		Mechanical refrigerator U5 __, Z5 __							63
64		Bulk hopper U0 __, Z0 __							64
65		Insulated U7 __, Z7 __							65
66		Tank <sup>1</sup> Z0 __, U6 __							66
67		Other trailer and container (Special Equipped Dry Van U9 __, Z8 __, Z9 __)							67
68		Tractor							68
69		Truck							69
70		TOTAL (lines 59 to 69)	1,363					1,521	70

## NOTES AND REMARKS

<sup>1</sup> Must have fitting code "CN" to qualify for tank otherwise it is a bulk hopper.

## SCHEDULE 710 - INVENTORY OF EQUIPMENT - Continued

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No	Cross Check	Changes during year (concluded)	Units at close of year						Line No
		Owned and used (i)	Leased from others (j)	Total in service of respondent (col (i) & (j))		Aggregate capacity of units reported in col (k) & (l) (see ins. 4) (m)	Leased to others (n)		
				Per diem (k)	All other (l)				
56					N / A				56
57					N / A				57
58					N / A				58
59		-		1,102	1,102				59
60		975		795	795				60
61		6		6	6				61
62									62
63									63
64									64
65									65
66									66
67									67
					-				
68					-				68
69									69
70		981		1,903	1,903				70

## NOTES AND REMARKS

**SCHEDULE 710S - UNIT COST OF EQUIPMENT INSTALLED DURING THE YEAR**

(Dollars in Thousands)

1 Give particulars as requested, separately, for the various classes of new units and rebuilt units of equipment installed by respondent during the year. If information regarding the cost of any units installed is not complete at time of filing of report, the units should be omitted, but reference to the number of units omitted should be given in footnote, the details as to cost to be given in the report of the following year. The cost of units under construction at the close of the year should not be reflected in this schedule even though part of the cost appears in the property account for the year. Indicate in column (e) whether an installation represents equipment purchased (P), built or rebuilt by contract in outside railroad shops (C), or built or rebuilt in company or system shops (S) including units acquired through capitalized leases (L).

2. In column (a) list each class or type of locomotive unit, car, or TOFC/COFC equipment on a separate line. By class is meant the standard classification used to distinguish types of locomotive units freight cars or other equipment adopted by the Association of American Railroads, and should include physical characteristics requested by Schedule 710 Locomotive units should be identified as to power source, wheel arrangement, and horsepower per unit, such as aluminum-covered hopper car (LO), steel boxcars-special service (XAP), etc. For TOFC/COFC show type of equipment as enumerated in Schedule 710

3. In column (c) show the total weight in tons of 2,000 pounds. The weight of the equipment acquired should be the weight empty.

4. The cost should be the complete cost as entered on the ledger, including foreign line freight charges and handling charges

5 Data for this schedule should be confined to the units reported in Schedule 710, columns (c) and (e) for locomotive units, passenger-train cars and company service cars and columns (d) and (f) for freight train cars, floating equipment and highway revenue equipment. Disclose new units in the upper section of this schedule and in the lower section disclose rebuilt units acquired or rewritten into the respondent's accounts. The term "new" as used herein shall mean a unit or units placed in service for the first time on any railroad.

6 All unequipped boxcars acquired in whole or in part with incentive per diem funds should be reported on separate lines and appropriately identified by footnote or sub-heading.

**NEW UNITS**

Line No	Class of equipment (a)	Number of units (b)	Total weight (tons) (c)	Total cost (d)	Method of acquisition (see instruction) (e)	Line No
1						1
2						2
3						3
4						4
5						5
6						6
7						7
8						8
9						9
10						10
11						11
12						12
13						13
14						14
15						15
16						16
17						17
18						18
19						19
20						20
21						21
22						22
23						23
24						24
25	TOTAL	0	N/A	0	N/A	25

**REBUILT UNITS**

26						26
27						27
28						28
29						29
30						30
31						31
32						32
33						33
34						34
35						35
36						36
37						37
38	TOTAL	0	N/A	0	N/A	38
39	GRAND TOTAL	0	N/A	0	N/A	39

## GENERAL INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULES 720, 721, 723, AND 726

1 For purposes of these schedules, the track categories are defined as follows

Track category 1

- A - Freight density of 20 million or more gross ton-miles per track mile per year (include passing tracks, turnouts and crossovers)
- B - Freight density of less than 20 million gross ton-miles per track mile per year, but at least 5 million (include passing tracks, turnouts and crossovers).
- C - Freight density of less than 5 million gross ton-miles per track mile per year, but at least 1 million (include passing tracks, turnouts and crossovers)
- D - Freight density of less than 1 million gross ton-miles per track mile per year (include passing tracks, turnouts and crossovers)
- E - Way and yard switching tracks (include passing tracks, turnouts and crossovers shall be included in category A, B, C, D, F, and Potential abandonments, as appropriate).
- F - Track over which any passenger service is provided (other than potential abandonments) Mileage should be included within track categories A through E unless there is dedicated entirely to passenger service F

Potential abandonments - Route segments identified by railroads as potentially subject to abandonment as required by Section 10904 of the Interstate Commerce Act

- 2. This schedule should include all class 1, 2, 3, or 4 track from schedule 700 that is maintained by the respondent (class 5 is assumed to be maintained by others).
- 3 If, for two consecutive years, a line segment classified in one track category maintains a traffic density which would place it in another, it shall be reclassified into that category as of the beginning of the 2nd year
- 4 Traffic density related to passenger service shall not be included in the determination of the track category of a line segment

## SCHEDULE 720 - TRACK AND TRAFFIC CONDITIONS

1 Disclose the requested information pertaining to track and traffic conditions

Line No	Track category (a)	Mileage of tracks at end of period (whole numbers) (b)	Average annual traffic density in millions of gross ton-miles per track-mile * (use two decimal places) (c)	Average running speed limit (use two decimal places) (d)	Track miles under slow orders at end of period (e)	Line No
1	A	644	29.98	45.63	144.80	1
2	B	1,214	14.91	48.50	410.80	2
3	C	703	3.00	22.25	184.96	3
4	D	221	0.54	19.48	74.70	4
5	E	847	XXXXXXX	XXXXXXXXX		5
6	TOTAL	3,629	14.24	38.89	815.26	6
7	F		XXXXXXX	XXXXXXXXX		7
8	Potential abandonments					8

\* To determine average density, total track miles (route miles times number of tracks) rather than route miles shall be used.

## SCHEDULE 721 - TIES LAID IN REPLACEMENT

- 1 Furnish the requested information concerning ties laid in replacement
- 2 In column (j), report the total board feet of switch and bridge ties in replacement
- 3 The term "spot maintenance" in column (k) means repairs to track components during routing inspections, as opposed to programmed replacements aimed at upgrading the general condition of the tracks. "Percent of spot maintenance" refers to the percentage of total ties or board feet laid in replacement considered to be spot maintenance
- 4 In No. 9, the average cost per tie should include transportation charges on foreign lines, tie trains, loading, inspection, and the cost of handling ties in general supply storage and seasoning yards, and in the case of treating ties, also the cost of handling at treating plants and the cost of treatment. The cost of unloading, hauling over carrier's own lines, and placing the ties in tracks and of train service other than that necessary in connection with loading or treatment should not be included in this schedule.

Line No	Track category (a)	Number of cross-ties laid in replacement										Total (i)	Switch and bridge ties (board feet) (j)	Cross-ties		Line No
		New ties			Second-hand ties				Other (e)	Concrete (d)	Other (f)			Percent of spot maintenance (k)		
		Wooden		Untreated (c)	Wooden		Untreated (g)	Other (h)								
		Treated (b)			Treated (f)											
1	A	83,164										83,164	48,048	7%	1	
2	B	156,879										156,879	214,000	7%	2	
3	C														3	
4	D	3,536										3,536	21,099	7%	4	
5	E	13,838										13,838	51,167	7%	5	
6	TOTAL	257,417										257,417	334,314	7%	6	
7	F														7	
8	Potential abandonments														8	
9	Average cost per cross-tie	\$33.83													9	

# **SCHEDULE 722 - TIES LAID IN ADDITIONAL TRACKS AND IN NEW LINES AND EXTENSIONS**

(Dollars in Thousands)

Give particulars of ties laid during the year in new construction during the year.

In column (a) classify the ties as follows

U -- Wooden ties untreated when applied.

T -- Wooden ties treated before application

S -- Ties other than wooden (steel, concrete, etc.) Indicate type in column (h)

Report new and second-hand (relay) ties separately, indicating in column (h) which ties are new

In columns (d) and (g) show the total cost, including transportation charges on foreign lines, tie trains, loading, inspection, and the cost of handling ties in general supply, storage, and seasoning yard. In the case of treated ties, also show the cost of handling at treating plants and the cost of treatment. The cost of unloading, hauling over carrier's own lines and placing the ties in tracks, and of train service, other than that necessary in connection with loading or treatment, should not be included in this schedule

Line No	Class of ties (a)	CROSSTIES			SWITCH AND BRIDGE TIES			Remarks (h)	Line No
		Total number of ties applied (b)	Average cost per tie (c)	Total cost of crossties laid in new tracks during year (d)	Number of feet (board measure) laid in tracks (e)	Average cost per M feet (board measure) (f)	Total cost of switch and bridge ties laid in new tracks during year (g)		
1	T	40,777	\$ 34.19	\$ 1,394	725	\$ 760.00	\$ 1		1
2									2
3									3
4									4
5									5
6									6
7									7
8									8
9									9
10									10
11									11
12									12
13									13
14									14
15									15
16									16
17									17
18									18
19									19
20	TOTAL	40,777	34.19	1,394	725	760.00	1		20
21	Number of miles of new running tracks, passing tracks, cross-overs, etc. in which ties were laid						12.55		21
22	Number of miles of new yard, station, team, industry, and other switching tracks in which ties were laid						0.02		22

## SCHEDULE 723 - RAILS LAID IN REPLACEMENT

- 1 Furnish the requested information concerning rails laid in replacement
- 2 The term "spot maintenance" in column (h) means repairs to track components during routine inspections, as opposed to programmed replacements aimed at upgrading the general condition of the tracks. "Percent of spot maintenance" refers to the percentage of total rails laid in replacement considered to be spot maintenance
3. In No. 9, the average cost of new and relay rail should include the cost of loading at the point of purchase ready for shipment, the freight charges paid foreign lines, and the cost of handling rails in general supply and storage yards. The cost of unloading, hauling over carrier's own lines and placing the rails in tracks and of train service in connection with the distribution of rails should not be included in this schedule.

Line No	Track category (a)	Miles of rail laid in replacement (rail-miles)						Total		Percent of spot maintenance (h)	Line No
		New rail		Relay rail		Total		Weilded rail (f)	Bolted rail (g)		
		Welded rail (b)	Bolted rail (c)	Welded rail (d)	Bolted rail (e)						
1	A	28.71	0.07	2.41	0.26			31.12	0.33	20%	1
2	B	11.49	0.60	3.29	6.65			14.78	7.25	14%	2
3	C	0.00	0.00	0.00	0.00			0.00	0.00	0%	3
4	D	0.10	0.01	0.05	0.55			0.15	0.56	0%	4
5	E	0.32	0.08	0.56	0.93			0.88	1.01	1%	5
6	TOTAL	40.62	0.76	6.31	8.39			46.93	9.15	34%	6
7	F										7
8	Potential Abandonments										8
9	Average cost of new and relay rail laid in replacement per gross ton			New				Relay	\$ 248.54		9



## SCHEDULE 724 - RAILS LAID IN ADDITIONAL TRACKS AND IN NEW LINES AND EXTENSIONS

(Dollars in Thousands)

1. Give particulars of all rails applied during the year in connection with the construction of new track. In column (a) classify the kind of rail applied as follows.
- (1) New steel rails, Bessemer process.
  - (2) New steel rails, open-hearth process.
  - (3) New rails, special alloy (describe more fully in a footnote).
  - (4) Relay rails
2. Returns in columns (c) and (g) should be reported in WHOLE numbers. Fractions of less than one-half should be disregarded, and fractions of one-half or more should be counted as one.
3. The returns in columns (d) and (h) should include the cost of loading at the point of purchase ready for shipment, the freight charges paid foreign lines, and the cost of handling rails in general supply and storage yards. The cost of unloading, hauling over carrier's own lines, and placing the rails in tracks and of train service in connection with the distribution of the rail should not be included in this schedule.

Line No	Class of rail (a)	RAIL APPLIED IN RUNNING TRACKS, PASSING TRACKS, CROSS-OVERS, ETC				RAIL APPLIED IN YARD, STATION, TEAM, INDUSTRY, AND OTHER SWITCHING TRACKS				Line No
		Weight of rail		Total cost of rail applied in running tracks, passing tracks, cross-overs, etc., during year (d)	Average cost per ton (2,000 lb) (e)	Weight of rail		Total cost of rail applied in yard, station, team, industry, and other switching tracks during year (h)	Average cost per ton (2,000 lb) (i)	
		Pounds per yard of rail (b)	Number of tons (2,000 lb) (c)			Pounds per yard of rail (f)	Number of tons (2,000 lb) (g)			
1	4					112	14.56	\$ 4	\$ 276.82	1
2	2	115	28.23	18	636.84					2
3	4	115	0.35	-	261.03					3
4	4	131	741.66	289	389.67	131	2,081.00	804	386.35	4
5	4	132	0.88	-	362.27					5
6	4	136	0.25	-	201.48					6
7	2	137	974.32	568	582.57	137	582.84	339	582.57	7
8	4	137	0.27	-	230.85					8
9										9
10										10
11										11
12										12
13										13
14										14
15										15
16										16
17										17
18										18
19										19
20										20
21										21
22										22
23										23
24										24
25										25
26										26
27										27
28										28
29										29
30										30
31										31
32										32
33	TOTAL	N/A	1,745.96	\$ 875	\$ 500.15	N/A	2,678.40	\$ 1,147	\$ 429.08	33
34	Number of miles of new running tracks, passing tracks, cross-overs, etc in which rails were laid								7.40	34
35	Number of miles of new yard, station, team, industry, and other switching tracks in which rails were laid								11.52	35
36	Track-miles of welded rail installed on system this year 42.31					total to date 2,109.50				36

## SCHEDULE 725 - WEIGHT OF RAIL

Give the particulars called for below concerning the road and track operated by the respondent at the close of the year. Only the respondent's proportion of jointly owned mileage should be included. Under "Weight of rail," the various weights of rails should be given. Road and track occupied under trackage right or other form of license should not be included herein, but all road and track held under any form of lease (granting exclusive possession to the lessee) should be included.

Line No	Weight of rails per yard (a)	Line-haul companies (miles of main track) (b)	Switching and terminal companies (miles of all tracks) (c)	Remarks (d)	Line No
	Pounds				
1	152	1 06		Gauge of Track - 4 Feet 8 1/2 Inches	1
2	136	817.78		"	2
3	133	5.37		"	3
4	132	63.50		"	4
5	131	0.85		"	5
6	130	1.62		"	6
7	127	13.32		"	7
8	119	17.85		"	8
9	115	646.27		"	9
10	112	190.79		"	10
11	110	32.74		"	11
12	105	4.04		"	12
13	100	64.54		"	13
14	90	514.62		"	14
15	85	110.87		"	15
16	80	13.24		"	16
17	75	0.55		"	17
18	60	0.11		"	18
19					19
20					20
21					21
22					22
23					23
24					24
25					25
26					26
27					27
28					28
29					29
30					30
31					31
32					32
33					33
34					34
35					35
36					36
37					37
38					38
39					39
40					40
41					41
42					42
43					43
44					44
45					45
46					46
47					47
48	TOTAL	2,499.12			48

## SCHEDULE 726 - SUMMARY OF TRACK REPLACEMENTS

1 Furnish the requested information concerning the summary of track replacements.

2 In columns (d), (e), (g), and (i) give the percentage of replacements to units of property in each track category at year end.

Line No.	Track category	TIES					Rail		Ballast	Track surfacing		Line No
		Number of ties replaced		Percent replaced		Miles of rail replaced (rail-miles)	Percentage replaced	Miles surfaced		Percent surfaced		
		Crossties	Switch and bridge ties (board feet)	Crossties	Switch and bridge ties (board feet)							
											(b)	
1	A	83,164	48,048	3.97	2.13	31.45	2.44	175,416	196.0	30.43	1	
2	B	156,879	214,000	3.98	5.98	22.03	0.91	260,603	370.0	30.48	2	
3	C	0	0	0.00	0.00	0.00	0.00	43,397	214.0	30.44	3	
4	D	3,536	21,099	0.49	2.21	0.71	0.16	11,752	67.0	30.32	4	
5	E	13,838	51,167	0.50	0.66	1.89	0.11	6,044	258.0	30.46	5	
6	TOTAL	257,417	334,314	2.18	2.30	56.08	0.77	497,212	1105.0	30.45	6	
7	F										7	
8	Potential Abandonments										8	

SCHEDULE 750 - CONSUMPTION OF DIESEL FUEL  
(Dollars in Thousands)

## LOCOMOTIVES

Line No.	Kind of locomotive service (a)	Diesel		Line No.
		Diesel oil (gallons) (b)		
1	Freight		50,485,919	1
2	Passenger			2
3	Yard switching		3,807,045	3
4	TOTAL		54,292,964	4
5	Cost of fuel \$(000)		\$ 45,299	5
6	Work Train			6

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 755

Unit Train, Way Train, and through Train data under items 2,3,4, 6, and 12 shall be obtained from conductor's wheel reports (freight) or similar records. Unit train service is a specialized scheduled shuttle type service in equipment (Railroad or privately owned) dedicated to such service, moving between origin and destination. The applicable tariffs and/or contracts generally require that a specific minimum tonnage or quantity of carloads be tendered as a unit for shipment on one bill of lading or other shipping document in a solid train for movement between origin and destination. Such tariffs and/or contracts generally contain restricted detention provisions and are subject to time-volume requirements which reflect the approximate capacity of the unit trains for the stated period. Way Trains are defined as trains operated primarily to gather and distribute cars in road service and move them between way stations or way points. Through Trains are those trains operated between two or more major concentration or distribution points. Do not include Unit Train statistics in Way and Through Train statistics. A Work Train is a train operated solely or preponderantly for the purpose of transporting company freight, work equipment or company employees. Statistics for Work Trains should be reported under item 11 only. Statistics related to company equipment, company employees and company freight moving in transportation trains are not to be reported in item 11, but are to be reported in Items 4-17, 6-04, 7-02, and 8-04 and 8-05 as instructed in notes, I, K, and L.

(A) Report miles of road operated at close of year, excluding industrial tracks, yard tracks, and sidings.

(B) A train-mile is the movement of a train a distance of 1 mile. In computing train-miles, fractions representing less than one-half mile shall be disregarded and other fractions considered as 1 mile. Train-miles - Running shall be based on the actual distance run between terminals and/or stations and shall be computed from the official time tables or distance tables. Train-miles shall not be increased to cover the running of locomotives from shops to terminals, doubling hills, switching, or other work at way stations, or for the service of helper or pusher locomotives or of extra locomotives on double-head or triple-head trains. When the carrier's trains are detoured over foreign roads, the miles shall be computed on the basis of the miles actually run and in accordance with the service performed. Train-miles shall be kept separately for trains hauled by locomotives and trains moved by motorcars.

(C) A motorcar is a self-propelled unit of equipment designed to carry freight or passenger traffic, and is not considered a locomotive.

(D) A locomotive is self-propelled unit of equipment designed solely for moving other equipment. A locomotive unit-mile is a movement of a locomotive unit a distance of 1 mile under its own power. Include miles made by all locomotive units. Exclude miles made by motorcars. Miles of locomotives in helper service shall be computed on the basis of actual distance run in such service.

(E) All locomotives unit-miles in road service shall be based on the actual distance run between terminals and/or stations. Follow instructions (B) regarding fractions and official time tables for computing locomotive-miles.

(F) Train switching locomotive-miles shall be computed at the rate of 6 miles per hour for the time actually engaged in such service. Include miles allowed train locomotives for performing switching service at terminals and way stations.

(G) Yard switching locomotive-miles shall be computed at the rate of 6 miles per hour for the time actually engaged in yard switching service. Include miles allowed to yard locomotives for switching service in yards where regular switching service is maintained and in terminal switching and transfer service.

(H) Use car designations shown in Schedule 710. Under Railroad Owned and Leased cars, Items 4-01 and 4-11, report both foreign cars and respondents' own cars while on the line of the respondent railroad. In Items 4-13 and 4-15 report the private-line categories, miles for private-line cars (whether or not under railroad control) and shipper-owned cars. A car-mile is a movement of a unit of car equipment a distance of 1 mile. Report miles made by flatcars carrying loaded highway trailers or empty highway trailers moving under revenue billings as loaded freight car-miles and miles made by flatcars carrying other empty highway trailers as empty freight car-miles. Exclude miles made by motorcars and report miles made by business cars of other than reporting carrier as sleeping car-miles in Item 5-03. Report mail, express baggage cars and combination cars other than 5-02 combination cars, in Item 5-05.

(I) Exclude from Item 4-01, 4-11, 4-13, and 4-15 car-miles of work equipment, cars carrying company freight and no-payment cars moving in transportation trains. Include such car-miles in Item 4-17, 4-18, and 4-19. No payment car-miles are miles made by private-line cars (other than railroad controlled) and shipper-owned cars for which the railroad does not reimburse the owner on a loaded and/or empty miles basis. If the payment for the loaded miles includes the empty miles, the loaded and empty miles should not be considered no-payment car miles.

(J) Report miles actually run by passenger-train cars in transportation service. Passenger-train car-miles include miles run by coaches and cars in which passengers are carried at regular tariff fares without extra charge for space occupied; miles run by combination passenger and baggage, passenger and mail, passenger and express; miles run by sleeping, parlor and other cars for which an extra fare is charged; miles run by dining, cafe, and other cars devoted exclusively to the serving of meals and other refreshments and by club, lounge, and observation cars; and miles run by other passenger-train cars where where services are combined such as baggage, express and mail.

(K) From conductors' or dispatchers' train reports or other appropriate source, compute weight in tons, 2,000 pounds. Item 6-01 includes weight of all locomotive units moved 1 mile in transportation trains. Ton miles of motorcars should be excluded. Items 6-02 and 6-03 represent tons behind locomotive units (cars and contents, cabooses) moved one mile in transportation trains (excluding non-revenue gross ton-miles). Non-revenue gross ton-miles in transportation trains include work equipment and cars carrying company freight (and their contents). Use 150 lbs. as the average weight per passenger, and 4 tons as the average weight of contents of each head-end car.

(L) From conductors' train reports or other appropriate source, compute ton-miles of freight. Ton-miles represent the number of tons of revenue and non-revenue freight moved one mile in transportation train. Include net ton-miles in motorcar trains. Exclude I.C.I. shipment of freight handled in mixed baggage express cars. Total Ton-Miles Revenue Freight should correspond to the Ton-Miles reported on Form CBS.

(M) Road service represents elapsed time of transportation trains (both ordinary and light) between the time of leaving the initial terminals and the time at the final terminals, including trains switching at way stations and delays on road as shown by conductors' or dispatchers' train reports. Include time of motorcar service performed by train locomotives at terminals and way stations. Report in Item 9-02 train switching hours included in item 9-01. Train switching is the time spent by the train while performing switching service at terminals and way stations where no regular yard service is maintained. A train hour is independent of the number of locomotives in the train.

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 755 - CONCLUDED

(N) Yard switching hours are hours expended in switching service performed by yard crews in yards where regular switching service is maintained, including switching and transfer service in connection with the transportation of revenue and incidentally of company freight. Hours in yard switching are independent of the number of locomotives used.

(O) Work-train miles inside the miles run by trains engaged in company service such as official inspection, inspection trains for railway commissioners for which no revenue is received, trains running special with fire apparatus to save carrier's property destruction, trains run for transporting the carrier's employees to and from work when no transportation charge is made, wrecking trains; trains run solely for the purpose of transporting company material, trains run for distributing material and supplies for use in connection with operations, and all other trains used in work-train services. Exclude miles run by locomotives while engaged incidentally in switching company materials in company shops or material yards in connection with regular yard switching service or in switching equipment for repairs in between yards and shops

(P) The number of loaded freight cars shall be obtained from the conductors' wheel report and shall be the sum of all loaded cars handled by each train. For example, if a car moves loaded (1) in a way train from the origination points, (2) in two through trains, and (3) in a way train to the destination point, the total count of loaded cars would be four. Two counts for the movements in the way trains and two counts for the movements in through trains. Therefore, each car originated or received from a connecting carrier receives an initial count, plus one count for each subsequent physical transfer between trains on respondents lines. No additional count is given because of crew change or changes in track identification number unless there is a physical transfer of the car between trains. Each car moving under revenue billing shall be considered as a loaded car

(Q) Report vehicle (TOFC trailers/containers, automobiles and trucks) loaded and unloaded to and from TOFC and multiple level freight cars when the work is performed at the railroad's expense

(R) Report the number of loaded revenue trailers/containers picked up, plus revenue trailers/containers delivered in TOFC/COFC and in highway interchange service, when the work is performed at the railroads' expense (Performed at railroads's expense means that railroad employees perform the service or that the railroad hires a subsidiary or outside contractor to perform the service) Do not include those trailer/containers which are picked up or delivered by shipper or motor carrier etc. when a tariff provision requires the shipper-motor carrier etc. and not the railroad perform that service. Note: The count should reflect the trailer/containers for which expenses is reported in Schedule 417 Line 2 Column (b)

(S) Report under Manne Terminals, Item 16, the tons loaded onto and unloaded from manne vessels at the expense of the reporting railroad

(T) Report the total number of foreign railroad cars on line at the end of the year (except surplus cars, see below). Foreign railroad cars refers to freight cars owned by other railroads whose interline rental is settled on time (by hour) and actual line-haul mileage charges under the Code of Car Hire Rules

Carriers will be governed by local conditions in determining whether a car at an interchange point, should be considered "on line". Unserviceable cars include cars on repair tracks undergoing or awaiting repairs. They include cars on repair tracks repaired and awaiting switching, cars on repair tracks undergoing or awaiting repairs switching, cars awaiting movement to repair tracks held in train yard (Excluding cars which are to be repaired in train and without loss of time), car moving empty in trains en route to shop, and cars stored awaiting disposition

Surplus cars are cars which are in serviceable condition for loading on the last day of the year, but have not been placed for loading within 48 hours. This count can be an annual average based on weekly count of cars that have not been placed for loading within 48 hours.

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS

Line No	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
1		1 Miles of Road Operated (A)	2,701		1
		2 Train Miles - Running (B)	XXXXXX	XXXXXX	
2		2-01 Unit Trains	1,014,883	XXXXXX	2
3		2-02 Way Trains	1,417,029	XXXXXX	3
4		2-03 Through Trains	4,241,868		4
5		2-04 TOTAL TRAIN MILES (lines 2-4)	6,673,780		5
6		2-05 Motorcars (C)			6
7		2-06 TOTAL, ALL TRAINS (lines 5,6)	6,673,780		7
		3. Locomotive Unit Miles (D)	XXXXXX	XXXXXX	
		Road Service (E)	XXXXXX	XXXXXX	
8		3-01 Unit Trains	3,708,381	XXXXXX	8
9		3-02 Way Trains	3,235,101	XXXXXX	9
10		3-03 Through Trains	11,948,602		10
11		3-04 TOTAL (lines 8-10)	18,892,084		11
12		3-11 Train Switching (F)	39,294	XXXXXX	12
13		3-21 Yard Switching (G)	1,542,158		13
14		3-31 TOTAL ALL SERVICES (lines 11,12,13)	20,473,536		14
		4 Freight Car-Miles (thousands) (H)	XXXXXX	XXXXXX	
		4-01 RR Owned and Leased Cars-Loaded	XXXXXX	XXXXXX	
15		4-010 Box-Plain 40-Foot	1	XXXXXX	15
16		4-011 Box-Plain 50-Foot and Longer	2,123	XXXXXX	16
17		4-012 Box-Equipped	42,343	XXXXXX	17
18		4-013 Gondola-Plain	376	XXXXXX	18
19		4-014 Gondola-Equipped	4,286	XXXXXX	19
20		4-015 Hopper-Covered	17,098	XXXXXX	20
21		4-016 Hopper-Open Top-General Service	1,782	XXXXXX	21
22		4-017 Hopper-Open Top-Special Service	2,718	XXXXXX	22
23		4-018 Refrigerator-Mechanical	276	XXXXXX	23
24		4-019 Refrigerator-Non-Mechanical	473	XXXXXX	24
25		4-020 Flat-TOFC/COFC	8,582	XXXXXX	25
26		4-021 Flat-Multi-Level	101	XXXXXX	26
27		4-022 Flat-General Service	38	XXXXXX	27
28		4-023 Flat-All Other	4,742	XXXXXX	28
29		4-024 All Other Car Types-Total	3,257	XXXXXX	29
30		4-025 TOTAL (lines 15-29)	88,196	XXXXXX	30

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS - Continued

Line No	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
		4-11 RR Owned and Leased Cars-Empty	XXXXXX	XXXXXX	
31		4-110 Box-Plain 40-Foot	3	XXXXXX	31
32		4-111 Box-Plain 50-Foot and Longer	1,528	XXXXXX	32
33		4-112 Box-Equipped	31,027	XXXXXX	33
34		4-113 Gondola-Plain	390	XXXXXX	34
35		4-114 Gondola-Equipped	4,336	XXXXXX	35
36		4-115 Hopper-Covered	17,097	XXXXXX	36
37		4-116 Hopper-Open Top-General Service	1,723	XXXXXX	37
38		4-117 Hopper-Open Top-Special Service	3,370	XXXXXX	38
39		4-118 Refrigerator-Mechanical	152	XXXXXX	39
40		4-119 Refrigerator-Non-Mechanical	455	XXXXXX	40
41		4-120 Flat-TOFC/COFC	2,731	XXXXXX	41
42		4-121 Flat-Multi-Level	110	XXXXXX	42
43		4-122 Flat-General Service	34	XXXXXX	43
44		4-123 Flat-All Other	4,831	XXXXXX	44
45		4-124 All Other Car Types-Total	3,364	XXXXXX	45
46		4-125 TOTAL (lines 31-45)	71,151	XXXXXX	46
		4-13 Private Line Cars - Loaded (H)	XXXXXX	XXXXXX	
47		4-130 Box-Plain 40-Foot		XXXXXX	47
48		4-131 Box-Plain 50-Foot and Longer	1,747	XXXXXX	48
49		4-132 Box-Equipped	27	XXXXXX	49
50		4-133 Gondola-Plain	526	XXXXXX	50
51		4-134 Gondola-Equipped	1,327	XXXXXX	51
52		4-135 Hopper-Covered	31,485	XXXXXX	52
53		4-136 Hopper-Open Top-General Service	324	XXXXXX	53
54		4-137 Hopper-Open Top-Special Service	128	XXXXXX	54
55		4-138 Refrigerator-Mechanical	3	XXXXXX	55
56		4-139 Refrigerator-Non-Mechanical	3	XXXXXX	56
57		4-140 Flat-TOFC/COFC	21,029	XXXXXX	57
58		4-141 Flat-Multi-Level	976	XXXXXX	58
59		4-142 Flat-General Service	21	XXXXXX	59
60		4-143 Flat-All Other	2,892	XXXXXX	60
61		4-144 Tank Under 22,000 Gallons	11,126	XXXXXX	61
62		4-145 Tank-22,000 Gallons and Over	20,225	XXXXXX	62
63		4-146 All Other Car Types	262	XXXXXX	63
64		4-147 TOTAL (lines 47-63)	92,101	XXXXXX	64

## SCHEDULE 755 - RAILROAD OPERATING STATISTICS - Continued

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No
		4-15 Private Line Cars-Empty (H)	XXXXXX	XXXXXX	
65		4-150 Box-Plain 40-Foot		XXXXXX	65
66		4-151 Box-Plain 50-Foot and Longer	430	XXXXXX	66
67		4-152 Box-Equipped	39	XXXXXX	67
68		4-153 Gondola-Plain	605	XXXXXX	68
69		4-154 Gondola-Equipped	1,461	XXXXXX	69
70		4-155 Hopper-Covered	32,068	XXXXXX	70
71		4-156 Hopper-Open Top-General Service	338	XXXXXX	71
72		4-157 Hopper-Open Top-Special Service	156	XXXXXX	72
73		4-158 Refrigerator-Mechanical	3	XXXXXX	73
74		4-159 Refrigerator-Non-Mechanical	5	XXXXXX	74
75		4-160 Flat-TOFC/COFC	3,508	XXXXXX	75
76		4-161 Flat-Multi-Level	641	XXXXXX	76
77		4-162 Flat-General Service	5	XXXXXX	77
78		4-163 Flat-All Other	2,206	XXXXXX	78
79		4-164 Tank Under 22,000 Gallons	11,504	XXXXXX	79
80		4-165 Tank-22,000 Gallons and Over	20,801	XXXXXX	80
81		4-166 All Other Car Types	290	XXXXXX	81
82		4-167 TOTAL (lines 65-81)	74,060	XXXXXX	82
83		4-17 Work Equipment and Company Freight Car-Miles	2,576	XXXXXX	83
84		4-18 No Payment Car-Miles (I)*	108,451	XXXXXX	84
		4-19 Total Car-Miles by Train Type (Note)	XXXXXX	XXXXXX	
85		4-191 Unit Trains	122,028	XXXXXX	85
86		4-192 Way Trains	61,046	XXXXXX	86
87		4-193 Through Trains	253,461	XXXXXX	87
88		4-194 TOTAL (lines 85-87)	436,535	XXXXXX	88
89		4-20 Caboose Miles	20	XXXXXX	89

\* Total number of loaded miles \_\_\_\_\_ and empty miles \_\_\_\_\_ by roadtrailer reported above.

Note Line 88 total car miles is equal to the sum of Lines 30,46,64,82,83 and 84. Accordingly, the car miles reported on Lines 83 and 84 are to be allocated to Lines 85,86 and 87 and included in the total shown on Line 88.



## SCHEDULE 755 - RAILROAD OPERATING STATISTICS - Continued

Line No.	Cross Check	Item Description (a)	Freight train (b)	Passenger train (c)	Line No.
		6 Gross Ton-Miles (thousands) (K)	XXXXXX	XXXXXX	
98		6-01 Road Locomotives	3,506,981		98
		6-02 Freight Trains, Crs , Cnts , and Caboose	XXXXXX	XXXXXX	
99		6-020 Unit Trains	10,022,990	XXXXXX	99
100		6-021 Way Trains	4,512,392	XXXXXX	100
101		6-022 Through Trains	20,391,173	XXXXXX	101
102		6-03 Passenger-Trains, Crs , and Cnts			102
103		6-04 Non-Revenue	168,834	XXXXXX	103
104		6-05 TOTAL (lines 98-103)	38,602,370		104
		7 Tons of Freight (thousands)	XXXXXX	XXXXXX	
105		7-01 Revenue	62,481	XXXXXX	105
106		7-02 Non-Revenue	1,379	XXXXXX	106
107		7-03 TOTAL (lines 105,106)	63,860	XXXXXX	107
		8 Ton-Miles of Freight (thousands) (L)	XXXXXX	XXXXXX	
108		8-01 Revenue-Road Service	19,958,445	XXXXXX	108
109		8-02 Revenue-Lake Transfer Service		XXXXXX	109
110		8-03 TOTAL (lines 108,109)	19,958,445	XXXXXX	110
111		8-04 Non-Revenue-Road Service	126,167	XXXXXX	111
112		8-05 Non-Revenue-Lake Transfer Service		XXXXXX	112
113		8-06 TOTAL (lines 111,112)	126,167	XXXXXX	113
114		8-07 TOTAL-REVENUE AND NON-REVENUE (lines 110,113)	20,084,612	XXXXXX	114
		9. Train Hours (M)	XXXXXX	XXXXXX	
115		9-01 Road Service	338,229	XXXXXX	115
116		9-02 Train Switching	6,549	XXXXXX	116
117		10 TOTAL YARD-SWITCHING HOURS (N)	176,459	XXXXXX	117
		11. Train-Miles Work Trains (O)	XXXXXX	XXXXXX	
118		11-01 Locomotives	23,097	XXXXXX	118
119		11-02 Motorcars		XXXXXX	119
		12. Number of Loaded Freight Cars (P)	XXXXXX	XXXXXX	
120		12-01 Unit Trains	232,292	XXXXXX	120
121		12-02 Way Trains	477,140	XXXXXX	121
122		12-03 Through Trains	716,174	XXXXXX	122
123		13 TOFC/COFC-No. Rev Trlrs & Cntrs Load & Unload(Q)	294,678	XXXXXX	123
124		14 Multi-Level Cars-No. Motor Vhcls Load & Unload(Q)		XXXXXX	124
125		15. TOFC/COFC-No. of Rev Trlrs Picked Up and Dlvr(R)		XXXXXX	125
		16. Revenue Tons-Manne Terminal (S)	XXXXXX	XXXXXX	
126		16-01 Marine Terminals-Coal		XXXXXX	126
127		16-02 Manne-Terminals-Ore		XXXXXX	127
128		16-03 Manne Terminals-Other		XXXXXX	128
129		16-04 TOTAL (lines 126-128)		XXXXXX	129
		17 Number of Foreign Per Diem Cars on Line (T)	XXXXXX	XXXXXX	
130		17-01 Serviceable	4,700	XXXXXX	130
131		17-02 Unserviceable	19	XXXXXX	131
132		17-03 Surplus		XXXXXX	132
133		17-04 TOTAL (lines 130-132)	4,719	XXXXXX	133

## VERIFICATION

The foregoing report shall be verified by the oath of the officer having control of the accounting of the respondent. This report shall also be verified by the oath of the president or other chief officer of the respondent, unless the respondent states that such officer has no control over the respondent's accounting and reporting.

## OATH

(To be made by the officer having control of the accounting of the respondent)

State of MISSOURI

County of JACKSON

GENE M. GOODE

(Insert here name of the affiant)

makes oath and says that he is

ASST VICE PRESIDENT & ASST COMPTROLLER

(Insert here the official title of the affiant)

Of

THE KANSAS CITY SOUTHERN RAILWAY COMPANY

(Insert here the exact legal title or name of the respondent)

that it is his duty to have supervision over the books of accounts of the respondent and to control the manner in which such books are kept; that he knows that such books have been kept in good faith during the period covered by this report; that he knows that the entries contained in this report relating to accounting matters have been prepared in accordance with the provisions of the Uniform System of Accounts for Railroads and other accounting and reporting directives this Commission; that he believes that all other statements of fact contained in this report are true, and that this is a correct and complete statement, accurately taken from the books and records, of the business and affairs of the above - named respondent during the period of time from and including

JANUARY 1

, 20 00

to and including

DECEMBER 31

, 20 00

*Gene M. Goode*  
(Signature of affiant)

Subscribed and sworn to before me, a

NOTARY PUBLIC

in and for the state and

county above named, this

30 th

day of

March

, 20

01

My commission expires

9/28/01

Use an

L.S.

impression seal

*Barbara Matthews*  
(signature of officer authorized to administer oaths)

## SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of MISSOURI

County of JACKSON

LOUIS G. VAN HORN

(Insert here name of the affiant)

makes oath and says that he is

VICE PRESIDENT & COMPTROLLER

(Insert here the official title of the affiant)

Of

THE KANSAS CITY SOUTHERN RAILWAY COMPANY

(Insert here the exact legal title or name of the respondent)

that he has carefully examined the foregoing report; that he believes that all statements of fact contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above - named respondent and the operations of its property during the period of time from and including

JANUARY 1

, 20 00

to and including

DECEMBER 31

, 20 00

*Louis G. Van Horn*  
(Signature of affiant)

Subscribed and sworn to before me, a

NOTARY PUBLIC

in and for the state and

county above named, this

30 th

day of

March

, 20

01

My commission expires

9/28/01

Use an

L.S.

impression seal

*Barbara Matthews*  
(signature of officer authorized to administer oaths)

**MEMORANDA  
(FOR USE OF COMMISSION ONLY)  
CORRESPONDENCE**

[illegible]

## CORRECTIONS

[illegible]

### EXPLANATORY REMARKS

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