

RC-117000

NORFOLK WESTERN

1981

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RC 117000

ORIGINAL

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**R-1**

CLASS I RAILROADS

APPROVED BY GAO

B-180230 (R0470)

EXPIRES 3-31-83

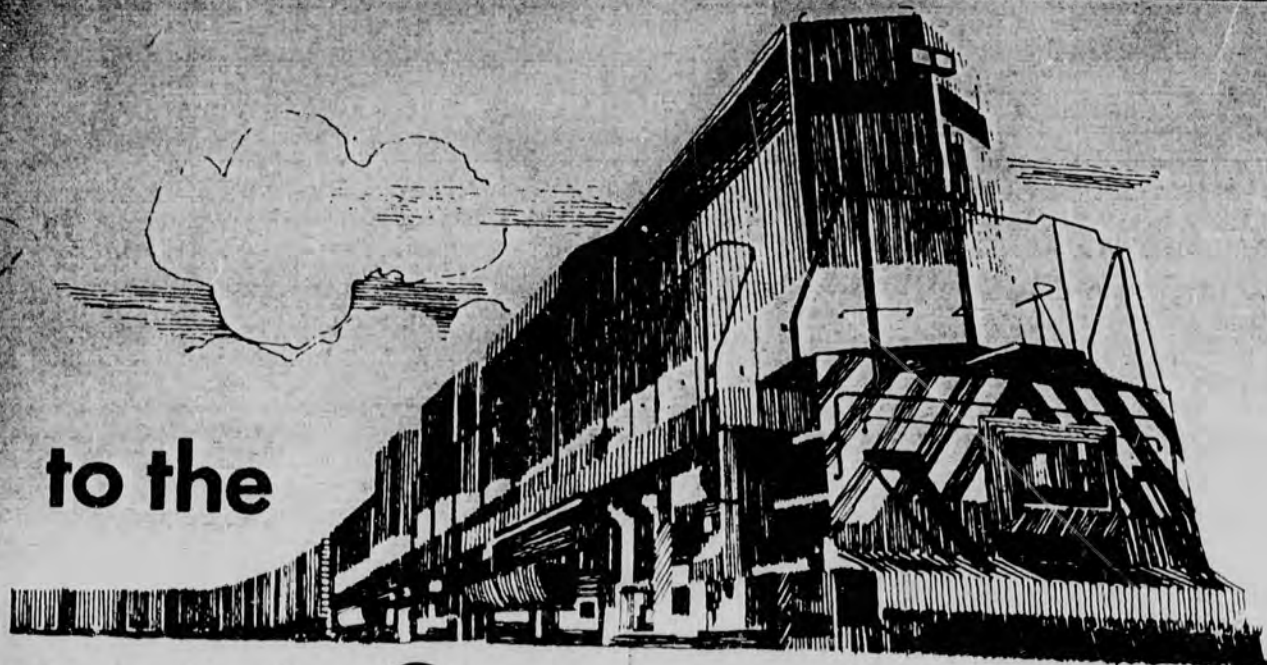
# annual report

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MAR 31 1982

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NORFOLK AND WESTERN RAILWAY COMPANY  
8 NORTH JEFFERSON STREET  
ROANOKE, VIRGINIA 24042



to the

## Interstate Commerce Commission

FOR THE YEAR ENDED DECEMBER 31, 1981

# NOTICE

1. This Form for annual report should be filed out in duplicate and two copies returned to the Interstate Commerce Commission, Bureau of Accounts, P.O. Box 2040, Rockville Pike Station, Rockville, MD. 20852, by March 31, of the year following that for which the report is made. One copy should be retained in respondent's files. Attention is specially directed to the following provisions of Part I of the Interstate Commerce Act:

(49 U.S.C. 11145) The Commission is hereby authorized to require annual, periodical, or special reports from carriers, lessors, \* \* \* (as defined in this section), to prescribe the manner and form in which such reports shall be made, and to require from such carriers, lessors, \* \* \* specific and full, true, and correct answers to all questions upon which the Commission may deem information to be necessary, classifying such carriers, lessors, \* \* \* as it may deem proper for any of these purposes. Such annual reports shall give an account of the affairs of the carrier, lessor, \* \* \* in such form and detail as may be prescribed by the Commission.

(11145) Said annual reports shall contain all the required information for the period of twelve months ending on the 31st day of December in each year, unless the Commission shall specify a different date, and shall be made out under oath and filed with the Commission at its office in Washington within three months after the close of the year for which report is made, unless additional time be granted in any case by the Commission.

(11144) Any person who shall knowingly and willfully make, cause to be made, or participate in the making of, any false entry in any annual or other report required under this section to be filed, \* \* \* or shall knowingly or willfully file with the Commission any false report or other document, shall be deemed guilty of a misdemeanor and shall be subject, upon conviction in any court of the United States of competent jurisdiction, to a fine of not more than five thousand dollars or imprisonment for not more than two years, or both such fine and imprisonment: \* \* \*

(11901) Any carrier or lessor, \* \* \* or any officer, agent, employee or representative thereof, who shall fail to make and file an annual or other report with the Commission within the time fixed by the Commission, or to make specific and full, true, and correct answer to any question within thirty days from the time it is lawfully required by the Commission so to do, shall forfeit to the United States the sum of one hundred dollars for each and every day it shall continue to be in default with respect thereto.

(11141) As used in this section \* \* \* the term "carrier" means a common carrier subject to this part, and includes a receiver or trustee of such carrier; and the term "lessor" means a person owning a railroad, a water line, or a pipe line, leased to and operated by a common carrier subject to this part, and includes a receiver or trustee of such lessor, \* \* \*

The respondent is further required to send to the Bureau of Accounts immediately upon preparation, two copies of its latest annual report to stockholders. See schedule B, page 2.

2. The instructions in this Form should be carefully observed, and each question should be answered fully and accurately, whether it has been answered in a previous annual report or not. Except in cases where they are specifically authorized, cancellations, arbitrary check marks, and the like should not be used either as partial or as entire answers to inquiries. If any inquiry, based on a preceding inquiry in the present report form is, because of the answer rendered to such preceding inquiry, inapplicable to the person or corporation in whose behalf the report is made, such notation as "Not applicable; see page \_\_\_\_\_, schedule (or line) number \_\_\_\_\_" should be used in answer thereto, giving precise reference to the portion of the report showing the facts which make the inquiry inapplicable. Where the word "none" truly and completely states the fact, it should be given as the answer to any particular inquiry or any particular portion of an inquiry. Where dates are called for, the month and day should be stated as well as the year. Customary abbreviations may be used in stating dates.

3. Every annual report should, in all particulars, be complete in itself, and references to the returns of former years should not be made to take the place of required entries except as herein otherwise specifically directed or authorized.

4. If it be necessary or desirable to insert additional statements, typewritten or other, in a report, they should be legibly made on durable paper and, wherever practicable, on sheets not larger than a page of the Form. Inserted sheets should be securely attached, preferably at the inner margin; attachment by pins or clips is insufficient.

5. All entries should be made in a permanent black ink. Those of a contrary character should be indicated in parenthesis.

6. Money items, except averages, throughout the annual report form should be shown in thousands of dollars adjusted to accord with footings. Totals for amounts reported in subsidiary accounts included in supporting schedules must be in agreement with related primary accounts. For purposes of rounding, amounts of \$500 but less than \$1,000 should be raised to the nearest thousand dollars, and amounts of less than \$500 should be lowered.

7. Railroad corporations, mainly distinguished as operating companies and lessor companies, are for the purpose of report to the Interstate Commerce Commission divided into classes. An operating company is one whose officers direct the business of transportation and whose books contain operating as well as financial accounts; and, a lessor company, the property of which being leased to and operated by another company, is one that maintains a separate legal existence and keeps financial but not operating accounts. In making reports, lessor companies use Annual Report Form R-4.

Operating companies are broadly classified, with respect to their operating revenues, according to the following general definitions:

Class I companies are those having annual operating revenues of \$50,000,000, or more. For this class, Annual Report Form R-1 is provided.

Class II companies are those having annual operating revenues less than \$50,000,000 but in excess of \$10,000,000. For this class, Annual Report Form R-2 is provided.

Class III companies are those having annual operating revenues of \$10,000,000, or less. For this class, Annual Report Form R-3 is provided.

All switching and terminal companies will be designated class III railroads.

8. Except where the context clearly indicates some other meaning, the following terms when used in this Form have the meanings below stated:

Commission means the Interstate Commerce Commission. Respondent means the person or corporation in whose behalf the report is made. The Year means the year ended December 31 for which the report is made. The Close of the Year means the close of business on December 31 of the year for which the report is made; or, in case the report is made for a shorter period than one year, it means the close of the period covered by the report. The Beginning of the Year means the beginning of business on January 1 of the year for which the report is made; or, in case the report is made for a shorter period than one year, it means the beginning of the period covered by the report. The Preceding Year means the year ended December 31 of the year next preceding the year for which the report is made. The Uniform System of Accounts for Railroad Companies means the system of accounts in Part 1201 of Title 49, Code of Federal Regulations, as amended.



# ANNUAL REPORT

OF

Norfolk and Western Railway Company

TO THE

**INTERSTATE COMMERCE COMMISSION**

FOR THE

**YEAR ENDED DECEMBER 31, 1981**

\_\_\_\_\_  
Name, official title, telephone number, and office address of officer in charge of correspondence with the Commission regarding this report:

(Name) Jean Jones (Title) Vice President and Comptroller(Telephone number) 703 981-4424  
(Area code) (Telephone number)(Office address) 8 North Jefferson Street, Roanoke, Virginia 24042  
(Street and number, city, State, and ZIP code)



## SPECIAL NOTICE

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The attention of the respondent is directed below to certain particulars, if any, in which this report form differs from the corresponding form for the preceding year. It should be understood that mention is not made of necessary substitutions of dates or, in general, such other things as simple modifications intended to make requirements clearer, other minor adjustments, and typographical corrections.

Revisions to this report resulted from the following Commission's decisions copies of which were served on all railroads:

Docket

Title

Decision Date

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## ESTIMATE OF REPORTING BURDEN

In order to monitor carrier reporting burden and to satisfy OMB requirements pursuant to Public Law 96-511, it is requested that you voluntarily furnish your best estimate of the number of hours required to complete this report.

In making this estimate, please include the number of hours attributable to preparing the report and for any special compilations contained in this report that would not generally be maintained or used by management for purposes other than reporting to this Commission.

Total hours (Estimated) 1628

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Note: Schedules lined out in accordance with ICC Docket No. 38590, served March 11, 1982.

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## A. SCHEDULES OMITTED BY RESPONDENT

1. The respondent, at its option, may omit pages from this report provided there is nothing to report or the schedules are not applicable.

2. Show below the pages excluded and indicate the schedule number and title in the space provided below.

3. If no schedules were omitted indicate "NONE".

Page	Schedule No.	Title
	NONE	

**B. IDENTITY OF RESPONDENT**

Answers to the questions asked should be made in full, without reference to data returned on the corresponding page of previous reports. In case any *changes* of the nature referred to under inquiry 4 on this page have taken place during the year covered by this report, they *should be explained in detail*.

1. Give in full the exact name of the respondent. Use the words "The" and "Company" only when they are parts of the corporate name. Be careful to distinguish between *railroad* and *railway*. The corporate name should be given uniformly throughout the report, notably on the cover, on the title page, and in the "Verification." If the report is made by receivers, trustees, a committee of bondholders, or individuals otherwise in possession of the property, state names and facts with precision.

2. If incorporated under a special charter, give date of passage of the act; if under a general law, give date of filing certificate of organization; if a reorganization has been effected, give date of reorganization. If a receivership or other trust, give also date when such receivership or other possession began. If a partnership, give date of formation and also names in full of present partners.

3. State the occasion for the reorganization, whether by reason of foreclosure of mortgage or otherwise, according to the fact. Give date of organization of original corporation and refer to laws under which organized.

1. Exact name of common carrier making this report Norfolk and Western Railway Company
2. Date of incorporation January 15, 1896
3. Under laws of what Government, State, or Territory organized? If more than one, name all. If in bankruptcy, give court of jurisdiction and dates of beginning of receivership or trusteeship and of appointment of receivers or trustees
- Organized September 24, 1896, under Act of General Assembly of Virginia,  
approved January 15, 1896.
4. If the respondent was reorganized during the year, involved in a consolidation or merger, or conducted its business under a different name, give full particulars.
- None

**STOCKHOLDERS REPORTS**

5. The respondent is required to send to the Bureau of Accounts, immediately upon preparation, two copies of its latest annual report to stockholders.

Check appropriate box:

- ☒ Two copies are attached to this report.
- ☐ Two copies will be submitted \_\_\_\_\_  
(date)
- ☐ No annual report to stockholders is prepared.

## C. VOTING POWERS AND ELECTIONS

1. State the par value of each share of stock: Common, \$ 8.33-1/3 per share; first preferred, \$ \_\_\_\_\_ per share; second preferred, \$ \_\_\_\_\_ per share; debenture stock, \$ \_\_\_\_\_ per share. See note, Pg. 4D.
2. State whether or not each share of stock has the right to one vote; if not, give full particulars in a footnote See note, Pg. 4D.
3. Are voting rights proportional to holdings? Yes If not, state in a footnote the relation between holdings and corresponding voting rights.
4. Are voting rights attached to any securities other than stock? No If so, name in a footnote each security, other than stock to which voting rights are attached (as of the close of the year), and state in detail the relation between holdings and corresponding voting rights, stating whether voting rights are actual or contingent, and if contingent showing the contingency.
5. Has any class or issue of securities any special privileges in the election of directors, trustees, or managers, or in the determination of corporate action by any method? No If so, describe fully in a footnote each such class or issue and give a succinct statement showing clearly the character and extent of such privileges.
6. Give the date of the latest closing of the stock book prior to the actual filing of this report, and state the purpose of such closing \_\_\_\_\_  
Stock books do not close
7. State the total voting power of all security holders of the respondent at the date of such closing, if within one year of the date of such filing; if not, state as of the close of the year. 32,747,396 votes, as of December 31, 1981  
(Date)
8. State the total number of stockholders of record, as of the date shown in answer to inquiry No. 7. 66,626 stockholders.
9. Give the names of the thirty security holders of the respondent who, at the date of the latest closing of the stock book or compilation of list of stockholders of the respondent (if within 1 year prior to the actual filing of this report), had the highest voting powers in the respondent, showing for each his address, the number of votes which he would have had a right to cast on that date had a meeting then been in order, and the classification of the number of votes to which he was entitled, with respect to securities held by him, such securities being classified as common stock, second preferred stock, first preferred stock, and other securities, stating in a footnote the names of such other securities (if any). If any such holder held in trust, give (in a footnote) the particulars of the trust. In the case of voting trust agreements give, as supplemental information the names and addresses of the thirty largest holders of the voting trust certificates and the amount of their individual holdings. *If the stock book was not closed or the list of stockholders compiled within such year, show such thirty security holders as of the close of the year.*

Line No.	Name of security holder	Address of security holder	Number of votes to which security holder was entitled	NUMBER OF VOTES, CLASSIFIED WITH RESPECT TO SECURITIES ON WHICH BASED		
				Stocks		
				Common (d)	Second (e)	First (f)
1	Bankers Trust Company	New York, NY	2,384,777	Breakdown by nominee on		Pg. 4
2	Morgan Guaranty Trust Company	New York, NY	1,792,114	" "	" "	" 4
3	Manufacturers Hanover Trust Co.	New York, NY	1,492,822	" "	" "	" 4A
4	Merrill Lynch, Pierce, Fenner & Smith, Inc.	New York, NY	778,128	" "	" "	" 4A
5	The First National Bank of Chicago	Chicago, IL	766,253	" "	" "	" 4A
6	The Chase Manhattan Bank NA	New York, NY	755,082	" "	" "	" 4A
7	Harris Trust & Savings Bank	Chicago, IL	560,695	" "	" "	" 4A
8	Pittsburgh National Bank	Pittsburgh, PA	514,945	" "	" "	" 4A
9	Industrial Commission of Ohio	Columbus, OH	500,000	-	-	- 4B
10	Bank of New York	New York, NY	459,634	Breakdown by nominee on		Pg. 4B
11	Citibank	New York, NY	416,201	" "	" "	" 4B
12	United States Trust Company	New York, NY	345,569	" "	" "	" 4B
13	Mellon National Bank & Trust Co.	Pittsburgh, PA	323,021	" "	" "	" 4B
14	State Street Bank and Trust	Boston, MA	320,908	" "	" "	" 4B
15	Boston Safe Deposit & Trust Co.	Boston, MA	318,358	" "	" "	" 4B
16	State of Texas	Austin, TX	249,900	" "	" "	" 4C
17	Continental Illinois Natl. Bk. & Tr. Co.	Chicago, IL	249,002	" "	" "	" 4C
18	Northern Trust Company	Chicago, IL	248,061	" "	" "	" 4C
19	First and Merchants National Bank	Richmond, VA	245,298	" "	" "	" 4C
20	Irving Trust Company	New York, NY	227,470	" "	" "	" 4C
21	Ohio PERS	Columbus, OH	225,000	-	-	- 4C
22	Pennsylvania Company	Philadelphia, PA	213,596	Breakdown by nominee on		Pg. 4C
23	Chemical Bank	New York, NY	210,542	" "	" "	" 4C
24	Dean Witter Reynolds, Inc.	New York, NY	206,727	" "	" "	" 4D
25	Tenret & Co.	New York, NY	200,000	-	-	- 4D
26	Bradford Trust Company	New York, NY	198,640	Breakdown by nominee on		Pg. 4D
27	Bank of America NT & SA	Los Angeles, CA	194,425	" "	" "	" 4D
28	Wells Fargo Bank NA	San Francisco, CA	194,114	" "	" "	" 4D
29	United Virginia Bank	Richmond, VA	177,775	" "	" "	" 4D
30	Security Pacific National Bank	Los Angeles, CA	169,070	" "	" "	" 4D



## C. VOTING POWERS AND ELECTIONS - Continued

10. State the total number of votes cast at the latest general meeting for the election of directors of the respondent. 27,222,370  
votes cast.

11. Give the date of such meeting. May 14, 1981

12. Give the place of such meeting. Roanoke, Virginia

## NOTES AND REMARKS

Pursuant to Notice of the Interstate Commerce Commission, Bureau of Accounts, dated February 14, 1974, registered holders are listed under banks or other institutions for which the registered holder acts as Nominee:

Common StockLine 1: Bankers Trust Company:

Addl Co.	300
Batrus & Co.	2,175
Cede & Co.	2,319,037
Eddy & Co.	300
Farnum & Co.	1,290
Forbank & Co.	1,200
Guadi & Co.	700
Infid & Co.	3,900
Salkeld & Co.	52,375
Subse & Co.	<u>3,500</u>
	2,384,777

Line 2: Morgan Guaranty Trust Company:

Bicher & Co.	300
Bucher & Co.	1,200
Carr & Co.	2,050
Carson & Co.	60,360
Cede & Co.	291,267
Douglass & Co.	383,200
Gendy & Co.	33,240
Ince & Co.	15,426
Kelly & Co.	11,180
Kingsley & Co.	239,040
Lowell & Co.	3,987
Lynn & Co.	95,000
Powers & Co.	2,712
Reing & Co.	2,357
Scheu & Co.	10,255
Schmidt & Co.	300
Scott & Co.	3,660
Shaw & Co.	5,791
Stanley & Co.	91,226
Tegge & Co.	531,389
Tepo & Co.	4,300
Tewes & Co.	3,175
Zink & Co.	<u>699</u>
	1,792,114*

\* Total includes 552,788 shares held for Trustees of NW Thrift Plan, 229,935 shares held for Trustees of NW Tax Reduction Act Stock Ownership Plan, and 91,226 shares held in NW Dividend Reinvestment Plan.

(Continued on Page 4A)

## FOOTNOTES

(Continued from Page 4)

Common StockLine 3: Manufacturers Hanover Trust Company:

Bird & Co.	2,400
Cede & Co.	1,469,269
Harrigan & Co.	27
Sigler & Co.	<u>21,126</u>
	1,492,822

Line 4: Merrill Lynch, Pierce, Fenner & Smith, Inc.:

Cede & Co.	615,524
Merrill Lynch, Pierce, Fenner & Smith, Inc.	5,031
Kray & Co.	48,217
Pacific & Co.	<u>108,656</u>
	778,128

Line 5: The First National Bank of Chicago:

Eagle Co.	16,632
Finat & Co.	531
Kray & Co.	90,100
Mig & Co.	19,700
Monroe & Co.	636,890
Olen & Co.	<u>2,400</u>
	766,253

Line 6: The Chase Manhattan Bank NA:

Cede & Co.	742,170
Cudd & Co.	5,099
Egger & Co.	7,213
Kane & Co.	<u>600</u>
	755,082

Line 7: Harris Trust & Savings Bank:

Act & Co.	400
Gale & Co.	24,300
Hims & Co.	24,400
Kray & Co.	<u>511,595</u>
	560,695

Line 8: Pittsburgh National Bank:

Adler & Co.	500
Alder & Co.	5,868
Beech & Co.	43,988
Cedar & Co.	68,900
Elm & Co.	215,710
Holly & Co.	200
Lock Haven Co.	505
Maple & Co.	<u>179,274</u>
	514,945

(Continued on Page 4B)

## FOOTNOTES

(Continued from Page 4A)

Common StockLine 9: Industrial Commission of Ohio:

Treasurer, State of Ohio

500,000

Line 10: Bank of New York:

Becker &amp; Co.

2,000

Cede &amp; Co.

455,634

Hare &amp; Co.

2,000

459,634

Line 11: Citibank:

Cede &amp; Co.

412,701

Sweeney &amp; Co.

3,500

416,201

Line 12: United States Trust Company:

Atwell &amp; Co.

65,395

Cede &amp; Co.

279,174

Heil &amp; Co.

1,000

345,569

Line 13: Mellon National Bank & Trust Company:

Cede &amp; Co.

323,021

Line 14: State Street Bank and Trust:

Andover Companies

5,000

Barhemco

200

Cede &amp; Co.

306,206

Dane &amp; Co.

279

Gerrish &amp; Co.

150

Hunter &amp; Co.

900

Marvin &amp; Co.

270

Rycu &amp; Co.

1,900

Spauldco

4,500

State &amp; Co.

243

Watters &amp; Co.

1,260

320,908

Line 15: Boston Safe Deposit & Trust Company:

Cede &amp; Co.

306,318

Charity &amp; Co.

5,000

Cranberry &amp; Co.

2,500

Hillocks &amp; Co.

4,540

318,358

(Continued on Page 4C)



## FOOTNOTES

(Continued from Page 4B)

Common StockLine 16: State of Texas:

State Employees Retirement System	174,700
State Permanent School Fund	<u>75,200</u>
	249,900

Line 17: Continental Illinois National Bank & Trust Co.:

Kray & Co.	249,002
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Line 18: Northern Trust Co.:

Inman & Co.	300
Kray & Co.	<u>247,761</u>
	248,061

Line 19: First and Merchants National Bank:

Cash & Co.	1,973
Cede & Co.	237,220
Map & Co.	<u>6,105</u>
	245,298

Line 20: Irving Trust Company:

Cede & Co.	224,745
Orrin Henry & Co.	500
Pert & Co.	<u>2,225</u>
	227,470

Line 21: Ohio PERS:

C-O Public Employee Retirement Board of Ohio	225,000
--	---------

Line 22: Pennsylvania Co.:

C-O Gunther C. Holpp	213,596
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Line 23: Chemical Bank:

Cede & Co.	91,795
Cummings & Co.	2,900
C A England & Co.	25,131
Jenkins & Co.	15,443
Merrick & Co.	4,899
J C Orr & Co.	35,935
Rommel & Co.	2,000
Secnat Co.	4,600
H A Whitten & Co.	<u>27,839</u>
	210,542

(Continued on Page 4D)

## FOOTNOTES

(Continued from Page 4C)

Common StockLine 24: Dean Witter Reynolds Inc.:

Cede & Co.	201,431
Dean Witter Reynolds Inc.	1,389
Pacific & Co.	<u>3,907</u>
	206,727

Line 25: Tenret & Co.:

Tennessee Consol. Retirement System	200,000
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Line 26: Bradford Trust Company:

Cede & Co.	188,372
Hudd & Co.	10,000
Shank & Co.	<u>268</u>
	198,640

Line 27: Bank of America NT & SA:

Pacific & Co.	194,425
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Line 28: Wells Fargo Bank NA:

Benstan & Co.	4,100
Calmont & Co.	300
Cede & Co.	<u>189,714</u>
	194,114

Line 29: United Virginia Bank:

Cede & Co.	175,325
Gilsac & Co.	1,000
Hamac & Co.	<u>1,450</u>
	177,775

Line 30: Security Pacific National Bank:

Calpers & Co.	100,000
Cedco	600
Fosbi	2,000
Lobe & Co.	34,600
Pacific & Co.	<u>31,870</u>
	169,070

Schedule C - Page 3 (Notes)

Item 1. Par value per share: Common \$8.33-1/3

Item 2. Each share has right to one vote, except stock held in Treasury, stock held in trust by Dereco, Inc., and stock held in respondent's pension fund.

**200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - ASSETS**

Line No.	Account No.	Title (a)	Balance at Close of Year (b)	Balance at Beginning of Year (c)
		<u>Current Asset</u>	\$	\$
1	701	Cash	8,510	15,456
2	702	Temporary Cash Investments (Sch. 300)	418,495	264,799
3	703	Special Deposits (Sch. 300)	601	428
		Accounts Receivable		
4	705	- Interline and Other Balances	9,391	4,713
5	706	- Customers	115,049	72,433
6	707, 704	- Other	21,693	22,251
7	709, 708	- Accrued Accounts Receivables	106,841	104,028
8	708.5	- Receivables from Affiliated Companies	16,292	7,485
9	709.5	- Less: Allowance for Uncollectible Accounts	3,124	500
10	710, 711, 714	Working funds prepayments deferred income tax debits (Sch. 300)	27,839	28,959
11	712	Materials and Supplies	60,957	70,258
12	713	Other Current Assets (Sch. 300)	2,471	1,933
13		Total Current Assets	785,015	592,243
		<u>Other Assets</u>		
14	715, 716, 717, 722, 723, 724	Special Funds and Other Investments and advances (Sch. 315)	70,945	80,618
15	721, 721.5	Investments and Advances: Affiliated Companies (Sch. 310)	396,229	344,915
16	737, 738	Property used in other than Carrier Operations (less depreciation \$ 6,695 ). (Sch. 325)	14,033	13,565
17	739, 741	Other Assets (Sch. 329)	72,318	43,762
18	743, 744	Other Deferred Debits (Sch. 329)	3,628	8,282
19		Total Other Assets	557,153	491,142
		<u>Road and Equipment</u>		
20	731, 732	Road (Sch. 330 & 330A)	1,091,323	1,039,546
21		Equipment	1,644,370	1,595,896
22		Unallocated Items	96,787	100,022
23	733, 734, 735, 736	Accumulated Depreciation and amortization (Schs. 335, 351, 342, 339)	(920,330)	(889,980)
24		Net road and Equipment	1,912,150	1,845,484
25		Total Assets	3,254,318	2,928,869

**NOTES AND REMARKS**



## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - LIABILITIES AND SHAREHOLDERS' EQUITY

Line No.	Account No.	Title (a)	Balance at Close of Year (b)	Balance at Beginning of Year (c)
		<u>Current Liabilities</u>	\$	\$
26	751	Loans and Notes Payable (Sch. 370)		
27	752	Accounts Payable; Interline and Other Balances	438	2,797
28	753, 754	Other Accounts Payable	27,205	29,808
29	755, 756	Interest and Dividends Payable	8,819	10,205
30	757	Payables to Affiliated Companies	4,157	1,576
31	759	Accrued accounts Payable (Sch. 370)	167,565	155,688
32	760, 761, 761.5, 762	Taxes Accrued (Sch. 370)	53,163	45,961
33	763	Other Current Liabilities (Sch. 370)	35,184	48,822
34	764	Equipment obligations and other long-term debt due within one year	55,794	60,558
35		Total Current Liabilities	352,325	355,415
		<u>Non Current Liabilities</u>		
36	765, 767	Funded debt unmatured	118,726	148,015
37	766	Equipment obligations	299,443	307,741
38	766.5	Capitalized Lease Obligations	11,136	534
39	768	Debt in default		
40	769	Accounts payable; Affiliated Companies	29,883	25,824
41	770.1, 770.2	Unamortized debt premium	(248)	(250)
42	781	Interest in default		
43	783	Deferred revenues-Transfers from Government Authorities		
44	786	Accumulated deferred income tax credits	526,038	407,981
45	771, 772, 774, 775, 782, 784	Other long-term liabilities and deferred credits (Sch. 379)	102,473	104,728
46		Total Noncurrent Liabilities	1,087,451	994,573
		<u>Shareholders' Equity</u>		
47	791, 792	Capital Stock: (Sch. 230)	281,263	281,271
48		Common Stock	281,263	281,271
49		Preferred Stock		
50	793	Discount on Capital Stock		
51	794, 795	Additional Capital (230)	131,141	131,133
		Retained Earnings:		
52	797	Appropriated (221)	17,236	17,236
53	798	Unappropriated (220)	1,423,431	1,216,968
54	798.1	Net Unrealized loss on noncurrent marketable equity securities		
55	798.5	Less Treasury Stock	38,529	67,727
56		Net Stockholders Equity	1,814,542	1,578,881
57		Total Liabilities and Shareholders Equity	3,254,318	2,928,869

## NOTES AND REMARKS

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES

The notes listed below are provided for the purpose of disclosing supplementary information concerning matters which have an important effect on the financial condition of the carrier. The carrier shall give the particulars called for herein and where there is nothing to report, insert the word "none"; and in addition thereto shall enter in separate notes with suitable particulars other matters involving material amounts of the character commonly disclosed in financial statements under generally accepted accounting and reporting principles, except as shown in other schedules. This includes statements

explaining (1) service interruption insurance policies and indicating the amount of indemnity to which respondent will be entitled for work stoppage losses and the maximum amount of additional premium respondent may be obligated to pay in the event such losses are sustained by other railroads; (2) particulars concerning obligations for stock purchase options granted to officers and employees; and (3) what entries have been made for net income or retained income restricted under provisions of mortgages and other arrangements. (Dollars in thousands).

1. Amount (estimated, if necessary) of net income or retained income which has to be provided for capital expenditures, and for sinking and other funds pursuant to provisions of reorganization plans, mortgages, deeds of trust, or other contracts Not Applicable \$

2. Estimated amount of future earnings which can be realized before paying Federal income taxes because of unused and available net operating loss carryover on January 1 of the year following that for which the report is made \$ NONE

3. (a) Explain the procedure in accounting for pension funds and recording in the accounts the current and past service pension costs, indicating whether or not consistent with the prior year: See Page 8.

(b) State amount, if any, representing the excess of the actuarially computed value of vested benefits over the total of the pension fund. See Page 8. \$

(c) Is any part of pension plan funded? Specify. Yes X No Not Applicable

(i) If funding is by insurance, give name of insuring company See Page 8A.

(ii) If funding is by trust agreement, list trustee(s) See Page 8A.

Date of trust agreement or latest amendment See Page 8A.

If respondent is affiliated in any way with the trustee(s), explain affiliation: See Page 8A.

(d) List affiliated companies which are included in the pension plan funding agreement and describe basis for allocating charges under the agreement See Page 8A.

(e) (i) Is any part of the pension plan fund invested in stock or other securities of the respondent or any of its affiliates? Specify.

Yes X No

If yes, give number of the shares for each class of stock or other security: See Page 8A.

(ii) Are voting rights attached to any securities held by the pension plan? Specify. Yes X No If yes, who determines how stock is voted? See Page 8A.

4. State whether a segregated political fund has been established as provided by the Federal Election Campaign Act of 1971 (18 U.S.C. 610). YES NO X

5. (a) The amount of employers contribution to employee stock ownership plans for the current year was \$ NONE

(b) The amount of investment tax credit used to reduce current income tax expense resulting from contributions to qualified employee stock ownership plans for the current year was \$ 2.157 million.

6. Specify the total amount of business entertainment expenditures charged to the non-operating expense account \$ NONE

Continued on following page

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES (Concluded)

Marketable Equity Securities - to be completed by companies with \$10.0 million or more in gross operating revenues.

## (a) Changes in Valuation Accounts

	Cost	Market	Dr. (Cr) to Income	Dr. (Cr) to Stockholders Equity
(Current Yr.) Current Portfolio _____				XXXXX
as of / / Noncurrent Portfolio _____			XXXXX	\$
(Previous Yr.) Current Portfolio _____			XXXXX	XXXXX
as of / / Noncurrent Portfolio _____			XXXXX	XXXXX

(b) At / / , gross unrealized gains and losses pertaining to marketable equity securities were as follows:

	Gains	Losses
Current	\$ _____	\$ _____
Noncurrent	_____	_____

(c) A net unrealized gain (loss) of \$ \_\_\_\_\_ on the sale of marketable equity securities was included in net income for \_\_\_\_ (year). The cost of securities sold was based on the \_\_\_\_\_ (method) cost of all the shares of each security held at time of sale.

Significant net realized and net unrealized gains and losses arising after date of the financial statements but prior to their filing, applicable to marketable equity securities owned at balance sheet date shall be disclosed below:

NOTE: / / - date - Balance sheet date of the current year unless specified as previous year.

## NOTES AND REMARKS

## 3. (Continued)

## (a) (b)

Respondent (NW) has pension plans which cover certain employees, principally salaried personnel. Pension cost accruals are actuarially determined and include normal costs and amortization of prior service costs over a thirty year period ending January 1, 2001. Total pension expense for 1981 and 1980 was \$13.9 million and \$11.4 million, respectively. Contributions to the plans were \$12.6 million in 1981 and \$10.1 million in 1980.

The following data, from the most recent actuarial reports available, compares the fair value of plans' assets with the present value of future benefit payments that have been earned by employees on service rendered to the valuation date at current salary levels. The assumed rate of return used in determining the accumulated benefits value was 6 percent for both 1981 and 1980.

	January 1	
	1981	1980
	(In thousands of dollars)	
Actuarial present value of accumulated plan benefits:		
Vested	\$165,820	\$156,522
Nonvested	7,503	5,909
	<u>\$173,323</u>	<u>\$162,431</u>
Net assets available for benefits at market value	<u>\$153,662</u>	<u>\$125,672</u>



## 210. RESULTS OF OPERATIONS

1. Disclose the requested information for the respondent pertaining to the results of operations for the year.

2. Report total operating expenses from Schedule 410 of this report. Any disparities in expense amounts shown in this schedule and expense amounts reported in Schedule 410 must be fully explained.

3. List dividends from investments accounted for under the

cost method on the appropriate line for Account No. 513, "Dividend income." List dividends accounted for by the equity method on the appropriate dividend line under the "Income from Affiliated Companies" subsection of this schedule.

4. All contra entries hereunder should be indicated in parenthesis.

5. Dollars in thousands.

Line No.	Item	Amount for Current Year	Amount for Preceding Year	Freight-Related Revenues & Expenses	Passenger-Related Revenues & Expenses
	(a)	(b)	(c)	(d)	(e)
	<b>ORDINARY ITEMS</b>				
	<b>OPERATING INCOME</b>				
	Railway Operating Income				
1	(101) Freight **	\$ 1,719,259	\$ 1,504,475	\$ 1,719,259	\$
2	(102) Passenger **	1,598	1,062		1,598
3	(103) Passenger-Related				
4	(104) Switching	17,484	13,118	17,484	
5	(105) Water Transfers				
6	(106) Demurrage	13,149	11,927	13,149	
7	(110) Incidental	32,371	26,909	32,369	2
8	(121) Joint Facility-Credit	2,465	2,003	2,344	121
9	(122) Joint Facility-Debit	48	20	48	
10	(501) Railway operating revenues (Exclusive of transfers from Government Authorities-lines 1-9)	1,786,278	1,559,474	1,784,557	1,721
11	(502) Railway operating revenues-Transfers from Government Authorities for current operations	(371)	60		(371)
12	(503) Railway operating revenues-Amortization of deferred transfers from Government Authorities				
13	Total railway operating revenues (lines 10-12)	1,785,907	1,559,534	1,784,557	1,350
14	(531) Railway operating expenses	1,341,925	1,214,913	1,340,747	1,178
15	*Net revenue from railway operations	443,982	344,621	443,810	172
	<b>OTHER INCOME</b>				
16	(506) Revenue from property used in other than carrier operations	2,987	2,296		
17	(510) Miscellaneous rent income	5,860	5,172		
18	(512) Separately operated properties-Profit				
19	(513) Dividend Income				
20	(514) Interest income	72,475	48,254		
21	(516) Income from sinking and other funds	3,952	2,947		
22	(517) Release of premiums on funded debt	57	61		
23	(518) Contributions from other companies				
24	(519) Miscellaneous income	12,241	7,070		
	Income from affiliated companies:				
25	Dividends	17,832	13,325		
26	Equity in undistributed earnings (losses)	(4,692)	430		
27	Total other income (lines 16-26)	110,712	79,555		
28	Total income (lines 15, 27)	554,694	424,176		
	<b>MISCELLANEOUS DEDUCTIONS FROM INCOME</b>				
29	(534) Expenses of property used in other than carrier operations	1,529	977		
30	(535) Taxes on property used in other than carrier operations	375	368		
31	(543) Miscellaneous rent expense	1,155	1,066		
32	(544) Miscellaneous taxes				
33	(545) Separately operated properties-Loss	606			
34	(549) Maintenance of investment organization				
35	(550) Income transferred to other companies				
36	(551) Miscellaneous income charges	6,281	2,351		
37	(553) Uncollectible accounts	1,092	627		
38	Total miscellaneous deductions (lines 29-37)	11,038	5,389		
39	Income available for fixed charges (lines 28, 38)	543,656	418,787		

**210. RESULTS OF OPERATIONS - Continued**

Line No.	Item (a)	Amount for Current Year (b)	Amount for Preceding Year (c)
	<b>FIXED CHARGES</b>	\$	\$
(546) Interest on funded debt:			
40 (a) Fixed interest not in default		36,339	32,355
41 (b) Interest in default			
42 (547) Interest on unfunded debt		4,062	3,293
43 (548) Amortization of discount on funded debt		288	322
44 Total fixed charges (lines 40-43)		40,689	35,970
45 Income after fixed charges (lines 39, 44)		502,967	382,817
	<b>OTHER DEDUCTIONS</b>		
(546) Interest on funded debt:			
46 (c) Contingent interest		1,316	1,369
	<b>UNUSUAL OR INFREQUENT ITEMS</b>		
47 (555) Unusual or infrequent items (debit) credit			
48 Income (loss) for continuing operations (before income taxes)		501,651	381,448
	<b>PROVISIONS FOR INCOME TAXES</b>		
(556) Income taxes on ordinary income:			
49 Federal income taxes		87,976	113,648
50 State income taxes		16,184	14,793
51 Other income taxes			
52 (557) Provision for deferred taxes		106,428	20,591
53 Income from continuing operations		291,063	232,416
	<b>DISCONTINUED OPERATIONS</b>		
54 (560) Income or loss from operations of discontinued segments (less applicable income taxes of \$ )			
55 (562) Gain or loss on disposal of discontinued segments (less applicable income taxes of \$ )			
56 Income before extraordinary items		291,063	232,416
	<b>EXTRAORDINARY ITEMS AND ACCOUNTING CHANGES</b>		
57 (570) Extraordinary items (Net)			
58 (590) Income taxes on extraordinary items			
59 (591) Provision for deferred taxes - Extraordinary items			
60 Total extraordinary items (lines 57-59)			
61 (592) Cumulative effect of changes in accounting principles (less applicable income taxes of \$ )			
62 Net income		291,063	232,416
	<b>*Reconciliation of net railway operating income (NROI)</b>		
63 Net revenues from railway operations		443,982	
64 (556) Income taxes on ordinary income		(104,160)	
65 (557) Provision for deferred income taxes		(106,428)	
66 Income from lease of road and equipment		(8)	
67 Rent for leased roads and equipment		5,871	
68 Net railway operating income		239,257	
69	<b>**Report hereunder the charges to the revenue accounts representing payments made to others for-</b> Terminal collection and delivery services when performed in connection with line-haul transportation of freight on the basis of freight tariff rates \$ 10 (a) Of the amount reported for "Net revenue from railway operations", % (to nearest whole number) represents payments for collection and delivery of LCL freight either in TOFC trailers or otherwise. The percentage reported is (Check one): Actual ( ) Estimated ( ). 70 Switching services when performed in connection with line-haul transportation of freight on the basis of switching tariffs and allowances out of freight rates, including the switching of empty cars in connection with a revenue movement \$ 29,287 Substitute highway motor service in lieu of line-haul rail service performed under tariffs published by rail carriers (does not include traffic moved on joint rail-motor rates): 71 (a) Payments for transportation of persons \$ 72 (b) Payments for transportation of freight shipments \$ NOTE.-Gross charges for protective us to perishable freight, without deduction for any proportion thereof credited to Account No. 101, "Freight" (not required from switching and terminal companies): 73 Charges for service for the protection against heat \$ 59 74 Charges for service for the protection against cold \$ 6		

**220. RETAINED EARNINGS--UNAPPROPRIATED**

1. Show hereunder the items of Retained Earnings Accounts of the respondent for the year, classified in accordance with the Uniform System of Accounts for Railroad Companies.

2. All contra entries hereunder should be indicated in parentheses.

3. Indicate under "Remarks" the amount of assigned Federal income tax consequences, accounts 606 and 616.

4. Segregate in column (c) all amounts applicable to the equity in undistributed earnings (losses) of affiliated companies based on the equity method of accounting.

5. Line 3 (line 7 if debit balance), column (c), should agree with line 26, column (b), Schedule 210. The total of columns (b) and (c), lines 3 and 7, should agree with line 62, column (b), Schedule 210.

6. Include in column (b) only amounts applicable to retained earnings exclusive of any amounts included in column (c). (Dollars in thousands)

Line No.	Item	Retained earnings—Unappropriated	Equity in undistributed earnings (losses) of affiliated companies
	(a)	(b)	(c)
1	Balances at beginning of year _____	\$ 1,088,117	\$ 128,851
2	(601.5) Prior period adjustments to beginning retained earnings _____		
	<b>CREDITS</b>		
3	(602) Credit balance transferred from income _____	295,755	
4	(603) Appropriations released _____		
5	(606) Other credits to retained earnings _____		
6	Total _____	295,755	
	<b>DEBITS</b>		
7	(612) Debit balance transferred from income _____		4,692
8	(616) Other debits to retained earnings _____		
9	(620) Appropriations for sinking and other funds _____		
10	(621) Appropriations for other purposes _____		
11	(623) Dividends: Common stock _____	84,630	(30)
12	Preferred stock <sup>1</sup> _____		
13	Total _____	84,630	4,662
14	Net increase (decrease) during year (Line 6 minus line 13) _____	211,125	(4,662)
15	Balances at close of year (Lines 1, 2 and 14) _____	1,299,242	124,189
16	Balance from line 15(c) _____	124,189	x x x x x
17	Total unappropriated retained earnings and equity in undistributed earnings (losses) of affiliated companies at end of year _____	1,423,431	x x x x x
	<b>REMARKS</b>		
	Amount of assigned Federal income tax consequences:		
18	Account 606 _____		x x x x x
19	Account 616 _____		x x x x x

<sup>1</sup> If any dividends have not been declared on cumulative preferred stock give cumulative undeclared dividends at beginning of year and end of year.



**221. RETAINED EARNINGS—APPROPRIATED**

Give an analysis in the form called for below of account No. 797, "Retained earnings—Appropriated." (Dollars in thousands)

Line No.	Class of appropriation (a)	Credits during year (b)	Debits during year (c)	Balance at close of year (d)
		\$	\$	\$
1	Additions to property through retained earnings _____			
2	Funded debt retired through retained earnings _____			17,236
3	Sinking funds _____			
4	Miscellaneous funds _____			
5	Other appropriations (specify): _____			
6				
7				
8				
9				
10				
11				
12				
13				
14				
15	TOTAL			17,236
16				

**225. TRANSFERS FROM GOVERNMENT AUTHORITIES**

This schedule should include particulars of all transfers from Federal, state or municipal authorities received during the year. The amount of transfer received shall be distributed among columns (c), (d), and (e) in accordance with General Instruction 1-15 of the Uniform System of Accounts for Railroad Companies. (Dollars in thousands)

Line No.	Description (a)	Amount (b)	Applied to current operations (c)	Deferred to future periods (d)	Applied to contributed capital (e)
	Source and description of transfers	\$	\$	\$	\$
1	Regional Transit Authority				
2	Chicago, Il. - Operation of				
3	Commuter Train	(371)	(371)		
4					
5					
6					
7	Total received during year _____	(371)	(371)		
8	Cumulative total of Government transfers-beginning of year _____	1,706	XXXXXX	XXXXXX	XXXXXX
9	Cumulative total of Government transfers-end of year _____	1,335	XXXXXX	XXXXXX	XXXXXX

# 230. CAPITAL STOCK

## PART I. CAPITAL STOCK

1. Disclose in column (a) the particulars of the various issues of capital stock of the respondent, distinguishing separate issues of any general class, if different in any respect.
2. Present in column (b) the par or stated value of each issue. If none, so state.
3. Disclose in columns (c), (d), (e) and (f) the required information concerning the number of shares authorized, issued, in treasury and outstanding for the various issues.
4. For the purposes of this report, capital stock and other securities are considered to be nominally issued when certificates are signed and sealed and placed with the proper officer for

sale and delivery or are pledged or otherwise placed in some special fund of the respondent. They are considered to be actually issued when sold to a bona fide purchaser who holds them free from control by the respondent. All securities actually issued and not reacquired by or for the respondent are considered to be actually outstanding. If reacquired by or for the respondent, and not canceled or retired, they are considered to be nominally outstanding.

5. Dollars in thousands.

Line No.	Class of Stock (a)	Par Value (b)	Number of Shares				Book Value at End of Year	
			Authorized (c)	Issued (d)	In Treasury (e)	Outstanding (f)	Outstanding (g)	In Treasury (h)
1	Common Liability for Conversion of Common	\$8.33-1/3	60,000,000	33,683,762	907,842	32,775,920	280,698	38,529
2	Stock (25,309 Shares Reserved for							
3	Conversion at 12/31/81)						565	
4	Preferred							
5								
6								
7								
8								
9								
10	TOTAL	XXXXX	60,000,000	33,683,762	907,842	32,775,920	281,263	38,529

## PART II. SUMMARY OF CAPITAL STOCK CHANGES DURING YEAR

1. The purpose of this part is to disclose capital stock changes during year.
2. Column (a) presents the items to be disclosed.
3. Columns (b), (d) and (f) require disclosures of the number of preferred, common and treasury stock, respectively, applicable to the items presented in column (a).
4. Columns (c), (e) and (g) requires the applicable disclosure of the book values of preferred, common and treasury stock.

5. Disclose in column (h) the additional paid-in capital realized from changes in capital stock during year.
6. Unusual circumstances arising from changes in capital stock changes shall be fully explained in footnotes to this schedule.
7. Report dollars in thousands.

Line No.	Items (a)	Preferred Stock		Common Stock		Treasury Stock		Additional Capital (h)
		Number of Shares (b)	Amount (c)	Number of Shares (d)	Amount (e)	Number of Shares (f)	Amount (g)	
11	Balance at beginning of year		\$	33,683,229	\$281,271	1,581,635	\$67,727	\$131,133
12	Capital Stock Sold <sup>1</sup>							
13	Capital Stock Reacquired							
14	Shares Issued in Exchange for EL							
15	Securities (See Note 9, Page 88)			533	(8)			8
	Shares Issued in conversion for 4-5/8% Subordinated Debentures.					673,793	(29,198)	
16	Balance at Close of Year			33,683,762	281,263	907,842	38,529	131,141

<sup>1</sup> By footnote state the purpose of the issue and authority.

Part II, Column (e) includes liability for conversion of capital stock (\$577 beginning and \$565 end of year).

**240. STATEMENT OF CHANGES IN FINANCIAL POSITION**

Give the information as requested concerning the source and application of funds during the year. Funds for the purpose of this schedule shall include all assets or financial resources even though a transaction may not directly affect cash or working capital. For example, the purchase of property in exchange for shares of stock or bonds would be an application of funds for invest-

ment in property provided by the issue of securities. Sources and uses of funds should be individually disclosed. For example, outlays for fixed assets should not be reported net of retirements.

Dollars in thousands.

Line No.	Description (a)	Current year (b)	Prior year (c)
	<b>SOURCES OF WORKING CAPITAL</b>		
	Working capital provided by operations:		
1	Net income (loss) before extraordinary items	\$ 291,063	\$ 232,416
	Add expenses not requiring outlay of working capital; (subtract) credits not generating working capital:		
2	Retirement of nondepreciable property	(177)	2,300
3	Loss (gain) on sale or disposal of tangible property	(922)	(665)
4	Depreciation and amortization expenses	69,512	67,195
5	Net increase (decrease) in deferred income taxes	106,428	20,591
6	Net decrease (increase) in parent's share of subsidiary's undistributed income for the year	4,692	(430)
7	Net increase (decrease) in noncurrent portion of estimated liabilities	7,906	(853)
	Other (specify):		
8	Gain on debt reacquired	(1,413)	(899)
9	Gain on tax benefit leases	(2,251)	
10			
11			
12			
13	Total working capital from operations before extraordinary items	474,838	319,655

Road Initials: MW

Year 1981



## 240. STATEMENT OF CHANGES IN FINANCIAL POSITION - Continued

Line No.	Description (a)	Current year (b)	Prior year (c)
	<b>SOURCES OF WORKING CAPITAL—Continued</b>		
14	Add funds generated by reason of discontinued operations, extraordinary items, prior period adjustments, and changes in accounting principles _____	\$	\$
15	Total working capital from operations _____	474,838	319,655
	Working capital from sources other than operating:		
16	Proceeds from issuance of long-term liabilities _____	39,075	48,510
17	Proceeds from sale/disposition of carrier operating property _____	12,669	14,253
18	Proceeds from sale/disposition of other tangible property _____	47	234
19	Proceeds from sale/repayment of investments advances _____	31,713	12,454
20	Net decrease in sinking and other special funds _____	12,315	
21	Proceeds from issue of capital stock (Issuance of Treasury Stock in exchange for conversion of 4 5/8% subordinate debentures)	29,198	38,651
	Other (specify):		
22	Advances from affiliated companies _____	47,833	46,556
23	Decrease (increase) in other assets and deferred charges _____	6,055	(904)
24	_____		
25	_____		
26	_____		
27	Total working capital from sources other than operating _____	178,905	159,754
28	Total sources of working capital _____	653,743	479,409

240. STATEMENT OF CHANGES IN FINANCIAL POSITION - Concluded

Line No.	Description (a)	Current year (b)	Prior year (c)
	APPLICATION OF WORKING CAPITAL	\$	\$
29	Amount paid to acquire/retire long-term liabilities (includes \$21,060 exchanged for Treasury Stock)	84,606	97,290
30	Cash dividends declared	84,631	69,290
31	Purchase price of carrier operating property	113,674	128,117
32	Purchase price of other tangible property	12,795	11,265
33	Purchase price of long-term investments and advances	88,768	69,978
34	Net increase in sinking or other special funds		6,876
35	Purchase price of acquiring treasury stock		
	Other (specify):		
36	Net decrease in working capital due to purchase of Illinois Terminal Railroad	9,255	
37	Purchase of tax benefit leases - net	6,160	
38	Decrease (increase) in other liabilities and deferred credits	11,657	(16,288)
39	Decrease in accumulated deferred income tax credits	2,562	195
40	Advances repaid subsidiaries	43,773	47,637
41			
42			
43			
44			
45	Total application of working capital	457,881	414,360
46	Net increase (decrease) in working capital	195,862	65,049

# 241. CHANGES IN WORKING CAPITAL

Compute the net changes in each element of working capital.

(Dollars in thousands)

Line No.	Item (a)	Current year (b)	Prior year (c)	Increase (Decrease) (d)
1	Cash and temporary investments	\$ 427,005	\$ 280,255	\$ 146,750
2	Net receivables	266,142	210,409	55,733
3	Prepayments	27,839	28,960	(1,121)
4	Materials and supplies	60,957	70,258	(9,301)
5	Other current assets not included above	3,072	2,361	711
6	Notes payable and matured obligations			
7	Accounts payable	438	2,797	(2,359)
8	Current equipment obligations and other debt	55,794	60,558	(4,764)
9	Other current liabilities not included above	296,093	292,060	4,033
10	Net increase (decrease) in working capital	432,690	236,828	195,862



## 245. WORKING CAPITAL INFORMATION

1. Report below the information requested with respect to the referenced accounts.
2. Give the amount of issues from stock during the year for lines 1 thru 5 as it pertains to account 712, "Material and supplies".
3. Report on lines 6 and 7 only the amount applicable to common-carrier transportation service included in accounts 707 and 754.
4. Report on lines 8 and 9 the amount included in account balances for 760, 761, 761.5, 556, 762. (Do not include taxes levied in lieu of property taxes).
5. Dollars in thousands.

Line No.	Item (a)	Amount (b)
		\$ 45,197
1	Construction and additions and betterments _____	350,723
2	Common-carrier operating purposes _____	10,773
3	Used by other than respondent's lessor companies _____	406,693
4	Total _____	
5	Portion of balance in the material and supplies account at end of year that represents scrap and obsolete material _____	469
6	Account 707. Accounts receivable; other _____	9,135
7	Account 754. Accounts payable; other _____	17
		2,589
8	Account 760. Federal income taxes accrued _____	11,625
9	Account 761. State and other income taxes accrued _____	12,640
10	Account 761.5 Other taxes accrued _____	16,184
11	Account 556. Income taxes on ordinary income _____	
12	Account 762. Deferred income tax credits _____	

## NOTES AND REMARKS

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## GENERAL INSTRUCTIONS CONCERNING RETURNS IN SCHEDULES 310, 310A and 315

1. Schedules 310 and 315 should give particulars of stocks, bonds, other secured obligations, unsecured notes, and investment advances of affiliated and nonaffiliated companies held by respondent at close of year. Specifically, the disclosures should include the investments in the obligations of Federal, state and local governments, and the obligation of individuals. Also, disclose the investments made, disposed of, and written down during the year, and the applicable dividends and interest credited to income as a result of those investments. They should exclude securities issued or assumed by respondent. For definition of affiliated companies, see the rules governing Account No. 721 "Investments and advances affiliated companies," in the Uniform System of Accounts for Railroad Companies.

2. List the investments in the following order and show a total for each group and each class of investments by accounts in numerical order:

- (A) Stocks:
  - (1) Carriers - active.
  - (2) Carriers - inactive.
  - (3) Noncarriers - active.
  - (4) Noncarriers - inactive.
- (B) Bonds (including U.S. Government Bonds):
- (C) Other secured obligations:
- (D) Unsecured notes:
- (E) Investment advances:

3. The subclassification of classes (B), (C), (D), and (E) should be the same as that provided for class (A).

4. The kinds of industry represented by respondent's investments in the securities of other companies should be shown by symbol opposite the names of the issuing corporations, the symbols and industrial classifications to be as follows:

Symbol	Kind of Industry
I	Agriculture, forestry, and fisheries
II	Mining
III	Construction
IV	Manufacturing
V	Wholesale and retail trade
VI	Finance, insurance, and real estate
VII	Transportation, communications, and other public utilities
VIII	Services
IX	Government
X	All other

5. By carriers, as the term is used here, is meant companies owning or operating railroads, facilities auxiliary thereto such as bridges, ferries, union depots, and other terminal facilities, sleeping cars, parlor cars, dining cars, freight cars, express service and facilities, electric railways, highway motor vehicles, steamboats and other marine transportation equipment, pipe lines (other than those for transportation of water), and other instrumentalities devoted to the transportation of persons or property for hire. Telegraph and telephone companies are not meant to be included.

6. Noncarrier companies should, for the purpose of these schedules, include telephone companies, telegraph companies, mining companies, manufacturing companies, hotel companies, etc. Purely "holding companies" are to be classed as noncarrier companies, even though the securities held by such companies are largely or entirely those issued or assumed by carriers.

7. By an active corporation is meant one which maintains an organization for operating property or administering its financial affairs. An inactive corporation is one which has been practically absorbed in a controlling corporation and which neither operates property nor administers its financial affairs; if it maintains an organization it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises.

8. Combine, in one amount, investments in which the original cost or present equity in total assets is less than \$10,000.

9. Include investments in unincorporated entities such as lessee organizations. Exclude amounts normally settled on a current basis.

10. Do not include the value of securities issued or assumed by respondent.

11. For affiliates which do not report to the Interstate Commerce Commission and are jointly owned, disclose in footnotes the name and extent of control of the other controlling entities.

12. Dollars in thousands.



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## 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES

1. Give particulars of investments in stocks, bonds, other secured obligations, unsecured notes, and investment advances of companies affiliated with respondent, included in accounts Nos. 715, "Sinking funds"; 716, "Capital funds"; 721, "Investments and advances affiliated companies"; and 717, "

2. Entries in this schedule should be made in accordance with the definitions and general instructions given on page 20, classifying the investments by means of letters, figures, and symbols in columns (a), (b), and (c).

3. Indicate by means of an arbitrary mark in column (d) the obligation in support of which any security is pledged, mortgaged,

or otherwise encumbered, giving names and other important particulars of such obligations in footnotes.

4. Give totals for each class and for each subclass and a grand total for each account.

5. Entries in column (d) should show date of maturity of bonds and other evidences of indebtedness. In case obligations of the same designation mature serially, the date in column (d) may be reported as "Serially 19\_\_ to 19\_\_." Abbreviations in common use in standard financial publications may be used to conserve space.

6. If any of the companies included in this schedule are controlled by respondent, the percent of control should be given in

Line No.	Account No.	Class No.	Kind of Industry	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds)	Extent of control
	(a)	(b)	(c)	(d)	(e)
1	721	A-1	VII	Akron, Canton and Youngstown Railroad	100.00
2		A-1	VII	Belt Railway Company of Chicago (a) (1)	7.69
3		A-1	VII	Chesapeake Western Railway	100.00
4		A-1	VII	Chicago and Western Indiana Railroad (a) (2)	20.00
5		A-1	VII	Des Moines Union Railway (a) (5)	50.00
6		A-1	VII	Detroit and Toledo Shore Line Railroad (6)	
7		A-1	VII	Fairport, Painesville and Eastern Railway (h) (7)	50.00
8		A-1	VII	Fort Wayne Union Railway (a) (b) (f) (8)	50.00
9		A-1	VII	Fruit Growers Express Company (9)	1.18
10		A-1	VII	Illinois Terminal Railroad (10)	100.00
11		A-1	VII	Kansas City Terminal Railway (a) (11)	8.33
12		A-1	VII	Keokuk Union Depot Company (a) (12)	20.00
13		A-1	VII	Lake Erie and Ft. Wayne Railroad (a)	100.00
14		A-1	VII	Lorain and West Virginia Railway (d)	100.00
15		A-1	VII	New Jersey, Indiana and Illinois Railroad (a)	100.00
16		A-1	VII	Norfolk, Franklin and Danville Railway	100.00
17		A-1	VII	Norfolk and Portsmouth Belt Line Railroad (13)	28.57
18		A-1	VII	Peoria and Pekin Union Railway (14)	15.00
19		A-1	VII	Terminal Railroad Association of St. Louis (a) (16)	6.25
20		A-1	VII	Toledo Terminal Railroad	10.72
21		A-1	VII	Trailer Train Company (18)	7.32
22		A-1	VII	Wabash Railroad Company - Common (e) (a) (19)	80.00
23		A-1	VII	Wabash Railroad Company - Preferred (19)	
24		A-1	VII	Wheeling and Lake Erie Railway (20)	90.89
25		A-1	VII	Winston-Salem Southbound Railway (c) (21)	50.00
26					
27				Total A-1	
28					
29					
30		A-2	VII	Cincinnati Union Terminal Company (3)	14.29
31		A-2	VII	Cleveland Union Terminal Company (b) (4)	7.00
32		A-2	VII	Pullman Company (15)	2.08
33		A-2	VII	Toledo Belt Railway (d) (17)	100.00
34					
35				Total A-2	
36					
37					
38					
39					
40					

## 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES—Continued

column (e). In case any company listed is controlled other than through actual ownership of securities, give particulars in a footnote. In cases of joint control, give names of other parties and particulars of control.

7. If any advances reported are pledged, give particulars in a footnote.

8. Investments in companies in which neither the original cost or present equity in total assets is less than \$10,000 may be combined in one figure.

9. Also included should be investments in unincorporated entities such as lessee organizations (exclusive of amounts nominally settled on a current basis).

10. This schedule should not include securities issued or assumed by respondent.

11. For affiliates which do not report to the Interstate Commerce Commission, and are jointly owned, give names and extent of control of other entities by footnote.

12. Dollars in thousands.

Investments and advances				Disposed of; Profit (loss)	Adjustments Account 721.5	Dividends or interest credited to income	Line No.
Opening balance	Additions	Deductions (if other than sale explain)	Closing balance				
(f)	(g)	(h)	(i)	(j)	(k)	(l)	
\$ 6,751			\$ 6,751				1
240			240				2
204			204				3
1,000			1,000				4
820			820				5
715		715				900	6
619			619				7
40			40				8
73			73				9
4		4	*				10
*			*				11
4			4				12
70			70				13
500			500				14
1,500			1,500				15
1,500			1,500			500	16
21			21				17
157			157				18
330			330				19
*			*				20
173			173				21
53,859	39		53,898				22
534			534				23
29,703			29,703				24
623			623			374	25
							26
92,440	39	719	98,760			1,774	27
							28
							29
500			500		500		30
1			1				31
*			*				32
238			238				33
							34
739			739		500		35
							36
							37
							38
* Less than \$500.00							39
							40



## 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES-Continued

Line No.	Account No. (a)	Class No. (b)	Kind of Industry (c)	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds) (d)	Extent of control (e)
1	721	A-3	X	Dereco, Inc.	99.87
2		A-3	VI	Green Real Estate Company (a) (h) (22)	33.33
3		A-3	X	Lake Erie Dock Company	100.00
4		A-3	VI	Nickel Plate Improvement Company	100.00
5		A-3	X	Norfolk Southern Corporation	50.00
6		A-3	VI	Pocahontas Land Corporation	100.00
7		A-3	X	Powhatan Oil and Gas Corporation	100.00
8		A-3	VI	Shenandoah - Virginia Corporation	100.00
9		A-3	VI	South Buffalo Development Company	100.00
10		A-3	VI	Virginia Holding Corporation	100.00
11					
12				Total A-3	
13					
14				Total Class A	
15					
16		B-1	VII	Erie Railroad 1st Consol. Mtge., Series F, due 1/1/1990 - 3 1/8%	100.00
17					
18		B-1	VII	Erie Railroad 1st Consol. Mtge., Series G, due 1/1/2000 - 3 1/8%	100.00
19					
20		B-1	VII	Erie Railroad Income Debentures, due 1/1/2020 - 5%	100.00
21		B-1	VII	Wheeling and Lake Erie Railway General and Refunding Mtge., Series D due 11/1/1988 - 4 1/2%	90.89
22					
23					
24				Total B-1	
25					
26		B-2	VII	Toledo Belt Railway 1st Mtge., due 3/1/2003 - 5%	100.00
27					
28				Total Class B	
29					
30		D-1	VII	Trailer Train Company 4/17/1997 - 6 1/2%	7.32
31		D-1	VII	Trailer Train Company 1/9/1999 - 7 1/2%	7.32
32					
33				Total D-1	
34					
35		D-3	VI	South Buffalo Development Company	100.00
36					
37				Total Class D	
38					
39					
40					

## NOTES FOR SCHEDULE 310

- (a) Pledged with Manufacturers Hanover Trust Company, trustee under first mortgage. Wabash Railroad Company (\$20,000 of Fort Wayne Union Railway Company)
- (b) Pledged as collateral, security under refunding mortgage of the former New York, Chicago & St. Louis Railroad Company (\$20,000 of Fort Wayne Union Railway Company)
- (c) Pledged with trustee for the purpose of securing the due and punctual performance of mutual covenants of NW and SCL contained in agreement relating to the operation of Winston-Salem Southbound Railway Company.
- (d) Pledged as collateral security under The Wheeling and Lake Erie Railway Company's general and refunding mortgage.
- (e) Controlled by NW thru lease effective October 16, 1964, of the franchise and certain other properties of the Wabash Railroad Company.

## 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES - Concluded

Investments and advances				Disposed of; Profit (loss)	Adjustments Account 721.5	Dividends or interest credited to income	Line No.
Opening balance (f)	Additions (g)	Deductions (if other than sale explain) (h)	Closing balance (i)				
\$ 103,257	\$	\$	\$ 103,257	\$	\$ 102,188	\$	1
1,032		24	1,008			58	2
1			1				3
3,350			3,350				4
*			*				5
1,957	17,300		19,257			16,000	6
5			5				7
1			1				8
1			1				9
15,125			15,125				10
							11
124,729	17,300	24	142,005		102,188	16,058	12
							13
224,908	17,339	743	241,504		102,688	17,832	14
							15
							16
125			125				17
							18
1,376			1,376		916		19
18			18				20
							21
6,062			6,062				22
							23
7,581			7,581		916		24
							25
224			224				26
							27
7,805			7,805		916		28
							29
900			900			58	30
1,208			1,208			91	31
							32
2,108			2,108			149	33
							34
1,115		20	1,095				35
							36
3,223		20	3,203			149	37
							38
							39
* Investment \$500.00							40

## NOTES FOR SCHEDULE 310 - Continued

- (f) One-half of NW's control from ownership of stock and one-half attributable to lease of Wabash Railroad Company (See Note "e").
- (g) Common stock ownership allows election of 80% of the members of the Board of Directors.
- (h) Investments and advances-deductions reflected in Column (h) represent liquidating dividends received.
- |  |          |                        |
|--|----------|------------------------|
| (1) Controlled jointly - Note (e)                    | NW 7.69% | Other Railroads 92.31% |
| (2) " " - Note (e)                                   | " 20.00% | " " 80.00%             |
| (3) " " - (thru stock ownership)                     | " 14.29% | " " 85.71%             |
| (4) " " - ( " " " )                                  | " 7.00%  | " " 93.00%             |
| (5) " " - Note (e)                                   | " 50.00% | CMStPP 50.00%          |
| (6) NW 50.00% Interest sold to GTW effective 4/13/81 | " 50.00% | PC 50.00%              |
| (7) Controlled jointly - (thru stock ownership)      | " 50.00% | PC 50.00%              |

## 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES--Continued

Line No.	Account No.	Class No.	Kind of Industry	Name of issuing company and also lien reference, if any (include rate for preferred stocks and bonds)	Extent of control
(a)	(b)	(c)	(d)	(e)	
1	721	E-1	VII	Akron Canton and Youngstown Railroad Prime	100.00
2		E-1	VII	Belt Railway Company of Chicago 6%	7.69
3		E-1	VII	Chicago and Western Indiana Railroad	20.00
4		E-1	VII	Des Moines Union Railway	50.00
5		E-1	VII	Kansas City Terminal Railway	8.33
6		E-1	VII	Lorsain and West Virginia Railway	100.00
7		E-1	VII	Norfolk and Portsmouth Belt Line Railroad 3%	28.57
8		E-1	VII	Terminal Railroad Association of St. Louis 4%	6.25
9		E-1	VII	Toledo Terminal Railroad 6 3/4%	10.72
10		E-1	VII	Virginia Holding Corporation	100.00
11					
12				Total E-1	
13					
14		E-2	VII	Cincinnati Union Terminal Prime	14.29
15		E-2	VII	Cleveland Union Terminal 4 1/2%	7.00
16					
17				Total E-2	
18					
19		E-3	X	Lake Erie Dock Company	100.00
20		E-3	X	Norfolk Southern Corporation	50.00
21		E-3	VI	Pocahontas Development Corporation 12%	100.00
22		E-3	VI	Pocahontas Kentucky Corporation 7 3/4%	100.00
23		E-3	IV	Shenandoah-Virginia Corporation Various Rates	100.00
24					
25				Total E-3	
26					
27				Total Class E	
28					
29				Total Account 721	
30					
31					
32					
33					
34					
35					
36					
37					
38					
39					
40					

## NOTES FOR SCHEDULE 310 - Continued

( 8 )	Controlled jointly - Note (f)	NW 50.00%	PC	50.00%
( 9 )	" " - (thru stock ownership)	" 1.18%	Other Railroads	98.82%
(10)	Controlled by NW through purchase of net assets, effective 9/1/81.			
(11)	Controlled jointly - Note (e)	" 8.33%	" "	91.67%
(12)	" " - Note (e)	" 20.00%	" "	80.00%
(13)	" " - (thru stock ownership)	" 28.57%	" "	71.43%
(14)	" " - ( " " " )	" 15.00%	" "	85.00%
(15)	" " - ( " " " )	" .93%	Note (e) for 1.15%-Total	
		" 2.08%	Other Railroads	97.92%
(16)	" " - Note (e)	" 6.25%	" "	93.75%
(17)	" " - (thru stock ownership)	" 10.72%	" "	89.28%



## 310. INVESTMENTS AND ADVANCES AFFILIATED COMPANIES - Concluded

Investments and advances				Disposed of; Profit (loss)	Adjustments Account 721.5	Dividends or interest credited to income	Line No.
Opening balance (f)	Additions (g)	Deductions (if other than sale explain) (h)	Closing balance (i)				
\$	\$ 3,057	\$	\$ 3,057	\$	\$	\$ 259	1
1,515	78		1,593			101	2
5,363		3	5,360				3
1,069	133	81	1,121				4
3,242	259	170	3,331				5
1,420	70	50	1,440				6
250			250			7	7
						1	8
159	341	25	475			10	9
	18,509	35	18,474			79	10
							11
13,018	22,447	364	35,101			457	12
							13
387		19	368		368		14
468		42	426		426	77	15
							16
855		61	794		794	77	17
							18
60	1,007	1,032	35				19
10			10				20
17,300		17,300				1,900	21
1,484			1,484				22
51,861	43,731	9,089	86,503			10,442	23
							24
70,715	44,738	27,421	88,032			12,342	25
							26
84,588	67,185	27,846	123,927		794	12,876	27
							28
320,524	84,524	28,609	376,439		104,398	30,857	29
							30
							31
							32
							33
							34
							35
							36
							37
							38
							39
							40

## NOTES FOR SCHEDULE 310 - Concluded

- (18) Controlled jointly - (thru stock ownership) NW 4.88% Note (e) for 2.44% -  
Total 7.32%, 77 Other Railroads 92.68%
- (19) Controlled thru ownership of 99.75% of Common Stock (g)
- (20) Controlled by NW thru ownership of 90.89% of outstanding stock and by lease effective December 1, 1949, of all property and franchises of The Wheeling and Lake Erie Railway Company for a term of 99 years renewable in perpetuity.
- (21) Controlled jointly (thru stock ownership) NW 50.00% SCL 50.00%
- (22) " " ( " " " ) NW 33.33% Other Railroads 66.67%
- (23) Controlled by NW thru ownership of Pocahontas Land Corporation

**310A. INVESTMENTS IN COMMON STOCKS OF AFFILIATED COMPANIES**  
Undistributed Earnings From Certain Investments in Affiliated Companies

1. Report below the details of all investments in common stocks included in Account 721, Investments and advances affiliated companies.

2. Enter in column (c) the amount necessary to retroactively adjust those investments. (See instruction 5-2, Uniform System

of Accounts.)

3. Enter in column (d) the share of undistributed earnings (i.e., less dividends) or losses.

4. Enter in column (e) the amortization for the year of the excess of cost over equity in net assets (equity over cost) at date

of acquisition.

5. For definitions of "carrier" and "noncarrier," see general instructions.

(DOLLARS IN THOUSANDS)

Line No.	Name of issuing company and description of security held.  (a)	Balance at beginning of year  (b)	Adjustment for investments equity method  (c)	Equity in undistributed earnings (losses) during year  (d)	Amortization during year  (e)	Adjustment for investments disposed of or written down during year  (f)	Balance at Close of year  (g)
1	Carriers: (List specifics for each company)	\$	\$	\$	\$	\$	\$
2	Akron, Canton & Youngstown R. R. Common	288		(2,320)			(2,032)
3	Chesapeake Western Rwy.	2,178		210			2,388
4	Lake Erie & Fort Wayne R. R.	186		59			245
5	Lorain & West Virginia Rwy.	(528)		(205)			(733)
6	New Jersey, Indiana & Illinois R. R.	869		(410)			459
7	Norfolk, Franklin & Danville Rwy.	3,193		(160)			3,033
8	Toledo Belt Rwy. Co.	(463)					(463)
9	Wabash Railroad Co. Common & Preferred	18,752		1,400			20,152
10	Wheeling & Lake Erie Rwy. Co. Common & Prior Lien	38,707		19			38,726
11	Detroit & Toledo Shore Line R. R.	5,261		(826)		(4,435)	
12	Fairport, Painesville & Eastern Rwy.	70		(5)			65
13	Fort Wayne Union Rwy.	7		(8)			(1)
14	Norfolk & Portsmouth Belt Line R. R.	888		142			1,030
15	Winston-Salem Southbound Rwy.	3,419		(227)			3,192
16	Total Carriers	72,827		(2,331)		(4,435)	66,061
17	Noncarriers	56,024		2,104		30*	58,158
18							
19							
20	Total Carriers and Noncarriers	128,851		(227)		(4,405)	124,219
21							
22							
23	* Adjustment for investee's increase from NW dividend paid on NW shares held by investee.						
24							
25							
26							
27							

## INSTRUCTIONS CONCERNING RETURNS TO BE MADE IN SCHEDULE 330 AND 330A

1. Give particulars of balances at the beginning and close of the year and of all changes during the year in account No. 731, "Road and Equipment Property," and account No. 732, "Improvements on Leased Property," classified by primary accounts in accordance with the Uniform System of Accounts for Railroad Companies. If the amount in account 732 for road or for equipment is less than 5% of the amount in account 731 at the beginning and end of year, the corresponding portion of Schedule 330A may be omitted. The balances, by primary accounts, should, insofar as known, be stated in column (b) and all changes made during the year should be analyzed in columns (c) to (f), inclusive. Column (h) is the aggregate of columns (b) to (f), inclusive. Grand totals of columns (b) and (h) should equal the sum of accounts 731 and 732 for the respective periods; if not, full explanation should be made in a footnote.

2. In column (c) are to be shown disbursements made for the specific purpose of purchasing, constructing, and equipping new lines, and for the extension of old lines, as provided for in Instruction 2-1, "Items to be charged," of the Uniform System of Accounts for Railroad Companies, for such items.

3. In column (d) is to be shown the cost of a railway or portion thereof, acquired as an operating entity or system by purchase, merger, consolidation, reorganization, receivership sale or transfer, or otherwise.

4. In columns (c) and (e), should be included all entries covering expenditures for additions and betterments, as defined, whether replacing other property or not.

5. In column (f) should be entered all credits representing property sold, abandoned, or otherwise retired.

6. Both the debit and credit involved in each transfer, adjustment, or clearance, between road and equipment accounts, should be included in the column in which the item was initially

included; also the transfer of prior years' debits or credits from investment in road and equipment to operating expenses or other accounts, or vice versa, should be included in the column applicable to current items of like nature. Each such transfer, adjustment, or clearance should be fully explained when in excess of \$100,000.

7. If during the year an individual charge of \$100,000 or more was made to account No. 2, "Land for transportation purposes," state in a footnote the cost, location, area, and other details which will identify the property.

8. Report on line 32 amounts not includible in the primary road accounts. The items reported should be briefly identified and explained under "Notes and Remarks," below. Amounts should be reported on this line only under special circumstances, usually after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.

9. If during the year a segment of transportation property was acquired, state in a footnote the name of the vendor, the mileage acquired, and the date of acquisition, giving termini and the cost of the property to the respondent. Also furnish a statement of the amount included in each primary account representing such property acquired, referring to the column or columns in which the entries appear.

10. If an amount of less than \$2000 is used as the minimum for additions and betterments to property investment accounts as provided for in Instruction 2-2, of the Uniform System of Accounts for Railroad Companies, state in a footnote the amount used.

11. Dollars in thousands.

## NOTES AND REMARKS



## 330. ROAD AND EQUIPMENT PROPERTY (See Instructions)

Line No.	(Dollars in thousands) Account (a)	Balance at beginning of year (b)	Expenditures during the year for original road and equipment, and road extensions (c)	Expenditures during the year for purchase of existing lines, reorganizations, etc. (d)
1	(1) Engineering	\$ 31,202	\$ 36	\$
2	(2) Land for transportation purposes	56,688		1,253
3	(3) Grading	159,491	543	1,323
4	(4) Other right-of-way expenditures	389	1	22
5	(5) Tunnels and subways	33,824		1
6	(6) Bridges, trestles, and culverts	94,601	42	1,398
7	(7) Elevated structures			1
8	(8) Ties	39,061	115	1,227
9	(9) Rails	86,368	32	2,043
10	(10) Other track material	77,201	46	2,867
11	(11) Ballast	51,586	103	753
12	(12) Track laying and surfacing	63,397	142	1,604
13	(13) Fences, snowsheds, and signs	2,512		49
14	(16) Station and office buildings	46,588		197
15	(17) Roadway buildings	2,039		3
16	(18) Water stations	1,305		
17	(19) Fuel stations	1,913		14
18	(20) Shops and enginehouses	46,288		144
19	(22) Storage warehouses			
20	(23) Wharves and docks	5		
21	(24) Coal and ore wharves	43,872		211
22	(25) TOFC/COFC terminals	2,423		
23	(26) Communication systems	25,953		31
24	(27) Signals and interlockers	80,047	56	133
25	(29) Power plants	1,447		
26	(31) Power-transmission systems	8,630		
27	(35) Miscellaneous structures	973		1
28	(37) Roadway machines	31,733		58
29	(39) Public improvements—Construction	21,006	17	148
30	(44) Shop machinery	26,265		100
31	(45) Power-plant machinery	2,741		
32	(46) Capitalized Interest			
33	Total expenditures for road	1,039,546	1,133	13,581
34	(52) Locomotives	381,606		1,531
35	(53) Freight-train cars	1,156,852		14,038
36	(54) Passenger-train cars	1,510		
37	(55) Highway revenue equipment	13,885		
38	(56) Floating equipment	3,577		
39	(57) Work equipment	20,353		28
40	(58) Miscellaneous equipment	18,113		1
41	Total expenditures for equipment	1,595,896		15,598
42	(76) Interest during construction	5,651		
43	(77) Other expenditures—General			
44	Total general expenditures	5,651		
45	Total	2,641,093	1,133	29,179
46	(80) Other elements of investment	85,111		
47	(90) Construction in progress	9,260		
48	Grand Total	2,735,464	1,133	29,179

This schedule incorporates GBS 731 - 732.

Column (c) reflects extension of Respondent's lines:

1. Buchanan County, VA, 4.5 mi. Spur Line up Big Prater Creek
2. Oakwood, VA, 1.09 mi. Spur Line up Right Fork Garden Creek

## 330. ROAD AND EQUIPMENT PROPERTY (See Instructions)

Expenditures for additions and betterments during the year	Credits for property retired during the year	Net changes during the year	Balance at close of year	Line No.
(c)	(f)	(g)	(h)	
\$ 2,794	\$ 226	\$ 2,604	\$ 33,806	1
118	35	1,336	58,024	2
317	16	2,167	161,658	3
		23	412	4
		1	33,825	5
3,659	34	5,065	99,666	6
		1	1	7
296	110	1,528	40,589	8
4,086	107	6,054	92,422	9
2,542	369	5,086	82,287	10
482	30	1,308	52,894	11
1,311	138	2,919	66,316	12
7	1	55	2,567	13
1,077	4,877	(3,603)	42,985	14
451	14	440	2,479	15
			1,305	16
427	18	423	2,336	17
6,616	415	6,345	52,633	18
			3	19
951	3	1,159	45,031	21
136	4	132	2,555	22
648	711	(32)	25,921	23
4,171	468	3,892	83,939	24
			1,447	25
48	1	47	8,677	26
		1	974	27
4,112	481	3,689	35,422	28
217	137	245	21,251	29
2,992	257	2,835	29,100	30
189		189	2,930	31
{ 3,232 } 7929	{ 20 } 61	7,868	7,868	32
45,576	8,513	51,777	1,091,323	33
5,692	10,727	(3,504)	378,102	34
56,410	22,641	47,807	1,204,659	35
	673	(673)	837	36
79	892	(813)	13,072	37
			3,577	38
1,929	626	1,331	21,684	39
5,490	1,165	4,326	22,439	40
69,600	36,724	48,474	1,644,370	41
(5,692)*	(41)*	(5,651)		42
				43
(5,692)	(41)	(5,651)		44
109,484	45,196	94,600	2,735,693	45
	641	(641)	84,470	46
3,057		3,057	12,317	47
112,541	45,837	97,016	2,832,480	48

Column (d) reflects properties capitalized resulting from the acquisition of the net assets of Illinois Terminal Railroad Company.

\* Transfer of interest cost during construction that had been capitalized in 1979 and 1980 in conformance with Statement of Finance Accounting Standards No. 34.

## 330A. IMPROVEMENTS ON LEASED PROPERTY (See instruction)

Line No.	Account (a)	Balance at beginning of year (b)	Expenditures during the year for original road and equipment, and road extensions (c)	Expenditures during the year for purchase of existing lines, re-organizations, etc. (d)
1	(1) Engineering _____	\$	\$	\$
2	(2) Land for transportation purposes _____	Not Applicable		
3	(3) Grading _____			
4	(4) Other right-of-way expenditures _____			
5	(5) Tunnels and subways _____			
6	(6) Bridges, trestles, and culverts _____			
7	(7) Elevated structures _____			
8	(8) Ties _____			
9	(9) Rails _____			
10	(10) Other track material _____			
11	(11) Ballast _____			
12	(12) Track laying and surfacing _____			
13	(13) Fences, snowsheds, and signs _____			
14	(16) Station and office buildings _____			
15	(17) Roadway buildings _____			
16	(18) Water stations _____			
17	(19) Fuel stations _____			
18	(20) Shops and enginehouses _____			
19	(22) Storage warehouses _____			
20	(23) Wharves and docks _____			
21	(24) Coal and ore wharves _____			
22	(25) TOFC/COFC terminals _____			
23	(26) Communication systems _____			
24	(27) Signals and interlockers _____			
25	(29) Power plants _____			
26	(31) Power-transmission systems _____			
27	(35) Miscellaneous structures _____			
28	(37) Roadway machines _____			
29	(39) Public improvements—Construction _____			
30	(44) Shop machinery _____			
31	(45) Power-plant machinery _____			
32	Other (specify and explain) _____			
33	Total expenditures for road _____			
34	(52) Locomotives _____			
35	(53) Freight-train cars _____			
36	(54) Passenger-train cars _____			
37	(55) Highway revenue equipment _____			
38	(56) Floating equipment _____			
39	(57) Work equipment _____			
40	(58) Miscellaneous equipment _____			
41	Total expenditures for equipment _____			
42	(76) Interest during construction _____			
43	(77) Other expenditures—General _____			
44	Total general expenditures _____			
45	Total _____			
46	(80) Other elements of investment _____			
47	(90) Construction work in progress _____			
48	Grand Total _____			



## 330A. IMPROVEMENTS ON LEASED PROPERTY—Continued

Expenditures for additions and betterments during the year	Credits for property retired during the year	Net changes during the year	Balance at close of year	Line No.
(e)	(f)	(g)	(h)	
\$	\$	\$	\$	1
Not Applicable				2
				3
				4
				5
				6
				7
				8
				9
				10
				11
				12
				13
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**332. DEPRECIATION BASE AND RATES—ROAD AND EQUIPMENT OWNED AND USED AND LEASED FROM OTHERS**

1. Show in columns (b) and (c), for each primary account, the depreciation base used in computing the depreciation charges for the month of January and in columns (e) and (f) show the depreciation base used in computing the depreciation charges for the month of December; in columns (d) and (g) show the composite rates used in computing the depreciation charges for the month of December; and on lines 28 and 36 of these columns show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the same month. The depreciation base should not include the cost of equipment used, but not owned, when the rents therefor are included in the rent for equipment and account Nos. 31-22-00, 31-23-00, 31-25-00, 31-21-00, 35-21-00, 35-23-00, 35-22-00, and 35-25-00. It should include the cost of equipment owned and leased to others when the rents therefrom are included in the rent for equipment accounts Nos. 32-21-00, 32-22-00, 32-23-00, 32-25-00, 36-21-00, 36-22-00, 36-23-00, and 36-25-00, inclusive. The composite rates used should be those prescribed or otherwise authorized by the Commission, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any changes in rates were effective during the year, give full particulars in a footnote.

2. All leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.

3. Show in columns (e), (f), and (g), data applicable to property, used but not owned, when the rent therefor is included in account Nos. 31-11-00, 31-12-00, 31-13-00, 31-21-00, 31-22-00, and 31-23-00, inclusive.

4. If the depreciation base for accounts 1, 3, 4, 5, and 39 includes nondepreciable property, a statement to that effect should be made in a footnote.

5. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) effected.

6. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively.

Line No.	(Dollars in thousands) Account (a)	OWNED AND USED			LEASED FROM OTHERS		
		Depreciation Base		Annual composite rate (percent) (d)	Depreciation base		Annual composite rate (percent) (g)
		At beginning of year (b)	At close of year (c)		At beginning of year (e)	At close of year (f)	
	<b>ROAD</b>	\$	\$	%	\$	\$	%
1	(1) Engineering	30,583	33,243	1.19	2,765	2,826	0.80
2	(3) Grading	157,244	159,267	0.13	25,858	25,902	0.53
3	(4) Other right-of-way expenditures	372	381	2.00	346	344	1.49
4	(5) Tunnels and subways	33,824	33,826	0.79	5,456	5,456	0.70
5	(6) Bridges, trestles, and culverts	93,567	97,443	1.02	46,539	48,663	1.63
6	(7) Elevated structures		1	1.02			
7	(13) Fences, snowsheds, and signs	2,475	2,521	2.00	1,538	1,529	0.43
8	(16) Station and office buildings	44,519	40,656	2.18	16,123	16,463	2.07
9	(17) Roadway buildings	2,031	2,257	3.33	678	937	2.38
10	(18) Water stations	1,293	1,298	3.33	418	418	2.59
11	(19) Fuel stations	1,912	1,921	3.92	1,518	1,569	2.83
12	(20) Shops and enginehouses	44,984	52,325	2.33	15,145	16,376	2.01
13	(22) Storage warehouses						
14	(23) Wharves and docks				1,743	1,743	1.92
15	(24) Coal and ore wharves	43,860	45,008	3.33	4,792	4,796	2.16
16	(25) TOFC/COFC terminals	2,423	2,226	3.85	2,442	2,449	3.98
17	(26) Communications systems	25,873	25,811	3.68	9,628	9,582	2.30
18	(27) Signals and interlockers	78,607	82,845	3.20	20,821	21,154	2.91
19	(29) Power plants	1,444	1,444	2.86	26	26	1.59
20	(31) Power transmission systems	8,592	8,630	2.38	1,137	1,143	3.43
21	(35) Miscellaneous structures	973	974	2.86	214	229	2.51
22	(37) Roadway machines	31,732	35,411	3.68	146	146	5.65
23	(39) Public improvements—Construction	20,964	21,188	0.97	4,469	4,764	2.14
24	(44) Shop machinery	26,261	28,958	4.36	1,519	1,685	2.42
25	(45) Power plant machinery	2,725	2,725	3.59	614	626	2.83
26	All other road accounts	5,651	7,633	1.82	1,156	1,641	1.82
27	Amortization (other than defense projects)	4,540	4,540	(a)			
28	Total road	666,449	692,832	1.85	165,091	170,467	1.79
	<b>EQUIPMENT</b>						
29	(52) Locomotives	381,665	381,364	3.52			
30	(53) Freight-train cars	1,156,337	1,202,084	3.28			
31	(54) Passenger-train cars	1,072	837	3.82			
32	(55) Highway revenue equipment	13,885	13,274	6.83			
33	(56) Floating equipment	3,577	3,577	3.64			
34	(57) Work equipment	20,579	21,827	4.14			
35	(58) Miscellaneous equipment	18,409	22,972	13.54			
36	Total equipment	1,595,524	1,645,935	3.50			
37	<b>GRAND TOTAL</b>	2,261,973	2,338,767	XXXXXX	165,091	170,467	XXXXXX

(a) See notes Pg. 41A.



**335. ACCUMULATED DEPRECIATION - ROAD AND EQUIPMENT - OWNED AND USED**

1. Disclose the required information in regard to credits and debits to Account No. 735, "Accumulated depreciation; road and equipment property," during the year relating to owned and used road and equipment. Include entries for depreciation of equipment owned but not used when the resulting rents are included in the "Lease Rentals - Credit - Equipment" accounts and Other Rents - Credit - Equipment" accounts. Exclude any entries for depreciation of equipment that is used but not owned when the resulting rents are included in "Lease Rental - Debit - Equipment" account and "Other Rents - Debit - Equipment" accounts (See Schedule 342 for the accumulated depreciation relating to road and equipment owned but not used by respondent.)

2. If any data are included in columns (d) or (f), explain the entries in detail.

3. A debit balance in columns (b) or (g) for any primary account should be designated "Dr."

4. If there is any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses, a full explanation should be given.

5. Enter amounts representing amortization under an authorized amortization program other than for defense projects on line 27.

6. Past excess or under depreciation accruals which are being amortized in accordance with Commission authorization should be reported on line 37.

7. Dollars in thousands.

Line No.	Account	Balance at beginning of year	CREDITS TO RESERVE During the year		DEBITS TO RESERVE During the year		Balance at close of year
			Charges to operating expenses	Other credits	Retirements	Other debits	
	(a)	(b)	(c)	(d)	(e)	(f)	(g)
	ROAD						
1	(1) Engineering	7,434	372		207		7,599
2	(3) Grading	4,410	205				4,615
3	(4) Other, right-of-way	118	8		31		95
4	(5) Tunnels and subways	7,538	267				7,805
5	(6) Bridges, trestles, and culverts	34,549	966		34		35,481
6	(7) Elevated structures						
7	(13) Fences, snow sheds, and signs	1,327	50		1		1,376
8	(16) Station and office buildings	16,289	1,149		4,793		12,645
9	(17) Roadway buildings	1,075	71		13		1,133
10	(18) Water stations	714	43		[5]		762
11	(19) Fuel stations	1,062	75		15		1,122
12	(20) Shops and enginehouses	12,642	1,050	(b) 40	414		13,318
13	(22) Storage warehouses						
14	(23) Wharves and docks						
15	(24) Coal and ore wharves	18,192	1,469		3		19,658
16	(25) TOFC/COFC terminals	581	94		4		671
17	(26) Communication systems	4,605	944		687		4,862
18	(27) Signals and interlockers	28,996	2,565		438		31,123
19	(29) Power plants	544	41				585
20	(31) Power-transmission systems	4,248	205		1		4,452
21	(35) Miscellaneous structures	440	28				468
22	(37) Roadway machines	6,388	1,263		45		7,200
23	(39) Public improvements—Construction	3,627	204		137		3,694
24	(44) Shop machinery	8,735	1,107	(b) 94	165		9,771
25	(45) Power-plant machinery	1,778	95	(b) 3			1,876
26	All other road accounts	36	121		20		137
27	Amortization (other than defense projects)	3,175	(a) 91				3,266
28	Total road	168,503	12,483	137	7,409		173,714
	EQUIPMENT						
29	(52) Locomotives	205,729	13,343		9,339		209,733
30	(53) Freight-train cars	429,669	38,330		16,392		451,607
31	(54) Passenger-train cars	884	36		231		689
32	(55) Highway revenue equipment	7,017	932		780		7,169
33	(56) Floating equipment	1,552	130				1,682
34	(57) Work equipment	8,946	902		284		9,564
35	(58) Miscellaneous equipment	9,057	2,703		1,030		10,730
36	Total equipment	662,854	56,376		28,056		691,174
37	Depreciation Adjustment	53,211	[2,637]	(c)			50,574
38	GRAND TOTAL	884,568	66,222	137	35,465		915,462

(a), (b) and (c): See notes on Pg. 41A.

\*Chargeable to account 305.

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**339. ACCRUED LIABILITY - LEASED PROPERTY**

1. Disclose the required information relating to credits and debits of Account 772, "Accrued liability-leased property," during the year concerning road and equipment leased from others.
2. In column (c), enter amounts charged to operating expenses; in column (e), enter debits to account arising from retirements; in column (f), enter amounts paid to lessor.
3. Any inconsistencies between credits to account, charges to operating expenses and payments to lessors should be fully explained.
4. Required disclosure may be omitted if leased road and equipment property represents 5% or less of total property owned and used.
5. If settlement for depreciation is made currently between lessee and lessor, and no debits or credits to account No. 772 are made by the accounting company, show in column (c) the charges to operating expenses and in column (f) show payments made to the lessor in settlement thereof.
- 6: Dollars in thousands.

Line No.	Account (a)	Balance at beginning of year (b)	CREDITS TO ACCOUNT During the Year		DEBITS TO ACCOUNT During the Year		Balance at close of year (g)
			Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)	
	<b>ROAD</b>	\$	\$	\$	\$	\$	\$
1	(1) Engineering		22			22	
2	(3) Grading		138			138	
3	(4) Other right-of-way expen.		5			5	
4	(5) Tunnels and subways		38			38	
5	(6) Bridges, trestles, and culverts		781			781	
6	(7) Elevated structures						
7	(13) Fences, snow sheds, and signs		7			7	
8	(16) Station and office buildings		339			339	
9	(17) Roadway buildings		16			16	
10	(18) Water stations		11			11	
11	(19) Fuel stations		43			43	
12	(20) Shops and enginehouses		311			311	
13	(22) Storage warehouses						
14	(23) Wharves and docks		33			33	
15	(24) Coal and ore wharves		104			104	
16	(25) TOFC/COFC terminals		97			97	
17	(26) Communication systems		221			221	
18	(27) Signals and interlockers		615			615	
19	(29) Power plants						
20	(31) Power-transmission systems		39			39	
21	(35) Miscellaneous structures		6			6	
22	(37) Roadway machines						
23	(39) Public improvements—Construction		98			98	
24	(44) Shop machinery		37			37	
25	(45) Power-plant machinery		17			17	
26	All other road accounts		25			25	
27	Amortization (other than defense projects)						
28	<b>Total road</b>		<b>3,003</b>			<b>3,003</b>	
	<b>EQUIPMENT</b>						
29	(52) Locomotives						
30	(53) Freight-train cars						
31	(54) Passenger-train cars						
32	(55) Highway revenue equipment						
33	(56) Floating equipment						
34	(57) Work equipment						
35	(58) Miscellaneous equipment						
36	<b>Total equipment</b>		<b>NONE</b>			<b>NONE</b>	
37	<b>GRAND TOTAL</b>		<b>3,003</b>			<b>3,003</b>	

Column (f): See note Pg. 41A.

## NOTES AND REMARKS

Schedules 332 and 335

- (a) Amortization of branches and spurs authorized by letter from ICC Bureau of Accounts dated May 23, 1975, File ACA-TAC.

Schedule 332

- (d) The composite rates shown for Accounts 52-58 reflect the rates prescribed in Sub-Order No. R-798-C dated September 14, 1979.
- (g) The rates shown are composites for Wabash R. R. Co., Wheeling and Lake Erie Rwy. Co. and Pittsburgh and West Virginia R. R., leased October 16, 1964.

Depreciation base includes nondepreciable property in Accounts 1, 3, 4, 5 and 39.

Leased equipment represents less than 5% of total equipment owned and used.

Schedule 335

Depreciation charged to Operating Expense	\$69,274
---	----------

Deduct:

Depreciation credited to 733	49
Depreciation on property leased from Wheeling and Lake Erie Rwy. Co., Wabash R. R. Co. and Pittsburgh and West Virginia R. R.	<u>3,003</u>
	<u>\$66,222</u>

- (b) Capitalized depreciation.

- (c) Includes depreciation adjustment for road \$240 and equipment \$2,397.

Schedule 339

Column (f) settlement of depreciation charges with lessor companies in accordance with agreements effective December 1, 1949 and October 16, 1964.



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### 340. DEPRECIATION BASE AND RATES-IMPROVEMENTS TO ROAD AND EQUIPMENT LEASED FROM OTHERS

1. Show in column (b) for each primary account, the depreciation base used in computing the depreciation charges for the month of January and in column (c) show the depreciation base used in computing the depreciation charges for the month of December; in column (d) show the composite rates used in computing the depreciation charges for the month of December; and on lines 28 and 36 of these columns show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the same month. This schedule should include only improvements to leased property charged to account 732, "Improvements on leased property." The composite rates used should be those prescribed or otherwise authorized by the Commission, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized

rates. If any charges in rates were effective during the year, give full particulars in a footnote.

2. All improvements to leased properties may be combined and one composite rate computed for each primary account or a separate schedule may be included for each such property.

3. If the depreciation base for accounts 1, 3, 4, 5, and 39 includes nondepreciable property, a statement to that effect should be made in a footnote.

4. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.

5. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively.

Line No.	(Dollars in thousands) Account (a)	Depreciation base		Annual composite rate (percent) (d)
		At beginning of year (b)	At close of year (c)	
	ROAD	\$	\$	%
1	(1) Engineering	77	81	1.19
2	(3) Grading	122	146	0.13
3	(4) Other right-of-way expenditures		15	2.00
4	(5) Tunnels and subways			
5	(6) Bridges, trestles and culverts	27	33	1.02
6	(7) Elevated structures			
7	(13) Fences, snowsheds, and signs			
8	(16) Station and office buildings	2,006	2,027	2.18
9	(17) Roadway buildings			
10	(18) Water stations			
11	(19) Fuel stations	54	54	2.33
12	(20) Shops and enginehouses			
13	(22) Storage warehouses			
14	(23) Wharves and docks			
15	(24) Coal and ore wharves			
16	(25) TOFC/COFC terminals			
17	(26) Communications systems			
18	(27) Signals and interlockers	65	65	3.20
19	(29) Power plants			
20	(31) Power transmission systems	8	8	2.38
21	(35) Miscellaneous structures			
22	(37) Roadway machines			
23	(39) Public improvements-Construction	2	2	0.97
24	(44) Shop machinery			
25	(45) Power plant machinery			
26	All other road accounts			
27	Amortization (other than defense projects)			
28	Total road	2,361	2,431	2.04
	EQUIPMENT			
29	(52) Locomotives			
30	(53) Freight-train cars			
31	(54) Passenger-train cars			
32	(55) Highway revenue equipment			
33	(56) Floating equipment			
34	(57) Work equipment			
35	(58) Miscellaneous equipment	NONE	NONE	
36	Total equipment			
37	GRAND TOTAL	2,361	2,431	2.04

**342. ACCUMULATED DEPRECIATION-IMPROVEMENTS TO ROAD AND EQUIPMENT LEASED FROM OTHERS**

1. Enter the required information concerning debits and credits to Account 733, "Accumulated depreciation- improvements on leased property," during the year relating to improvements made to road and equipment property leased from others, the depreciation charges for which are included in operating expenses of the respondent.

2. If any entries are made for column (d) "Other credits" or column (f) "Other debits," state the facts occasioning such entries. A debit balance in column (b) or (g) for any primary account should be shown in parenthesis or designated "Dr."

3. Any inconsistency between the credits to the reserve as shown in column (e) and the charges to operating expenses should be fully explained.

4. Show in column (e) the debits to the reserve arising from retirements.

5. Disclosures in the respective sections of this schedule may be omitted if either total road leased from others or total equipment leased from others represents less than 5% of total road owned or total equipment owned, respectively.

6. Dollars in thousands.

Line No.	Account (a)	Balance at beginning of year (b)	CREDITS TO RESERVE During the Year		DEBITS TO RESERVE During the Year		Balance at close of year (g)
			Charges to others (c)	Other credits (d)	Retirements (e)	Other debits (f)	
	<b>ROAD</b>	\$	\$	\$	\$	\$	\$
1	(1) Engineering _____	1	1				2
2	(3) Grading _____	1					1
3	(4) Other right-of-way expen. _____						
4	(5) Tunnels and subways _____						
5	(6) Bridges, trestles, and culverts _____	14	1				15
6	(7) Elevated structures _____						
7	(13) Fences, snow sheds, and signs _____						
8	(16) Station and office buildings _____	97	44				141
9	(17) Roadway buildings _____						
10	(18) Water stations _____						
11	(19) Fuel stations _____						
12	(20) Shops and enginehouses _____	3	1				4
13	(22) Storage warehouses _____						
14	(23) Wharves and docks _____						
15	(24) Coal and ore wharves _____						
16	(25) TOFC/COFC terminals _____						
17	(26) Communication systems _____						
18	(27) Signals and interlockers _____	71	2				73
19	(29) Power plants _____						
20	(31) Power-transmission systems _____	1					1
21	(35) Miscellaneous structures _____						
22	(37) Roadway machines _____						
23	(39) Public improvements-Construction _____	1					1
24	(44) Shop machinery _____						
25	(45) Power-plant machinery _____						
26	All other road accounts _____						
27	<b>Total road</b> _____	<b>189</b>	<b>49</b>				<b>238</b>
	<b>EQUIPMENT</b>						
28	(52) Locomotives _____						
29	(53) Freight-train cars _____						
30	(54) Passenger-train cars _____						
31	(55) Highway revenue equipment _____						
32	(56) Floating equipment _____						
33	(57) Work equipment _____						
34	(58) Miscellaneous equipment _____						
35	<b>Total equipment</b> _____	<b>NONE</b>	<b>NONE</b>				<b>NONE</b>
36	<b>GRAND TOTAL</b> _____	<b>189</b>	<b>49</b>				<b>238</b>



### 350. DEPRECIATION BASE AND RATES—ROAD AND EQUIPMENT LEASED TO OTHERS

1. This schedule is to be used in cases where the related depreciation reserve is carried in the accounts of the respondent and the rent therefrom is included in accounts 32-11-00, 32-12-00, 32-13-00, 32-21-00, 32-22-00, and 32-23-00.

2. Show in columns (b) and (c), for each primary account, the depreciation base used in computing the depreciation for the months of January and December, respectively, with respect to road and equipment owned by the respondent but leased to others, the depreciation charges for which are not includible in operating expenses of the respondent, but for which the depreciation reserve is recorded in the accounts of the respondent. If the base for road is other than the original cost or estimated original cost as found by the Commission's Bureau of Accounts, brought to a current date by the respondent from its Order No. 3 records and accounts, or is other than ledger value for equipment, a full explanation should be given.

3. In column (d) show the composite rates used in computing

the depreciation for the month of December and on lines 27 and 35 of this column show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary accounts composite rates to the depreciation base used in computing the depreciation for December and dividing the total so computed by the depreciation base.

4. If the depreciation base for accounts 1, 3, 4, 5, and 39 includes nondepreciable property, a statement to that effect should be made in a footnote.

5. If depreciation accruals have been discontinued for any account, the depreciation base should be reported, nevertheless, in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.

6. If total road leased to others is less than 5% of total road owned; omit. If total equipment leased to others is less than 5% of total equipment owned; omit.

Line No.	(Dollars in thousands) Account (a)	DEPRECIATION BASE		Annual composite rate (percent) (d)
		Beginning of year (b)	Close of year (c)	
	<b>ROAD</b>	\$	\$	\$
1	(1) Engineering _____			
2	(3) Grading _____			
3	(4) Other right-of-way expenditures _____			
4	(5) Tunnels and subways _____			
5	(6) Bridges, trestles, and culverts _____			
6	(7) Elevated structures _____			
7	(13) Fences, snowsheds, and signs _____			
8	(16) Station and office buildings _____			
9	(17) Roadway buildings _____			
10	(18) Water stations _____			
11	(19) Fuel stations _____			
12	(20) Shops and enginehouses _____			
13	(22) Storage warehouses _____			
14	(23) Wharves and docks _____			
15	(24) Coal and ore wharves _____			
16	(25) TOFC/COFC terminals _____			
17	(26) Communication systems _____			
18	(27) Signals and interlockers _____			
19	(29) Power plants _____			
20	(31) Power transmission systems _____			
21	(35) Miscellaneous structures _____			
22	(37) Roadway machines _____			
23	(39) Public improvements—Construction _____			
24	(44) Shop machinery _____			
25	(45) Power-plant machinery _____			
26	All other road accounts _____	NONE	NONE	
27	Total road _____			
	<b>EQUIPMENT</b>			
28	(52) Locomotives _____			
29	(53) Freight-train cars _____			
30	(54) Passenger-train cars _____			
31	(55) Highway revenue equipment _____			
32	(56) Floating equipment _____			
33	(57) Work equipment _____			
34	(58) Miscellaneous equipment _____			
35	Total equipment _____	NONE	NONE	X X X X
36	<b>GRAND TOTAL</b> _____	NONE	NONE	

**351. ACCUMULATED DEPRECIATION—ROAD AND EQUIPMENT LEASED TO OTHERS**

1. This schedule is to be used in cases where the depreciation reserve is carried in the accounts of the respondent and the rent therefrom is included in accounts 32-11-00, 32-12-00, 32-13-00, 32-21-00, 32-22-00, and 32-23-00.

2. Disclose credits and debits to Account 735, "Accumulated depreciation road and equipment property," during the year relating to road and equipment leased to others, the depreciation charges for which are not includible in operating expenses of the respondent. (See Schedule 350 for the reserve relating to road and equipment owned and used by the respondent).

3. If any entries are made for "Other credits" and "Other debits," state the facts occasioning such entries. A debit balance in columns (b) or (g) for any primary account should be shown in parenthesis or designated "Dr."

4. Disclosures in the respective sections of this schedule may be omitted if either total road leased to others or total equipment leased to others represents less than 5% of total road owned or total equipment owned, respectively.

5. Dollars in thousands.

Line No.	Account (a)	Balance at beginning of year (b)	CREDITS TO RESERVE During the Year		DEBITS TO RESERVE During the Year		Balance at close of year (g)
			Charges to others (c)	Other credits (d)	Retirements (e)	Other debits (f)	
	<b>ROAD</b>	\$	\$	\$	\$	\$	\$
1	(1) Engineering _____						
2	(3) Grading _____						
3	(4) Other right-of-way expen. _____						
4	(5) Tunnels and subways _____						
5	(6) Bridges, trestles, and culverts _____						
6	(7) Elevated structures _____						
7	(13) Fences, snow sheds, and signs _____						
8	(16) Station and office buildings _____						
9	(17) Roadway buildings _____						
10	(18) Water stations _____						
11	(19) Fuel stations _____						
12	(20) Shops and enginehouses _____						
13	(22) Storage warehouses _____						
14	(23) Wharves and docks _____						
15	(24) Coal and ore wharves _____						
16	(25) TOFC/COFC terminals _____						
17	(26) Communications systems _____						
18	(27) Signals and interlockers _____						
19	(29) Power plants _____						
20	(31) Power-transmission systems _____						
21	(35) Miscellaneous structures _____						
22	(37) Roadway machines _____						
23	(39) Public improvements—Construction _____						
24	(44) Shop machinery _____						
25	(45) Power-plant machinery _____						
26	All other road accounts _____						
27	Total road _____		NONE				
	<b>EQUIPMENT</b>						
28	(52) Locomotives _____						
29	(53) Freight-train cars _____						
30	(54) Passenger-train cars _____						
31	(55) Highway revenue equipment _____						
32	(56) Floating equipment _____						
33	(57) Work equipment _____						
34	(58) Miscellaneous equipment _____						
35	Total equipment _____		NONE				
36	<b>GRAND TOTAL</b>		NONE				

**352A. INVESTMENT IN RAILROAD PROPERTY USED IN TRANSPORTATION SERVICE (By Company)**

1. Disclose the investment in railway property used in transportation service at the close of the year. This investment represents the aggregate of property owned or leased by the respondent and used in the respondent's transportation service. Such property includes: (a) the investment reported in accounts 731, "Road and equipment property", and 732, "Improvements on leased property", of the respondent less any 731 or 732 property leased to others for their exclusive use of road, tracks, or bridges (including equipment or other railway property covered by the contract). Equipment leased to others under separate distinct contracts shall not be deducted from the respondent's 731 or 732 property; (b) the investment of other companies' 731 or 732 property (including operating and lessor railroads) used by the respondent when the lease is for exclusive use or control of roads, tracks, or bridges (including equipment or other railway property covered by the contract). This excludes leased equipment from operating railroads under separate distinct contracts and the investment of other carriers in property jointly used by the respondent.

2. In column (a), classify each company in this schedule as respondent (R), lessor railroad (L), inactive or proprietary company (P), and other leased properties (O).

3. In columns (a) to (e), inclusive, first show the data requested for the respondent (R); next the data for companies whose entire properties are used in transportation service of the respondent, divided between lessor (L) and proprietary (P) companies; followed by data for carriers and others (O), portions of whose property are used in transportation service of the respondent. Show a total for each class of company. Then show, as deductions, data for transportation property leased to carriers and others.

4. In column (c), line-haul carriers report the miles of road used in line-haul service, and switching and terminal companies should report the miles of all tracks owned.

5. In column (d), show the amount applicable in accounts 731 and 732 on the books of the companies whose names appear in column (b). Values of property of other carriers segregated by estimate or otherwise should correspond in amount to the deductions made by the owners in their reports. If separate value is not available, explanations should be given. Differences between the amounts in column (d) of this schedule and the amounts shown in column (c), line 33, on the asset side of the comparative general balance sheet of each individual railway should be explained in a footnote. Book value included in accounts 731 and 732 of the owner should be reported in column (d) in reference to the investment of the respondent in the securities of the owner unless a good reason can be given for the contrary. Methods of estimating (by capitalizing rentals at 6 percent or otherwise) value of property of private owners, or portions of property of other carriers, should be explained.

6. In column (e), show the amount of depreciation and amortization accrued as of the close of the year in accounts 733, 734, 735, 736, and 772, that is applicable to the property of the carriers whose names are listed in column (b), regardless of where the reserves therefor are recorded.

7. Dollars in thousands.

Line No.	Class (See Ins. 2)	Name of company	Miles of road owned (See Ins. 4)	Investments in property (See Ins. 5)	Depreciation and amortization of defense projects (See Ins. 6)
	(a)	(b)	(c)	(d)	(e)
1	R	Norfolk and Western Rwy. Co.		\$	\$
2		Road		1,188,110	185,337
3		Equipment		1,644,370	734,993
4			4,504.08	2,832,480	920,330
5					
6	L	Pittsburgh and West Virginia Railroad Company	126.92	45,846	8,688
7	L	Wabash Railroad Company	1,852.80	259,649	40,246
8	L	Lafayette Union Railway Company		69	
9	L	The Wheeling and Lake Erie Railroad Company	461.83	87,010	19,464
10				392,574	68,398
11					
12					
13	O	Baltimore and Ohio Railroad Company *		75	
14	O	Chicago and Illinois Midland Railway Company		15	
15	O	Chicago and Western Indiana Railway Company		2,081	
16	O	Southern Railway Company		36	
17				2,207	
18					
19		Less Investment in Property Leased to and			
20		Operated by Others			
21	O	Connecting Track at Norton, VA leased to			
22		Interstate Railroad Company		38	
23	O	Land, etc., Kenova, WV leased to Chesapeake and			
24		Ohio Railway Company		1	
25				39	
26					
27		* Rental capitalized at 6%			
28					
29					
30					
31					
32					
33					
34					
35					
36					
37					
38					
39		TOTAL	6,945.63	3,227,222	988,728



**352B. INVESTMENT IN RAILWAY PROPERTY USED IN TRANSPORTATION SERVICE**  
(By Property Accounts)

1. In columns (b) through (e) give, by primary accounts, the amount of investment at the close of the year in property of respondent and each group or class of companies and properties.

2. The amounts for respondent and for each group or class of companies and properties on line 49 herein, should correspond with the amounts for each class of company and properties shown in schedule 352A. Continuing records shall be maintained by respondent of the primary property accounts separately for each company or property included in the schedule.

3. Report on line 32 amounts representing capitalization of rentals for leased property based on 6 percent per year where property is not classified by accounts by non-carrier owners, or where

cost of property leased from other carriers is not ascertainable. Identify non-carrier owners, and briefly explain methods of estimating value of property of non-carriers or property of other carriers.

4. Report on line 33 amounts not includible in the accounts shown, or in line 32. The items reported should be briefly identified and explained. Also include here those items after permission is obtained from the Commission for exceptions to prescribe accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.

5. Dollars in thousands.

Line No.	Account (a)	Respondent (b)	Lessor railroads (c)	Inactive (proprietary companies) (d)	Other leased properties (e)
		\$	\$	\$	\$
1	(1) Engineering	33,800	8,036		35
2	(2) Land for transportation purposes	58,011	23,326		941
3	(3) Grading	161,649	51,072		441
4	(4) Other right-of-way expenditures	412	420		
5	(5) Tunnels and subways	33,825	5,449		
6	(6) Bridges, trestles, and culverts	99,664	47,836		186
7	(7) Elevated structures	1			
8	(8) Ties	40,588	15,832		37
9	(9) Rails	92,421	37,847		40
10	(10) Other track material	82,284	34,414		36
11	(11) Ballast	52,894	25,284		27
12	(12) Track laying and surfacing	66,315	22,110		35
13	(13) Fences, snowsheds, and signs	2,567	1,496		1
14	(16) Station and office buildings	42,985	16,089		224
15	(17) Roadway buildings	2,479	927		
16	(18) Water stations	1,305	416		
17	(19) Fuel stations	2,336	1,605		
18	(20) Shops and enginehouses	52,633	16,220		
19	(22) Storage warehouses				
20	(23) Wharves and docks	3	1,726		
21	(24) Coal and ore wharves	45,031	4,795		
22	(25) TOFC/COFC terminals	2,555	2,428		
23	(26) Communication systems	25,920	9,374		
24	(27) Signals and interlockers	83,939	21,716		
25	(29) Power plants	1,447	26		
26	(31) Power-transmission systems	8,677	1,126		2
27	(35) Miscellaneous structures	974	228		12
28	(37) Roadway machines	35,422	146		15
29	(39) Public improvements—Construction	21,250	7,741		
30	(44) Shop machinery	29,100	3,129		
31	(45) Power-plant machinery	2,930	627		
32	Leased property capitalized rentals (explain)	74			
33	(46) Other (specify & explain) Capital Expense	7,868	277		
34	Total expenditures for road	1,091,359	361,718		2,032
35	(52) Locomotives	378,102			
36	(53) Freight-train cars	1,204,659	930		
37	(54) Passenger-train cars	837			
38	(55) Highway revenue equipment	13,072			
39	(56) Floating equipment	3,577			
40	(57) Work equipment	21,684	245		
41	(58) Miscellaneous equipment	22,439			
42	Total expenditures for equipment	1,644,370	1,175		
43	(76) Interest during construction		4,758		84
44	(77) Other expenditures—General		890		16
45	Total general expenditures		5,648		100
46	Total	2,735,729	368,541		2,132
47	(80) Other elements of investment	84,470	19,342		
48	(90) Construction work in progress	12,317	4,691		
49	Grand Total	2,832,516	392,574		2,132

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**360. LEASES—GENERAL INSTRUCTIONS AND DEFINITIONS****A. General Instructions**

Disclose in the following schedules the required information concerning leases of the respondent:

- Schedule 361 -- Capitalized Capital Leases
- 363 -- Operating Leases
- 364 -- Lessee Disclosures

A general description of the lessee's leasing arrangements shall be included to effect full and complete disclosures. Among the items to be disclosed are:

- The basis on which contingent rental payments are determined.
- The existence and terms of renewal or purchase options and escalation clauses.
- Restrictions imposed by lease agreements, such as those concerning dividends, additional debt, and further leasing.

These and other disclosures shall be included in Schedule 364 and attachments thereto, if necessary.

**B. Definitions**

(1) **Capital Leases** are those leases which meet one or more of the following four criteria:

- The lease transfers ownership of the property to the lessee by the end of the lease term.
- The lease contains a bargain purchase option.
- The lease term is equal to 75 percent or more of the estimated economic life of the property, and
- The present value at the beginning of the lease term of the minimum lease payments equals or exceeds 90 percent of the fair value of the leased property to the lessor at the inception of the lease less any related investment tax credit retained by the lessor.

(2) **Operating leases** are those leases which do not meet any of the four criteria pertaining to capital leases.

(3) **Minimum lease payments** are the payments that the lessee is obligated to make or can be required to make in connection with the leased property. Executory costs such as insurance, maintenance and taxes in connection with the leased property shall be excluded from minimum lease payments.

(4) **Present value minimum lease payments** are lease payments that the lessee is obligated to make or can be required to make, exclusive of executory cost. Moreover, these payments are reduced by amounts representing interest, calculated at the companies' incremental borrowing rate or the implicit rate computed by the lessor.

(5) **Noncancelable lease/sublease** is one that has an initial or remaining term of one year or more and is noncancelable, or is cancelable only upon the occurrence of some remote contingency or upon the payment of a substantial penalty.

(6) **Contingent rentals**, for the purposes of this report, are rentals paid during the reporting year which depend on some factor other than the passage of time such as rentals based on usage or sales.

### 361. CAPITALIZED CAPITAL LEASES

#### PART I. PRESENT VALUE OF MINIMUM LEASE PAYMENTS

Disclose total lease payments for the years shown. Then, disclose amounts representing (1) executory costs and (2) interest to derive the present value of minimum lease payments. An

explanation indicating how the rate of interest was derived for computing present value shall be included in Schedule 364. (Dollars in thousands)

Line No.	Item (a)	Current year (b)	Year 2 (c)	Year 3 (d)	Year 4 (e)	Year 5 (f)	Later Years (g)	Total (h)
1	Lease payments	\$ 3,302	\$ 3,300	\$ 2,840	\$ 2,182	\$ 2,043	\$ 11,959	\$ 25,626
	Less: Executory costs:							
2	- Taxes							
3	- Maintenance							
4	- Insurance							
5	- Other							
6	Total executory costs (2-5)							
7	Minimum lease payments (1, 6)	3,302	3,300	2,840	2,182	2,043	11,959	25,626
8	Less: Amount representing interest	1,855	1,798	1,593	1,410	1,278	5,109	13,043
9	Present value of minimum lease payments (line 7, 8)	1,447	1,502	1,247	772	765	6,850	12,583

#### PART II. TOTAL RENTAL EXPENSES

Complete this part if gross rental expense in the most recent reporting year exceeds one percent of operating revenue. Otherwise, show total rental expenses reduced by rentals received from sub-

leases for the current year. Also, show amounts expected to be received on all noncancelable sublease rentals for the year beginning after the current year as required.

Line No.	Item (a)	Current year (b)	Year 2 (c)	Year 3 (d)	Year 4 (e)	Year 5 (f)	Later years (g)	Total (h)
10	Present value of minimum lease payments from Part I above	\$ N/A	XXXX	XXXX	XXXX	XXXX	XXXXXX	XXXXXX
11	Contingent rentals		XXXX	XXXX	XXXX	XXXX	XXXXXX	XXXXXX
12	Minimum noncancelable sublease rentals		XXXX	XXXX	XXXX	XXXX	XXXXXX	XXXXXX
13	Net rental expense		XXXX	XXXX	XXXX	XXXX	XXXXXX	XXXXXX

#### PART III. CLASSES OF CAPITAL LEASES

Complete this part only if the present values of the minimum lease commitments are more than five percent of the sum of the long-term debt due after one year. Otherwise, show the present values of minimum lease commitments in the aggregate for the major classes of proper-

ties presented. Subtract amounts representing the accumulated amortization to derive at "Net capitalized lease assets."

Line No.	Classes of leased property (a)	Present value	
		Current year (b)	Prior year (c)
14	Structures <b>Not Applicable</b>	\$	\$
15	Revenue equipment		
16	Shop and garage equipment		
17	Service cars and equipment		
18	Noncarrier operating property		
19	Other: (Specify)		
20			
21	Gross capitalized assets		
22	Less: Accumulated amortization		
23	Net capitalized lease assets		

**363. OPERATING LEASES**

**PART I. FUTURE MINIMUM RENTAL PAYMENTS**

1. Disclose the total minimum lease payments required, reduced by sublease rentals, for the years shown relating to operating leases.

Line No.	Items (a)	Current year (b)	Year 2 (c)	Year 3 (d)	Year 4 (e)	Year 5 (f)	Later years (g)	Total (h)
1	Minimum lease payments required	\$ 8,630	\$ 10,787	\$ 9,783	\$ 9,028	\$ 6,969	\$ 27,128	\$ 72,325
2	Minimum noncancelable sublease rentals							
3	Net minimum lease payments	8,630	10,787	9,783	9,028	6,969	27,128	72,325

**PART II. TOTAL RENTAL**

1. Show the composition of total rental expense for all operating leases for the current and preceding years. See Schedule 360 for definitions of the terms.

Line No.	Expenses (a)	Current year (b)	Prior year (c)
4	Minimum lease payments required	\$ 8,630	\$ 8,031
5	Contingent rentals		
6	Less: Sublease rentals	8,630	8,031
7	Total rental expense		



**364. LESSEE DISCLOSURE**

Complete this schedule only if gross rental expense in the most recent fiscal year exceeds one percent of operating revenue.

Relate in general terms: (a) the basis for calculating rental payments if dependent upon factors other than the lapse of time; (b) existence and terms of renewal or purchase options, escalation clauses, etc.; (c) the nature and amount of related guarantees made or obligations assumed; (d) restrictions on paying dividends, incurring additional debt, further leasing, etc.; and, (e) any other information necessary to assess the effect of lease commitments upon the financial position, results of operations, and changes in financial position of the lessee.

Line  
No.

(a)

Not Applicable.

(b)

(c)

(d)

(e)

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**410. RAILWAY OPERATING EXPENSE - Continued**

Line No.	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)
		Salaries and wages (b)	Materials, tools, supplies, fuels, and lubricants (c)	Purchased services (d)	General (e)	Total freight expense (f)		
	WAY AND STRUCTURES - Continued:	\$	\$	\$	\$	\$	\$	\$
	REPAIR AND MAINTENANCE - Continued:							
101	Locomotive Servicing Facilities	331	174	17	6	528		528
102	Miscellaneous Buildings and Structures	887	410	213	23	1,533		1,533
103	Coal Terminals	1,790	1,643	1,800	(20)	5,213	N/A	5,213
104	Ore Terminals	1	52	136	14	203	N/A	203
105	Other Marine Terminals	42	32	71	3	148	N/A	148
106	TOFC/COFC - Terminals	36	52	268	1	357	N/A	357
107	Motor Vehicle Loading and Distribution Facilities	25	4	52	1	82	N/A	82
108	Facilities for Other Specialized Service Operations	450	31	18	11	510	N/A	510
109	Roadway Machines	5,190	6,933	208	373	12,704		12,704
110	Small Tools and Supplies	389	6,369	2,137	(3)	8,892		8,892
111	Snow Removal	1,527	319	305	11	2,162		2,162
112	Fringe Benefits - Running	N/A	N/A	N/A	16,983	16,983		16,983
113	Fringe Benefits - Switching	N/A	N/A	N/A	3,143	3,143		3,143
114	Fringe Benefits - Other	N/A	N/A	N/A	8,482	8,482		8,482
115	Casualties and Insurance - Running	N/A	N/A	N/A	6,788	6,788		6,788
116	Casualties and Insurance - Switching	N/A	N/A	N/A	1,198	1,198		1,198
117	Casualties and Insurance - Other	N/A	N/A	N/A	2,676	2,676		2,676
118	Lease Rentals - Debit - Running	N/A	N/A	5,552	N/A	5,552		5,552
119	Lease Rentals - Debit - Switching	N/A	N/A		N/A			
120	Lease Rentals - Debit - Other	N/A	N/A	3,764	N/A	3,764		3,764
121	Lease Rentals - (Credit) - Running	N/A	N/A	(7)	N/A	(7)		(7)
122	Lease Rentals - (Credit) - Switching	N/A	N/A		N/A			
123	Lease Rentals - (Credit) - Other	N/A	N/A	(11)	N/A	(11)		(11)
124	Joint Facility Rent - Debit - Running	N/A	N/A	2,234	N/A	2,234		2,234
125	Joint Facility Rent - Debit - Switching	N/A	N/A	3,130	N/A	3,130		3,130
126	Joint Facility Rent - Debit - Other	N/A	N/A	768	N/A	768		768
127	Joint Facility Rent - (Credit) - Running	N/A	N/A	(1,029)	N/A	(1,029)		(1,029)
128	Joint Facility Rent - (Credit) - Switching	N/A	N/A	(837)	N/A	(837)		(837)
129	Joint Facility Rent - (Credit) - Other	N/A	N/A	(348)	N/A	(348)		(348)
130	Other Rents - Debit - Running	N/A	N/A		N/A			
131	Other Rents - Debit - Switching	N/A	N/A		N/A			
132	Other Rents - Debit - Other	N/A	N/A		N/A			
133	Other Rents - (Credit) - Running	N/A	N/A		N/A			

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## 410. RAILWAY OPERATING EXPENSE - Continued

Line No.	Name of railway operating expense account	Freight					Passenger	Total
		Salaries and wages	Material, tools, supplies, fuels, and lubricants	Purchased services	General	Total freight expense		
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
	WAY AND STRUCTURES - Continued:	\$	\$	\$	\$	\$	\$	\$
	REPAIR AND MAINTENANCE - Continued:							
134	Other Rents - (Credit) - Switching	N/A	N/A		N/A			
135	Other Rents - (Credit) - Other	N/A	N/A		N/A			
136	Depreciation - Running	N/A	N/A	N/A	5,194	5,194		5,194
137	Depreciation - Switching	N/A	N/A	N/A	526	526		526
138	Depreciation - Other	N/A	N/A	N/A	8,171	8,171		8,171
139	Joint Facility - Debit - Running	N/A	N/A	2,890	N/A	2,890		2,890
140	Joint Facility - Debit - Switching	N/A	N/A	3,559	N/A	3,559	143	3,702
141	Joint Facility - Debit - Other	N/A	N/A	679	N/A	679		679
142	Joint Facility - (Credit) - Running	N/A	N/A	(1,368)	N/A	(1,368)		(1,368)
143	Joint Facility - (Credit) - Switching	N/A	N/A	(755)	N/A	(755)		(755)
144	Joint Facility - (Credit) - Other	N/A	N/A	(182)	N/A	(182)		(182)
145	Dismantling Retired Road Property - Running	463	(61)	229	12	643		643
146	Dismantling Retired Road Property - Switching							
147	Dismantling Retired Road Property - Other	162		4	2	168		168
148	Other - Running	3,701	830	1,113	(310)	5,334	1	5,335
149	Other - Switching	120	11	4	589	715		715
150	Other - Other	711	2	5	26	744		744
151	Total Way and Structures	110,096	84,532	38,333	58,861	291,822	145	291,967
	EQUIPMENT:							
	LOCOMOTIVES:							
201	Administration	3,818	164	214	116	4,312		4,312
202	Repair and Maintenance	17,386	19,713	776	(540)	37,335		37,335
203	Machinery Repair	750	648	18	(19)	1,397		1,397
204	Equipment Damaged	711	594			1,305		1,305
205	Fringe Benefits	N/A	N/A	N/A	6,825	6,825		6,825
206	Other Casualties and Insurance	N/A	N/A	N/A	2,294	2,294		2,294
207	Lease Rentals - Debit	N/A	N/A		N/A			
208	Lease Rentals - (Credit)	N/A	N/A	(1,584)	N/A	(1,584)		(1,584)
209	Joint Facility Rent - Debit	N/A	N/A	38	N/A	38		38
210	Joint Facility Rent - (Credit)	N/A	N/A	(154)	N/A	(154)		(154)
211	Other Rents - Debit	N/A	N/A	4	N/A	4		4
212	Other Rents - (Credit)	N/A	N/A	(469)	N/A	(469)		(469)
213	Depreciation	N/A	N/A	N/A	13,677	13,677		13,677
214	Joint Facility - Debit	N/A	N/A	575	N/A	575		575
215	Joint Facility - (Credit)	N/A	N/A	(156)	N/A	(156)		(156)
216	Repairs Billed to Others - (Credit)	N/A	N/A		N/A			

## 410. RAILWAY OPERATING EXPENSE - Continued

Line No.	Name of railway operating expense account (a)	Freight					Passenger (g)	Total (h)
		Salaries and wages (b)	Material, tools, supplies, fuels, and lubricants (c)	Purchased services (d)	General (e)	Total freight expense (f)		
		\$	\$	\$	\$	\$	\$	\$
	<b>LOCOMOTIVES—Continued:</b>							
217	Dismantling Retired Property	2				2		2
218	Other	1,071	100	39	23	1,233		1,233
219	Total Locomotives	23,738	21,219	(699)	22,376	66,634		66,634
	<b>FREIGHT CARS:</b>							
220	Administration	5,299	293	344	195	6,131	N/A	6,131
221	Repair and Maintenance	35,247	41,358	23,643	(949)	99,299	N/A	99,299
222	Machinery Repair	1,636	2,274	50	(46)	3,914	N/A	3,914
223	Equipment Damaged	4,096	3,617			7,713	N/A	7,713
224	Fringe Benefits	N/A	N/A	N/A	13,509	13,509	N/A	13,509
225	Other Casualties and Insurance	N/A	N/A	N/A	3,761	3,761	N/A	3,761
226	Lease Rentals - Debit	N/A	N/A	1,839	N/A	1,839	N/A	1,839
227	Lease Rentals - (Credit)	N/A	N/A	(424)	N/A	(424)	N/A	(424)
228	Joint Facility Rent - Debit	N/A	N/A	50	N/A	50	N/A	50
229	Joint Facility Rent - (Credit)	N/A	N/A	(131)	N/A	(131)	N/A	(131)
230	Other Rents - Debit	N/A	N/A	140,645	N/A	140,645	N/A	140,645
231	Other Rents - (Credit)	N/A	N/A	(85,887)	N/A	(85,887)	N/A	(85,887)
232	Depreciation	N/A	N/A	N/A	36,843	36,843	N/A	36,843
233	Joint Facility - Debit	N/A	N/A	243	N/A	243	N/A	243
234	Joint Facility - (Credit)	N/A	N/A	(222)	N/A	(222)	N/A	(222)
235	Repairs Billed to Others - (Credit)	N/A	N/A	(30,421)	N/A	(30,421)	N/A	(30,421)
236	Dismantling Retired Property	1		10		11	N/A	11
237	Other equipment	1,852	167	89	(42)	2,066	N/A	2,066
238	Total Freight Cars	48,131	47,709	49,828	53,271	198,939	N/A	198,939
	<b>OTHER EQUIPMENT:</b>							
301	Administration	2,141	81	421	53	2,696		2,696
	Repair and Maintenance:							
302	Trucks, Trailers, and Containers - Revenue Service	467	216	1,708	(10)	2,381	N/A	2,381
303	Floating Equipment - Revenue Service	59	377	17	(1)	452	N/A	452
304	Passenger and Other Revenue Equipment						61	61
305	Computers and Data Processing Systems			564		564		564
306	Machinery	441	430	95	(11)	955		955
307	Work and Other Non-Revenue Equipment	2,100	1,614	2,169	(2)	5,881		5,881
308	Equipment Damaged	29	15			44		44
309	Fringe Benefits	N/A	N/A	N/A	1,984	1,984	67	2,051
310	Other Casualties and Insurance	N/A	N/A	N/A	1,068	1,068		1,068
311	Lease Rentals - Debit	N/A	N/A	4,525	N/A	4,525		4,525
312	Lease Rentals - (Credit)	N/A	N/A		N/A			

## 410. RAILWAY OPERATING EXPENSE - Continued

60

Line No.	Name of railway operating expense account	Freight					Passenger	Total
		Salaries and wages	Material, tools, supplies, fuels, and lubricants	Purchased services	General	Total freight expense		
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
		\$	\$	\$	\$	\$	\$	\$
	<b>OTHER EQUIPMENT—Continued:</b>							
313	Joint Facility Rent - Debit	N/A	N/A	214	N/A	214		214
314	Joint Facility Rent - (Credit)	N/A	N/A	(298)	N/A	(298)		(298)
315	Other Rents - Debit	N/A	N/A	4,340	N/A	4,340		4,340
316	Other Rents - (Credit)	N/A	N/A	(2,019)	N/A	(2,019)		(2,019)
317	Depreciation	N/A	N/A	N/A	4,826	4,826	36	4,862
318	Joint Facility - Debit	N/A	N/A	72	N/A	72		72
319	Joint Facility - (Credit)	N/A	N/A	(484)	N/A	(484)		(484)
320	Repairs Billed to Others - (Credit)			(1,671)		(1,671)		(1,671)
321	Dismantling Retired Property	62	1	387	8	458		458
322	Other	475	48	13	10	546	(5)	541
323	Total Other Equipment	5,774	2,782	10,053	7,925	26,534	159	26,693
324	Total Equipment	77,643	71,710	59,182	83,572	292,107	159	292,266
	<b>TRANSPORTATION:</b>							
	<b>TRAIN OPERATIONS:</b>							
401	Administration	4,723	17	59	122	4,921		4,921
402	Engine Crews	37,107	66	464	1,875	39,512	216	39,728
403	Train Crews	59,924	389	963	3,056	64,332	349	64,681
404	Dispatching Trains	5,481	121	332	8	5,942		5,942
405	Operating Signals and Interlockers	3,332	473	432	95	4,332		4,332
406	Operating Drawbridges	863	8	47	1	919		919
407	Highway Crossing Protection	1,707	140	282	25	2,154		2,154
408	Train Inspection and Lubrication	9,858	2,220	164	(268)	11,974		11,974
409	Locomotive Fuel	1,053	155,204	733	(29)	156,961	5	156,966
410	Electric Power Purchased or Produced for Motive Power							
411	Servicing Locomotives	10,516	6,684	647	(272)	17,575	11	17,586
412	Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	2,626	2,626		2,626
413	Clearing Wrecks	853	188	1,160	41	2,242		2,242
414	Fringe Benefits	N/A	N/A	N/A	35,318	35,318	106	35,424
415	Other Casualties and Insurance	N/A	N/A	N/A	12,589	12,589		12,589
416	Joint Facility - Debit	N/A	N/A	1,992	N/A	1,992		1,992
417	Joint Facility - (Credit)	N/A	N/A	(1,803)	N/A	(1,803)		(1,803)
418	Other	11,831	1,902	2,698	(291)	16,140	18	16,158
419	Total Train Operations	147,248	167,412	8,170	54,896	377,726	705	378,431
	<b>YARD OPERATIONS:</b>							
420	Administration	3,981	25	45	46	4,097		4,097
421	Switch Crews	57,379	209	185	(24)	57,749	1	57,750

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# 410. RAILWAY OPERATING EXPENSE - Continued

Line No.	Name of railway operating expense account	Freight					Passenger	Total
		Salaries and wages	Material, tools, supplies, fuels, and lubricants	Purchased services	General	Total freight expense		
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	
422	YARD OPERATIONS - Continued:	\$ 8,328	\$ 6	\$ 63	\$ 21	\$ 8,418		\$ 8,418
423	Controlling Operations							
424	Yard and Terminal Clerical	19,392	1,275	2,211	189	23,067		23,067
425	Operating Switches, Signals, Retarders and Humps	2,847	38	228	4	3,117		3,117
426	Locomotive Fuel	170	9,694	2	(4)	9,862		9,862
427	Electric Power Purchased or Produced for Motive Power							
428	Servicing Locomotives	2,482	1,416	64	(45)	3,917		3,917
429	Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	175	175		175
430	Clearing Wrecks	386	23	232	2	643		643
431	Fringe Benefits	N/A	N/A	N/A	27,045	27,045		27,045
432	Other Casualties and Insurance	N/A	N/A	N/A	11,183	11,183		11,183
433	Joint Facility - Debit	N/A	N/A	9,771	N/A	9,771	154	9,925
434	Joint Facility - (Credit)	N/A	N/A	(4,777)	N/A	(4,777)		(4,777)
435	Other	472	13	227	(43)	669		669
	Total Yard Operations	95,437	12,699	8,251	38,549	154,936	155	155,091
501	TRAIN AND YARD OPERATIONS COMMON:							
502	Cleaning Car Interiors	792	16	18	(3)	823	4-826 →	823
503	Adjusting and Transferring Loads	332	46	253	(11)	620	← 631 N/A →	620
504	Car Loading Devices and Grain Doors	123	6	1,027	(3)	1,153	← 1,156 N/A →	1,153
505	Freight Lost or Damaged - all other	N/A	N/A	N/A	3,971	3,971	← 3,954 →	3,971
506	Fringe Benefits	N/A	N/A	N/A	397	397		397
	Total Train and Yard Operations Common	1,247	68	1,298	4,351	6,964		6,964
507	SPECIALIZED SERVICE OPERATIONS:							
508	Administration	1,561	4	80	198	1,843	N/A	1,843
509	Pickup & Delivery and Marine Line Haul			3,427		3,427	N/A	3,427
510	Loading & Unloading and Local Marine	5,358	921	9,840	(52)	16,067	N/A	16,067
511	Protective Services	16	15	681	(66)	646	N/A	646
512	Freight Lost or Damaged - Solely Related	N/A	N/A	N/A	307	307	N/A	307
513	Fringe Benefits	N/A	N/A	N/A	2,089	2,089	N/A	2,089
514	Casualties and Insurance	N/A	N/A	N/A	845	845	N/A	845
515	Joint Facility - Debit	N/A	N/A	239	N/A	239	N/A	239
516	Joint Facility - (Credit)	N/A	N/A		N/A		N/A	
517	Other	85	1	3	1	90	N/A	90
	Total Specialized Services Operations	7,020	941	14,270	3,322	25,553	N/A	25,553
518	ADMINISTRATIVE SUPPORT OPERATIONS:							
	Administration	13,080	665	1,722	552	16,019		16,019

## 410. RAILWAY OPERATING EXPENSE - Concluded

Line No.	Name of railway operating expense account	Freight				Passenger	Total
		Salaries and wages	Material, tools, supplies, fuels, and lubricants	Purchased services	General	Total freight expense	
	(a)	(b)	(c)	(d)	(e)	(f)	(g)
	ADMINISTRATIVE SUPPORT OPERATIONS - Con.	\$	\$	\$	\$	\$	\$
519	Employees Performing Clerical and Accounting Functions	16,304	651	1,737	349	19,041	19,041
520	Communication Systems Operation	2,465	15	141	44	2,665	2,665
521	Loss and Damage Claims Processing	1,413	65	43	82	1,603	1,603
522	Fringe Benefits	N/A	N/A	N/A	10,093	10,093	10,093
523	Casualties and Insurance	N/A	N/A	N/A	3,012	3,012	3,012
524	Joint Facility - Debit	N/A	N/A	2	N/A	2	2
525	Joint Facility - (Credit)	N/A	N/A	(7)	N/A	(7)	(7)
526	Other	9,937	126	349	145	10,557	10,557
527	Total Administrative Support Operations	43,199	1,522	3,987	14,277	62,985	62,985
528	Total Transportation	294,151	182,642	35,976	115,395	628,164	860
	GENERAL AND ADMINISTRATIVE:						
601	Officers - General Administration	2,997	392	1,650	265	5,304	5,304
602	Accounting, Auditing and Finance	17,551	406	501	151	18,609	18,609
603	Management Services and Data Processing	7,091	157	181	79	7,508	7,508
604	Marketing	4,411	19	875	132	5,437	5,437
605	Sales	12,514	111	1,549	1,659	15,833	15,833
606	Industrial Development	873	24	68	69	1,034	N/A
607	Personnel and Labor Relations	2,466	56	93	154	2,769	2,769
608	Legal and Secretarial	3,874	183	3,987	251	8,295	8,295
609	Public Relations and Advertising	296	32	2,052	25	2,405	2,405
610	Research and Development						
611	Fringe Benefits	N/A	N/A	N/A	19,021	19,021	19,021
612	Casualties and Insurance	N/A	N/A	N/A	508	508	508
613	Writedown of Uncollectible Accounts	N/A	N/A	N/A	955	955	955
614	Property Taxes	N/A	N/A	N/A	16,973	16,973	16,973
615	Other Taxes Except on Corporate Income or Payroll	N/A	N/A	N/A	5,904	5,904	5,904
616	Joint Facility - Debit	N/A	N/A	1,674	N/A	1,674	14
617	Joint Facility - (Credit)	N/A	N/A	(4)	N/A	(4)	(4)
618	Other	10,203	140	2,950	3,136	16,429	16,429
619	Total General and Administrative	62,276	1,520	15,576	49,282	128,654	14
620	Total Carrier Operating Expenses	544,166	340,404	149,067	307,110	1,340,747	1,178

## 412. WAY AND STRUCTURES

1. Report freight expenses only.

2. The total depreciation expense reported in column (b), line 35 should balance to the sum of the depreciation expense reported in schedule 410, column (f) lines 136, 137, and 138. The total retirement charges changes reported in column (c) line 35 will not balance to any line in schedule 410. Retirement is included in but does not totally comprise the expenses reported in schedule 410, lines 148, 149 and 150.

3. Report in column (d) the lease/rentals for the various property categories of Way and Structures. The total net lease/rentals reported in column (d), line 35 should balance the net amount reported in schedule 410, column (f) lines 118 through 123, plus lines 130 through 135.

If an entire road or segment of track is leased and if the actual breakdown of lease/rentals by property categories is not known, apportion the lease/rentals based on the percentage of the categories' depreciation bases (or property bases for nondepreciable property) to the sum of (1) the depreciation bases for all categories of depreciable leased property plus (2) the property bases for nondepreciable leased property. Use Schedule 350 of this report for obtaining the depreciation bases of the categories of leased property.

4. Report on line 34 all other lease rentals not apportioned to any category listed on lines 1-33.

5. Dollars in thousands.

6. Line 14, account 16, should not include computer and data processing equipment reported on line 37 of Schedule 415.

Line No.	Property account	Category (a)	Depreciation (b)	Retirement (c)	Lease/Rentals (net) (d)
1	1	Engineering	\$ 536	\$ 14	\$ 166
2	2	Land for transportation purposes	N/A	N/A	446
3	3	Grading	343	16	1,299
4	4	Other right-of-way expenditures	13		7
5	5	Tunnels and subways	305		424
6	6	Bridges, trestles and culverts	1,541	N/A	1,265
7	7	Elevated structures		N/A	
8	8	Ties	N/A	68	181
9	9	Rails	N/A	(329)	354
10	10	Other track material	N/A	6	321
11	11	Ballast	N/A	19	272
12	12	Track laying and surfacing	N/A	115	247
13	13	Fences, snowsheds and signs	108	N/A	16
14	16	Station and office buildings	1,064	N/A	2,931
15	17	Roadway buildings	89	N/A	10
16	18	Water stations	67	N/A	6
17	19	Fuel stations	133	N/A	12
18	20	Shops and enginehouses	1,432	N/A	116
19	22	Storage warehouses		N/A	
20	23	Wharves and docks	34	N/A	2
21	24	Coal and ore wharves	1,626	N/A	22
22	25	TOFC/COFC terminals	191	N/A	21
23	26	Communications systems	1,169	N/A	348
24	27	Signals and interlockers	3,125	N/A	270
25	29	Power plants	44	N/A	2
26	31	Power transmission systems	262	N/A	11
27	35	Miscellaneous structures	34	N/A	6
28	37	Roadway machines	1,112	N/A	443
29	39	Public improvements; construction	302	6	91
30	45	Power plant machines	125	N/A	
31	76	Interest during construction	N/A		N/A
32	77	Other expenditures; general	N/A		N/A
33	80	Other elements of investment	N/A		N/A
34	-	Other lease/rentals	236		9
35	-	Total	13,891	(85)	9,298



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#### 414. RENTS FOR INTERCHANGED FREIGHT TRAIN CARS AND OTHER FREIGHT CARRYING EQUIPMENT

1. Report freight expenses only.
2. Report in this supporting schedule rental information by car type and other freight carrying equipment relating to the interchange of railroad equipment, privately owned equipment and equipment leased for less than 30 days.
3. The gross amounts receivable and payable for freight-train cars (line 19 columns (b) through (d) and: line 19 columns (e) through (g) respectively) should balance with Schedule 410, column (f), lines 231 (credits) and 230 (debits). Trailer and container rentals in this schedule are included in Schedule 410, column (f), lines 315 and 316. However, the trailer and container rentals in this schedule will not balance to lines 315 and 316 of Schedule 410 because those lines include rents for

"other equipment" which is reported in Schedule 415, column (e). The balancing of Schedule 410, 414 and 415 "other equipment" is outlined in note 6 to Schedule 415.

4. Report in columns (b) and (e) rentals for private-line cars (whether under railroad control or not) and shipper owned cars.

5. Report in columns (c), (d), (f), and (g) rentals for railroad owned cars prescribed by the Commission in Ex Parte No. 334, for which rentals are settled on a combination mileage and time basis (basic per diem). Include railroad owned per diem tank cars on line 17.

NOTES: Mechanical designations for each car type are shown in Schedule 710.

6. Dollars in thousands.

Line No.	Type of Equipment (a)	GROSS AMOUNTS RECEIVABLE Per Diem Basis			GROSS AMOUNTS PAYABLE Per Diem Basis		
		Private Line Cars (b)	Mileage (c)	Time (d)	Private Line Cars (e)	Mileage (f)	Time (g)
	<b>CAR TYPES:</b>	\$	\$	\$	\$	\$	\$
1	Box-Plain 40 Foot		272	416		355	515
2	Box-Plain 50 Foot and Longer		583	2,191		2,799	10,724
3	Box-Equipped		4,630	15,801		4,513	18,473
4	Gondola-Plain		2,947	6,513	18	2,195	5,086
5	Gondola-Equipped		852	2,134	2	404	1,014
6	Hopper-Covered		1,603	8,085	6,240	1,563	7,838
7	Hopper-Open Top-General Service		4,460	11,921		3,746	11,577
8	Hopper-Open Top-Special Service					4	13
9	Refrigerator-Mechanical		7	56	13	560	991
10	Refrigerator-Non-Mechanical		686	1,960	209	801	3,006
11	Flat TOFC/COFC			1	6,975	152	903
12	Flat Multi-Level		337	4,732	20,409	621	1,374
13	Flat-General Service		117	300		128	407
14	Flat-Other		61	272	1,877	340	1,197
15	Tank-Under 22,000 Gallons				6,886		
16	Tank-22,000 Gallons and Over				2,540		
17	All Other Freight Cars		46	224	38	72	184
18	Auto Racks			14,680			13,883
19	Total Freight Train Cars		16,601	69,286	45,207	18,253	77,185
	<b>OTHER FREIGHT CARRYING EQUIPMENT</b>						
20	Refrigerated Trailers						6
21	Other Trailers			1,881			4,065
22	Refrigerated Containers						
23	Other Containers						258
24	Total Trailers & Containers			1,881			4,329
25	Grand Total (Lines 19 & 24)		16,601	71,167	45,207	18,253	81,514

### SCHEDULE 415. SUPPORTING SCHEDULE: EQUIPMENT

1. Report freight expenses only.
2. Report by type of equipment all natural expenses relating to the equipment functions (salaries and wages, materials, tools, supplies, fuels and lubricants, purchases services, and general).
3. Report in column (b) net repairs, the detail for the items listed in column (a) from the freight expenses reported in Schedule 410 in column (f) lines 202, 203, 216, 221, 222, 235, 302 through 307 and 320. When it is necessary to apportion car repair expenses, the apportionment shall be made on the most equitable basis available to the carriers. The following list provides a basis for apportioning freight car repair expenses to car types: a. AAR Car Repair Billing (CRB) Standards; b. A carrier conducted study to determine car repair expenses by car types; and c. Other available standards valid for the respondent carrier. *Do not report in this schedule equipment damaged expenses from Schedule 410, lines 204, 223 and 308, or, the damages billed to others which is contained in but does not form the bulk of the expense reported in Schedule 410, lines 216, 235 and 320. Column (b) repair expenses should balance to Schedule 410 column (f) expenses as follows (note any imbalance will be attributable to the exclusion from Schedule 415 of damages billed to these as contained in Schedule 410, lines 216, 235 and 320): (1) locomotives: line 5 plus line 38 compared to the sum of Schedule 410, lines 202, 203 plus 216, (2) Freight Cars: line 24 plus line 39 compared to the sum of Schedule 410, lines 221, 222 plus 235, (3) The Sum of Highway Equipment (line 32), Floating Equipment (line 35), Passenger and Other Revenue Equipment (line 36), Computer and Data Processing Equipment (line 37), Machinery-Other Equipment (line 40), and Work and Other Non-Revenue Equipment (line 41) compared to Schedule 410, the sum of lines 302 through 307 plus 320. When using the line data referred to in this instruction it should be noted that lines 216, 235 and 320 of Schedule 410 are credit balances.*
4. Depreciation expense for each class of equipment by car type shall be reported in columns (c) and (d). The annual charge for each equipment account reported in column (c) of Schedule 335 will equal the combined aggregate totals of line item charges comprising the corresponding equipment account as reported in columns (c) and (d). For improvements on leased property, Accounts 732 and 733, use a supplementary Schedule 415 and this reporting will relate to Schedules 340 and 342. Depreciation charges reported in columns (c) and (d) will balance to Schedule 410, column (f) as follows: (1) Locomotives: line 5 plus line 38 compared to Schedule 410, line 213; (2) Freight Cars: line 24 plus line 39 compared to Schedule 410, line 232; (3) The Sum of Highway Equipment (line 32); Floating Equipment (line 35); Passenger and Other Revenue Equipment (line 36); Computer and Data Processing Equipment (line 37); Machinery-Other Equipment (line 40); and Work and Other Non-Revenue Equipment (line 41) compared to Schedule 410, line 317.
5. Depreciation adjustment for prior over and/or underdepreciation of each equipment type shall be reported in column (e) as a debit or credit to the appropriate line item, the net adjustment shall equal the equipment amortization reported in column (c) of Schedule 335.
6. Retirement charges shall be made on the basis of the actual units retired from service during the reporting period where the service value has been determined, based on a ledger value of salvage and insurance recovered. Retirement charge reported in column (f) will not balance to Schedule 410 because they are included in, but do not totally comprise the "other" expenses in Schedule 410, lines 218, 237 and 322. Retirement charges for locomotives, line 5 plus 38 are in Schedule 410, line 218; retirement charges for freight cars, lines 24 plus 39 are in Schedule 410, line 237; retirement charges for all other equipment, lines 32, 35, 36, 37, 40 and 41 are in Schedule 410, line 322.
7. Lease/Rentals reported in column (g) should balance to column (f) of Schedule 410 as follows: (1) Locomotives: line 5 plus line 38 compared with Schedule 410, lines 207, 208, 211 and 212. (2) Freight Cars: line 24 plus line 39 compared with Schedule 410, lines 226 plus 227 (note that Schedule 410, lines 230 and 231 are reported in Schedule 414 and are *not* to be included in Schedule 415). (3) The Sum of Lease/Rentals for All Other Equipment, lines 32, 35, 36, 37, 40 and 41 will balance to Schedule 410, lines 311, 312, 315 and 316 except for the interchange rental on trailers on containers which is reported in Schedule 414. Therefore, both Schedules 414 and 415 should be used when balancing lease/rentals-other equipment to Schedule 410. Do not report in Schedule 415 the trailer-container rentals reported in Schedule 414.
8. Depreciation base by types of equipment shall be reported in columns (g), (h) and (i) and should not include the cost of equipment used, but not owned, when the rents therefore are included in the rent for equipment and accounts Nos. 31-22-00, 31-23-00, 31-25-00, 31-21-00, 35-21-00, 35-22-00, 35-23-00, and 35-25-00. It should include the cost of equipment owned and leased to others when the rents there from are included in the rent for equipment accounts Nos. 32-21-00, 32-22-00, 32-23-00, 32-25-00, 36-21-00, 36-22-00, 36-23-00, and 36-25-00. Property used but not owned should also be included when the rent therefor is included in accounts Nos. 31-12-00, 31-13-00, 31-21-00, 31-22-00, and 31-23-00, inclusive. The grand total of each equipment account in column (c) of Schedule 332 should equal the combined aggregate totals of line items comprising the equipment depreciation bases of column (f).
9. Accumulated depreciation for each class of equipment shall be reported in columns (h), (j) and (k). The grand total of each equipment reserve account in column (g), Schedule 335, shall equal the combined aggregate total accumulated depreciation for line items comprising the corresponding equipment accounts reported in column (h), (j) and (k).



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## SCHEDULE 415 SUPPORTING SCHEDULE: EQUIPMENT

SEE INSTRUCTIONS ON PAGE 66

SEE INSTRUCTIONS ON PAGE 6

Line No.	Types of Equipment (a)	Repairs (Net Expense) (b)	Depreciation		Adjustment net during year (e)
			Owned (c)	Capitalized lease (d)	
	<b>LOCOMOTIVES:</b>				
1	Diesel Locomotive - Yard	\$ 5,600	\$ 1,910	\$ 17	\$
2	Diesel Locomotive - Road	31,735	11,381	35	
3	Other Locomotive - Yard				
4	Other Locomotive - Road				
5	<b>TOTAL</b>	<b>37,335</b>	<b>13,291</b>	<b>52</b>	
	<b>FREIGHT TRAIN CARS:</b>				
6	Box-Plain 40 Foot	1,000	608	105	(25)
7	Box-Plain 50 Foot and Longer	1,026	1,136	107	(35)
8	Box-Equipped	8,985	6,356		(400)
9	Gondola-Plain	6,136	2,969	32	(179)
10	Gondola-Equipped	2,851	867		(69)
11	Hopper-Covered	7,403	4,422	88	(274)
12	Hopper-Open Top-General Service	36,273	16,698		(996)
13	Hopper-Open Top-Special Service	77	50		(5)
14	Refrigerator-Mechanical	45			
15	Refrigerator-Nonmechanical	2,200	153	5	(9)
16	Flat TOFC/COFC	(267)			
17	Flat Multi-level	133	349	7	(21)
18	Flat-General Service	316	113		(10)
19	Flat-Other	473	120		(11)
20	All Other Freight Cars	199	119		(8)
21	Cabooses	1,180	249		(25)
22	Auto Racks	848	3,776		(150)
23	Miscellaneous Accessories				
24	<b>TOTAL FREIGHT TRAIN CARS</b>	<b>68,878</b>	<b>37,985</b>	<b>344</b>	<b>(2,217)</b>
	<b>OTHER EQUIPMENT-REVENUE FREIGHT</b>				
	<b>HIGHWAY EQUIPMENT</b>				
25	Refrigerated Trailers				
26	Other Trailers	674	909		(211)
27	Refrigerated Containers				
28	Other Containers	143			
29	Bogies				
30	Chasis	(107)	23		(6)
31	Other Highway Equipment (Freight)				
32	<b>TOTAL HIGHWAY EQUIPMENT</b>	<b>710</b>	<b>932</b>		<b>(217)</b>
	<b>FLOATING EQUIPMENT-REVENUE SERVICE</b>				
33	Marine Line-Haul	452	130		
34	Local Marine				
35	<b>TOTAL FLOATING EQUIPMENT</b>	<b>452</b>	<b>130</b>		
	<b>OTHER EQUIPMENT</b>				
36	Passenger and Other Revenue Equipment (Freight Portion)				
37	Computer & Data Processing Equipment	564	253		
38	Machinery - Locomotives <sup>1</sup>	1,397	334		
39	Machinery - Freight Cars <sup>2</sup>	3,914	731		
40	Machinery - Other Equipment <sup>3</sup>	955	86		
41	Work & Other Non-revenue Equipment	5,881	3,605		37
42	<b>TOTAL OTHER EQUIPMENT</b>	<b>12,711</b>	<b>5,009</b>		<b>37</b>
43	<b>TOTAL, ALL EQUIPMENT (FREIGHT PORTION)</b>	<b>120,086</b>	<b>57,347</b>	<b>396</b>	<b>(2,397)</b>

<sup>1</sup>The data to be reported on line 38, in column (b) is the amount reported in Schedule 410, column (f), line 203 reduced by the allocable portion of line 216.<sup>2</sup>The data to be reported on line 39, in column (b) is the amount reported in Schedule 410, column (f), line 222 reduced by the allocable portions of line 235.<sup>3</sup>The data to be reported on line 40, in column (b) is the amount reported in Schedule 410, column (f), lines 302 through 306 reduced by the allocable portion of line 320.

SEE INSTRUCTIONS ON PAGE 65

Retirements (f)	Lease and Rentals (Net) (g)	Depreciation Base as of 12/31		Accumulated Depreciation as of 12/31	
		Owned (h)	Capitalized lease (i)	Owned (j)	Capitalized lease (k)
\$	(2,049)	\$ 49,859	175	\$ 27,500	17
		330,568	762	182,181	35
	(2,049)	380,427	937	209,681	52
		7,272	3,760	4,368	105
		26,688	910	11,198	107
	289	199,924		81,740	
(82)	(93)	109,188	938	44,991	32
	(216)	34,619		14,156	
	(61)	160,714		66,612	88
		537,545	2,422	219,809	
		1,515		639	
	1,443	4,432	78	1,814	5
		10,710		4,374	
		4,918	209	2,107	7
	18	5,617		2,310	
		3,961		1,622	
		13,303		5,456	
		73,361		29,983	
	35				
(82)	1,415	1,193,767	8,317	491,179	344
		12,932		10,834	
	4	342		235	
	4	13,274		11,069	
	(33)	3,577		1,682	
	(33)	3,577		1,682	
		837		689	
	4,521				
		6,704		2,262	
		21,148		7,136	
		1,106		373	
	(94)	44,799		19,627	
	4,427	74,594		30,087	
(82)	3,764	1,665,639	9,254	743,698	396

The data to be reported on lines 38, 39, and 40 in columns (f), (g), and (h), is the investment recorded in property account 44 allocated to Locomotives, Freight Cars, and Other Equipment.

The depreciation to be reported on lines 38, 39, and 40 in column (e) is calculated by multiplying the investment in each element by the effective composite rate for property account 44.



# 417. SPECIALIZED SERVICE SUBSCHEDULE - TRANSPORTATION

## Instructions:

- Report freight expenses only.
- Report in lines 1, 2, 3, 4, and 10, the total of those natural expenses (salaries and wages; material, tools, supplies, fuels and lubricants; purchased services; and general) incurred in the operation of each type of specialized service facility. This schedule *does not* include switching services performed by train and yard crews in connection with or within specialized service facilities.
- When it is necessary to apportion expenses, such as administrative expenses to two or more services, they shall be apportioned on the most equitable basis available to the respondent and only to the services they support. The total expenses in column (j) should balance with the respective line items in Schedule 410, Railway Operating Expenses.
- Report in column (b), line 2, the expenses incurred in highway movements of trailers and containers performed at the expense of the reporting railroad within a terminal area for the purpose of pick-up, delivery or highway interchange service. Report in column (b), line 3, the expenses incurred in operating facilities for handling trailers and/or containers including storage expenses.

5. The operation of floating equipment in line-haul service (between distinct terminals) should be reported in column (c) on line 2. Floating operations conducted within a general terminal or harbor area should be reported in column (c), line 3.

6. Report in column (g), line 3, the expenses incurred by the railroad in loading and unloading automobiles, trucks, etc., to and from bi-level and tri-level auto rack cars. Report on line 2, column (g), the expense incurred by the railroad in moving automobiles, etc., between bi-level and tri-level loading and unloading facilities over the highway to shippers, receivers or connecting carriers. Report in column (F) operating expenses for land facilities in support of floating operations, including the operation of docks and wharves.

7. Report on line 4, column (b), the expenses related to heating and refrigeration of TOFC/COFC trailers and containers (net debits and credits). The expenses on line 4, column (h) relate to refrigerator cars only.

8. Report in column (i) total expenses incurred in performing rail substitute service, other highway revenue service, LCL terminal operations, warehouse operations, freight car transloading, grain elevator terminal operations and livestock feeding operations only.

9. Dollars in Thousands.

Line No.	Items	TOFC/COFC Terminal	Floating Equipment	Coal Marine Terminal	Ore Marine Terminal	Other Marine Terminal	Motor Vehicle Load and Distribution	Protective Services Refrigerator Car	Other Special Services	Total Columns (b-i)
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
1	Administration	\$ 1,318	\$ 32	\$ 222	\$	\$	\$ 271	\$	\$	\$ 1,843
2	Pick up & delivery, marine line haul	1,817					1,610	N/A		3,427
3	Loading and unloading and local marine	4,361	1,307	8,451	2,035		(87)	N/A		16,067
4	Protective services	48						598		646
5	Freight lost or damaged-solely related	307								307
6	Fringe benefits	535	259	1,210			80	5		2,089
7	Casualty and insurance	216	105	489			32	3		845
8	Joint facility - Debit	239								239
9	Joint facility - Credit									
10	Other	23	11	52			4			90
11	Total	8,864	1,714	10,424	2,035		1,910	606		25,553

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# 419. REMUNERATIONS FROM NATIONAL RAILROAD PASSENGER CORPORATION

This schedule should be completed by carriers participating in the National Railroad Passenger Corporation (NRPC) agreement, as required by order of the Commission, January 30, 1973, No. 35344 (Sub-No. 3). Classify by accounts the amounts credited for remunerations for intercity passenger service performed by respondent on behalf of NRPC. All contra entries should be indicated in parenthesis. (Dollars in thousands.)

Line No.	Name of Account (a)	Amount (b)
	<b>WAY AND STRUCTURES</b>	\$
	Administration	
1	Track _____	
2	Bridge and Building _____	
3	Signal _____	
4	Communication _____	
5	Other _____	
	Repair and Maintenance	
6	Roadway - Running _____	
7	Roadway - Switching _____	
8	Tunnels and Subways - Running _____	
9	Tunnels and Subways - Switching _____	
10	Bridges and Culverts - Running _____	
11	Bridges and Culverts - Switching _____	
12	Ties - Running _____	
13	Ties - Switching _____	
14	Rail - Running _____	
15	Rail - Switching _____	
16	Other Track Material - Running _____	
17	Other Track Material - Switching _____	
18	Ballast - Running _____	
19	Ballast - Switching _____	
20	Track laying and surfacing - Running _____	7
21	Track laying and surfacing - Switching _____	
22	Road Property Damaged - Running _____	
23	Road Property Damaged - Switching _____	
24	Road Property Damaged - Other _____	
25	Signals and Interlockers - Running _____	
26	Signals and Interlockers - Switching _____	
27	Communications systems _____	
28	Electric Power Systems _____	
29	Highway Grade Crossings - Running _____	
30	Highway Grade Crossings - Switching _____	
31	Station and Office Buildings _____	
32	Shop Buildings - Locomotives _____	
33	Shop Buildings - Other Equipment _____	



## 419. REMUNERATIONS FROM NATIONAL RAILROAD PASSENGER CORPORATION—Continued

Line No.	Name of Account (a)	Amount (b)
	Repair and Maintenance—Continued	\$
101	Locomotive Servicing Facilities	
102	Miscellaneous Buildings and Structures	
109	Roadway Machines	
110	Small Tools and Supplies	
111	Snow Removal	
112	Fringe Benefits - Running	
113	Fringe Benefits - Switching	
114	Fringe Benefits - Other	
115	Casualties and Insurance - Running	
116	Casualties and Insurance - Switching	
117	Casualties and Insurance - Other	
118	Lease Rentals - Debit - Running	
119	Lease Rentals - Debit - Switching	
120	Lease Rentals - Debit - Other	
121	Lease Rentals - (Credit) - Running	
122	Lease Rentals - (Credit) - Switching	
123	Lease Rentals - (Credit) - Other	
124	Joint Facility Rent - Debit - Running	
125	Joint Facility Rent - Debit - Switching	
126	Joint Facility Rent - Debit - Other	
127	Joint Facility Rent - (Credit) - Running	
128	Joint Facility Rent - (Credit) - Switching	
129	Joint Facility Rent - (Credit) - Other	
130	Other Rents - Debit - Running	
131	Other Rents - Debit - Switching	
132	Other Rents - Debit - Other	
133	Other Rents - (Credit) - Running	
134	Other Rents - (Credit) - Switching	
135	Other Rents - (Credit) - Other	
136	Depreciation - Running	
137	Depreciation - Switching	
138	Depreciation - Other	
139	Joint Facility - Debit - Running	
140	Joint Facility - Debit - Switching	
141	Joint Facility - Debit - Other	
142	Joint Facility - (Credit) - Running	
143	Joint Facility - (Credit) - Switching	
144	Joint Facility - (Credit) - Other	
145	Dismantling Retired Road Property - Running	
146	Dismantling Retired Road Property - Switching	
147	Dismantling Retired Road Property - Other	
148	Other - Running	5
149	Other - Switching	
150	Other - Other	
151	Total WAY AND STRUCTURES	12

## 419. REMUNERATIONS FROM NATIONAL RAILROAD PASSENGER CORPORATION-Continued

Line No.	Name of Account (a)	Amount (b)
	<b>EQUIPMENT</b>	\$
	Locomotives	
201	Administration	
202	Repair and Maintenance	6
203	Machinery Repair	
204	Equipment Damaged	
205	Fringe Benefits	
206	Other Casualties and Insurance	
207	Lease Rentals - Debit	
208	Lease Rentals - (Credit)	
209	Joint Facility Rent - Debit	
210	Joint Facility Rent - (Credit)	
211	Other Rents - Debit	
212	Other Rents - (Credit)	
213	Depreciation	
214	Joint Facility - Debit	
215	Joint Facility - (Credit)	
216	Repairs Billed to Others - (Credit)	
217	Dismantling Retired Property	
218	Other	
219	Total Locomotives	6
	<b>Other Equipment</b>	
301	Administration	
	Repair and Maintenance:	
304	Passenger and Other Revenue Equipment	6
305	Computers and Data Processing System	
306	Machinery	
307	Work and Other Non-Revenue Equipment	
308	Equipment Damaged	
309	Fringe Benefits	1
310	Other Casualties and Insurance	
311	Lease Rentals - Debit	
312	Lease Rentals - (Credit)	
313	Joint Facility Rent - Debit	
314	Joint Facility Rent - (Credit)	
315	Other Rents - Debit	
316	Other Rents - (Credit)	
317	Depreciation	
318	Joint Facility - Debit	
319	Joint Facility - (Credit)	
320	Repairs Billed to Others - (Credit)	
321	Dismantling Retired Property	
322	Other	
323	Total Other Equipment	7
324	TOTAL EQUIPMENT	13

## 419. REMUNERATIONS FROM NATIONAL RAILROAD PASSENGER CORPORATION—Continued

Line No.	Name of Account (a)	Amount (b)
	<b>TRANSPORTATION</b>	\$
	<b>Train Operation</b>	
401	Administration _____	
402	Engine Crews _____	8
403	Train Crews _____	9
404	Dispatching Trains _____	
405	Operating Signals and Interlockers _____	
406	Operating Drawbridges _____	
407	Highway Crossing Protection _____	
408	Train Inspection and Lubrication _____	
409	Locomotive Fuel _____	
410	Electric Power Purchased or Produced for Motive Power _____	
411	Servicing Locomotives _____	
412	Freight Lost or Damaged - Solely Related _____	
413	Clearing Wrecks _____	
414	Fringe Benefits _____	6
415	Other Casualties and Insurance _____	
416	Joint Facility - Debit _____	
417	Joint Facility - (Credit) _____	
418	Other _____	4
419	<b>Total Train Operations</b> _____	<b>27</b>
	<b>Yard Operations</b>	
420	Administration _____	
421	Switch Crews _____	
422	Controlling Operations _____	
423	Yard and Terminal Clerical _____	
424	Operating Switches, Signals, Retarders and Humps _____	1
425	Locomotive Fuel _____	
426	Electric Power Purchased or Produced for Motive Power _____	
427	Servicing Locomotives _____	
428	Freight Lost or Damaged - Solely Related _____	
429	Clearing Wrecks _____	
430	Fringe Benefits _____	
431	Other Casualties and Insurance _____	
432	Joint Facility - Debit _____	
433	Joint Facility - (Credit) _____	
434	Other _____	1
435	<b>Total Yard Operations</b> _____	<b>2</b>



## 419. REMUNERATIONS FROM NATIONAL RAILROAD PASSENGER CORPORATION - Concluded

Line No.	Name of Account (a)	Amount (b)
	<b>Train and Yard Operations Common</b>	\$
501	Cleaning Car Interiors	
504	Freight Lost or Damaged - all other	
505	Fringe Benefits	
506	Total Train and Yard Operations Common	
	<b>Administrative Support Operations</b>	
518	Administration	
519	Employees Performing Clerical and Accounting Functions	
520	Communication Systems Operation	
521	Loss and Damage Claims Processing	
522	Fringe Benefits	
523	Casualties and Insurance	
524	Joint Facility - Debit	
525	Joint Facility - (Credit)	
526	Other	
527	Total Administrative Support Operations	
528	<b>TOTAL TRANSPORTATION</b>	29
	<b>GENERAL AND ADMINISTRATIVE</b>	\$
601	Officers - General Administration	6
602	Accounting, Auditing and Finance	6
603	Management Services and Data Processing	
604	Marketing	
605	Sales	
607	Personnel and Labor Relations	
608	Legal and Secretarial	
609	Public Relations and Advertising	
610	Research and Development	
611	Fringe Benefits	
612	Casualties and Insurance	
613	Writedown of Uncollectible Accounts	
614	Property Taxes	
615	Other Taxes Except on Corporate Income or Payroll	
616	Joint Facility - Debit	
617	Joint Facility - (Credit)	
618	Other	
619	<b>TOTAL GENERAL AND ADMINISTRATIVE</b>	12
2 650	<b>TOTAL REMUNERATIONS</b>	66

## 450. ANALYSIS OF FEDERAL INCOME TAXES

1. In column (a) are listed the particulars which most often cause a differential between taxable income and pretax accounting income. Other particulars which cause such a differential should be listed under the caption "Other", including State and other taxes deferred if computed separately. Minor items each less than \$100,000 may be combined in a single entry under "Other".

2. Indicate in column (b) the beginning of the year total of accounts 714, 744, 762 and 786 applicable to each particular item in column (a).

3. Indicate in column (c) the net change in accounts 714, 744, 762 and 786 for the net tax effect of timing differences originating and reversing in the current accounting period.

4. Indicate in column (d) any adjustments, as appropriate, including adjustments to eliminate or reinstate deferred tax effects (credits or debits) due to applying or recognizing a loss carry-forward or a loss carry-back.

5. The total of line 10 in columns (c) and (d) should agree with the total of the contra charges (credits) to account 557, "Provision for deferred taxes," and account 591, "Provision for deferred taxes—extraordinary items," for the current year.

6. Indicate in column (e) the cumulative total of columns (b), (c), and (d). The total of column (e) must agree with the total of accounts 714, 744, 762 and 786.

Dollars in thousands.

Line No.	Particulars (a)	Beginning of Year Balance (b)	Net Credits (Charges) for Current Year (c)	Adjustments (d)	End of Year Balance (e)
1	Accelerated depreciation, Sec. 167 I.R.C.: Guideline lives pursuant to Rev. Proc. 62-21. (E.R.T.A.)	\$ 295,592	\$ 85,523	\$	\$ 381,115
2	Accelerated amortization of facilities Sec. 168, I.R.C.	5,930	(1,995)		3,935
3	Accelerated amortization of rolling stock, Sec. 184 I.R.C.	51,091	(2,493)		48,598
4	Amortization of rights of way, Sec. 185 I.R.C.	3,500	1,109		4,609
5	Other (Specify) <u>See separate schedule on</u>				
6	<u>Page 78A</u>	33,381	37,721		71,102
7	<u>Special obsolescence - retirement</u>				
8	<u>replacement property</u>	5,438			5,438
9	Investment tax credit*				
10	TOTALS	394,932	119,865		514,797

## \*Footnotes:

11. If flow-through method was elected, indicate net decrease (or increase) in tax accrual because of investment tax credit \$ 24,261 \*
12. If deferral method for investment tax credit was elected:
- (1) Indicate amount of credit utilized as a reduction of tax liability for current year \$ N/A
  - (2) Deduct amount of current year's credit applied to reduction of tax liability but deferred for accounting purposes \$ N/A
  - (3) Balance of current year's credit used to reduce current year's tax accrual \$ N/A
  - (4) Add amount of prior year's deferred credits being amortized to reduce current year's tax accrual \$ N/A
  - (5) Total decrease in current year's tax accrual resulting from use of investment tax credits \$ N/A

Note: Investment tax credit includes \$2.157 million for funding the NW TRASOP. A corresponding charge was made to operating expenses, thereby having no effect on net income.

\*See Note 13 (Accounting for Tax Leases) page 8C.

## NOTES AND REMARKS

## 450. Analysis of Federal Income Taxes - Continued from Page 78

Particulars (a)	Beginning of Year Balance (b)	Net Credits (Charges) for Current Year (c)	Adjustments (d)	End of Year Balance (e)
Wabash Excess Tax Depreciation	\$ 14,365	\$ 21,731	\$	\$ 36,096
W&LE Excess Tax Depreciation	2,948	4,385		7,333
Gain on Bonds Recquired	16,839	680		17,519
D&H Tax Benefits Deferred	9,250	6,633		15,883
EL Tax Benefits Deferred	30,111	(1,213)		28,898
State Income Taxes Deferred	2,335	4,285		6,620
Insurance Reserve	(3,688)	177		(3,511)
Loss and Damage Claim Reserve	(7,119)	2,081		(5,038)
Overcharge Claim Reserve	(6,621)	1,463		(5,158)
Personal Injury Claim Reserve	(15,688)	(4,856)		(20,544)
Past Service Liability for Pensions - Debit	(4,210)	(639)		(4,849)
National R. R. Passenger Corp. - Contribution	(489)			(489)
Deferred Compensation Plan	(2,423)	(307)		(2,730)
Deferred Income Tax Benefits - Interest on Possible F.I.T.				
Deficiencies - Debit	660	210		870
ACRS Benefits - Tax Losses		14,191		14,191
Miscellaneous - Net	(2,889)	(11,100)		(13,989)
Totals (To Page 78)	<u>\$ 33,381</u>	<u>\$ 37,721</u>	<u>\$</u>	<u>\$ 71,102</u>



## 451. RAILWAY TAX ACCRUALS

1. In Sections A and B show the particulars called for with respect to net accruals of taxes on railroad property, and U.S. Government taxes.

2. Dollars in thousands.

## A. Other than U.S. Government Taxes

Line No.	State (a)	Amount (b)	State (a)	Amount (b)	Line No.
		\$		\$	
1	Alabama		South Dakota		41
2	Alaska		Tennessee	1	42
3	Arizona		Texas	1	43
4	Arkansas		Utah		44
5	California	4	Vermont		45
6	Colorado		Virginia	11,637	46
7	Connecticut	1	Washington		47
8	Delaware		West Virginia	7,484	48
9	Florida	2	Wisconsin		49
10	Georgia	1	Wyoming		50
11	Hawaii		District of Columbia	2	51
12	Idaho				
13	Illinois	3,963	Other		
14	Indiana	3,910	Canada	57	52
15	Iowa	148	Mexico	160	53
16	Kansas	3	Puerto Rico		54
17	Kentucky	299	Canada - Payroll	14	55
18	Louisiana	2	Total-Other than U.S. Government Taxes	39,074	56
19	Maine	28			
20	Maryland	58			
21	Massachusetts	1			
22	Michigan	345	Kind of tax (a)	Amount (b)	
23	Minnesota	3		\$	
24	Mississippi		Income taxes:		
25	Missouri	2,129	Normal tax and surtax	87,976	57
26	Montana		Excess profits		58
27	Nebraska		Total-Income taxes	87,976	59
28	Nevada		Old-age retirement*	84,424	60
29	New Hampshire		Unemployment insurance	8,206	61
30	New Jersey		All other United States Taxes	1	62
31	New Mexico		Total-U.S. Government Taxes	180,607	63
32	New York	957	Grand Total-Railway Tax Accruals	219,681	64
33	North Carolina	233			
34	North Dakota				
35	Ohio	6,957			
36	Oklahoma	1			
37	Oregon				
38	Pennsylvania	673			
39	Rhode Island				
40	South Carolina				

\*Includes taxes for hospital insurance (Medicare) and supplemental annuities as follows:

Hospital insurance	\$	6,737	65
Supplemental annuities		5,498	66

## 460. ITEMS IN SELECTED INCOME AND RETAINED EARNINGS ACCOUNTS FOR THE YEAR

Give a brief description for all items, regardless of amount, included during the year in accounts 555, "Unusual or infrequent items"; 560, "Income or loss from operations of discontinued segments"; 562, "Gain or loss on disposal of discontinued segments"; 570, "Extraordinary items"; 590, "Income taxes on extraordinary items"; 592, "Cumulative effect of changes in accounting principles"; 603, "Appropriations released"; 606, "Other credits to retained earnings"; 616, "Other debits to retained earnings"; 620, "Appropriations for sinking and other funds"; 621,

"Appropriations for other purposes". If appropriations released reflect appropriations provided during the year, each account should not be reported.

For accounts 519, "Miscellaneous income" and 551, "Miscellaneous income charges", if the total in either account exceeds 10% of net income before extraordinary items, describe the three largest items in each account and any other items in excess of 10% of net income.

(Dollars in thousands)

Line No.	Account No. (a)	Item (b)	Debits (c)	Credits (d)
1		Not applicable. Accounts 519 and 551 less than 10% of	\$	\$
2		income before extraordinary items.		
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## MEMORANDA RELATING TO SELECTED INCOME AND RETAINED EARNINGS ACCOUNTS

Road Initials: NW

Year 1981

81

NOTES AND REMARKS



## 500. CONTINGENT ASSETS AND LIABILITIES

1. Give particulars with respect to contingent assets and liabilities at the close of the year, in accordance with Instruction 5-6 in the Uniform System of Accounts for Railroad Companies, that are not reflected in the accounts of the respondent.

Disclose all items amounting to \$100,000, or more. If an actual monetary amount is not known and an estimate of the amount of any item involved is not feasible, disclosure should be made describing the contingency and explaining why an estimate is not determinable.

Examples of contingent liabilities are items which may become obligations as a result of pending or threatened litigation,

assessments or possible assessments of additional taxes and agreements or obligations to repurchase securities or property.

2. Information concerning the guaranties and suretyships are to be shown in other schedules provided for those items and not in this schedule.

3. In column (a) give a description of each item of contingent assets and liabilities under an inserted caption "CONTINGENT ASSETS" or "CONTINGENT LIABILITIES," as appropriate, and in column (b) show the amount of each item.

(Dollars in thousands)

Line No.	Item (a)	Amount (b)
		\$
1	Lawsuits:	
2	Sturgeon, Missouri, Derailment - Numerous lawsuits have arisen out of the derailment	
3	and puncturing on NW's line at Sturgeon, Missouri, on January 10, 1979, of a tank car	
4	carrying the chemical orthochlorophenol-crude (apparently also containing dioxin, a	
5	contaminant). NW's investigation and laboratory tests indicated that the cause of	
6	derailment was failure of the tank car's coupler yoke. The lawsuits name as defendants	
7	NW, the coupler yoke manufacturer (Dresser Industries, Inc.), the tank car manufac-	
8	turer (General American Transportation Corporation), and the shipper (Monsanto	
9	Company). These suits have been filed by various persons claiming to have sustained	
10	personal injury and property damage as a result of the derailment and spillage of the	
11	tank car's contents, and, as originally filed, sought in the aggregate in excess of	
12	\$98 million in actual damages and \$202 million in punitive damages. Because many of	
13	the suits originally filed in a state court in Missouri have been withdrawn from that	
14	jurisdiction and refiled in a state court in Illinois, in the form of actions each	
15	seeking in excess of the minimum jurisdictional amount (\$15,000), it is no longer	
16	possible to quantify the aggregate amount which might be sought at trial. NW has	
17	filed suit in the United States District Court for the Eastern District of Missouri	
18	against the manufacturers of the coupler yoke and tank car and the shipper. These	
19	defendants have named the company initially engaged in the cleanup of the spill	
20	(Willamette-Western Corporation) as a third party defendant, and are seeking to bring	
21	in the United States Environmental Protection Agency as another third party defendant.	
22	NW contends that the derailment and spillage resulted directly from the defective	
23	condition of the coupler yoke and tank car and, accordingly, seeks recovery of all NW	
24	costs which arose out of the cleanup of the spill and to establish a basis for	
25	claiming indemnity against the defendants for any sums NW may be required to pay in	
26	adjudication or settlement of all other suits arising out of the spill.	
27		
28	Lake Erie Docks - On September 17, 1980, Pinney Dock & Transport Company, a Lake Erie	
29	dock and terminal services company (Pinney), filed suit in the United States District	
30	Court for the Northern District of Ohio against six defendants, including NW and three	
31	other Eastern railroads. Pinney alleges violations by each of the defendants,	
32	individually and in combination and in conspiracy with others, of the Federal	
33	antitrust laws for a number of years in connection with movements of iron ore, coal,	
34	and coke over docks on the lower Great Lakes. Pinney contends that as a result of	
35	such alleged violations it has been prevented from providing dock services for these	
36	commodities, and seeks to recover threefold its actual damages which are described	
37	as "extensive." NW has been advised by counsel that Pinney's claims against NW	
38	appear to be without merit. Accordingly, NW has denied liability and is resisting	
39	the claims.	
40		
41	On March 5, 1981, Litton Industries, Inc., Litton Systems, Inc., Litton Great Lakes	
42	Corporation and Erie Marine, Inc., companies engaged in businesses relating to the	
43	transportation of iron ore and other bulk commodities on the Great Lakes, including	
44	the design, construction, sale, charter and operation of self-unloading vessels	
45	(together Litton), filed suit in the United States District Court for the Northern	
46	District of Ohio against eight defendants, including NW and the other three Eastern	
47	railroad defendants in the Pinney suit. Litton alleges violations by each of the	

## 500. CONTINGENT ASSETS AND LIABILITIES

1. Give particulars with respect to contingent assets and liabilities at the close of the year, in accordance with Instruction 5-6 in the Uniform System of Accounts for Railroad Companies, that are not reflected in the accounts of the respondent.

Disclose all items amounting to \$100,000. or more. If an actual monetary amount is not known and an estimate of the amount of any item involved is not feasible, disclosure should be made describing the contingency and explaining why an estimate is not determinable.

Examples of contingent liabilities are items which may become obligations as a result of pending or threatened litigation.

assessments or possible assessments of additional taxes and agreements or obligations to repurchase securities or property.

2. Information concerning the guaranties and suretyships are to be shown in other schedules provided for those items and not in this schedule.

3. In column (a) give a description of each item of contingent assets and liabilities under an inserted caption "CONTINGENT ASSETS" or "CONTINGENT LIABILITIES," as appropriate, and in column (b) show the amount of each item.

(Dollars in thousands)

Line No.	Item (a)	Amount (b)
	(Continued from Page 82)	\$
48	defendants, individually and in combination and in conspiracy with others, of the	
49	Federal and Ohio antitrust laws for a number of years in connection with movements of	
50	iron ore and other bulk commodities over docks on the Great Lakes. Litton contends	
51	that as a result of such alleged violations it has been forced to cease the design,	
52	construction, sale and charter of advanced self-unloading vessels, the operation of	
53	self-unloading and bulker vessels, and efforts to secure and operate dock facilities.	
54	Litton seeks to recover threefold its actual damages which are described as "extensive."	
55	NW has been advised by counsel that Litton's claims against NW also appear to be	
56	without merit. Accordingly, NW has denied liability and is resisting the claims.	
57		
58	On October 13, 1981, NW and four other Eastern railroads were indicted by a Federal	
59	grand jury in the United States District Court for the District of Columbia and	
60	charged with violation of Section 1 of the Sherman Act by engaging in a combination	
61	and conspiracy in unreasonable restraint of trade in the movement of iron ore over	
62	docks on the lower Great Lakes. If convicted, NW could be fined up to \$1 million.	
63	The indictment alleges activities similar to those alleged by plaintiffs in the Pinney	
64	civil suit. In the opinion of NW's counsel, the indictment of NW is wholly unsupported.	
65	On October 23, 1981, NW entered a plea of "not guilty." The Court has set May 17, 1982,	
66	as a tentative trial date. Several motions, including motions to dismiss, are pending	
67	before the Court.	
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## 501. GUARANTIES AND SURETYSHIPS

1. If the respondent was under obligation as guarantor or surety for the performance by any other corporation or other association of any agreement or obligation, show the particulars of each contract of guaranty or suretyship in effect at the close of the year or entered into and expired during the year.

This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after date of issue. Items of less than \$50,000 may be shown as one total.  
(Dollars in thousands)

Line No.	Names of all parties principally and primarily liable (a)	Description (b)	Amount of contingent liability (c)	Sole or joint contingent liability (d)
1	United States Trust Co.	Conditional Sale Agreements dated as of	\$	
2	of New York, as Trustee	8/1/75, among (i) Pullman, Inc. (Pullman-		
3	(and not individually)	Standard Division), United States Trust Co.		
4		of New York, as Trustee, and NW, (ii) ACF		
5		Industries, Inc., United States Trust Co.		
6		of New York, as Trustee and Norfolk and		
7		Western Rwy. Co., and (iii) FMC Corp.,		
8		United States Trust Co. of New York, as		
9		Trustee, and NW	Included in following	
10			item	Sole
11				
12	American Rail Box Car Co.	Lease of Railroad Equipment dated as of		
13		8/1/75, between American Rail Box Car Co.		
14		and United States Trust Co. of New York, as		
15		Trustee (guaranteed by Guaranty Agreement		
16		dated as of 8/1/75, between NW and United		
17		States Trust Co. of New York)	22,900	Sole
18	(a) The Belt Rwy. Co. of	1st. Mtge. Series "A" 4-5/8% S.F. bonds		
19	Chicago	due 8/15/87 (FD22140)	21,542 & Int.	S.F. Jt.
20				
21				
22	(b) Kansas City Terminal			
23	Rwy. Co.	Guaranteed Senior Notes	8,250 & Int.	Joint
24				
25	(c) Terminal R.R. Assoc.	Refunding & Improvement Mortgage Series "C"		
26	of St. Louis	4% bonds due 7/1/2019 (FD14553-54)	7,860 & Int.	S.F. Jt.
27				
28		Series "D" 2-7/8% bonds due 10/1/85		
29		(FD15070)	26,567 & Int.	S.F. Jt.
30				
31		Credit Agreement with First National Bank		
32		in St. Louis dated 2/13/73	172	Sole
33				
34	(d) The Toledo Terminal	1st Mtge. 4-3/4% bonds due 10/1/82		
35	R.R. Co.	(FD19919)	2,951 & Int.	S.F. Jt.
36				
37	(e) Trailer Train	Purchase and Conditional Sales		
38		Agreements - various rates and due dates	1,216 & Int.	S.F. Jt.
39				
40	The Wheeling & Lake	General and Refunding Mortgage bonds		
41	Erie Rwy. Co.	Series "A" 2-3/4% due 9/1/92 (FD15029)	1,780	Sole
42				
43	Railease, Inc.	Equipment Lease	625	Joint
44				
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## 501. GUARANTIES AND SURETYSHIPS

1. If the respondent was under obligation as guarantor or surety for the performance by any other corporation or other association of any agreement or obligation, show the particulars of each contract of guaranty or suretyship in effect at the close of the year or entered into and expired during the year.

This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after date of issue. Items of less than \$50,000 may be shown as one total.  
(Dollars in thousands)

Line No.	Names of all parties principally and primarily liable (a)	Description (b)	Amount of contingent liability (c)	Sole or joint contingent liability (d)
61	(a) Jointly and Severally	with ATSF, CO, CEI, BN, LN, CRIP, GTW,	\$	
62	ICG, SOO & ConRail			
63	(b) Jointly and Severally	with ATSF, BN, CNW, CMStP&P, CRIP, ICG,		
64	KCS, MKT, MP, SLSF & UP			
65	(c) Jointly and Severally	with BN, BO, CRIP, ICG, LN, MKT, MP,		
66	ConRail, SLSF, SLSW & SOU			
67	(d) Jointly and Severally	with CO, BO & ConRail		
68	(e) Jointly and Severally	with 29 Companies		
69				
70		The respondent has assumed or guaranteed in		
71		connection with the lease of the lines and		
72		certain other property of Wabash Railroad		
73		Company and The Pittsburgh & West Virginia		
74		Railway Company the following obligations:		
75				
76	(FD13010)	Wabash Railroad Co.		
77		Gen. Mtge. 4-1/4% Inc. Bonds, Series "B"		
78		Due 1/1/91	9,908	
79				
80	The Pittsburgh & West	1st Mtge. 3-7/8% Bonds, Series "A" Due		
81	Virginia Rwy. Co.	3/1/84 (FD18440)	60	
82				
83				
84	Legend for abbreviations used in column (c) and (d):			
85				
86	Int. = Interest			
87	S.F. = Sinking Fund			
88	Inc. = Income			
89				
90				
91				
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2. If any corporation or other association was under obligation as guarantor or surety for the performance by the respondent of any agreement or obligation, show for each such contract of guaranty or suretyship in effect at the close of the year or entered into and expired during the year, the particulars called for hereunder.

This inquiry does not cover the case of ordinary commercial paper maturing on demand or not later than 2 years after date of issue, nor does it include ordinary surety bonds or undertakings on appeals in court proceedings.

Line No.	Finance Docket number, title, maturity date and concise description of agreement or obligation (a)	Names of all guarantors and sureties (b)	Amount of contingent liability of guarantors (c)	Sole or joint contingent liability (d)
1			\$	
2				
3				
4				
5				
6				
7				
8				
9				

## 502. COMPENSATING BALANCES AND SHORT-TERM BORROWING ARRANGEMENTS

Using the following notes as a guideline, show the requirements compensating balances and short-term borrowing arrangements. Footnote disclosure is required even though the arrangement is not reduced to writing. (Dollars in thousands)

1. Disclose compensating balances not legally restricted, lines of credit used and unused, average interest rate of short-term borrowings outstanding at balance sheet date, maximum amount of outstanding borrowings during the period and the weighted average rate of those borrowings.
2. Time deposits and certificates of deposit constituting compensating balances not legally restricted should be disclosed.
3. Compensating balance arrangements need only be disclosed for the latest fiscal year.
4. Compensating balances included in account 703, Special deposits, and in account 717, Other funds, should also be separately disclosed below.
5. Compensating balance arrangements are sufficiently material to require disclosure in footnotes when the aggregate of written and oral agreement balances amount to 15 percent or more of liquid assets (current cash balances, restricted and unrestricted plus marketable securities).
6. When a carrier is not in compliance with a compensating balance requirement, that fact should be disclosed along with stated and possible sanctions whenever such possible sanctions may be immediate (not vague or unpredictable) and material.

None



**510. DEBTHOLDINGS**

Give particulars of the various issues of securities of the respondent and disclose the name and address of the creditor, the character (nature) of the debt, nature of the security, if any, the date of origin, the date of maturity, the total amount of the debt, the rate of interest, and the total amount of interest to be paid. Include a copy of any and all restrictive covenants attached to the indebtedness. Where such indebtedness is widely held, such as bonds and debentures, provide the name of the trustee in place of the creditor.

Accounts to be considered in completing this schedule are:

- 765. Funded Debt Unmatured
- 766. Equipment Obligations
- 767. Receivers' and Trustees' Securities
- 768. Debt in Default
- 769. Accounts Payable: Affiliated Companies

In column (a) show the symbol and name, and account number of each bond or other obligation according to the following designations in the numerical order given, and give a total for each such numerical alphabetical and each numerical group and for each account:

(1) MORTGAGE BONDS:

- (a) With fixed interest.
- (b) With contingent interest.

(2) COLLATERAL TRUST BONDS:

- (a) With fixed interest.
- (b) With contingent interest.

(3) UNSECURED BONDS (Debentures):

- (a) With fixed interest.
- (b) With contingent interest.

(4) EQUIPMENT OBLIGATIONS:

- (a) Equipment securities (Corporation).
- (b) Equipment securities (Receivers' and Trustee').
- (c) Conditional or deferred payment contracts.

(5) MISCELLANEOUS OBLIGATIONS

(6) RECEIVERS' AND TRUSTEE' SECURITIES (Other than equipment obligations).

(7) SHORT-TERM NOTES IN DEFAULT.

Indicate in the description or by footnote the property pledge for each issue, stating assets covered by first lien and by junior lien.

If an issue is a serial issue, state amounts due annually. If amounts are not due regularly, give full particulars.

If an issue is an income bond, the interest rate shown should be the maximum rate specified by the indenture. State in the description or by footnote the amount of interest that is contingent, the percent paid for the current year, and the aggregate percent of contingent interest unpaid at the beginning and end of the year.

If any issue is in default, indicate the date of the first default, payments of interest made during the current year, and total amount of interest in default at beginning and end of the year.

If any issue contains a conversion feature, call feature, or is subject to a sinking fund provision prior to maturity, describe particulars in footnotes. Dollars in thousands.

Road Initials: NM

Year 1981

86

## 510. DEBTHOLDINGS—Continued

Line No.	Description of obligation	Name and address of creditor or trustee	Original amount	Portion due	
				Within one year	After one year
(a)	(b)	(c)	(d)	(e)	
1.	764 and 765 Funded Debt	Unmatured:			
2.	(1) (a) Mortgage Bonds				
3.	NW Rwy. Co.	Bankers Trust Co.			
4.	1st. Consol. Mtge.	New York, N.Y.	41,066		27,863
5.	SV&NE RR Co.	Manufacturers Hanover			
6.	1st. Mortgage	New York, N.Y.	5,000		2,253
7.	VGN Rwy. Co. 1st. Lien				
8.	& Refund Mtge. Bonds:				
9.	Series B (Note 1)	Citibank, N.Y.	69,544		10,459
10.	Series F (Note 2)	" "	12,000		4,633
11.					
12.	NYC&STL RR Co. 1st.				
13.	Mtge. Refund. Bonds:				
14.	Series F (Note 3)	Mfg. Hanover, N.Y.	41,500		20,174
15.					
16.	IT RR 1st. Mortgage	Mercantile Trust			
17.	Bonds Series A	St. Louis, MO	5,600		3,264
18.	Total (1) (a)		174,710		68,646
19.					
20.	(3) (a) Debentures:	(Note A)			
21.	NW Rwy. Co.	Virginia Nat'l. Bank			
22.	15 Yr. Subordinated	Norfolk, VA	10,400		
23.	" " "	" "	10,400	4,933	
24.	" " "	" "	10,400	2,040	
25.	" " "	" "	10,400		3,760
26.	" " "	" "	10,400		9,900
27.	" " "	" "	10,400		2,225
28.	" " "	" "	10,400		3,365
29.	" " "	" "	10,400		1,755
30.	" " "	" "	10,400		150
31.	" " "	" "	10,400		5,000
32.	Total (3) (a)		104,000	6,973	26,155
33.					
34.	(3) (b) Income Debentures:				
35.	NYC&STL RR Co. (Note 4)	Central N.B., Cleveland	36,000		5,451
36.	VGN Rwy. Sub. (Note 5)	Mfg. Hanover, N.Y.	26,877		16,179
37.	NW Rwy. Sub. (Note 6)	1st.&Merchants, Richmond	4,157		2,295
38.			67,034		23,925
39.	(NOTE A) Convertible into NW Common Stock at \$43.33 per share.				
40.					
41.	Annual sinking fund requirements payable in cash or its equivalence of bond principal:				
42.	(Note 1) Annual sinking fund requirement equals 3/4 of 1% of the outstanding principal amount of				
43.	bonds plus 3% of the bond principals cancelled through previous sinking fund payments.				
44.	(Note 2) Annual sinking fund requirement is \$240,000.				
45.	(Note 3) Annual sinking fund requirement is \$207,500.				
46.	(Note 4) Annual sinking fund requirement for 1982 is \$1,208,000 and increases \$18,000 each year.				
47.	(Note 5) Annual sinking fund requirement is \$405,000 through 1986, \$739,000 through 2004 and				
48.	\$806,000 through 2008.				
49.	(Note 6) Annual sinking fund requirement is \$83,000.				
50.					





## 510. DEBTHOLDINGS—Continued

Line No	Description of obligation	Name and address of creditor or trustee	Original amount	Portion due	
				Within one year	After one year
	(a)	(b)	(c)	(d)	(e)
1.	764 and 766 Equipment Obligations:				
2.	(4) (a) Equipment Securities				
3.	NW Rwy. Co.				
4.	Series O	1st. Pennsylvania, Phila.	6,750		
5.	" O	" " "	6,600		
6.	" P	" " "	11,100		
7.	" P	" " "	11,025		
8.	" Q	" " "	9,300	620	1,240
9.	" Q	" " "	9,105	607	1,214
10.	" R	" " "	9,990	666	2,664
11.	" S	" " "	10,500	700	2,800
12.	" S	" " "	10,590	706	2,824
13.	" T	" " "	10,950	730	3,650
14.	" U	" " "	10,650	710	3,550
15.	" V	" " "	9,600	640	3,840
16.	" W	" " "	12,450	830	4,980
17.	" X	" " "	13,125	875	6,125
18.	" Y	" " "	4,725	315	2,205
19.	" Y	" " "	10,275	685	4,795
20.	" Z	" " "	15,000	1,000	8,000
21.	" Z	" " "	15,000	1,000	8,000
22.	" 1	" " "	15,000	1,000	8,000
23.	" 1	" " "	15,000	1,000	8,000
24.	" 2	" " "	7,800	520	4,160
25.	" 2	" " "	15,000	1,000	8,000
26.	" 3	" " "	10,950	730	6,570
27.	" 3	" " "	12,150	810	7,290
28.	" 4	" " "	9,075	605	5,445
29.	" 5	Mercantile Trust, Balt.	12,000	800	8,000
30.	" 6	1st. Pennsylvania, Phila.	19,575	1,305	13,050
31.	" 7	" " "	16,500	1,100	12,100
32.	" 7	" " "	10,875	725	7,975
33.	" 8	" " "	18,675	1,245	13,695
34.	" 9	" " "	23,175	1,545	18,540
35.	" 10	" " "	* 11,070	738	8,856
36.	" 11	" " "	* 13,200	880	11,440
37.	" 12	" " "	16,125	1,075	13,975
38.	" 13	" " "	* 22,650	1,510	19,630
39.	" 14	" " "	* 15,075	1,005	14,070
40.	" 15	" " "	* 24,000	1,600	22,400
41.					
42.	Total (4) (a)		474,630	29,277	267,083
43.					
44.					
45.	* Debt was issued to a wholly-owned subsidiary.				
46.					
47.					
48.					
49.					
50.					





## 510. DEBTHOLDINGS—Continued

Line No.	Description of obligation	Name and address of creditor or trustee	Original amount	Portion due	
				Within one year	After one year
	(a)	(b)	(c)	(d)	(e)
1.	764 and 766 Equipment Obligations:				
2.	(4) (c) Conditional Sale Agreements				
3.					
4.	First Penn. Bank NA	Philadelphia, PA	18,000		
5.	Girard Trust Bank	New York, N.Y.	45,000		
6.	Morgan-Guaranty Trust	" " "	2,349	170	340
7.	First Penn. Bank NA	Philadelphia, PA	16,700	1,113	
8.	" " " "	" "	16,320	1,088	
9.	" " " "	" "	24,000	1,600	
10.	" " " "	" "	18,000	1,200	1,200
11.	" " " "	" "	7,890	526	526
12.	" " " "	" "	20,025	1,335	1,335
13.	" " " "	" "	27,350	1,823	3,647
14.	Wells Fargo Bank	" "	28,815	1,921	3,842
15.	First Penn. Bank NA	" "	27,405	1,827	3,654
16.	" " " "	" "	18,950	1,263	3,790
17.	Bank of America	" "	7,300	487	1,460
18.	First Penn. Bank NA	" "	15,375	1,025	3,075
19.	" " " "	" "	20,775	1,385	4,155
20.	" " " "	" "	20,000	1,334	5,336
21.	Mercantile Trust	St. Louis, MO	* 996		
22.	" "	" "	* 1,187		
23.	" "	" "	* 415		
24.	" "	" "	* 329		
25.	First National	" "	* 1,897		
26.	" "	" "	* 1,250		
27.	" "	" "	* 635		
28.					
29.	Total (4) (c)		341,163	18,097	32,360
30.					
31.					
32.					
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34.					
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42.					
43.	* Debt assumed as a part of purchase of Illinois Terminal Railroad Company (8/11/81). Issues				
44.	were prepaid prior to year end.				
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## 510. DEBTHOLDINGS—Continued

Line No.	Description of obligation	Name and address of creditor or trustee	Original amount	Portion due	
				Within one year	After one year
(a)	(b)	(c)	(d)	(e)	
1.	764 and 766 Equipment Obligations:				
2.	Capital Leases				
3.					
4.	UCS Lease 1348	Citicorp Leasing, N.Y.	830	87	401
5.	UCS Lease 1348-1	" " "	21	2	12
6.	UCS Lease 1405-R	Ohio Bell Telephone, OH	45	4	27
7.	IT Lease 4660	Harris Trust, IL	330	82	211
8.	" " 4623	Mutual Trust, IL	147	41	86
9.	" " 4622	Mutual Trust, IL	143	40	84
10.	" " 5050	Matrix Leasing, MN	753	27	727
11.	" " 4714	US Rail Leasing, IL	336	51	273
12.	" " 4998A	Matrix Leasing, MN	768	33	735
13.	" " 4998B	Matrix Leasing, MN	2,617	113	2,504
14.	" " 5159	1st. Bank Utah, UT	4,073	97	3,933
15.	" " 4715	US Rail Leasing, IL	95	15	76
16.	" " 4857	US Rail Leasing, IL	48	4	42
17.	" " 4736	Western Savings Fund, Pa.	212	60	153
18.	" " 4659	Harris Trust, IL	650	299	352
19.	" " 4700	Girard Trust, PA	956	220	630
20.	" " 5014	Matrix Leasing, MN	1,162	272	890
21.					
22.	Total (5)		13,186	1,447	11,136
23.					
24.	Total		1,174,723	55,794	429,305
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## 510. DEBTHOLDINGS—Continued

Line No.	Description of obligation	Name and address of creditor or trustee	Original amount	Portion due	
				Within one year	After one year
(a)	(b)	(c)	(d)	(e)	
1.	769 Accounts Payable - Affiliated Companies				
2.	Cash Advances	AC&Y Terminal Prop.			544
3.	" "	AC&Y Railroad			
4.	" "	Chesapeake Western Rwy.			494
5.	" "	Lake Erie & Fort Wayne			262
6.	" "	NJ&I RR			716
7.	" "	Nickel Plate Improvement			1,618
8.	" "	NF&D Rwy.			3,082
9.	" "	Pocahontas Land			9,500
10.	" "	Pocahontas Kentucky			8,263
11.	" "	Pocahontas Development			160
12.	" "	Virginia Holding Corp.			4,450
13.	" "	Hotel Roanoke			114
14.	Equipment Purchase	AC&Y Railroad	3,298		680
15.					
16.			3,298		29,883
17.					
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**510. DEBTHOLDINGS—Continued**  
(Notes and Other Disclosures)

Line No.	a. Nature of Security or Collateral, if any
1.	
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5.	
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b. With respect to each holder of more than five percent of each issue reported, provide the name, address, and type of holder—bank, broker, holding company, individual or other specified category.

Line No.	Name and address of holder	Type of holder
1.	See Pages 89A thru 89I.	
2.		
3.		
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18.		

c. Other Notes and Comments

## NORFOLK AND WESTERN RAILWAY COMPANY

## SCHEDULE 510(b)

Name and Address of HolderType of Holder

## NW Rwy. Co. 1st Consol. Mtge. 4% Gold Bonds:

Bankers Trust Co., New York, NY

Cede &amp; Co., PO Box 20, Bowling Green Sta., New York, NY 10274

The Union Central Life Ins. Co., PO Box 179, Cincinnati, OH 45201

Ins. Commr. State of CA TR, California Western States Life Ins. Co.,

PO Box 959, Sacramento, CA 95814

Depository

Insurance

Insurance

## VGN Rwy. Co. 1st Lien &amp; Refunding Mtg. Bonds:

Citibank, N.A., New York, NY

Series B, 3%, due 5/1/95

AGL-TEX, Box 1705, Stamford, Conn. 06920

VALIC, c/o Texas Commerce Bank, PO Box 2558, Houston, TX 77001

Unknown

Bank Nominee

Series F, 4%, due 5/1/83

Obie &amp; Co., c/o Texas Commerce Bank, PO Box 2558, Houston, TX 77001

Cede &amp; Co., Box 20, Bowling Green Sta., New York, NY 10004

Kray &amp; Co., 120 South LaSalle St., Chicago, IL 60603

Bank Nominee

Depository

Depository

## NYC&amp;STL RR. Co. 1st Refunding Mtg. Bonds:

Manufacturers Hanover Trust Co., New York, NY

Series F, 3%, due 4/1/86

New York Life Insurance Co., 51 Madison Ave., New York, NY

Insurance

## NW Rwy. Co. 15-Year Subordinated Debentures:

Chemical Bank, New York, NY

Series B - due 6/01/82

Clew & Co., c/o State Street Bank & Trust Co., PO Box 1700,  
Newark, NJ 07101

Polly &amp; Co., PO Box 1066, Wall St. Sta., New York, NY 10008

Licova &amp; Co., c/o The Life Ins. Co. of Va., PO Box 27601,

Richmond, VA 23261

Harvard &amp; Co., PO Box 1700, Newark, NJ 07101

Various

Series C - due 12/01/82

State Treas. of the State of Michigan, Cust. of Mich. Public School  
Employees, Retirement System Funds, c/o Michigan Dept. of Treas.,  
PO Box 15128, Lansing, MI 48901Sigler & Co., c/o Manufacturers Hanover Trust Co., Trust Collection,  
PO Box 1765 Church St. Sta., New York, NY 10008Gray & Co., c/o Rhode Island Hospital, Trust National Bank,  
Box 1558, Providence, RI 02901

Various

Series D - due 1/01/83

Kalico & Co., c/o Kansas City Life Ins. Co., PO Box 1587,  
Kansas City, MO 64141

Solomon Brothers, 1 New York Plaza, New York, NY 10004

Various

NORFOLK AND WESTERN RAILWAY COMPANYSCHEDULE 510(b) - ContinuedName and Address of HolderType of Holder

## NW Rwy. Co. 15-Year Subordinated Debentures:

## Chemical Bank, New York, NY (Continued)

## Series E - due 6/01/84

Various

Michtea Co., c/o Michigan Dept. of Treasury, PO Box 15128,  
Lansing, MI 48901

State Treas. of State of Michigan, Cust. State Empl. Retirement System  
Fund, c/o Michigan Dept. of Treas., PO Box 15128, Lansing, MI 48901

The Penn Mutual Life Ins. Co., Attn. Securities Dept., Independence Sq.,  
Philadelphia, PA 19172

## Series F - due 6/01/85

Various

Alco, c/o Trust Dept. First Nat'l. Bank of Atlanta, PO Box 4148,  
Atlanta, GA 30302

Cross & Co., c/o First Penn. Bank NA, PO Box 8199, Philadelphia,  
PA 19101

Heil & Co., c/o United States Trust Company of New York, New York,  
NY 10005

Cummings & Co., c/o Chemical Bank, PO Box 1368, Church St. Sta.,  
New York, NY 10008

Sigler & Co., c/o Manufacturers Hanover Trust Co., Trust Collection,  
PO Box 1765, Church St. Sta., New York, NY 10008

Kane & Co., c/o The Chase Manhattan Bank NA, PO Box 1508, Church  
St. Sta., New York, NY 10008

## Series G - due 6/01/86

Various

Band & Co., c/o First Wisconsin Trust Co., Box 2054, Milwaukee,  
WI 53201

Cross & Co., c/o First Penn. Bank NA, PO Box 9669, Church St. Sta.,  
New York, NY 10249

Pitt & Co., c/o Bankers Trust Co., PO Box 2444, Church St. Sta.,  
New York, NY 10038

Sigler & Co., c/o Manufacturers Hanover Trust Co., Trust Collection,  
PO Box 1765, Church St. Sta., New York, NY 10008

Williams & Co., c/o The Bank of New York, PO Box 11203,  
New York, NY 10249

Steere & Co., c/o Girard Trust Bank, PO Box 7384, Trust Dept.,  
Philadelphia, PA 19101

## Series H - due 6/01/87

Various

Aremco, c/o Union Trust Co. of D.C., Attn. Trust Dept., 15th & H Sts.,  
NW, Washington, D.C. 20005

Cross & Co., c/o First Penn. Bank, PO Box 8786, Philadelphia, PA 19101

Pitts & Co., c/o Bankers Trust Co., PO Box 2444, Church Street Sta.,  
New York, NY 10008

Steere & Co., c/o Girard Trust Bank, Trust Dept., PO Box 7334,  
Philadelphia, PA 19010

Band & Co., c/o First Wisconsin Trust Co., Box 2054, Milwaukee, WI 53201

Kane & Co., c/o Chase Manhattan Bank NA, Box 1508, Church St. Sta.,  
New York, NY 10008

Sigler & Co., c/o Manufacturers Hanover Trust Co., Trust Collection,  
PO Box 1765, Church St. Sta., New York, NY 10008



NORFOLK AND WESTERN RAILWAY COMPANYSCHEDULE 510(b) - ContinuedName and Address of HolderType of Holder

## NW Rwy. Co. 15-Year Subordinated Debentures:

## Chemical Bank, New York, NY (Continued)

## Series I - due 6/01/88

Various

Kane & Co., c/o Chase Manhattan Bank NA, PO Box 1508, Church  
St. Sta., New York, NY 10008

William H. Wiese Cust., Michael W. Wiese, Unif. Gift Minor Act NM,  
7707 Rio Grande Blvd., NW, Albuquerque, NM 87107

William H. Wiese, 7707 Rio Grande Blvd., NW, Albuquerque, NM 87107

## Series J - due 6/01/89

Various

Gerlach & Co., PO Box 1530, New York, NY 10043

Hare & Co., c/o The Bank of New York, PO Box 11203, New York, NY 10004

Williams & Co., c/o The Bank of New York, PO Box 11203, New York,  
NY 10004

## NW Rwy. Co. Subordinated Income Debentures, 4.85%, due 11/15/2015

## Manufacturers Hanover Trust Company, New York, NY

Cede & Co., PO Box 20, Bowling Green Sta., New York, NY 10004

Depository

## Wabash Railroad Company General Mtg. Income Bonds:

## Manufacturers Hanover Trust Company, New York, NY

## Series B, 4 1/4%, due 1/1/91

Licova & Co., c/o Life Insurance Co. of Va., 914 Capitol St.,  
Richmond, VA

Insurance

## NYC&amp;STL RR. Co. 35-Year, 4 1/2% Income Debentures, due 12/31/89:

## Central National Bank of Cleveland, Cleveland, Ohio

Cede & Co., PO Box 20, Bowling Green Station, New York, NY 10004

Zerbe & Co., Philadelphia, PA

Depository

Bank Nominee

## VGN Rwy. Co. Subordinated Income Debentures, 6%, due 8/1/08

## Manufacturers Hanover Trust Company, New York, NY

Cede & Co., PO Box 20, Bowling Green Station, New York, NY 10004

Depository

## NW Rwy. Co. Equipment Trust Certificates:

## First Pennsylvania Bank, N.A., Philadelphia, PA

## Series Q - 6 5/8% &amp; 7% - due 1/01/84

Various

Seattle City Employees Retirement System of Seattle, 217 Norton  
Bldg., 801 -- 2nd Ave., Seattle, WA 98104

EMP & Co., c/o Harris Trust & Savings Bank, 111 West Monroe Street,  
Chicago, IL 60690 Attn: Trust Dept.

NORFOLK AND WESTERN RAILWAY COMPANYSCHEDULE 510(b) - ContinuedName and Address of HolderType of Holder

## NW Rwy. Co. Equipment Trust Certificates:

## First Pennsylvania Bank, N.A., Philadelphia, PA (Continued)

## Series R - 7% - due 1/01/86

Various

Sweeney &amp; Co., c/o Citibank, NA, 20 Exchange Place, New York, NY 10043

Egger &amp; Co., c/o The Chase Manhattan Bank, NA, PO Box 1508, Church

St. Sta., New York, NY 10081

Suydam &amp; Co., c/o Manufacturers Hanover Trust Co., New York, NY 10015

Firnart &amp; Co., PO Box 2669, Trust Dept. #959, Phoenix, AZ 85002

## Series S - 7 1/4% &amp; 8 1/8% - due 9/01/86

Various

Royal Neighbors of America, 230 Sixteenth St., Rock Island, IL 61201

Attn: Investment Dept.

## Series U - 7% - due 8/01/87

Various

Taylor &amp; Co., PO Box 1083, Pasadena, CA 91102

Trussal &amp; Co., c/o National Bank of Detroit, Trust Operations-

Income Reconciliation, PO Box 77975, Detroit, MI 48277

## Series V - 7% - due 1/01/88

Various

Cudd &amp; Co., c/o The Chase Manhattan Bank, N.A., PO Box 1508,

Church St. Sta., New York, NY 10008

State of Washington Teachers Retirement System Board of Trustees.,

Teachers Retirement Fund, c/o State Treasurer, Legislative

Bldg., Olympia, WA 98501

## Series W - 9% - due 12/01/88

Various

FLACO, c/o Union Trust Company of D.C., Trust Dept., 15th &amp; "H" Sts.,

Washington, D.C. 20005

Pace &amp; Co., PO Box 926, Pittsburgh, PA 15230

## Series X - 8% - due 2/01/89

Various

Suydam &amp; Co., c/o Manufacturers Hanover Trust Co., Trust Collection,

PO Box 1765, Church St. Sta., New York, NY 10008

Bromson &amp; Co., c/o Union Trust Company, PO Box 1006, New Haven,

CT 06504

## Series Y - 10% - due 7/01/89

Various

Gerlach &amp; Co., PO Box 1530, Grand Central Station, New York, NY 10043

Pacific &amp; Co., PO Box 7877, San Francisco, CA 94120

Touchstone &amp; Co., c/o State Street Bank &amp; Trust Co., Custodian Service

Dept., PO Box 5006, Boston, MA 02101

## Series 1 - 8 1/2% &amp; 9% - due 5/01/90

Various

Kane &amp; Co., c/o The Chase Manhattan Bank, N.A., PO Box 1508,

Church St. Sta., New York, NY 10081

Shell Pension Trust, Attn: R. S. McIntyre, PO Box 1438, Houston, TX 77001

Pace &amp; Co., PO Box 926, Pittsburgh, PA 15230

Shell Pension Trust, PO Box 2629, Houston, TX 77001

NORFOLK AND WESTERN RAILWAY COMPANYSCHEDULE 510(b) - ContinuedName and Address of HolderType of Holder

## NW Rwy. Co. Equipment Trust Certificates:

## First Pennsylvania Bank, N.A., Philadelphia, PA (Continued)

Series 2 - 8% &amp; 9 1/4% - due 10/01/90

Various

Boehm & Co., c/o Bankers Trust Company, PO Box 704, Church  
St. Sta., New York, NY 10008ERB & Co., c/o Chemical Bank, PO Box 1368, Church St. Sta.,  
New York, NY 10008

Pace &amp; Co., PO Box 926, Pittsburgh, PA 15230

Series 4 - 7 3/4% - due 11/01/91

Various

Haggard & Co., c/o Phoenix Mutual Life Insurance Co., One  
American Row, Hartford, CT 06115Highlands Insurance Co., c/o Houston National Bank,  
PO Box 2518, Houston, TX 77001Sabat Co., c/o Savings Banks Trust Co., 200 Park Ave.,  
New York, NY 10017

Series 6 - 7 3/4% - due 12/01/92

Various

Bull & Co., c/o Wachovia Bank & Trust Co., PO Box 3075,  
Winston Salem, NC 27102Formo & Co., c/o Foremost Insurance Co., Attn: Treasurer,  
PO Box 2450, Grand Rapids, MI 49501Rommel & Co., c/o Chemical Bank, PO Box 1368, Church St. Sta.,  
New York, NY 10008

Series 7 - 8 3/8% &amp; 8 3/4% - due 5/01/93

Various

Cust & Co., c/o Continental Bank T, Trust Records - Account  
Info., 231 So. La Salle St., Chicago, IL 60693Heil & Co., c/o United States Trust Co. of New York,  
PO Box 456, Wall Street Station, New York, NY 10005

Homan, 205 South Fifth St., Springfield, IL 62701

Shelfor & Co., c/o The Detroit Bank & Trust Co.,  
PO Box 64101, Detroit, MI 48264

Brack &amp; Co., W-555 First National Bank Bldg., St. Paul, MN 55101

Bruch & Co., 1st National Bank of Minneapolis, Trust Securities  
Section, PO Box A700, Minneapolis, MN 55480Dean & Co., c/o The First Jersey National Bank, PO Box 956,  
Jersey City, NJ 07303Hare & Co., c/o The Bank of New York, PO Box 11203,  
New York, NY 10249Homan, c/o First National Bank of Springfield, Trust Department,  
205 South Fifth St., Springfield, IL 62701Pyle & Co., c/o Trust Dept., Boston Safe Deposit & Trust Co.,  
One Boston Place, Boston, MA 02106

Sod &amp; Co., W-555 First National Bank Bldg., St. Paul, MN 55101

Series 8 - 9 1/8% - due 12/01/93

Various

State Compensation Ins. Fund of California, 1275 Market St.,  
Attn: Herb Grand, San Francisco, CA 94103

Brack &amp; Co., W-555 First National Bank Bldg., St. Paul, MN 55101

Endicott & Co., New England Merchants National Bank, PO Box 4521,  
Trust Dept., Boston, MA 02107

Maril &amp; Co., Trust Dept., Box 2035, Milwaukee, WI 52301



NORFOLK AND WESTERN RAILWAY COMPANYSCHEDULE 510(b) - ContinuedName and Address of HolderType of Holder

## NW Rwy. Co. Equipment Trust Certificates:

## First Pennsylvania Bank, N.A., Philadelphia, PA (Continued)

Series 9 - 9 1/8% - due 7/01/94

Various

Home Beneficial Life Ins. Co., 3901 West Broad St.,

PO Box 27572, Richmond, VA 23261

Kane &amp; Co., c/o The Chase Manhattan Bank NA, PO Box 1508,

Church St. Sta., New York, NY 10008

Series 12 - 10% - due 7/01/95

Various

Treasurer of The State of Texas, For A/C of State Permanent

School Fund of Austin, Texas, Invest. Office Tx Ed. Agency,

201 E. 11th St., Austin, TX 78701

Brack &amp; Co., W-555 First National Bank Bldg., St. Paul, MN 55105

Chasaba &amp; Co., c/o Bank C &amp; C Dept., Boston Safe, PO Box 2145,

Boston, MA 02106

Cust &amp; Co., c/o Continental Bank T, 231 So. La Salle St., Trust

Records-Acct. Info., Chicago, IL 60693

Egger &amp; Co., c/o The Chase Manhattan Bank NA, PO Box 1508,

Church St. Sta., New York, NY 10008

Gerlach &amp; Co., c/o Citibank NA, 20 Exchange Place, New York,

NY 10043

Conditional Sale Agreement Dated 5/01/67

Various

Sopro &amp; Co., PO Box 3635, Terminal Annex, Los Angeles, CA 90051

I.C.A. &amp; Co., c/o Crocker Trust, PO Box 55410, Terminal Annex,

Los Angeles, CA 90054

East River Savings Bank, 26 Cortlandt St., New York, NY 10007

Attn: A. E. Middlebrook, Sr. V.P.

Conditional Sale Agreement Dated 7/01/67

Various

Union Dime Savings Bank, c/o Bradford Trust Co., Attn: Custody

Dept., 70 Pine St., New York, NY 10005

Virginia Supplemental Retirement System, PO Box 26986,

Richmond, VA 23261

Comptroller of The State of New York in Trust for The New York

Common Retirement Fund, c/o State Office Bldg., Albany, NY 12225

Attn: Frank Fearon

Conditional Sale Agreement Dated 9/01/67

Various

M.F.A. Life Insurance Co., Attn: Mr. Don Duello, 1817 West Broadway,  
Columbia, MD 65218

The Joseph &amp; Helen Regenstein Foundation, 3450 N. Kimball,

Chicago, IL 60618

Peny &amp; Co., c/o United States Trust Co. of New York, PO Box 456,

New York, NY 10005

Construction Industry Laborers Pension Fund, c/o Traders National

Bank of Kansas City, PO Box 693, Kansas City, MO 64141

Variable Annuity Life Ins. Co., PO Box 3206, Houston, TX

Attn: Accounting Dept.

RC-117000

NORFOLK WESTERN

1981

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NORFOLK AND WESTERN RAILWAY COMPANYSCHEDULE 510(b) - ContinuedName and Address of HolderType of Holder

## NW Rwy. Co. Equipment Trust Certificates:

## First Pennsylvania Bank, N.A., Philadelphia, PA (Continued)

Conditional Sale Agreement Dated 1/01/68

Various

Bankers Life Co., PO Box 9308, Church St. Sta., New York,  
NY 10049Central Savings Bank in The City of New York, 2100 Broadway,  
New York, NY 10023, Attn: Mr. August P. Eckel, Treas.Comptroller of The State of New York in Trust for The Common  
Retirement Fund, c/o Gov. A. E. Smith, State Office Bldg.,  
Albany, NY 12225, Attn: Frank FearonVirginia Supplemental Retirement System, PO Box 26986,  
Richmond, VA 23261Dean & Davis, c/o Wilmington Trust Co., PO Box 9746, Church  
St. Sta., New York, NY 10249The Minnesota Mutual Life Ins. Co., Accounting Dept.,  
345 Cedar St., St. Paul, MN 55101Treasurer, State of Iowa, Cust. & Ttee. for Iowa Public  
Employees System, c/o State House, Des Moines, IA 50319  
Attn: H. B. Graefe, Inv. ConsultantSuydam & Co., c/o Manufacturers Hanover Trust Co., Trust  
Collection dept., New York, NY 10015  
Attn: Private PlacementsThe Franklin Life Insurance Co., PO Box 7055, Church St. Sta.,  
New York, NY 10249

## Conditional Sale Agreement Dated 5/01/68

Various

Modern Woodmen of America, PO Box 95304, Chicago, IL 60690

The Philadelphia Savings Fund Society, 700 Walnut St.,  
Philadelphia, PA Attn: A. W. Schulze, Treas.Treasurer, State of Iowa, Cust. & Ttee. for Iowa Public  
Employees Retirement System, c/o State Capitol Bldg.,  
Des Moines, IA 50319, Attn: H. B. Graefe, Inv. ConsultantManhattan Savings Bank, c/o Savings Banks Trus Co., 200 Park Ave.,  
New York, NY 10017

## Conditional Sale Agreement Dated 8/01/68

Various

C. A. England & Co., c/o Chemical Bank, PO Box 1368, Church  
St. Sta., New York, NY 10008IRECO, c/o Northside Savings Bank, 185 West 231st Street,  
Bronx, NY 10463, Attn: John Crinnian, V.P.Aid Association for Lutherans, Appleton, WI 54911  
Attn: Investment DivisionVirginia Supplemental Retirement System, PO Box 26986,  
Richmond, VA 23261State Treasurer of The State of Michigan Cust. of Michigan  
Public School Employees Retirement System Funds,  
c/o State Treasurers Office, State Capitol, Lansing, MI 48103  
Attn: Wm. AmermanState Treasurer of The State of Michigan, Cust. of State Employees  
Retirement System Fund, c/o State Treasurer, State Treasurer's  
Office, State Capitol, Lansing, MI 48903  
Attn: Wm. Amerman, Deputy Treas.



NORFOLK AND WESTERN RAILWAY COMPANYSCHEDULE 510(b) - ContinuedName and Address of HolderType of Holder

## NW Rwy. Co. Equipment Trust Certificates:

First Pennsylvania Bank, N.A., Philadelphia, PA (Continued)

Conditional Sale Agreement Dated 8/01/68 (Continued)

Dean & Davis, c/o Wilmington Trust Co., PO Box 9746, Church St. Sta.,  
New York, NY 10249Sun Life Assurance Co. of Canada, PO Box 6704, Church St. Sta.,  
New York, NY 10249

Conditional Sale Agreement Dated 4/01/69

Various

Cust & Co., c/o Continental Bank, 231 S. La Salle St., 1980-2,  
Chicago, IL 60693Treasurer, State of Iowa, c/o State Capitol, Des Moines, IA 50319  
Attn: Harry Graefe, Inv. ConsultantEMPL & Co., c/o First Wisconsin Trust Co., PO Box 2054,  
Milwaukee, WI 53201INCE & Co., c/o Morgan Guaranty Trust Co. of New York, 23 Wall St.,  
New York, NY 10015Continental Assurance Co., PO Box 9516, Church St. Sta., New York,  
NY 10049Board of Ttees. of The Public School Retirement System of Missouri,  
c/o The Boatmen's Nat'l. Bank of St. Louis, PO Box 236, Main  
Post Office, St. Louis, MO 63166, Attn: Alfred Graves, V.P.American Savings Bank, 335 Broadway, New York, NY 10013  
Attn: D. B. Stuart, V.P. & Treas.

Conditional Sale Agreement Dated 10/01/69

Various

Sher &amp; Co., PO Box 988, Wall St. Sta., New York, NY 10005

Trussal & Co., c/o National Bank of Detroit, Trust Operations-  
Income Reconciliation, PO Box 77975, Detroit, MI 48277Metropolitan Life Ins. Co., #1 Madison Ave., New York, NY 10010  
Attn: George Crandles, V.P.

Conditional Sale Agreement Dated 2/01/70

Various

Virginia Supplemental Retirement System, PO Box 26986,  
Richmond, VA 23261State of Washington Teachers Retirement System Bd. of Ttees.,  
State Finance Committee, Rm. #110-Public Health Bldg.,  
Olympia, WA 98501, Attn: J. Hitchman, Acct. Secty.Dean & Davis, c/o Wilmington Trust Co., PO Box 9746, Church  
St. Sta., New York, NY 10249Board of Ttees. of The Public School Retirement System of Missouri,  
c/o Trust Dept., The Boatmen's Nat'l. Bank of St. Louis,  
PO Box 236, Main Post Office, St. Louis, MO 63166  
Attn: Alfred Graves, V.P.Comptroller of The State of New York in Tr. for The Common Retirement  
Fund, c/o Gov. A. E. Smith, State Office Bldg., Albany, NY 12225,  
Attn: Thomas Whalen, Dir. of Investments & Cash ManagementThe Lincoln National Life Ins. Co., c/o Morgan Guaranty Trust Co. of  
N.Y., PO Box 238, Church St. Sta., New York, NY 10008

NORFOLK AND WESTERN RAILWAY COMPANYSCHEDULE 510(b) - ConcludedName and Address of HolderType of Holder

## NW Rwy. Co. Equipment Trust Certificates:

First Pennsylvania Bank, N.A., Philadelphia, PA (Continued)

Conditional Sale Agreement Dated 5/01/70

Various

Kentres, c/o Farmers Bank Plaza, Frankfort, KY 40601

Public School Teachers Pension &amp; Retirement Fund of Chicago,

Room #1440, 228 North LaSalle St., Chicago, IL 60601

Attn: Edna Hickey

Virginia Supplemental, PO Box 26986, Richmond, VA 23261

State Farm Life Ins. Co., c/o Continental Bank, 231 S.

La Salle St., 1980-2, Chicago, IL 60693

State of California State Teachers Retirement System,

PO Box 1953, Sacramento, CA 95809

Attn: Ernest O. Ellison

State of California, Public Employees Retirement System,

PO Box 1953, Sacramento, CA 95809

Attn: Ernest Ellison

Conditional Sale Agreement Dated 9/01/70

Various

Tennessee Consolidated Retirement System, c/o Chemical Bank,

PO Box 1368, Church St. Sta., New York, NY 10008

Attn: Income Collection Dept.

Bd. of Administration of The Water &amp; Power Employees

Retirement Plan of The City of Los Angeles, PO Box 111,

Terminal Annex, Los Angeles, CA 90051

Equitable Life Ins. Co. of Iowa, PO Box 1635, Des Moines,

IA 50306

Los Angeles County Employees Retirement Association, 432 Hall

of Administration, 500 W. Temple St., Los Angeles, CA 90012

Attn: Janet H. Coddling

The Life Ins. Co. of Virginia, PO Box 27601, Richmond, VA 23261

Attn: Treas. Div.

State of California, State Teachers Retirement System,

c/o California State Treasurer, PO Box 10542, Newark, NJ 07102

Conditional Sale Agreement Dated 4/01/71

Various

Eureka &amp; Co., c/o Crocker Trust, PO Box 38003, San Francisco,

CA 94138

Union Central Life Ins. Co., PO Box 179, Cincinnati, OH 45201

Bd. of Administration, City Employees Retirement System of The

City of Los Angeles, Rm. #505, City Hall South, 111 E. First

St., Los Angeles, CA 90012, Attn: Horace J. Enser

Greenwich Savings Bank, Broadway at 36th St., New York, NY 10018

Attn: J. Beirne, Jr.

State Farm Life Ins. Co., c/o Continental Illinois National Bank

&amp; Trust Co., 1980-2, 231 S. La Salle St., Chicago, IL 60693

Thomas D. O'Malley, State Treasurer of Florida or His Successors

in Office, c/o Bureau of Collateral Securities, Capitol Bldg.,

Tallahassee, FL 32301

## INSTRUCTIONS CONCERNING RETURNS IN SCHEDULE 700

State particulars of all tracks *operated* by the respondent at the close of the year, according to the following classification:

- (1) Line owned by respondent;
- (2) Line owned by proprietary companies;
- (3) Line operated under *lease for a specified sum*, lessor being (A) an affiliated corporation, or (B) independent or not affiliated with respondent;
- (4) Line operated under contract or agreement for contingent rent, owner being (A) an affiliated corporation, or (B) independent or not affiliated with respondent;
- (5) Line operated under *trackage rights*.

Give subtotals for each of the several numbered classes, in the order listed above, as well as the total for all classes.

Lengths of track should be reported to the nearest **WHOLE** mile adjusted to accord with footings, i.e.: counting one-half mile and over as a whole mile and disregarding any fraction less than one-half mile.

In column (a) insert the figure (and letter, if any) indicating its class in accordance with the preceding classification.

In column (b) give the various proportions of each class owned or leased by respondent, listing each proportion once in any grouping. Canadian mileage should be segregated and identified on separate lines in the various groupings. For each listing, in column (d) give its entire length (the distances between termini of single or first main track), and in the following columns the lengths of second main track; all other main tracks; passing tracks, cross-overs and turn-outs; way switching tracks; and yard switching tracks. These classes of tracks are defined as follows:

*Running tracks.*—Running tracks, passing tracks, cross-overs, etc., including turn-outs from those tracks to clearance points.

*Way switching tracks.*—Station, team, industry and other switching tracks for which no separate service is maintained.

*Yard switching tracks.*—Yards where separate switching services are maintained, including classification, house, team, industry and other tracks switched by yard locomotives.

The returns in columns (h) and (i) should include tracks serving industries, such as mines, mills, smelters, factories, etc., not classifiable under "branch lines" as defined below. Tracks belonging to an industry for which no rent is payable should not be included.

Tracks leading to and in gravel and sand pits and quarries, the cost of which is chargeable to a clearing account and which are used in getting out material for the respondent's use, should not be included.

Class (1) includes all lines operated by the respondent at the close of the year to which it has title in perpetuity.

In classifying the line between *main* and *branch* (column (c)), use the distinction usually followed by respondent. Branch lines are distinguished from industrial tracks or yard tracks and sidings in that branch lines serve one or more stations beyond the point of junction with the main line or another branch line and to or from which stations *train service*, or its equivalent, is performed.

Class (2) includes each line full title to which is in an inactive proprietary corporation of the respondent (i.e., one *all* of whose outstanding stocks or obligations are held by or for the respondent, and which is operated by the respondent or an affiliated system corporation without any accounting to the said proprietary corporation). It may also include such line when the actual title to all of the outstanding stocks or obligations rest in a corporation controlled by or controlling the respondent; *but in the case of any such inclusion, the facts of the relation to the respondent of the corporation holding the securities should be fully set forth in a footnote. An inactive corporation is one which has been practically absorbed in a controlling corporation, and which neither operates property nor administers its financial affairs; if it maintains an organization it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises.*

Class (3) includes all tracks operated under a lease or formal conveyance of less than the grantor's interest in the property, with a specific and unconditional rent reserved. The fact that the lessor does or does not maintain an independent organization for financial purposes is immaterial in this connection.

Class (4) is the same as class (3) except that the rent reserved is conditional upon earnings or other fact.

Class (5) includes all tracks operated and maintained by others but over which the respondent has the right to operate some or all of its trains. In the road of this class the respondent has no proprietary rights but only the rights of a licensee. Include in this class, also, all main tracks, industrial tracks and sidings owned by noncarrier companies and individuals when the respondent operates over them but does not have exclusive possession of them.

Road held by the respondent as *joint or common owner* or a *joint lessee* or under any *joint arrangement* should be shown in its appropriate class and the entry of length should be of the entire length of the portion jointly held. The class symbol should have the letter (J) attached.

Road operated by the respondent as agent for another carrier should not be included in this schedule.

Switching and terminal companies leave column (c) (Miles of Branch Lines) blank. They should prepare also the following schedule for tracks operated at cost for joint benefit.

## TRACKS OPERATED AT COST FOR JOINT BENEFIT (For Switching and Terminal Companies Only)

Line No.	Name of owner(s)	Joint or common title holder(s)	Total mileage operated
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			



## 700. MILEAGE OPERATED AT CLOSE OF YEAR

Line No.	Class	Proportion owned or leased by respondent	Main (M) or branch (B) line	Running Tracks, Passing Tracks, Cross-Overs, Etc.				Miles of way switching tracks	Miles of yard switching tracks	Total
				Miles of road	Miles of second main track	Miles of all other main tracks	Miles of passing tracks, cross-overs, turnouts			
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
1	1	100%	M	3,218	750	13	579	512	1,451	6,523
2		100%	B	1,281	15		155	553	214	2,218
3		J-50%	M					3	37	40
4		J-50%	B						9	9
5		Total Class-1		4,499	765	13	734	1,068	1,711	8,790
6										
7										
8										
9	2	100%	B	4	4		1		4	13
10		Total Class-2		4	4		1		4	13
11										
12										
13										
14	3	100%	M	1,855	251		325	292	672	3,395
15		100%	B	578			37	88	93	796
16		J-50%	M	8	5		5	5	2	25
17		J-50%	B				1		8	9
18		J-33 1/3%	M	1	1		2		3	7
19		Total Class-3		2,442	257		370	385	778	4,232
20										
21										
22										
23	4	Owned by Ind. M							31	31
24		Total Class-4							31	31
25										
26										
27										
28	5	100%	M	249	202	23	60	11	348	893
29		100%	B	364	9		9	11	40	433
30		Can.-100%	M	245	97		34	28	135	539
31		Total Class-5		858	308	23	103	50	523	1,865
32										
33										
34										
35										
36										
37										
38										
39										
40										
41										
42										
43										
44										
45										
46										
47										
48										
49										
50										
51										
52										
53										
54										
55		Total Main Line	XXX	5,576	1,306	36	1,005	851	2,679	11,453
56		Total Branch Lines	XXX	2,227	28		203	652	368	3,478
57		Grand Total	XXX	7,803	1,334	36	1,208	1,503	3,047	14,931
58		Miles of road or track electrified included in preceding grand total	XXX							

## 701. MILEAGE OWNED BUT NOT OPERATED BY RESPONDENT AT CLOSE OF YEAR

If any of the tracks returned in this schedule are operated by other than the respondent, the name of the company or individual operating them and the conditions under which they are held for

operation should be shown in a footnote. Tracks which have been permanently abandoned should not be included in this schedule.

Line No.	Class	Name of road or track	Main (M) or branch (B) line	Running Tracks, Passing Tracks, Cross-Overs, Etc.				Miles of way switching tracks	Miles of yard switching tracks	Total
				Miles of road	Miles of second main track	Miles of all other main tracks	Miles of passing tracks, cross-overs, and turn-outs			
(a)	(b)	(c)		(d)	(e)	(f)	(g)	(h)	(i)	(j)
1	1	Norfolk & Western								
2		Railway Co.	M						1	1
3										
4										
5	1	E. Peoria - Wesley								
6		Jct., Illinois	M	1						1
7										
8										
9										
10										
11										
12										
13										
14										
15		Total	XXX	1					1	2

Line 1 - Yard tracks at Norton, Virginia, leased to Interstate Railroad.

Line 5 - Peoria & Pekin Union Railway operates and maintains on a user basis.

**702. MILES OF ROAD AT CLOSE OF YEAR—BY STATES AND TERRITORIES (SINGLE TRACK)**  
(For other than switching and terminal companies)

Give particulars, as of the close of the year, of all road operated and of all owned but not operated. The respondent's proportion of operated road held by it as joint or common owner, or under a joint lease, or under any joint arrangement, should be shown in columns (b), (c), (e), or (f), as may be

appropriate. The remainder of jointly operated mileage should be shown in column (g). Respondent's proportion of road jointly owned, not operated, should be shown in columns (i) and (j), as may be appropriate. Tracks which have been permanently abandoned should not be included in columns (i) and (j).

Lengths of track should be reported to the nearest WHOLE mile adjusted to accord with footings, i.e.: counting one-half mile and over as a whole mile and disregarding any fraction less than one-half mile.

Line No.	State or territory  (a)	ROAD OPERATED BY RESPONDENT							LINE OWNED, NOT OPERATED BY RESPONDENT		New line constructed during year (k)
		LINE OWNED		Line of proprietary companies (d)	Line operated under lease (e)	Line operated under contract, etc. (f)	Line operated under trackage rights (g)	Total mileage operated (h)	Main line (i)	Branch lines (j)	
		Main line (b)	Branch lines (c)								
1	Virginia	1,229	240				2	1,471			
2	West Virginia	316	373		5		15	709			
3	Maryland	16						16			
4	North Carolina	88	3				4	95			
5	Kentucky		65					65			
6	Ohio	735	81	4	641		92	1,553			
7	Pennsylvania	44			102		3	149			
8	New York	68					12	80			
9	Indiana	419	377		335		12	1,143			
10	Illinois	303	129		495		314	1,241	1	* 7	
11	Michigan		11		77		42	130			
12	Missouri		2		619		66	687			
13	Iowa				168		43	211			
14	Nebraska						6	6			
15	Kansas						2	2			
16	Canada	3218	1281	4	2442		245	245	1	7	
Total Mileage (Single Track)		3,218	1,281	4	2,442		858	7,803	1	7	

\* Line leased, not operated by Respondent.

Road Initials: NM

Year 1981

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## 705. CHANGES DURING THE YEAR

Hereunder state the matters called for. Make the statements explicit and precise, and number them in accordance with the inquiries; each inquiry should be fully answered, and if the word "none" truly states the fact it may be used in answering any particular inquiry. Changes in mileage should be reported by classes and stated to the nearest whole mile adjusted to accord with footings, i.e.: counting one-half mile and over as a whole mile and disregarding any fraction less than one-half mile.

1. For each railroad property used in respondent's transportation service, show all increases and decreases in mileage, classifying the changes in the tables below as follows:

(Class 1) Line owned by respondent.

(Class 2) Line owned by proprietary companies.

(Class 3) Line operated under lease for a specified sum.

(Class 4) Line operated under contract or agreement for contingent rent.

(Class 5) Line operated under trackage rights.

2. For changes in miles of road, give dates of beginning or abandonment of operations. Any certificates of convenience and necessity, issued under 10901 of the Interstate Commerce Act should make reference to such authority by docket number as may be appropriate.

3. All consolidations, mergers, and reorganizations effected, giving particulars.

This statement should show the mileage, equipment, and cash value of property of each company as well as the consideration received by each company party to the action. State the dates on which consolidated, etc., and whether the prior companies have been dissolved. Copies of the articles of consolidation, merger, or reorganization should be filed with this report.

4. Other important changes not elsewhere provided for involving more than \$50,000, giving full particulars.

## INCREASES IN MILEAGE

Line No.	Class	Main (M) or branch (B) line	Running Tracks, Passing Tracks, Cross-Overs, Etc.				Miles of v ay switching tracks	Miles of yard switching tracks	Total	Remarks
			Miles of road	Miles of second main track	Miles of all other main tracks	Miles of passing tracks, cross-overs and turn-outs				
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)
1	1	M				10		18	28	Acquisition
2	1	B	131			1	23	85	240	of I.T.
3	1-J	B						* 1	1	property
4	3	M					4	2	6	&
5										
6	5	M		* 1					1	Various
7	5	B	224					3	227	track
8										changes &
9										align.
10										See notes
11										below
12										
13	Total Increase		355	1		11	27	109	503	

## DECREASES IN MILEAGE

14	1	M				2	4	8	14	Various
15	1	B				1	1	2	4	track
16										changes &
17	3	M				7	3	4	14	align.
18	3	B				2	1		3	
19	3-J	B						* 1	1	
20	5	M						2	2	
21										
22										
23										
24										
25	Total Decrease					12	9	17	38	

If returns under Inquiry No. 1 above include any first main track owned by respondent or its proprietary companies representing new construction or permanent abandonment give the following particulars:

Owned by respondent:

Miles of road constructed \_\_\_\_\_ Miles of road abandoned NONE

Owned by proprietary companies:

Miles of road constructed \_\_\_\_\_ Miles of road abandoned \_\_\_\_\_

The item "miles of road constructed" is intended to show the mileage of first main track laid to extend respondent's road, and should not include tracks relocated and tracks laid to shorten the distance between two points, without serving any new territory.

By road abandoned is meant "permanently abandoned," the cost of which has been or is to be written out of the investment accounts.  
Lines 2 & 7 - Acquisition of the Illinois Terminal Railroad Company trackage and trackage rights, ICC Finance Docket No. 29445 (Sub.-No. 1) service date June 22, 1981.

\* To correct report.

NOTES AND REMARKS

# INSTRUCTIONS CONCERNING RETURNS IN SCHEDULE 710

## Instructions for reporting locomotive and passenger-train car data.

1. Give particulars of each of the various classes of equipment which respondent owned or leased during the year.

2. In column (c) give the number of units purchased new or built in company shops. In column (d) give the number of new units leased from others. The term "new" means a unit placed in service for the first time on any railroad.

3. Units leased to others for a period of one year or more are reportable in column (i); units temporarily out of respondent's service and rented to others for less than one year are to be included in column (h); units rented from others for a period less than one year should not be included in column (i).

4. For reporting purposes, a "locomotive unit" is a self-propelled vehicle generating or converting energy into motion, and designed solely for moving other equipment. An "A" unit is the least number of wheel bases with superstructure designed for use singly or as a lead locomotive unit in combination with other locomotive units. A "B" unit is similar to an "A" unit, but not equipped for use singly or as a lead locomotive unit. A "B" unit may be equipped with hostler controls for independent operating at terminals.

5. A "self-propelled car" is a rail motor car propelled by electric motors receiving power from third rail or overhead, or internal combustion engines located on the car itself. Trailers equipped for use only in trains of cars that are self-propelled are to be included as self-propelled equipment.

6. A "Diesel" unit includes all units propelled by diesel internal combustion engines irrespective of final drive, and whether power may at times be supplied from external conductor. Units other than diesel-electric, e.g., diesel-hydraulic, should be identified in a footnote giving the number and a brief description. An "Electric" unit includes all units which receive electric power from an overhead contact wire or third rail, and use the power to drive one or more electric motors that propel the vehicle. An "Other self-powered unit" includes all units other than diesel or electric, e.g., steam, gas turbine. Show the type of unit, service and number, as appropriate, in a brief description sufficient for positive identification. An "Auxiliary unit" includes all units used in conjunction with locomotives but which draw their power from the "mother" unit, e.g., boosters,

slugs, etc. For reporting purposes indicate radio-controlled self-powered diesel units on lines 1 through 8, as appropriate. Radio-controlled units that are not self-powered, i.e., those without a diesel, should be reported on line 13 under "Auxiliary units".

7. Column (k) should show aggregate capacity for all units reported in column (j), as follows: For locomotive units, report the manufacturers' rated horsepower (the maximum continuous power output from the diesel engine or engines delivered to the main generator or generators for tractive purposes). Exclude capacity data for steam locomotives. For passenger-train cars report the number of passenger seats available for revenue service, counting one passenger to each berth in sleeping cars.

8. Passenger-train car types and service equipment car types correspond to AAR Mechanical Division designations. Descriptions of car codes and designations are published in The Official Railway Equipment Register.



**710. INVENTORY OF EQUIPMENT**

**UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS**

UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS												
Line No.	Type or design of units	Units in service of respondent at beginning of year	Changes During the Year				Units retired from service of respondent whether owned or leased, including re-classification	Units at Close of Year				
			Units installed					Owned and used	Leased from others	Total in service of respondent (col. (h) & (i))	Aggregate capacity of units reported in col. (j) (see ins. 7)	Leased to others
			New units purchased or built	New units leased from others	Rebuilt units acquired and rebuilt units rewritten into property accounts	All other units including re-classification and second hand units purchased or leased from others						
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)
	Locomotive Units										(H.P.)	
1	Diesel-Freight _____ A units											
2	Diesel-Freight _____ B units											
3	Diesel-Passenger _____ A units											
4	Diesel-Passenger _____ B units											
5	Diesel-Multiple purpose _____ A units	1,258	6			13	51	1,221	5	1,226	2,992,800	27
6	Diesel-Multiple purpose _____ B units											
7	Diesel-Switching _____ A units	105				27	12	118	2	120	131,500	
8	Diesel-Switching _____ B units											
9	Total (lines 1 to 8) _____	1,363	6			40	63	1,339	7	1,346	3,124,300	27
10	Electric-Locomotives _____											
11	Other self powered units _____											
12	Total (lines 9, 10 and 11) _____	1,363	6			40	63	1,339	7	1,346	3,124,300	27
13	Auxiliary units _____	23				3		26		26	XXXX	
14	Total Locomotive Units (lines 12 and 13) _____	1,386	6			43	63	1,365	7	1,372	XXXX	27

**DISTRIBUTION OF LOCOMOTIVE UNITS IN SERVICE OF RESPONDENT AT CLOSE OF YEAR, ACCORDING TO YEAR BUILT, DISREGARDING YEAR OF REBUILDING**

Line No.	Type or design of units	Before Jan. 1, 1960	Between Jan. 1, 1960, and Dec. 31, 1964	Between Jan. 1, 1965, and Dec. 31, 1969	Between Jan. 1, 1970, and Dec. 31, 1974	Between Jan. 1, 1975 and Dec. 31, 1979	During Calendar Year					TOTAL
							1980	1981	1982	1983	1984	
							(g)	(h)	(i)	(j)	(k)	
15	Diesel _____	516	155	294	141	204	30	6				1,346
16	Electric _____											
17	Other self-powered units _____											
18	Total (lines 15 to 17) _____	516	155	294	141	204	30	6				1,346
19	Auxiliary units _____				9	14		3				26
20	Total Locomotive Units (lines 18 and 19) _____	516	155	294	150	218	30	9				1,372

Road Initials: NM

Year 1981

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## 710. INVENTORY OF EQUIPMENT—Continued

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No.	Class of equipment and car designations	Units in service of respondent at beginning of year	Changes During the Year					Units at Close of Year				
			Units Installed				Units retired from service of respondent whether owned or leased, including re-classification	Owned and used	Leased from others	Total in service of respondent (col. (h) & (i))	Aggregate capacity of units reported in col. (j) (see ins. 7)	Leased to others
			New units purchased or built	New units leased from others	Rebuilt units acquired and rebuilt units re-written into property accounts	All other units, including re-classification and second hand units purchased or leased from others						
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)
<b>PASSENGER-TRAIN CARS</b>												
<i>Non-Self-Propelled</i>												
21	Coaches [PA, PB, PBO]	32					9	8	15	23	2,573	
22	Combined cars											
	[All class C, except CSB]											
23	Parlor cars [PBC, PC, PL, PO]											
24	Sleeping cars [PS, PT, PAS, PDS]											
25	Dining, grill and tavern cars											
26	[All class D, PD]										XXXX	
27	Non-passenger carrying cars											
	[All class B, CSB, M, PSA, 1A]	4					4				XXXX	
28	Total (lines 21 to 27)	36					13	8	15	23	2,573	
<i>Self-Propelled Rail Motorcars</i>												
29	Electric passenger cars											
	[EP, ET]											
30	Electric combined cars [EC]											
31	Internal combustion rail motorcars											
	[ED, EG]											
32	Other self-propelled cars											
	Specify types:											
33	Total (lines 29 to 32)											
34	Total (lines 28 and 33)	36					13	8	15	23	2,573	
<b>COMPANY SERVICE CARS</b>												
35	Business cars [PV]	5				1	1	5		5	XXXX	
36	Boarding outfit cars [MWX]	404				1	12	393		393	XXXX	
37	Derrick and snow removal cars											
	[MWU, MWV, MWW, MWK]	16						15	1	16	XXXX	
38	Dump and ballast cars [MWB, MWD]	956	2			1	99	860		860	XXXX	
39	Other maintenance and service equipment cars	1,760	3			67	126	1,647	57	1,704	XXXX	
40	Total (lines 35 to 39)	3,141	5			70	238	2,920	58	2,978	XXXX	

Road Initials: MW

Year 1981



## 710. INVENTORY OF EQUIPMENT—Continued

Instructions for reporting freight-train car data:

1. Give particulars of each of the various classes of equipment which respondent owned or leased during the year.
2. In column (d) give the number of units purchased or built in company shops. In column (e) give the number of new units leased from others. The term "new" means a unit placed in service for the first time on any railroad.

3. Units leased to others for a period of one year or more are reportable in column (n); units temporarily out of respondent's service and rented to others for less than one year are to be included in column (i); units rented from others for a period less than one year should not be included in column (j).

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No.	Class of equipment and car designations	Units in service of respondent at beginning of year		Changes During the Year			
		Time-mileage cars	All others	Units Installed			
				New units purchased or built <sup>1</sup>	New or rebuilt units leased from others <sup>1</sup>	Rebuilt units acquired and rebuilt units rewritten into property accounts <sup>1</sup>	All other units, including reclassification and second-hand units purchased or leased from others
	(a)	(b)	(c)	(d)	(e)	(f)	(g)
<b>FREIGHT TRAIN CARS</b>							
41	Plain Box Cars - 40' (B100-129)	2,450					62
42	Plain Box Cars - 50' (B200-229; B300-329)	1,913					1,054
43	Equipped Box Cars (All Code A)	10,684					50
44	Plain Gondola Cars (G092-392; G401-492)	8,572		335			619
45	Equipped Gondola Cars (All Codes C and E)	2,081					33
46	Covered Hopper Cars (L151-154; 251-254; 351-354; 451-454; 551-554; 651-654; 751-754)	8,413		460			651
47	Open Top Hopper Cars-General Service (All Code H)	48,239		876			26
48	Open Top Hopper Cars-Special Service (All Codes J and K)	192					
49	Refrigerator Cars - Non-mechanical (R100, 101, 102, 103, 105, 106, 107, 108, 109, 113, 114, 115, 116, R200, 201, 202, 203, 205, 206, 207, 208, 209, 213, 214, 215, 216)	1,389					24
50	Refrigerator Cars - Mechanical (R104, 110, 111, 112, 117, 118, R204, 210, 211, 212, 217, 218)						
51	Flat Cars - TOFC/COFC (F071-078; F871-978; F771-778)						
52	Flat Cars - Multi-level (All Code V)	456					
53	Flat Cars - General Service (F101-109; F201-209)	527					91
54	Flat Cars - Other (F111-189; 211-289; 301-389; 401-540)	409					27
55	Tank Cars - Under 22,000 Gallons (T-0, T-1, T-2, T-3, T-4, T-5)	1					
56	Tank Cars - 22,000 Gallons & Over (T-6, T-7, T-8, T-9)						
57	All Other Freight Cars (F191-199; 291; 391; L006-048; L070, L080, L090 - All "L" with second numeric 6; L161-L764; T-770; All Class S)	495					69
58	Total (lines 41 to 57)	85,821		1,671			2,706
59	Caboose (All N)	XXX	759				16
60	Total (lines 58, 59)	85,821	759	1,671			2,722

## 710. INVENTORY OF EQUIPMENT—Continued

4. Column (m) should show aggregate capacity for all units reported in columns (k) and (l), as follows: for freight-train cars, report the nominal capacity (in tons of 2,000 lbs) as provided for in Rule 86 of the AAR Code of Rules Governing Cars in Interchange. Convert the capacity of tank cars to capacity in tons of the commodity which the car is intended to carry customarily.

5. Time-mileage cars refers to freight cars, other than cabooses, owned or held under lease arrangement, whose interline rental is settled on a per diem and line haul mileage basis under "Code of Car Hire Rules" or would be so settled if used by another railroad.

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS							
Changes during year (Concluded)	Units At Close of Year						Line No.
Units retired from service of respondent whether owned or leased including re-classification	Owned and used	Leased from others	Total in service of respondent (col. (l) & (j))		Aggregate capacity of units reported in col. (k) & (l) (see ins. 4)	Leased to others	
			Time-mileage cars	All other			
(h)	(i)	(j)	(k)	(l)	(m)	(n)	
389	2,117	6	2,123		106,731		41
234	2,733		2,733		167,907		42
710	9,877	147	10,024		727,915		43
216	9,109	201	9,310		763,652	5	44
31	2,083		2,083		199,625		45
243	9,233	48	9,281		868,566	3	46
994	48,126	21	48,147		4,241,626	4	47
78	114		114		7,980		48
24	265	1,124	1,389		95,723		49
							50
							51
7	449		449		26,309		52
21	596	1	597		36,497		53
33	387	16	403		29,602		54
	1		1		30		55
							56
							57
78	417	69	486		32,275		
3,058	85,507	1,633	87,140		7,304,438	12	58
12	711	52	XXXX	763	XXXXXXXXXXXX		59
3,070	86,218	1,685	87,140	763	7,304,438	12	60

## 710. INVENTORY OF EQUIPMENT—Concluded

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No.	Class of equipment and car designations	Units in service of respondent at beginning of year		Changes During the Year			
		Per diem	All other	Units Installed			
				New units purchased or built	New units leased from others	Rebuilt units acquired and rebuilt units re-written into property accounts	All other units, including reclassification and second hand units purchased or leased from others
	(a)	(b)	(c)	(d)	(e)	(f)	(g)
	<b>FLOATING EQUIPMENT</b>						
61	Self-propelled vessels [Tugboats, car ferries, etc.]	X X X X	2				
62	Non-self-propelled vessels [Car floats, lighters, etc.]	X X X X	4				
63	Total (lines 61 and 62)	X X X X	6				
	<b>HIGHWAY REVENUE EQUIPMENT</b>						
64	Bogie-chassis		67				
65	Dry van		2,428				
66	Flat bed		67				
67	Open top		19				
68	Mechanical refrigerator						
69	Bulk						
70	Insulated		81				
71	Platform removable sides						
72	Other trailer or container						
73	Tractor						
74	Truck						
75	Total (lines 64 to 74)		2,662				

## NOTES AND REMARKS



## 710. INVENTORY OF EQUIPMENT—Concluded

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Changes during year (Concluded)	Units At Close of Year						Line No.
Units retired from service of respondent whether owned or leased, including reclassification	Owned and used	Leased from others	Total in service of respondent (col. (i) & (j))		Aggregate capacity of units reported in col. (k) & (l) (see ins. 4)	Leased to others	
			Pier diem	All other			
(h)	(i)	(j)	(k)	(l)	(m)	(n)	
	2		X X X X	2		1	61
	4		X X X X	4			62
	6		X X X X	6		1	63
	67			67	1,608		64
593	1,835			1,835	36,061		65
5	62			62	1,358		66
15	4			4	92		67
							68
							69
37	44			44	919		70
							71
							72
							73
							74
650	2,012			2,012	40,038		75

## NOTES AND REMARKS

## 710-S. UNIT COST OF EQUIPMENT INSTALLED DURING THE YEAR

1. Give particulars as requested, separately, for the various classes of new units and rebuilt units of equipment installed by Respondent during the year. If information regarding the cost of any units installed is not complete at time of filing of report, the units should be omitted, but reference to the number of units omitted should be given in a footnote, the details as to cost to be given in the report of the following year. The cost of units under construction at the close of the year should not be reflected in this schedule even though part of the cost appears in the property account for the year. Indicate in column (e) whether an installation represents equipment purchased, (P); built or rebuilt by contract in outside railroad shops, (C); or built or rebuilt in company or system shops, (S).

2. In column (a) list each class or type of locomotive unit, car, or TOFC/COFC equipment on a separate line. By class is meant the standard classification used to distinguish types of locomotive units, freight cars or other equipment adopted by the Association of American Railroads; and should include physical characteristics requested by Schedule 710; locomotive units should be identified as to power source, wheel arrangement, and horsepower per unit; such as Multiple purpose diesel locomotive A units (B-B), 2500 HP; and cars should be identified as to special construction or service characteristics such as Aluminum covered hopper cars, LO; Steel boxcars-special service, XAP, etc., for TOFC/COFC show type of equipment as enumerated in Schedule 710.

3. In column (c) show the total weight in tons of 2,000 pounds. The weight of the equipment acquired should be the weight empty.

4. The cost should be the complete cost as entered on the ledger, including foreign line freight charges and handling charges.

5. Data for this schedule should be confined to the units reported in Schedule 710, columns (c) and (e) for locomotive units, passenger train cars and company service cars; columns (d) and (f) for freight train cars, floating equipment and highway revenue equipment. Disclose new units in the upper section of this schedule and in the lower section disclose rebuilt units acquired or rewritten into the Respondent's accounts. The term "new" as used herein shall refer to and mean a unit or units placed in service for the first time on any railroad.

6. All unequipped boxcars acquired in whole or in part with incentive per diem funds should be reported on separate lines and appropriately identified by footnote or sub-heading.

7. Dollars in thousands.

## NEW UNITS

Line No.	Class of equipment (a)	Number of units (b)	Total weight (tons) (c)	Total cost (d)	Method of acquisition (see instructions) (e)
1	Covered hopper cars - steel	460	13,110	16,340	P
2	L151 (LO)				
3	Gondola cars - steel	500	17,675	16,358	S
4	G312 (GB)				
5	Hopper cars - steel - general service	876	26,455	28,574	S
6	H350 (HT)				
7	Diesel 3600 HP-MP locomotives	6	1,170	5,384	P
8	0-6-6-0 Class BB "A" Units				
9	30-ton locomotive cranes	2	172	735	P
10	Spreader-ditcher-snowplow	1	65	220	P
11	100-ton air side dump cars	2	79	124	P
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25	TOTAL	1,847	XXX	67,735	XXX

## REBUILT UNITS

26					
27					
28					
29					
30					
31					
32					
33					
34					
35					
36					
37					
38	TOTAL		XXX		XXX
39	GRAND TOTAL	1,847	XXX	67,735	XXX

NOTES AND REMARKS



## 715. HIGHWAY MOTOR VEHICLE OPERATIONS

Give particulars of highway motor vehicles operated by respondent in revenue and nonrevenue service and of revenue traffic handled for the respondent by others under contractual arrangements.

In reporting highway motor vehicle miles in line-haul service, show the mileage of buses and combination bus-trucks on line 5; the mileage of trucks and of bogies, trailers and semitrailers with trucks on line 6; and the mileage of tractors and of bogies, trailers and semitrailers with tractors on line 7. Vehicle miles in terminal service should be reported on line 8 and 9.

In reporting traffic carried and traffic handled 1 mile on lines 10 to 15, and on lines 20 to 23, both inclusive, show the total

number of tons and ton-miles of revenue freight in column (i) and the total number of passengers carried and passenger-miles in column (c), regardless of the class of vehicle used to perform the transportation service.

In reporting highway motor vehicles in nonrevenue service include those used in maintenance, shops, and storehouses, and transportation of company material; also buses used for transportation of company employees. Exclude automobiles used by officials and employees.

**A. OPERATED BY RESPONDENT**  
(Revenue and nonrevenue service)

Line No.	Item (a)	Bogies (b)	Buses (c)	Chassis (d)
<b>REVENUE SERVICE</b>				
Vehicles owned or leased:				
1	Number available at beginning of year _____			
2	Number installed during the year _____			
3	Number retired during the year _____			
4	Number available at close of year _____			
Vehicle miles (including loaded and empty):				
Line haul (station to station):				
5	Passenger vehicle miles _____	XXXXXX		XXXXXX
6	Truck miles _____		XXXXXX	XXXXXX
7	Tractor miles _____		XXXXXX	XXXXXX
Terminal service:*				
8	Pick-up and delivery _____			
9	Transfer service _____			
Traffic carried:				
10	Tons—Revenue freight—Line haul _____	XXXXXX	XXXXXX	XXXXXX
11	Tons—Revenue freight—Terminal service only _____	XXXXXX	XXXXXX	XXXXXX
12	Revenue passengers—Line haul _____	XXXXXX		XXXXXX
13	Revenue passengers—Terminal service only _____	XXXXXX		XXXXXX
Traffic handled 1 mile:				
14	Ton-miles—Revenue freight—Line haul _____	XXXXXX	XXXXXX	XXXXXX
15	Revenue passenger-miles—Line haul _____	XXXXXX		XXXXXX
<b>NONREVENUE SERVICE</b>				
Vehicles owned or leased:				
16	Number available at beginning of year _____		23	
17	Number installed during the year _____		2	
18	Number retired during the year _____		1	
19	Number available at close of year _____		24	

\*When performed by vehicles other than those used for line haul.

**B. OPERATED BY OTHERS**  
(Revenue service)

Line No.	Item (a)	Bogies (b)	Buses (c)	Chassis (d)
Traffic carried:				
20	Tons—Revenue freight _____	XXXXXX	XXXXXX	XXXXXX
21	Revenue passengers _____	XXXXXX		XXXXXX
Traffic handled 1 mile:				
22	Ton-miles—Revenue freight _____	XXXXXX	XXXXXX	XXXXXX
23	Revenue passenger-miles _____	XXXXXX		XXXXXX

**715. HIGHWAY MOTOR VEHICLE OPERATIONS—Concluded**

"Trailers" means trailer bodies used in TOFC/COFC service which are permanently mounted on running gear. "Containers" means trailer bodies used in TOFC/COFC service which are not

permanently mounted on wheels or chassis, but are separated from such running gear before being loaded on flat cars.

**A. OPERATED BY RESPONDENT—Concluded**  
(Revenue and nonrevenue service)

Containers (e)	Semitrailers (f)	Tractors (g)	Trailers (h)	Trucks (i)	Combination bus-trucks (j)	Line No.
						1
						2
						3
						4
XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX		5
XXXXXX		XXXXXX			XXXXXX	6
XXXXXX				XXXXXX	XXXXXX	7
						8
						9
XXXXXX	XXXXXX	XXXXXX	XXXXXX		XXXXXX	10
XXXXXX	XXXXXX	XXXXXX	XXXXXX		XXXXXX	11
XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	12
XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	13
XXXXXX	XXXXXX	XXXXXX	XXXXXX		XXXXXX	14
XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	15
			33	1,361		16
			13	119		17
			7	136		18
			39	1,344		19

**B. OPERATED BY OTHERS—Concluded**  
(Revenue service)

Containers (e)	Semitrailers (f)	Tractors (g)	Trailers (h)	Truck (i)	Combination bus-trucks (j)	Line No.
XXXXXX	XXXXXX	XXXXXX	XXXXXX		XXXXXX	20
XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	21
XXXXXX	XXXXXX	XXXXXX	XXXXXX		XXXXXX	22
XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	XXXXXX	23

**716. HIGHWAY MOTOR-VEHICLE ENTERPRISES IN WHICH THE RESPONDENT HAD A DIRECT OR INDIRECT FINANCIAL INTEREST DURING THE YEAR**

Give particulars of highway motor-vehicle enterprises in which the respondent had a financial interest, either directly or indirectly, during the year.

In column (a) identify each motor-vehicle enterprise by name and address, and in column (b) state whether the respondent's in-

terest in such enterprise was direct or indirect. If the interest was indirect, give the names of all intermediaries.

In column (c) give the date on which respondent first acquired its direct or indirect interest in the enterprise.

Line No.	Name and address of highway motor-vehicle enterprise (a)	Nature of respondent's interest (b)	Date on which respondent's direct or indirect interest was originally acquired (c)
1	None		
2			
3			
4			
5			
6			
7			
8			
9			
10			
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# GENERAL INSTRUCTIONS FOR PREPARING SCHEDULES 720, 721, 723, 726, 727, and 728

1. For purposes of these schedules, the track categories are defined as follows:

\*Track category

- A - Freight density of 20 million or more gross ton-miles per mile per year (including track over which passenger service is provided—see Category F).
- B - Freight density of less than 20 million gross ton-miles per mile per year but at least 5 million (does not include track over which passenger service is provided—see Category F).
- C - Freight density of less than 5 million gross ton-miles per mile per year but at least 1 million (does not include track over which passenger service is provided—see Category F).
- D - Freight density of less than 1 million gross ton-miles per year (does not include track over which passenger service is provided—see Category F).
- E - Way and yard switching tracks (passing tracks, crossovers and turnouts shall be included in appropriate Category A, B, C, D, F, and Potential Abandonments, as appropriate).

F - Track over which any passenger service is provided (other than potential abandonments); however, if annual freight traffic density is greater than 20 million gross ton-miles per mile per year, the track shall be included in Track Category A.

Potential Abandonments - Route segments identified by railroads as potentially subject to abandonment as required by Section 10904 of the Interstate Commerce Act.

2. These schedules shall only include those lines maintained by the reporting carrier. It shall not include track maintained by others over which the reporting carrier has trackage rights.

3. If, for two consecutive years, a line segment classified in one track category maintains a traffic density which would place it in another, it shall be reclassified into that category as of the beginning of the second year.

4. Traffic density related to passenger service shall not be included in the determination of the track category of a line segment.

Note: For line segments containing more than single tracks, the total density over the route shall be used to determine track category.

Note: To determine average density, total track miles (route miles times number of tracks) rather than route miles shall be used.

## 720. TRACK AND TRAFFIC CONDITIONS

1. Disclose the requested information pertaining to track and traffic conditions.

2. Average speed reduction per slow order mile in column (e) shall be based on reduction from the maximum authorized timetable train speeds.

3. Miles under slow order in column (f) shall not include those due to ongoing maintenance, or other temporary track conditions such as floods or derailments.

Line No.	Track category	Mileage of tracks at end of period	Average annual traffic density in millions of gross ton-miles per mile**	Average running speed limit	Average speed reduction per slow order track mile	Track miles under slow orders at end of period
	(a)	(b)	(c)	(d)	(e)	(f)
1	A	2,614	31.6	53	24	1.5
2	B	2,847	10.8	46	9	59.3
3	C	1,100	2.6	36	14	116.6
4	D	2,308	0.4	27	16	59.5
5	E	3,943	NOT AVAILABLE	12	5	2.0
6	F	8	XXXXXXX	XXXXX	XXXXX	
7	Potential abandonments	215		20		
8	Total	13,035				238.9

Read Initials: NM

Year 1981

# 721. TIES LAID IN REPLACEMENT

- (1) Disclose the requested information concerning ties laid in replacement.  
 (2) In column (j), report the total board feet of switch and bridge ties laid in replacement.  
 (3) The term "spot maintenance" in column (k) means repairs to track components during routing inspections, as opposed to programmed replacements aimed at upgrading the general condition of the tracks. "% of Spot Maintenance" refers to the percentage of total ties or board feet laid in replacement considered to be spot maintenance.

Road Initials: NW

Year 1981

Line No.	Track Category  (a)	Number of cross-ties laid in replacement							Total  (i)	Switch and Bridge Ties (Board feet) (j)	Cross-ties Switch and Bridge Ties
		New Ties				Second-hand ties					% of Spot Maintenance (k)
		Wooden		Concrete (d)	Other (e)	Wooden		Other (h)			
		Treated (b)	Untreated (c)			Treated (f)	Untreated (g)				
1	A	355,727						355,727	1,473,843	3.53	
2	B	287,684						287,684	763,892	10.32	
3	C	142,990						142,990	256,597	16.70	
4	D	183,814						183,814	570,585	15.98	
5	E	127,386						127,386	1,460,388	30.84	
6	F	1,810						1,810	67,125	100.00	
7	Potential Abandonments	151						151	8,547	100.00	
8	Total	1,099,562						1,099,562	4,600,977	12.43	

9 Average cost of a tie

\$ 14.67



# 722. TIES LAID IN ADDITIONAL TRACKS AND IN NEW LINES AND EXTENSIONS

Give particulars of ties laid during the year in new construction during the year.

In column (a) classify the ties as follows:

(U) Wooden ties untreated when applied.

(T) Wooden ties treated before application.

(S) Ties other than wooden (steel, concrete, etc.). Indicate type in column (h).

Report new and second-hand (relay) ties separately, indicating in column (h) which ties are new.

In columns (d) and (g) should be shown the total cost, including transportation charges on foreign lines, tie trains, loading, inspection, and the cost of handling ties in general supply, storage, and seasoning yards; and, in the case of treated ties, also the cost of handling at treating plants and the cost of treatment. The cost of unloading, hauling over carrier's own lines and placing the ties in tracks, and of train service, other than that necessary in connection with loading or treatment, should not be included in this schedule. In these columns, "total cost" is to be reported in thousands.

Thousands

Line No.	Class of ties	CROSSTIES			SWITCH AND BRIDGE TIES			Remarks
		Total number of ties applied	Average cost per tie	Total cost of crossties laid in new tracks during year	Number of feet (board measure) laid in tracks	Average cost per M feet (board measure)	Total cost of switch and bridge ties laid in new tracks during year	
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)
1	T	38,865	\$ 14.04	\$ 546	266,915	\$ 438.34	\$ 117	
2								
3								
4								
5								
6								
7								
8								
9								
10								
11								
12								
13								
14								
15								
16								
17								
18								
19								
20	Total	38,865	14.04	546	266,915	438.34	117	
21	Number of miles of new running tracks, passing tracks, cross-overs, etc., in which ties were laid <u>24.24 Rail Miles</u>							
22	Number of miles of new yard, station, team, industry, and other switching tracks in which ties were laid <u>9.60 Rail Miles</u>							

Road Initials: NW

Year 1981

# 723. RAILS LAID IN REPLACEMENT

(1) Furnish the requested information concerning rails laid in replacement.

(2) The term "spot maintenance" in column (h) means repairs to track components during routine inspections, as opposed to programmed replacements aimed at upgrading the general condition of the tracks. "% of Spot Maintenance" refers to the percentage of total rails laid in replacement considered to be spot maintenance.

Line No.	Track category  (a)	Miles of rail laid in replacement (rail-miles)				Total		Percent of spot maintenance (h)
		New rail		Relay rail		Welded rail (f)	Bolted rail (g)	
		Welded rail (b)	Bolted rail (c)	Welded rail (d)	Bolted rail (e)			
1	A	293.07	1.99	107.36	22.73	400.43	24.72	12.17
2	B	17.06	1.00	52.94	11.66	70.00	12.66	1.11
3	C		0.07	13.13	5.98	13.13	6.05	31.60
4	D	59.73	1.50	124.51	3.43	184.24	4.93	27.11
5	E	0.62	14.58	68.10	24.29	68.72	38.87	15.56
6	F		0.45		0.28		0.73	100.00
7	Potential Abandonments				0.07		0.07	100.00
8	Other							
9	TOTAL	370.48	19.59	366.04	68.44	736.52	88.03	15.47

10 Average cost of rails laid in replacement. (per gross ton - new) \$ 538.02

## 724. RAILS LAID IN ADDITIONAL TRACKS AND IN NEW LINES AND EXTENSIONS

Give particulars of all rails applied during the year in connection with the construction of new track.

In column (a) classify the kind of rail applied as follows:

- (1) New steel rails, Bessemer process.
- (2) New steel rails, open-hearth process.
- (3) New rails, special alloy (describe more fully in a footnote).
- (4) Relay rails.

Returns in columns (c) and (g) should be reported in whole numbers. Fractions of less than one-half should be disregarded, and fractions of one-half or more reckoned as one.

The returns in columns (d) and (h) should include the cost of loading at the point of purchase ready for shipment, the freight charges paid foreign lines, and the cost of handling rails in general supply and storage yards. The cost of unloading, hauling over carrier's own lines, and placing the rails in tracks, and of train service in connection with the distribution of the rails, should not be included in this schedule. In these columns, "total cost" is to be reported in thousands.

Thousands

Line No.	Class of rail	RAIL APPLIED IN RUNNING TRACKS, PASSING TRACKS, CROSS-OVERS, ETC.				RAIL APPLIED IN YARD, STATION, TEAM, INDUSTRY, AND OTHER SWITCHING TRACKS			
		Weight of Rail		Total cost of rail applied in running tracks, passing tracks, cross-overs, etc., during year	Average cost per ton (2,000 lb.)	Weight of Rail		Total cost of rail applied in yard, station, team, industry, and other switching tracks during year	Average cost per ton (2,000 lb.)
		Pounds per yard of rail	Number of tons (2,000 lb.)			Pounds per yard of rail	Number of tons (2,000 lb.)		
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)
1	4	*140	67	1	18.11				
2	2	*132	190	93	487.00	132	148	71	480.59
3	4	*132	704	43	61.14	132	382	30	79.58
4	4	*131	951	17	17.76	131	32	3	81.10
5	4	*130	187	5	27.00				
6	2	115				115	35	4	128.11
7	4	115	16	1	64.47	115	89	6	63.76
8	4	112	2		53.74	112	105	6	53.57
9	4	110	577	53	91.04	110	214	17	77.32
10	4	100				100	10	1	88.93
11	4	90				90	15	1	53.59
12									
13									
14									
15									
16	Total	X X X	2,694	213	78.92	X X X	1,030	139	134.10

17 Number of miles of new running tracks, passing tracks, cross-overs, etc., in which rails were laid 24.24 Rail Miles

18 Number of miles of new yard, station, team, industry, and other switching tracks in which rails were laid 9.60 Rail Miles

19 Track-miles of welded rail installed this year 13.08 : total to date 248.09

\* Includes rail in tracks acquired from ConRail.

## 725. WEIGHT OF RAIL

Give the particulars below called for concerning the road and track operated by the respondent at the close of the year. Only the respondent's proportion of jointly-owned mileage should be included. Under "Weight of rail," the various weights of rails should

be given. Road and track occupied under trackage right or other form of license should not be included herein, but all road and track held under any form of lease (granting exclusive possession to the lessee) should be included.

Line No.	Weight of rails per yard (a)	Line-haul companies (miles of main track) (b)	Switching and terminal companies (miles of all tracks) (c)	(Continued)		Remarks (d)
1	Pounds 155	6.14		56	.05	
2	140	38.07		52	.09	
3	136	64.92			7,985.05	All main excluding trackage rights
4	132	3,330.62				
5	131	1,099.23				
6	130	490.59				
7	115	569.35				
8	112	894.35				
9	110	422.04				
10	105	8.45				
11	100	91.15				
12	90	648.39				
13	85	99.11				
14	80	149.53				
15	75	66.66				
16	70	6.31				



# 726. SUMMARY OR TRACK MAINTENANCE

1. Disclose the requested information concerning the summary of track maintenance.

2. In column (d), (f), and (i) give the percentage of replacements to total units of property at year end.

Line No.	Track category (a)	Ties				Rail		Ballast	Track surfacing	
		Number of ties replaced		Percent replaced		Miles of rail replaced (rail-miles) (e)	Percent replaced (f)	Cubic yards of ballast placed (g)	Miles surfaced (h)	Percent surfaced (i)
		Crossties (b)	Switch and Bridge Ties (Board Feet) (c)	Crosstie (d)	Switch and Bridge Ties (Board Feet) (e)					
1	A	355,727	1,473,843	4.4	**	425.14	8.1	507,018	1228.0	47.0
2	B	287,684	763,892	3.3	**	82.66	1.5	337,201	816.7	28.7
3	C	142,990	256,597	4.3	**	19.18	0.9	49,298	119.4	10.9
4	D	183,814	570,585	2.7	**	189.18	4.1	9,249	22.4	1.0
5	E	127,386	1,460,388	1.3	**	107.59	1.4	165	0.4	
6	F	1,810	67,125	6.0	**	0.73	4.6			
7	Potential abandonments	151	8,547	0.0	**	0.07	0.0			
8	Total	1,099,562	4,600,977	2.9	**	824.55	3.2	902,931	2186.9	16.8

# 727. TEN-YEAR SUMMARY OF TRACK MAINTENANCE

1. Report in appropriate columns total numbers of replacements for all categories of track lines and the percentage of replacements to the units of property.

2. Explain in "Remarks" changes in track mileage due to acquisition, mergers, major abandonments and other disposals.

Line No.	Year (a)	Ties				Rail		Ballast	Track surfacing	
		Number of ties replaced		Percent replaced		Miles of rail replaced (rail-miles) (e)	Percent replaced (f)	Cubic yards of ballast placed (g)	Miles surfaced (h)	Percent surfaced (i)
		Crossties (b)	Switch and Bridge Ties (Board Feet) (c)	Crosstie (d)	Switch and Bridge Ties (Board Feet) (e)					
1	Current year	1,099,562	4,600,977	2.9	*	824.55	3.2	902,931	2187	16.8
2	First preceding	840,104	4,259,631	2.3	*	757.00	3.0	848,800	2250	17.6
3	Second preceding	1,014,057	3,951,671	2.8	*	805.86	3.1	716,300	2287	17.9
4	Third preceding	615,903	2,641,030	1.7	*	583.00	2.3	392,900	1631	12.7
5	Fourth preceding	1,169,950	3,905,894	3.1	*	829.08	3.2	757,800	2648	20.5
6	Fifth preceding	933,726	4,010,530	2.5	*	913.44	3.5	895,100	2766	21.1
7	Sixth preceding	731,045	3,669,009	2.0	*	656.72	2.5	730,800	2735	21.0
8	Seventh preceding	1,069,789	3,330,166	2.8	*	658.58	2.5	715,200	2422	18.5
9	Eighth preceding	932,848	2,059,914	2.5	*	623.54	2.4	776,800	2229	16.9
10	Ninth preceding	917,790	1,369,691	2.4	*	561.24	2.1	*	2237	17.0

# REMARKS

\* Not available.

\*\* Not available for current year. During 1982, we will attempt to construct the data required to provide this information in the future.

## 728. DEFERRED MAINTENANCE-TRACKS

- (1) Disclose the requested information concerning the monetary and quantity of deferred maintenance of tracks.
- (2) Explain in remarks section below the methods and/or calculations used in determining the amounts and quantities reported.

Line No.	Type of Track	Monetary Amount of Deferred Maintenance	
		End of the Year	Beginning of the Year
	(a)	(b)	(c)
1	A	\$	\$
2	B	NONE	
3	C		
4	D		
5	E		
6	F		
7	Potential Abandonments		
8	Total Tracks		
	Selected Track Maintenance	Quantities of Deferred Maintenance	
		End of the Year	Beginning of the Year
9	Crossties		
10	Rail	NONE	
11	Ballast		

## Remarks

Noting that the subjective nature of deferred maintenance cannot be overcome by any definition, the Commission identified the effect of track maintenance delays on the ability of a railroad to provide adequate service as the most important aspect of deferred maintenance. The reporting of this schedule reflects Management's judgment that no accrued deterioration of track structures presently exists which produces an adverse effect on railroad operations to an extent that services have been rendered partially or wholly inadequate or have resulted in diminishing the railroads' competitive ability.

NOTES AND REMARKS



## 750. CONSUMPTION OF FUEL BY MOTIVE-POWER UNITS

Show hereunder the amounts of the various kinds of fuel consumed by locomotive units and motors or other self-propelled rail cars in the service of the respondent during the year, and the number of kilowatt-hours for such tractive equipment as was propelled by electricity. The ton of 2,000 pounds should be used.

Kilowatt-hours, for entry in column (c) of section A, and column (h) of section B, are to be figures at high tension taps (point of production or point of purchase), and divided among the several classes of service, the division being made on the respondent's best estimate if actual figures are not available.

## A. LOCOMOTIVES

Line No.	Kind of locomotive service (a)	Diesel	Electric	Other (Steam, Gas Turbine, Etc.)	
		Diesel oil (gallons) (b)	Kilowatt-hours (c)	Coal (tons) (d)	Fuel oil (gallons) (e)
1	Freight _____	154,760,128			
2	Passenger _____				
3	Yard switching _____	9,310,605			
4	Total _____	164,070,733			
5	Cost of Fuel* _____	\$ 166,823	\$	\$	\$
6	Work Train _____	629,783			

## B. RAIL MOTORCARS

Line No.	Kind of locomotive service (f)	Diesel	Electric	Gasoline
		Diesel oil (gallons) (g)	Kilowatt-hours (h)	Gasoline (gallons) (i)
7	Freight _____			
8	Passenger _____			
9	Yard switching _____			
10	Total _____			
11	Cost of Fuel* _____	\$	\$	\$
12	Work Train _____			

\*Show cost of fuel charged to train and yard service (Functions 67-Loco. Fuels and 68-Electric Power Purchased/Produced for Motive Power). The cost stated for the various kinds of fuel should be the total charges in the accounts specified, including freight charges and handling expenses. The cost stated for electric current should be the total charges in the accounts enumerated. Fuel and power consumed by mixed and special trains that are predominantly freight should be included in freight service, but where the service of mixed or special trains is predominantly passenger, the fuel and power used should be included in passenger service. (Dollars in thousands)

## 755 Railroad Operating Statistics

Unit Train, Way Train, and Through data under Items 2, 3, 5, 7, and 13 shall be obtained from conductor's wheel reports (freight) or similar records. Unit Trains, for the purpose of this report, are defined as a solid train with a fixed, coupled consist operated continuously, in shuttle service under load from origin and delivered intact at destination, and returning empty for reloading at the same origin. Way Trains are defined as trains operated primarily to gather and distribute cars in road service and move them between way stations or way points. Through Trains are those trains operated between two or more major concentration or distribution points. Do not include unit train statistics in way and through train statistics. A transportation train is a train transporting revenue freight or passengers or moving with empty cars prior or subsequent to such revenue service. A work train is a train operated solely or preponderantly for the purpose of transporting company freight, work equipment or company employees. Statistics for work trains should be reported under Item 12 only. Statistics related to company equipment, company employees and company freight moving in transportation trains are not to be reported in Item 12, but are to be reported in Items 5-17, 7-04, 8-02, 8-03, and 9-04 through 9-07 as instructed in notes I, K, and L.

(A) Miles of road operated at close of year, excluding industrial tracks, yard tracks, and sidings. Compute data for columns (b) and (c) by averaging mileage for each quarter.

(B) A train-mile is the movement of a train a distance of one-mile. In computing train-miles, fractions representing less than one-half mile shall be disregarded and other fractions considered as one mile. Train miles-running shall be based on the actual distance run between terminals and/or stations and shall be computed from the official time tables or distance tables. Train-miles shall not be increased to cover the running of locomotives from shops to terminals, doubling hills, switching, or other work at way stations, or for the service of helper or pusher locomotives or of extra locomotives on double-head or triple-head trains. When the carrier's trains are detoured over foreign roads, the miles shall be computed on the basis of the miles actually run and in accordance with the service performed. Train-miles shall be kept separately for trains hauled by locomotives and trains moved by motorcars.

(C) A locomotive is a self-propelled unit of equipment designed solely for moving other equipment. A locomotive unit-mile is a movement of a locomotive unit one mile under its own power. Include miles made by all locomotive units. In spaces marked "type" indicate type of motive power (electric, etc.), accumulating unit-miles reported. Types making less than 2 percent of total miles for service need not be segregated. Exclude miles made by motorcars. Miles of locomotives in helper service shall be computed on the basis of actual distance run in such service.

(D) All locomotive unit-miles in road service shall be based on the actual distance run between terminals and/or stations. Follow instructions (B) regarding fractions and official time-tables for computing locomotive-miles.

(E) Train switching locomotive-miles shall be computed at the rate of six miles per hour for the time actually engaged in such service. Include miles allowed train locomotives for performing switching service at terminals and Way stations.

(F) Yard switching locomotive-miles shall be computed at the rate of six miles per hour for the time actually engaged in yard switching service. Include miles allowed yard locomotives for switching service in yards where regular switching service is maintained and in terminal switching and transfer service.

(G) A motorcar is a self-propelled unit of equipment designed to carry freight or passenger traffic, and is not considered a locomotive. Include miles of all motorcars and trailing units.

(H) Use car designations shown in Schedule 710. Report under Railroad owned and leased miles. Items 5-1 and 5-11 both foreign cars and respondents' own cars while on the line of the respondent railroad. Report in Items 5-13 and 5-15 miles for private-line cars (whether under railroad control or not) and shipper owned cars under the private-line category. A car-mile is a movement of a unit of car equipment a distance of one mile. Report miles made by flatcars carrying loaded highway trailers or empty highway trailers moving under revenue billings as loaded freight car-miles and miles made by flatcars carrying other empty highway trailers as empty freight car-miles. Exclude miles made by motorcars and report miles made by business cars of other than reporting carrier as sleeping car-miles in Item 6-03. Report mail, express baggage cars and combination cars other than 6-02 combination cars, in Item 6-05.

(I) Exclude from Items 5-01, 5-11, 5-13, and 5-15 Car-Miles of work equipment, cars carrying company freight and no-payment cars moving in transportation trains. Include such car-miles in Item 5-17 and 5-18. No payment car-miles are miles made by private-line cars (other than railroad controlled) and shipper-owned cars for which the railroad does not reimburse the owner on a loaded and/or empty mile basis. That is, if the payment for the loaded miles includes the empty miles, the loaded and empty miles should not be considered no-payment car miles.

(J) Report miles actually run by passenger-train cars in transportation service. Passenger-train car-miles include miles run by coaches and cars in which passengers are carried at regular tariff fares without extra charge for space occupied; miles run by combination passenger and baggage, passenger and mail, passenger and express; miles run by sleeping, parlor and other cars for which an extra fare is charged; miles run by dining, cafe, and other cars devoted exclusively to the serving of meals and other refreshments and by club, lounge, and observation cars; miles run by railway business cars operated for the transportation of the carriers officers and employees; miles run by other passenger-train cars where services are combined such as baggage, express and mail.

(K) Compute from conductors' or dispatchers' train reports or other appropriate source, weight in tons (2,000 pounds). Item 7-01 includes weight of all locomotive units moved one mile in transportation trains. Ton-miles of motorcars should be excluded. Items 7-02 and 7-03 represent tons behind locomotive units (cars and contents, cabooses) moved one mile in transportation trains (excluding non-revenue gross ton-miles). Non-revenue gross ton-miles in transportation trains include work equipment and cars carrying company freight (and their contents). Use 150 lbs. as the average weight per passenger, and 4 tons as the average weight of contents of each headend car.

(L) Compute from conductors' train reports or other appropriate source. Ton-miles represent the number of tons of revenue and non-revenue freight moved one mile in transportation train. Include net ton-miles in motorcar trains. Exclude i.e.l. shipment of freight handled in mixed baggage express cars. Total Ton-Miles Revenue Freight, should correspond to the Ton-Miles reported on Form CBS.

(M) Road service represents elapsed time of transportation trains (both ordinary and light) between time of assignment at initial terminals and time of completion of work at final terminals including train switching at way stations and delays on road as shown by conductors' or dispatchers' train reports. Include time of motorcar service performed by train locomotives at terminals and way stations. Report in Item 9-02 train switching hours included in Item 9-01. Train switching is the time spent by the train while performing switching service initial, intermediate and final at terminals and way stations. A train hour is independent of the number of locomotives in the train.

## 755 Railroad Operating Statistics--Continued

(N) Yard switching hours expended in switching service performed by yard crews in yards where regular switching service is maintained, including terminal switching and transfer service in connection with the transportation of revenue and incidentally of company freight. Hours in yard switching are independent of the number of locomotives used.

(O) Work-train miles include the miles run by trains engaged in company service such as official inspection; inspection trains for railway Commissioners for which no revenue is received; trains running special with fire apparatus to save carrier's property from destruction; trains run for transporting the carrier's employees to and from work when no transportation charge is made; wrecking trains, trains run solely for the purpose of transporting company material; trains run for distributing material and supplies for use in connection with operations and all other trains used in work-train services. Exclude miles run by locomotives while engaged incidentally in switching company materials in company shops or material yards in connection with regular yard switching service or in switching equipment for repairs between yards and shops.

(P) The number of loaded freight cars shall be obtained from the conductors' wheel report and shall be the sum of all loaded cars handled by each train. For example, if a car moves loaded in: (1) a way train from the origination point; (2) in two through trains; and (3) in a way train to the destination point, the total count of loaded cars would be four--two counts for the movements in the way trains and two counts for the movement in through trains. Therefore, each car originated or received from a connecting carrier receives an initial count plus one count for each subsequent physical transfer between trains on respondent

s lines. No additional count is given because of crew change or changes in track identification number unless there is a physical transfer of the car between trains. Each car moving under revenue billing shall be considered as loaded cars.

(Q) Report vehicles (TOFC trailers/containers, automobiles and trucks) loaded and unloaded to and from TOFC and multiple level freight cars when the work is performed at the railroad's expense.

(R) Report total number of loaded revenue trailers/containers picked up plus trailers/containers delivered, when the work is performed at the railroad's expense.

(S) Report under Marine Terminals, Item 16, the tons loaded onto and unloaded from marine vessels at the expense of the reporting railroad.

(T) Report the total number of foreign per diem cars on line. Foreign Per Diem Cars refers to freight cars other than cabooses owned by other railroads, whose interline rental is settled on a per diem basis under the code of per diem rules.

Carriers will be governed by local conditions in determining whether a car at an interchange point should be considered as "on line". Unserviceable cars include cars out of service in connection with repair work. It includes cars on repair tracks repaired and awaiting switching, cars on repair tracks undergoing or awaiting switching, cars awaiting movement to repair tracks held in train yard (excluding cars which are to be repaired in train yard without loss of time), cars moving empty in trains en route to shops, and cars stored awaiting disposition.

Surplus cars are cars which are in serviceable condition available for loading at the initial count on the last day of the year, but which have not been placed for loading within 48 hours. A record shall be made of all such cars on hand at 12:01 a.m. of the day following the last day of each year and a count of the same cars made 48 hours later to ascertain those still not placed for loading. The count of surplus cars shall include cars assembled in advance to meet loading requirements of more than one day, cars which have not been moved because of infrequent train service as on branch lines, cars set aside or stored for special or future loading such as perishables, grain, autos, rough freight, et cetera, cars stored because of seasonal decline in traffic, such as coal cars, ore cars, et cetera. The count of surplus cars shall not include cars out of service in connection with repairs, cars actually moving en route to owners, cars moving on car service order, cars in transit to loading points on holding road or to another road. The phrase "placed for loading" refers to (1) physical switching of a car into position for loading (2) physical switching of a car into possession of a shipper who will subsequently move it to loading position; and (3) physical switching onto tracks at a freight house, pier, et cetera, for the purpose of being loaded.



NOTES AND REMARKS

## 755 Railroad Operating Statistics

ITEM DESCRIPTION (a)	LINE NO.	FREIGHT TRAIN (b)	PASSENGER TRAIN (c)	ITEM DESCRIPTION (a)	LINE NO.	FREIGHT TRAIN (b)	PASSENGER TRAIN (c)
1. Miles of Road Operated (A)	1	7,566	23	5. Freight Car-Miles: (Thousands) (H)			
2. Train Miles-Running (B)				5-01 RR Owned & Leased Cars-Loaded			
2-01 Unit Trains	2			5-010 Box-Plain 40-Foot	27	7,024	
2-02 Way Trains				5-011 Box-Plain 50-Foot & Longer	28	30,067	
2-020 Diesel Locomotives	3	2,002,245	23,552	5-012 Box-Equipped	29	67,554	
2-021 Other Locomotives	4			5-013 Gondola-Plain	30	28,572	
2-03 Through Trains				5-014 Gondola-Equipped	31	8,045	
2-030 Diesel Locomotives	5	12,864,018		5-015 Hopper-Covered	32	43,864	
2-031 Other Locomotives	6			5-016 Hopper-Open Top-General Service	33	362,603	
2-04 Total Train Miles (lines 2-6)	7	14,866,263	23,552	5-017 Hopper-Open Top-Special Service	34	185	
2-05 Motorcars	8			5-018 Refrigerator-Mechanical	35	3,381	
2-06 Total, All Trains (lines 7, 8)	9	14,866,263	23,552	5-019 Refrigerator-Non-Mechanical	36	11,904	
3 Locomotive Unit Miles: (C)				5-020 Flat-TOFC/COFC	✓ 37	25,832	
Road Service: (D)				5-021 Flat Multi-Level	38	5,036,221	
3-01 Unit Trains	10			5-022 Flat-General Service	39	1,593	
3-02 Way Trains				5-023 Flat-All Other	40	5,253	
3-020 Diesel	11	4,315,332	23,552	5-024 All Other Car Types-Total	41	1,078	
3-021 All Other (Type )	12			5-025 Total (lines 27-41)	✓ 42	605,375,626,171	60 991
3-03 Through Trains				5-11 RR Owned & Leased Cars-Empty			
3-030 Diesel	13	41,273,179		5-110 Box-Plain 40-Foot	43	6,777	
3-031 All Other (Type )	14			5-111 Box-Plain 50-Foot & Longer	44	27,011	
3-04 Total (lines 10-14)	15	45,588,511	23,552	5-112 Box-Equipped	45	67,762	
Train Switching: (E)				5-113 Gondola-Plain	46	23,163	
3-11 Diesel	16	3,675,074		5-114 Gondola-Equipped	47	8,498	
3-12 A. Other (Type )	17			5-115 Hopper-Covered	48	46,035	
3-13 Total (lines 16, 17)	18	3,675,074		5-116 Hopper-Open Top-General Service	49	318,524	
Yard Switching: (F)				5-117 Hopper-Open Top-Special Service	50	312	
3-21 Diesel	19	7,331,202		5-118 Refrigerator-Mechanical	51	2,912	
3-22 All Other (Type )	20			5-119 Refrigerator-Non-Mechanical	52	10,699	
3-23 Total (lines 19, 20)	21	7,331,202		5-120 Flat-TOFC/COFC	✓ 53	798 -3,970	
3-31 Total All Services (lines 15, 18, 21)	22	56,594,787	23,552	5-121 Flat-Multi-Level	54	28,387	
4 Motorcar Car-Miles: (Thousands) (G)				5-122 Flat-General Service	55	1,711	
4-01 Diesel	23			5-123 Flat-All Other	56	5,408	
4-02 Electric	24			5-124 All Other Car Types	57	1,128	
4-03 All Other	25			5-125 Total (lines 43-57)	✓ 58	549 125,552,297	
4-04 Total (lines 23-25)	26						

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Road Initials: NM

Year 1981

755 Railroad Operating Statistics—Continued

ITEM DESCRIPTION (a)	LINE NO.	FREIGHT TRAIN (b)	PASSENGER TRAIN (c)	ITEM DESCRIPTION (a)	LINE NO.	FREIGHT TRAIN (b)	PASSENGER TRAIN (c)
5-13 Private Line Cars-Loaded (H)				5-164 Tank-Under 22,000 Gallons	91	20,526	
5-130 Box-Plain 40-Foot	59	28		5-165 Tank-22,000 Gallons and Over	92	8,364	
5-131 Box-Plain 50-Foot & Longer	60	4,272		5-166 All Other Car Types	93	434	
5-132 Box-Equipped	61	22		5-167 Total (lines 77-93)	✓ 94	74 072 70,900	
5-133 Gondola-Plain	62	1		5-17 Work Equipment & No Payment Cars-Miles (I)			
5-134 Gondola-Equipped	63	33		5-170 Unit Trains	95		
5-135 Hopper-Covered	64	17,406		5-171 Way Trains	96	3,107	
5-136 Hopper-Open Top-General Service	65	230		5-172 Through Trains	97	43,654	
5-137 Hopper-Open Top-Special Service	66	3		5-173 Total (lines 95-97)	98	46,761	
5-138 Refrigerator-Mechanical	67	104		5-18 Total Car-Miles by Train Type:			
5-139 Refrigerator-Non-Mechanical	68	2,408		5-180 Unit Trains	99		
5-140 Flat-TOFC/COFC	✓ 69	42 12 6 21,330		5-181 Way Trains	100	60,814	
5-141 Flat-Multi-Level	70	14,195		5-182 Through Trains	101	1,327,109	
5-142 Flat-General Service	71	370		5-183 Total (lines 99-101)	102	1,387,923	
5-143 Flat-All Other	72	2,899		5-19 Caboose Miles	103	14,924	
5-144 Tank Under 22,000 Gallons	73	19,794		6 Passenger Car-Miles: (Thousands) (J)			
5-145 Tank-22,000 Gallons and Over	74	8,243		6-01 Coaches	104		155
5-146 All Other Car Types	75	456		6-02 Combination, Passenger Cars	105		
5-147 Total (lines 59-75)	✓ 76	112 590 91,794		6-03 Sleeping and Parlor Cars	106		
5-15 Private Line Cars-Empty (H)				6-04 Dining, Grill and Tavern Cars	107		
5-150 Box-Plain 40-Foot	77	22		6-05 Head-end Cars (Other than 6-02)	108		
5-151 Box-Plain 50-Foot & Longer	78	1,033		6-06 Total (lines 104-108)	109		155
5-152 Box-Equipped	79	40		6-07 Business Cars	110	81	
5-153 Gondola-Plain	80	27		6-08 Crew Cars (Other than Cabs)	111		
5-154 Gondola-Equipped	81	41		7 Gross Ton-Miles: (Thousands) (K)			
5-155 Hopper-Covered	82	17,883		7-01 Road Locomotives	112	6,564,733	3,390
5-156 Hopper-Open Top-General Service	83	77		7-02 Freight Trains, Crs., Cnts., and Caboose			
5-157 Hopper-Open Top-Special Service	84	16		7-020 Unit Trains	113		
5-158 Refrigerator-Mechanical	85	114		7-021 Way Trains	114	4,618,166	
5-159 Refrigerator-Non-Mechanical	86	2,398		7-022 Through Trains	115	91,616,218	
5-160 Flat-TOFC/COFC	✓ 87	7 264 4,097		7-03 Passenger-Trains, Crs., and Cnts.	116	7,115	11,975
5-161 Flat-Multi-Level	88	11,983		7-04 Non-Revenue	117	730,644	
5-162 Flat-General Service	89	558		7-05 Total (lines 112-117)	118	103,536,876	15,365
5-163 Flat-All Other	90	3,287					

Road Initials: MW

Year 1981

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**755 Railroad Operating Statistics—Continued**

ITEM DESCRIPTION (a)	LINE NO.	FREIGHT TRAIN (b)	PASSENGER TRAIN (c)	ITEM DESCRIPTION (a)	LINE NO.	FREIGHT TRAIN (b)	PASSENGER TRAIN (c)
<b>8. Tons of Freight (Thousands)</b>				<b>14. TOFC/COFC-Number of Rev. Trailers &amp; Containers Loaded &amp; Unloaded (Q)</b>	137	210,830	
8-01 Revenue	119	142,493		<b>15. Multi-Level Cars-Number of Motor Vehicles Loaded &amp; Unloaded (Q)</b>	138	418,056	
8-02 Non-Revenue	120	1,922		<b>16. TOFC/COFC Number of Rev. Trailers Picked Up and Delivered (R)</b>	139	2,911	
8-03 Total (lines 119, 120)	121	144,415		<b>17. Revenue Tons-Marine Terminals (S)</b>			
<b>9. Ton-Miles of Freight (Thousands)(L)</b>				17-01 Marine Terminals-Coal	140	39,109,442	
9-01 Revenue-Road Service	122	48,698,192		17-02 Marine Terminals-Ore	141	2,556,720	
9-02 Revenue-Lake Transfer Service	123			17-03 Marine Terminals-Other	142	1,801,167	
9-03 Total (lines 122, 123)	124	48,698,192		17-04 Total (lines 140-142)	143	43,467,329	
9-04 Non-Revenue-Road Service	125	299,370		<b>18. Number of Foreign Per Diem Cars on Line (T)</b>			
9-05 Non-Revenue-Lake Transfer	126			18-01 Serviceable	144	13,825	
9-06 Total (lines 125, 126)	127	299,370		18-02 Unserviceable	145	430	
9-07 Total-Revenue & Non-Revenue (lines 124, 127)	128	48,997,562		18-03 Surplus	146		
<b>10. Train Hours: (M)</b>				18-04 Total Lines 144-146	147	14,255	
10-01 Road Service	129	856,408	1,091				
10-02 Train Switching	130	239,369					
<b>11. Total Yard-Switching Hours (N)</b>	131	851,587					
<b>12. Train-Miles Work Trains: (O)</b>							
12-01 Locomotives	132	188,112					
12-02 Motorcars	133						
<b>13. Number of Loaded Freight Cars: (P)</b>							
13-01 Unit Trains	134						
13-02 Way Trains	135	1,572,754					
13-03 Through Trains	136	5,163,677					

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Road Initials: MW

Year 1981

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## 800. CONTRACTS, AGREEMENTS, ETC.

1. Hereunder give a concise statement of each important contract, agreement, arrangement, etc., with other companies or persons, together with important revisions, modifications, terminations, and other changes thereof, which became effective during the year, and concerned in any way the rail transportation of persons or items at other than tariff rates, or the purchase of equipment under conditional sales plans without the issuance of securities by respondent, making such statements in the following order:

- (a) Freight or transportation companies or lines.
- (b) Other railway companies.
- (c) Steamboat or steamship companies.
- (d) Telegraph companies.
- (e) Telephone companies.
- (f) Equipment purchased under conditional sales contracts.
- (g) Routing traffic of affiliated companies.
- (h) Other contracts.

2. Under item 1(b), include particulars of joint facility arrangements entered into during the year by the respondent, including those maintained or operated by other carriers as well as those maintained or operated by respondent. For each joint facility, give a brief statement of the basis or bases on which revenues, expenses, taxes, interest on investment, and other items, if any, related to the facility are apportioned among the carriers using the facility or otherwise deriving benefit therefrom.

3. Under item 1(f), give particulars of conditional sales agreements, lease or rental contracts, and other similar instruments, entered into by respondent for the purchase of equipment, which provide for payment in installments and do not involve the issuance of securities by respondent. State the names of the parties to the contracts or agreements, the number of units of each class of equipment covered, and the terms and conditions of payment.

4. Under item 1(g), give particulars of arrangements, written or oral with affiliated companies for routing of traffic. Through route arrangements for handling of traffic interchanged with carrier affiliates should not be reported.

5. Under item 1(h), Other contracts, describe briefly the particulars of all contracts or agreements, including estimated amounts receivable, under which a government agency, or instrumentality thereof, seeks to assist respondent with grants or aid for providing passenger commuter or other passenger-train services.

6. Information concerning contracts of minor importance may be omitted. A contract of minor importance is defined as one involving receipts or payments of less than \$50,000 per year, and which, by its terms, is otherwise unimportant.

7. In lieu of giving abstracts, copies of contracts may be filed. Every copy of a contract furnished in connection with the foregoing requirement should be listed hereunder.

8. The basis for computing receipts and payments should be fully stated in the case of each such contract, agreement, or arrangement.

9. Compliance with the requirements of this schedule does not relieve the respondent of the duty placed upon common carriers by 49 U.S.C. 10764 of the revised, Interstate Commerce Act, which reads as follows:

(a)(1) A common carrier providing transportation subject to the jurisdiction of the Interstate Commerce Commission under subchapter I of chapter 105 of this title shall file with the Commission a copy of each arrangement related to transportation affected by this subtitle that the carrier has with another common carrier. The Commission may require other carriers and brokers subject to its jurisdiction under chapter 105 to file a copy of each arrangement related to transportation or service affected by this subtitle that they have with other persons.

(2) When the Commission finds that filing a class of arrangements by a carrier subject to its jurisdiction under subchapter I of that chapter is not necessary in the public interest, the Commission may except the class from paragraph (1) of this subsection.

(b) The Commission may disclose the existence or contents of an arrangement between a contract carrier and a shipper filed under subsection (a) of this section only if the disclosure is—

(1) limited to those parts of the arrangement that are necessary to indicate the extent of its failure to conform to a tariff then in effect under section 10762 of this title; or

(2) consistent with the public interest and made as a part of the record in a formal proceeding.

(a) None

(b) Other Railway Companies:

Agreement dated and effective January 1, 1981, between Norfolk and Western Railway and Akron, Canton & Youngstown Railroad (ACY) covering lease of 11 NW diesel locomotive units to ACY.

Supplemental letter agreement dated November 18, 1980, between Norfolk and Western Railway and Chessie System covering increase in per car charge for NW to handle C&O traffic in joint territory of the Stone Coal Branch effective January 1, 1981.

Supplemental letters dated March 3, 1981, and October 1, 1981, between Norfolk and Western Railway and Chessie System covering increase in switching charge for NW to handle cars for C&O's account in mine-run service at Itmann, Mariana and Wyoming Mines.

Supplemental letter agreement effective April 1, 1981, between Norfolk and Western Railway and Des Moines Union Railway covering increase in daily rental of NW diesel locomotive units.



## 800. CONTRACTS, AGREEMENTS, ETC. - Continued

## (b) Other Railway Companies: (Continued)

Agreement dated May 8, 1922 between Norfolk and Western Railway Company and the Detroit and Toledo Shore Line Railroad Company covering rental of terminal facilities and operations of joint agency at Toledo, Ohio has been cancelled effective April 13, 1981.

Agreement dated January 1, 1928 between Norfolk and Western Railway Company and the Detroit and Toledo Shore Line Railroad Company covering administrative services at various locations has been cancelled effective April 13, 1981.

Agreement dated December 1, 1952 between Norfolk and Western Railway Company covering car inspection and clerical forces at Farmdale Jct., Illinois has been cancelled effective August 10, 1981.

Agreement dated November 30, 1980 between Norfolk and Western Railway Company and the Baltimore and Ohio Railroad Company covering NW trackage rights between Winton Place, Ohio and Old River Jct. (Hamilton), Ohio. NW to pay its proportionate share of all expenses attributed to the trackage on a car mile basis.

Agreement dated March 3, 1981 between Norfolk and Western Railway Company and the Peoria and Pekin Union Railway Company covering NW trackage rights and use of facilities at Peoria, Illinois. This replaces agreement dated September 15, 1911.

Agreement dated June 23, 1981 between Norfolk and Western Railway Company and Consolidated Rail Corporation covering CR trackage rights between Richmond, Indiana and Muncie, Indiana. CR to pay \$0.1303 per car mile.

Agreement dated June 23, 1981 between Norfolk and Western Railway Company and Consolidated Rail Corporation covering CR trackage rights at Richmond, Indiana. CR to pay \$0.1303 per car mile.

## (c) Steamboat or Steamship Companies:

Supplemental agreement to operating agreement dated February 10, 1968, with Coal Terminal Towing Corporation providing for adjustments in guarantee for the operation of tug service account increased operating expenses effective April 1, 1981.

(d) None

(e) None

(f) None

(g) None

## 800. CONTRACTS, AGREEMENTS, ETC. - Continued

## (h) Other Contracts:

Supplemental agreement to agreement dated November 9, 1973, between Norfolk and Western Railway and Bedway Coal Company providing for increase in rates to cover waste disposal at joint landfill operation near Adena, Ohio, effective August 1, 1981.

Agreement dated March 21, 1967, as supplemented between Norfolk and Western Railway Company and B. F. Brown Company covering operation of dormitory and restaurant at Bellevue, Ohio.

Agreement dated January 1, 1980, as supplemented between Norfolk and Western Railway Company and Thruway Terminals, Inc., covering lodging and transportation of NW employees at Buffalo, New York.

Joint agreement with Blue Ridge Stone Corp., Pounding Mill Quarry Corp. (Boxley & Co.) for ballast. Three-year option to purchase. Contract effective January 1, 1982.

Kerr-McGee tie treating contract expired December 1, 1980, but was extended for one year through verbal agreement to December 1, 1981. This contract has been extended again through 1982.

Koppers tie treating contract - this was a five-year contract which terminated December 31, 1980. New contract negotiated for three years.

Contracts with Dresser Industries, American Steel Foundries, Midland-Ross and Buckeye Steel Castings covering side frames, bolsters, couplers and yokes were cancelled in 1981.

## 800. CONTRACTS, AGREEMENTS, ETC. - Continued

PLAN II CONTRACTS

The following Intermodal contractors were recipients of payments from the Norfolk and Western Railway Company of \$50,000 or more during the year 1981:

<u>Name</u>	<u>Location</u>	<u>Date Revised</u>	<u>Date of New Contract</u>
B&W Cartage	Bellevue, OH	9/17/81	3/1/81
" "	Chicago, IL	4/1/81	
D. D. Jones Tfr. & Whse.	Norfolk, VA	1/1/82	
Frt. Consolidation Svc.	St. Louis, MO	4/1/81	
" " "	Toledo, OH	4/1/81	Cancelled 10/15/81
General Motor Lines	Roanoke, VA	7/1/80	
J. H. Stewart & Son Trkg.	Cincinnati, OH	12/1/81	
" " " " " "	Columbus, OH	10/1/81	
Imperial Cartage	Detroit, MI	4/1/81	
LeTourneau	Chicago, IL	7/1/81	
"	Norfolk, VA	7/1/81	
"	St. Louis, MO	7/1/81	
M.C.I.R. Cartage	St. Louis, MO	4/1/81	
Maritime Terminals	Norfolk, VA	10/1/81	
Mound City Cartage	St. Louis, MO	2/1/80	
Ocean Express Ltd.	Norfolk, VA	5/1/81	
Piggyback Trnsp. Svc.	Lafayette, IN	6/15/80	
Portsmouth Marine Term.	Norfolk, VA	10/1/81	
Railco Intermodel	Welland, Ont.	3/1/81	
Rail Container Svc.	Bellevue, OH	4/1/81	
" " "	Cleveland, OH	4/1/81	
Rail Service Corp.	Chicago, IL	4/1/81	
Ro-Mar Terminals	Norfolk, VA	5/1/81	
Schock Tfr.	Kansas City, MO	5/1/81	
Southgate Trkg.	Norfolk, VA	7/1/81	



## 800. CONTRACTS, AGREEMENTS, ETC. - Continued

MULTI-LEVEL CONTRACTS

The following Multi-Level contractors were recipients of payments from NW of \$50,000 or more during the year 1981:

<u>Name</u>	<u>Location</u>	<u>Date Revised</u>	<u>Date of New Contract</u>
Thruway Terminals	Buffalo, NY	1/11/81	
Foreign Domestic Car Svc.	Chicago, IL	6/1/81	
City Car Terminal	Detroit, MI	8/16/81	
Commercial Carriers	Detroit, MI	7/12/81	
M&G Convoy	Detroit, MI	10/24/81	1/12/81
Frt. Consolidation Svc.	Kansas City, MO	6/1/81	
Foreign Domestic Car Svc.	Rook (Pittsburgh), PA	6/1/81	
Auto Terminals	St. Louis, MO	7/26/81	
Frt. Consolidation Svc.	Winston-Salem, NC	6/1/81	

Staggers Act Section 208 (49 U.S.C.A. §10713) contracts which became effective in 1981 have been separately filed with and approved by the Commission and are not reflected in this response.

# 850. COMPETITIVE BIDDING-CLAYTON ANTITRUST ACT

Section 10 of the Clayton Antitrust Act (15 U.S.C. 20) states that "no common carrier engaged in commerce shall have any dealings in securities, supplies or other articles of commerce, or shall make or have any contracts for construction or maintenance of any kind, to the amount of more than \$50,000, in the aggregate, in any one year, with another corporation, firm, partnership or association when the said common carrier shall have upon its board of directors or as its president, manager or as its purchasing or selling officer, or agent in the particular transaction, any person who is at the same time a director, manager, or purchasing or selling officer of, or who has any substantial interest in, such other corporation, form, partnership or association, unless and

except such purchases shall be made from, or such dealings shall be made with, the bidder whose bid is the most favorable to such common carrier, to be ascertained by competitive bidding under regulations to be prescribed by rule or otherwise by the Interstate Commerce Commission." The specification for competitive bids is found in the Code of Federal Regulations, Part 1010-Competitive Bids through Part 1010.7 - Carriers Subject to the Interstate Commerce Act.

In column (g), identify the company awarded the bid by including company name and address, name and title of respondent officers, directors, selling officer, purchasing officer and/or general manager that has an affiliation with the seller.

	Nature of bid (a)	Date Published (b)	Contract number (c)	No. of bidders (d)	Method of awarding bid (e)	Date filed with the Commission (f)	Company awarded bid (g)
1	Repair to & removal of	2-23-81	AA-81.01	2	Fluc. scrap market in	3-30-81	St. Louis Ref. Car
2	racks and fixtures from				St. Louis & high transp.		Dome Rwy. Svcs.
3	Trailer Train cars				costs to move cars from		2850 S. Broadway
4					St. Louis result in succ.		St. Louis, MO
5					bidder being cost competitive		A. A. Busch
6							
7	Thermit Welding Kits	9-03-81	AA-81.02	2	Only acceptable bidder; NW	10-15-81	Chemetron Corp.
8					will order from appr.		Rwy. Prods. Divn.
9					sources for continuity of		111 E. Wacker Dr.
10					supply.		Chicago, IL 60601
11							W. H. Wendel
12							
13	Rail Welding Svcs. at	11-17-81	AA-81.05	3	Only bidder able to meet	12-10-81	Chemetron Corp.
14	Bellevue, OH				scheduling requirements		Rwy. Prods. Divn.
15							111 E. Wacker Dr.
16							Chicago, IL 60601
17							W. H. Wendel
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Road Initials: NW

Year 1981

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## VERIFICATION

The foregoing report shall be verified by the oath of the officer having control of the accounting of the respondent. This report shall also be verified by the oath of the president or other chief officer of the respondent, unless the respondent states that such officer has no control over the respondent's accounting and reporting.

## OATH

(To be made by the officer having control of the accounting of the respondent)

State of VIRGINIA  
City ROANOKE  
County of ROANOKE

Jean Jones makes oath and says that he is Vice President and Comptroller  
(Insert here name of the affiant) (Insert here the official title of the affiant)

Of Norfolk and Western Railway Company  
(Insert here the exact legal title or name of the respondent)

that it is his duty to have supervision over the books of accounts of the respondent and to control the manner in which such books are kept; that he knows that such books have been kept in good faith during the period covered by this report; that he knows that the entries contained in this report relating to accounting matters have been prepared in accordance with the provisions of the Uniform System of Accounts for Railroads and other accounting and reporting directives of this Commission; that he believes that all other statements of fact contained in this report are true, and that this report is a correct and complete statement, accurately taken from the books and records, of the business and affairs of the above-named respondent during the period of time from and including

January 1, 19 81, to and including December 31, 19 81

Jean Jones  
(Signature of affiant)

Subscribed and sworn to before me, a Notary Public in and for the State and  
city ROANOKE above named, this 30th day of March, 1982

My commission expires August 5, 1985

Use an  
L.S.  
impression seal

Sydney Myers  
(Signature of officer authorized to administer oaths)

## SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of VIRGINIA  
City ROANOKE  
County of ROANOKE

Robert B. Claytor makes oath and says that he is President and Chief Executive Officer  
(Insert here name of the affiant) (Insert here the official title of the affiant)

Of Norfolk and Western Railway Company  
(Insert here the exact legal title or name of the respondent)

that he has carefully examined the foregoing report; that he believes that all statements of fact contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above-named respondent and the operations of its property during the period of time from and including

January 1, 1981, to and including December 31, 1981

Robert B. Claytor  
(Signature of affiant)

Subscribed and sworn to before me, a Notary Public in and for the State and  
city ROANOKE above named, this 30th day of March, 1982

My commission expires August 5, 1985

Use an  
L.S.  
impression seal

Sydney Myers  
(Signature of officer authorized to administer oaths)

**MEMORANDA**  
**(FOR USE OF COMMISSION ONLY)**

## CORRESPONDENCE

[illegible]

## CORRECTIONS

[illegible]

## EXPLANATORY REMARKS

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Note: See Table of Contents for schedules omitted in accordance with ICC Docket No. 38590, served March 11, 1982.