

ANNUAL REPORT 1972 CLASS II

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PETALUMA & SANTA ROSE RR CO.

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INTERSTATE  
COMMERCE COMMISSION  
RECEIVED

RAILROAD  
**Annual Report Form C**  
(Class II Line-haul and Switching and Terminal Companies)

APR 4 1973

ADMINISTRATIVE SERVICES,  
MAIL BRANCH

O.M.B. NO. 60-1099-21

# ANNUAL REPORT

OF

CORRECT NAME AND ADDRESS IF DIFFERENT THAN ABOVE

FULL NAME AND ADDRESS OF REPORTING CARRIER.  
(USE MAILING LABEL ON ORIGINAL, COPY IN FULL ON DUPLICATE.)

125002590PETALUMSANT 2  
CHIEF ACCOUNTING OFFICER  
PETALUMA AND SANTA ROSA R.R. CO.  
ONE MARKET ST.  
SAN FRANCISCO, CAL 94105

125002500PETALUMSANT 2  
CHIEF ACCOUNTING OFFICER  
PETALUMA & SANTA ROSE R.R. CO  
/NEHARKET ST  
SAN FRANCISCO, CAL 94105

535000

FORM-C

TO THE

## INTERSTATE COMMERCE COMMISSION

FOR THE

### YEAR ENDED DECEMBER 31, 1972

## SPECIAL NOTICE

The attention of the respondent is directed below to certain particulars, if any, in which this report form differs from the corresponding form for the preceding year. It should be understood that mention is not made of necessary substitutions of dates or, in general, such other things as simple modifications intended to make requirements clearer, other minor adjustments, and typographical corrections.

**Page 5A: Comparative General Balance Sheet - Explanatory Notes**

Explanatory notes have been revised to reflect the Revenue Act of 1971.

**Page 21A: Schedule 1801. Income Account for the Year**

Analysis of Federal Income Taxes has been revised to reflect the Revenue Act of 1971.

# ANNUAL REPORT

OF

PETAJUMA AND SANTA ROSA RAILROAD COMPANY

FOR THE

YEAR ENDED DECEMBER 31, 1972

Name, official title, telephone number, and office address of officer in charge of correspondence with the Commission regarding this report:

(Name) H. A. NELSON (Title) Auditor  
(Telephone number) 415 362-1212 Ext. 21683  
(Area code) (Telephone number)  
(Office address) One Market Street, San Francisco, California 94105  
(Street and number, City, State, and ZIP code)

200. IDENTITY OF RESPONDENT

1. Give the exact name\* by which the respondent was known in law at the close of the year Petaluma and Santa Rosa Railroad Company
2. State whether or not the respondent made an annual report to the Interstate Commerce Commission for the preceding year, or for any part thereof. If so, in what name was such report made? Yes - Petaluma and Santa Rosa Railroad Company
3. If any change was made in the name or the respondent during the year, state all such changes and the dates on which they were made. None
4. Give the location (including street and number) of the main business office of the respondent at the close of the year. One Market Street, San Francisco, California 94105
5. Give the titles, names, and office addresses of all general officers of the respondent at the close of the year. If there are receivers who are recognized as in the controlling management of the road, give also their names and titles, and the location of their offices.

Line No.	Title of general officer (a)	Name and office address of person holding office at close of year (b)
1	President	D. K. McNear San Francisco, California
2	Vice president	R. D. Spence do
3	Vice President & Secretary	C. E. Eagan* do
4	Treasurer	C. A. Feter do
5	Auditor	H. A. Nelson do
6	General Counsel	Alan C. Furth do
7	Vice President & General Manager	W. M. Jones Oakland, California
8	General superintendent	
9	General freight agent	
10	General passenger agent	
11	General land agent	
12	Chief engineer	*Succeeded by C. B. Nines as Vice President and by A. G. Richards as Secretary, effective January 1, 1973.
13		

6. Give the names and office addresses of the several directors of the respondent at the close of the year, and the dates of expiration of their respective terms.

Line No.	Name of director (a)	Office address (b)	Term expires (c)
21	C. E. Eagan*	San Francisco, California	* February 6, 1973
22	D. K. McNear	do	do
23	L. E. Hoyt	do	do
24			
25			
26			
27			
28	*Succeeded by C. B. Nines as a		
29	director, effective January 1, 1973.		* Or when successor is duly elected and qualified.
30			

7. Give the date of incorporation of the respondent Aug. 23, 1918. State the character of motive power used Diesel.
9. Class of switching and terminal company Not a switching or terminal company.
10. Under the laws of what Government, State, or Territory was the respondent organized? If more than one, name all. Give reference to each statute and all amendments thereof, effected during the year. If previously effected, show the year(s) of the report(s) setting forth details. If in bankruptcy, give court of jurisdiction and dates of beginning of receivership or trusteeship and of appointment of receivers or trustees. Corporations Code of State of California
11. State whether or not any corporation or association or group of corporations had, at the close of the year, the right to name the major part of the board of directors, managers, or trustees of the respondent; and if so, give the names of all such corporations and state whether such right was derived through (a) title to capital stock or other securities issued or assumed by the respondent, (b) claims for advances of funds made for the construction of the road and equipment of the respondent, or (c) express agreement or other source. Northwestern Pacific Railroad Company by acquisition of control on February 26 and 27, 1932, through purchase of capital stock. Northwestern Pacific Railroad Company continues control throughout year 1972.
12. Give hereunder a history of the respondent from its inception to date, showing all consolidations, mergers, reorganizations, etc., and if a consolidated or merging corporation give like particulars for all constituent and subconstituent corporations. Describe also the course of construction of the road of the respondent, and its financing. October 29, 1918, respondent purchased properties of Petaluma & Santa Rosa Railway Company at foreclosure sale. Petaluma & Santa Rosa Railway Company was dissolved December 26, 1918. Owned and operated a railroad line for freight service only in Sonoma County, California.

\*Use the initial word (the when (and only when) it is a part of the name, and distinguish between the words railroad and railway and between company and corporation.

**356. STOCKHOLDERS**

Give the names of the 30 security holders of the respondent who, at the date of the latest closing of the stock book or compilation of list of stockholders of the respondent (if within 1 year prior to the actual filing of this report), had the highest voting powers in the respondent, showing for each his address, the number of votes which he would have had a right to cast on that date had a meeting then been in order, and the classification of the number of votes to which he was entitled, with respect to securities held by him, such securities being classified as

common stock, second preferred stock, first preferred stock, and other securities, stating in a footnote the names of such other securities (if any). If any such holder held in trust, give (in a footnote) the particulars of the trust. In the case of voting trust agreements give, as supplemental information on page 33, the names and addresses of the 30 largest holders of the voting trust certificates and the amount of their individual holdings. If the stock book was not closed or the list of stockholders compiled within such year, show such 30 security holders as of the close of the year.

Line No.	Name of security holder	Address of security holder	Number of votes to which security holder was entitled	NUMBER OF VOTES, CLASSIFIED WITH RESPECT TO SECURITIES ON WHICH BASED			
				STOCKS			Other securities with voting power
				Common	PREFERRED		
(a)	(b)	(c)	(d)	Second (e)	First (f)	(g)	
1	Northwestern Pacific	San Francisco,					
2	Railroad Company	California	8,307	7,707		600	
3							
4							
5							
6							
7							
8							
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29							
30							

12. (Concluded)

Mileage: Owned 27.47 miles, trackage rights from Northwestern Pacific Railroad Company 17.38 miles, total operated 44.85 miles; Petaluma to Sagu, and Sebastopol to Santa Rosa, with branch from Dunn to West Petaluma.

Capital provided by sale of \$60,000 par value of Preferred 6% Cumulative Stock, and \$770,700 par value of Common Stock.

**350A. STOCKHOLDERS REPORTS**

1. The respondent is required to send to the Bureau of Accounts, immediately upon preparation, two copies of its latest annual report to stockholders.

Check appropriate box:

Two copies are attached to this report.

Two copies will be submitted \_\_\_\_\_ (date)

No annual report to stockholders is prepared.

200A. COMPARATIVE GENERAL BALANCE SHEET--ASSETS

For instructions covering this schedule, see the text pertaining to General Balance Sheet Accounts in the Uniform System of Accounts for Railroad Companies. The entries in this balance sheet should be consistent with those in the supporting schedules on the pages indicated. The entries in column (a) should be restated to conform with the account-

ing requirements followed in column (c). The entries in the short column (b) should be deducted from those in column (d) in order to obtain corresponding entries in column (c). All contra entries hereunder should be indicated in parenthesis.

Line No.	Balance at beginning of year (a)		Account or item (b)		Balance at close of year (c)		
		1 000	<b>CURRENT ASSETS</b>				1 000
1			(701) Cash				
2			(702) Temporary cash investments				
3			(703) Special deposits				
4			(704) Loans and notes receivable				
5			(705) Traffic and car-service balances--Debit				
6	3	694	(706) Net balance receivable from agents and conductors			15	076
7	132	655	(707) Miscellaneous accounts receivable			174	749
8			(708) Interest and dividends receivable				
9		137	(709) Accrued accounts receivable				
10			(710) Working fund advances				
11	68	140	(711) Prepayments			44	990
12			(712) Material and supplies				393
13		205	(713) Other current assets				35
14	205	831	Total current assets			236	243
			<b>SPECIAL FUNDS</b>				
				(b) Total book assets at close of year	(c) Respondent's own losses included in (b)		
15			(715) Sinking funds				
16		209	(716) Capital and other reserve funds				282
17		209	(717) Insurance and other funds				282
18			Total special funds				
			<b>INVESTMENTS</b>				
19			(721) Investments in affiliated companies (pp. 10 and 11)				175
20		175	(722) Other investments (pp. 10 and 11)				
21			(723) Reserve for adjustment of investment in securities--Credit				
22		175	Total investments (accounts 721, 722 and 723)				175
			<b>PROPERTIES</b>				
23	1 068	364	(731) Road and equipment property (p. 7)			1 060	116
24			Road	1 003	598		
25			Equipment		770		
26			General expenditures	50	728		
27			Other elements of investment				
28			Construction work in progress				
29	12	373	(732) Improvements on leased property (p. 7)			12	373
30			Road	12	373		
31			Equipment				
32			General expenditures				
33	1 080	737	Total transportation property (accounts 731 and 732)			1 072	487
34	169	064	(735) Accrued depreciation--Road and Equipment (pp. 15 and 16)			167	936
35			(736) Amortization of defense projects--Road and Equipment (p. 18)				
36	169	064	Recorded depreciation and amortization (accounts 735 and 736)			167	986
37	911	673	Total transportation property less recorded depreciation and amortization (line 33 less line 36)			904	503
38	66	285	(737) Miscellaneous physical property			65	780
39		(240)	(738) Accrued depreciation--Miscellaneous physical property (p. 19)				(147)
40	66	745	Miscellaneous physical property less recorded depreciation (account 737 less 738)			65	633
41	973	418	Total properties less recorded depreciation and amortization (line 37 plus line 40)			970	136
			<b>OTHER ASSETS AND DEFERRED CHARGES</b>				
42	20	294	(741) Other assets			20	604
43			(742) Unamortized discount on long-term debt				
44	1	307	(743) Other deferred charges (p. 20)			1	837
45	21	601	Total other assets and deferred charges			22	741
46	1 206	234	TOTAL ASSETS			1,259	271

NOTE--See page 5A for explanatory notes, which are an integral part of the Comparative General Balance Sheet.

206L. COMPARATIVE GENERAL BALANCE SHEET—LIABILITIES AND SHAREHOLDERS' EQUITY

For instructions covering this schedule, see the text pertaining to General Balance Sheet Accounts in the Uniform System of Accounts for Railroad Companies. The entries in this balance sheet should be consistent with those in the supporting schedules on the pages indicated. The entries in column (a) should be restated to conform with the account-

ing requirements followed in column (c). The entries in short column (b) should reflect total book liability at the close of year. The entries in the short column (b) should be deducted from those in column (b) in order to obtain corresponding entries for column (c). All contra entries hereunder should be indicated in parenthesis.

Line No.	Balance at beginning of year		Account or item	Balance at close of year	
	(a)	(b)		(c)	(d)
<b>CURRENT LIABILITIES</b>					
47			(751) Loans and notes payable (p. 20)		
48	19	593	(752) Traffic and car-service balances—Credit		52 807
49		101	(753) Audited accounts and bills payable		
50		90	(754) Miscellaneous accounts payable		90
51			(755) Interest matured unpaid		
52			(756) Dividends matured unpaid		
53			(757) Unmatured interest accrued		
54			(758) Unmatured dividends declared		
55	26	758	(759) Accrued accounts payable		14 038
56			(760) Federal income taxes accrued		
57	3	227	(761) Other taxes accrued		(382)
58	3	729	(763) Other current liabilities		3 095
59	53	598	Total current liabilities (exclusive of long-term debt due within one year)		69 641
<b>LONG-TERM DEBT DUE WITHIN ONE YEAR</b>					
60			(764) Equipment obligations and other debt (pp. 5B and 8)	(b) Total issued	(c) Held by or for respondent
<b>LONG-TERM DEBT DUE AFTER ONE YEAR</b>					
61			(765) Funded debt unmatured (p. 5B)	(b) Total issued	(c) Held by or for respondent
62			(766) Equipment obligations (p. 8)		
63			(767) Receivers' and Trustees' securities (p. 5B)		
64			(768) Debt in default (p. 20)		
65	1	788 049	(769) Amounts payable to affiliated companies (p. 8)		1 788 049
66	1	788 049	Total long-term debt due after one year		1 788 049
<b>RESERVES</b>					
67			(771) Pension and welfare reserves		
68			(772) Insurance reserves		
69			(774) Casualty and other reserves		
70			Total reserves		
<b>OTHER LIABILITIES AND DEFERRED CREDITS</b>					
71			(781) Interest in default		
72		498	(782) Other liabilities		4 817
73			(783) Unamortized premium on long-term debt		
74	32	829	(784) Other deferred credits (p. 20)		24 325
75	13	721	(785) Accrued depreciation—Leased property (p. 17)		14 285
76	47	048	Total other liabilities and deferred credits		43 421
<b>SHAREHOLDERS' EQUITY</b>					
<i>Capital stock (Par or stated value)</i>					
77	830	700	(791) Capital stock issued—Total	(b) Total issued	(c) Held by or for company
78			Common stock (p. 5B)	830,700	None
79			Preferred stock (p. 5B)	770,700	None
80			(792) Stock liability for conversion	60,000	None
81			(793) Discount on capital stock		
82	830	700	Total capital stock		830 700
<i>Capital Surplus</i>					
83			(794) Premiums and assessments on capital stock (p. 19)		
84			(795) Paid-in surplus (p. 19)		
85			(796) Other capital surplus (p. 19)		
86			Total capital surplus		
<i>Retained Income</i>					
87			(797) Retained income—Appropriated (p. 13)		
88	1	513 761	(798) Retained income—Unappropriated (p. 22)		(1 502 534)
89	1	513 761	Total retained income		(1 502 534)
90		682 751	Total shareholders' equity		(671 834)
91	1	206 234	Total Liabilities and Shareholders' Equity		1 229 277

NOTE.—See page CA for explanatory notes, which are an integral part of the Comparative General Balance Sheet.

COMPARATIVE GENERAL BALANCE SHEET--EXPLANATORY NOTES

The notes listed below are provided for the purpose of disclosing supplementary information concerning matters which have an important effect on the financial condition of the carrier. The carrier shall give the particulars called for herein and where there is nothing to report, insert the word, "None"; and in addition thereto shall enter in separate notes with suitable particulars other matters involving material amounts of the character commonly disclosed in financial statements under generally accepted accounting and reporting principles, except as shown in other schedules. This includes explanatory statements explaining (1) the procedure in accounting for pension funds including payments to trustees and recording in the accounts pension costs, indicating whether or not consistent with the prior year, and state the amount, so nearly as practicable, of unfunded past service costs; (2) service interruption insurance policies and indicate the amount of indemnity to which respondent will be entitled for work stoppage losses and the maximum amount of additional premium respondent may be obligated to pay in the event such losses are sustained by other railroads; (3) particulars concerning obligations for stock purchase options granted to officers and employees; and (4) what entries have been made for net income or retained income restricted under provisions of mortgages and other arrangements.

1. Show hereunder the estimated accumulated tax reductions realized during current and prior years under section 168 (formerly section 124-A) and under section 167 of the Internal Revenue Code because of accelerated amortization of emergency facilities and accelerated depreciation of other facilities and also depreciation deductions resulting from the use of the new guideline lives, since December 31, 1961, pursuant to Revenue Procedure 62-21 in excess of recorded depreciation. The amount to be shown in each case is the net accumulated reductions in taxes realized less subsequent increases in taxes due to expired or lower allowances for amortization or depreciation as a consequence of accelerated allowances in earlier years. Also, show the estimated accumulated net income tax reduction realized since December 31, 1961, because of the investment tax credit authorized in the Revenue Act of 1962. In the event provision has been made in the accounts through appropriations of surplus or otherwise for the contingency of increase in future tax payments, the amounts thereof and the accounting performed should be shown.

(a) Estimated accumulated net reduction in Federal income taxes since December 31, 1949, because of accelerated amortization of emergency facilities in excess of recorded depreciation under section 168 (formerly section 124-A) of the Internal Revenue Code ..... \$ None

(b) Estimated accumulated savings in Federal income taxes resulting from computing book depreciation under Commission rules and computing tax depreciation using the items listed below.....\$ 968

- Accelerated depreciation since December 31, 1953, under section 167 of the Internal Revenue Code.

- Guideline lives since December 31, 1961, pursuant to Revenue Procedure 62-21.

- Guideline lives under Class Life System (Asset Depreciation Range) since December 31, 1970, as provided in the Revenue Act of 1971.

(c) (i) Estimated accumulated net income tax reduction utilized since December 31, 1961, because of the investment tax credit authorized in the Revenue Act of 1962, as amended.....\$ 2,034

(ii) If carrier elected, as provided in the Revenue Act of 1971, to account for the investment tax credit under the deferral method, indicate the total deferred investment tax credit in account 784, Other deferred credits, at beginning of year--\$

Add investment tax credits applied to reduction of current year's tax liability but deferred for accounting purposes.....\$

Deduct deferred portion of prior year's investment tax credit used to reduce current year's tax accrual..... ( )

Other adjustments (Indicate nature such as recapture on early disposition).....\$

Total deferred investment tax credit in account 784 at close of year.....\$

(d) Estimated accumulated net reduction in Federal income taxes because of accelerated amortization of certain rolling stock since December 31, 1969, under provisions of Section 184 of the Internal Revenue Code.....\$ None

(e) Estimated accumulated net reduction in Federal income taxes because of amortization of certain rights-of-way investment since December 31, 1969, under the provisions of Section 185 of the Internal Revenue Code.....\$ None

2. Amount of accrued contingent interest on funded debt recorded in the balance sheet:

Description of obligation	Year accrued	Account No.	Amount
.....	.....	.....	\$
.....	.....	.....	\$ None

3. As a result of dispute concerning the recent increase in per diem rates for use of freight cars interchanged, settlement of disputed amounts has been deferred awaiting final disposition of the matter. The amounts in dispute for which settlement has been deferred are as follows:

Item	Amount in dispute	As recorded on books		Amount not recorded
		Account Nos. Debit	Credit	
Per diem receivable.....	\$			\$ None
Per diem payable.....	\$			\$ None
Net amount.....	\$	xxxxxx	xxxxxx	\$ None

4. Amount (estimated, if necessary) of net income, or retained income which has to be provided for capital expenditures, and for sinking and other funds pursuant to provisions of reorganization plans, mortgages, deeds of trust, or other contracts.....\$ None

5. Estimated amount of future earnings which can be realized before paying Federal income taxes because of unused and available net operating loss carryover on January 1 of the year following that for which the report is made.....\$ None

See page 6 for Explanatory Notes - Comparative General Balance Sheet.

**670. FUNDED DEBT UNMATURED**

Give particulars of the various issues of securities in accounts Nos. 764, "Equipment obligations and other debt due within one year" (excluding equipment obligations), and 765, "Funded debt unmatured," at close of the year. Funded debt, as here used, comprises all obligations maturing later than one year from date of issue in accordance with the instructions in the Uniform System of Accounts for Railroad Companies. Show

each issue separately, and make all necessary explanations in footnotes. For the purposes of this report, securities are considered to be actually issued when sold to a bona fide purchaser for a valuable consideration, and such purchaser holds free from control by the respondent. All securities actually issued and not reacquired by or for the respondent are considered to be actually outstanding. It should be noted that section 20a of

the Interstate Commerce Act makes it unlawful for a carrier to issue or assume any securities, unless and until, and then only to the extent that, the Commission by order authorizes such issue or assumption. Entries in columns (k) and (l) should include interest accrued on funded debt reacquired, matured during the year, even though no portion of the issue is outstanding at the close of the year.

Line No.	Name and character of obligation	Nominal date of issue	Date of maturity	INTEREST PROVISIONS		Total amount nominally and actually issued	Nominally issued and held by or for respondent (Identify pledged securities by symbol "P")	Total amount actually issued	Reacquired and held by or for respondent (Identify pledged securities by symbol "P")	Actually outstanding at close of year	INTEREST DURING YEAR	
				Rate percent per annum	Dates due						Accrued	Actually paid
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	(l)	
							NONE					
	Total											

11 Funded debt canceled: Nominally issued, \$ None Actually issued, \$ None  
 12 Purpose for which issue was authorized † None

**690. CAPITAL STOCK**

Give the particulars called for concerning the several classes and issues of capital stocks of the respondent outstanding at the close of the year, and make all necessary explanations in footnotes. For definition of securities actually issued and actually outstanding see instructions for schedule 670. It should be noted that section 20a of the Interstate Commerce Act makes it unlawful for a carrier to issue or assume any securities, unless and until, and then only to the extent that, the Commission by order authorizes such issue or assumption.

Line No.	Class of stock	Date issue was authorized †	Par value per share	Authorized †	Authenticated	PAR VALUE OF PAR VALUE OR SHARES OF NONPAR STOCK			ACTUALLY OUTSTANDING AT CLOSE OF YEAR		
						Nominally issued and held by or for respondent (Identify pledged securities by symbol "P")	Total amount actually issued	Reacquired and held by or for respondent (Identify pledged securities by symbol "P")	Par value of par-value stock	SHARES WITHOUT PAR VALUE	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j) Number	(k) Book value	
11	Common	8-23-18	100	1 000 000	770 700	770 700		770 700			
12	Preferred 6% Cum.	8-23-18	100	250 000	173 600	173 600		60 000			
13											
14											

15 Par value of par value or book value of nonpar stock canceled: Nominally issued, \$ None Actually issued, \$ 113,600  
 16 Amount of receipts outstanding at the close of the year for installments received on subscriptions for stocks None  
 17 Purpose for which issue was authorized † To acquire funds and property to finance construction of the railway  
 18 The total number of stockholders at the close of the year was One (1)

**695. RECEIVERS' AND TRUSTEES' SECURITIES**

Give particulars of evidences of indebtedness issued and payment of equipment obligations assumed by receivers and trustees under orders of a court as provided for in account No. 767, "Receivers' and trustees' securities." For definition of securities actually issued, and actually outstanding, see instructions for schedule 670.

Line No.	Name and character of obligation	Nominal date of issue	Date of maturity	INTEREST PROVISIONS		Total par value authorized †	TOTAL PAR VALUE HELD BY OR FOR RESPONDENT AT CLOSE OF YEAR		Total par value actually outstanding at close of year	INTEREST DURING YEAR	
				Rate percent per annum	Dates due		Nominally issued	Nominally outstanding		Accrued	Actually paid
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)	(k)	
	TOTAL										

† By the State Board of Railroad Commissioners, or other public authority, if any, having control over the issue of securities; if no public authority has such control, state the purpose and amounts as authorized by the board of directors and approved by stockholders.

COMPARATIVE GENERAL BALANCE SHEET - EXPLANATORY NOTES

- (1) A Retirement Plan adopted in 1955 by former Southern Pacific Company (predecessor to Southern Pacific Transportation Company) was funded by contributions of former Southern Pacific Company and the employees of that company until 1968 when employees contributions were eliminated.
- (2) Respondent carried basic and supplemental insurance policies with the Imperial Insurance Company, Limited, under terms of which it would have been entitled to maximum indemnity of \$247 per day up to 365 days for any single work stoppage. The maximum amount of additional premiums respondent may be obligated to pay in the event losses are sustained by other railroads is \$ 940.

701. ROAD AND EQUIPMENT PROPERTY

1. Give particulars of changes during the year in accounts 731, "Road and equipment property," and 732, "Improvements on leased property," classified in accordance with the Uniform System of Accounts for Railroad Companies.

2. Gross charges during the year should include reimbursements made for the specific purpose of purchasing, constructing, and equipping new lines, extensions of old lines, and for additions and betterments. Both the debit and credit involved in each transfer, adjustment, or clearance, between road and equipment accounts, should be included in columns (c) or (f), as may be appropriate, depending on the nature of the item. Adjustments in excess of \$100,000 should be explained. All changes made during the year should be analyzed by primary accounts.

3. Report on line 35 amounts not includable in the primary road accounts. The items reported should be briefly identified and explained in a footnote on page 6. Amounts should be reported on this line only under special circumstances, usually after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.

Line No.	Account (a)	Balance at beginning of year (b)		Gross charges during year (c)		Credits for property retired during year (d)		Balance at close of year (e)	
		\$		\$		\$		\$	
1	(1) Engineering.....		36 641				513		36 128
2	(2) Land for transportation purposes.....		269 246				(420)		269 686
3	(24) Other right-of-way expenditures.....								
4	(3) Grading.....		107 003		880		32		107 851
5	(5) Tunnels and subways.....								
6	(6) Bridges, trestles, and culverts.....		72 273		213				73 486
7	(7) Elevated structures.....								
8	(8) Ties.....		61 257		10		571		60 696
9	(9) Rails.....		127 145		49		751		126 443
10	(10) Other track material.....		29 394		1 956		528		31 422
11	(11) Ballast.....		114 786		395		133		115 048
12	(12) Track laying and surfacing.....		67 896		728		436		68 188
13	(13) Fences, snowsheds, and signs.....		34 656						34 656
14	(16) Station and office buildings.....		31 371				8 682		22 689
15	(17) Roadway buildings.....								476
16	(18) Water stations.....								
17	(19) Fuel stations.....								
18	(20) Shops and enginehouses.....		15 280						15 280
19	(21) Grain elevators.....								
20	(22) Storage warehouses.....								
21	(23) Wharves and docks.....								
22	(24) Coal and ore wharves.....								
23	(25) TOFC/COFC terminals.....								
24	(26) Communication systems.....								
25	(27) Signals and interlocks.....		5 628						5 628
26	(29) Power plants.....								
27	(31) Power-transmission systems.....								
28	(35) Miscellaneous structures.....								
29	(37) Roadway machines.....		3 221						3 221
30	(38) Roadway small tools.....		1 493						1 493
31	(39) Public improvements—Construction.....		49 120				540		48 580
32	(43) Other expenditures—Road.....								
33	(44) Shop machinery.....								
34	(45) Power-plant machinery.....								
35	Other (specify and explain).....								
36	<b>TOTAL EXPENDITURES FOR ROAD.....</b>		<b>1 028 506</b>		<b>4 231</b>		<b>11 766</b>		<b>1 020 971</b>
37	(52) Locomotives.....								
38	(53) Freight-train cars.....								
39	(54) Passenger-train cars.....								
40	(55) Highway revenue equipment.....								
41	(56) Floating equipment.....								
42	(57) Work equipment.....								
43	(58) Miscellaneous equipment.....		770						770
44	<b>TOTAL EXPENDITURES FOR EQUIPMENT.....</b>		<b>770</b>						<b>770</b>
45	(71) Organization expenses.....		2						2
46	(76) Interest during construction.....		27 023				335		26 688
47	(77) Other expenditures—General.....		24 436				378		24 058
48	<b>TOTAL GENERAL EXPENDITURES.....</b>		<b>51 461</b>				<b>713</b>		<b>50 748</b>
49	<b>TOTAL.....</b>		<b>1 080 737</b>		<b>4 231</b>		<b>12 479</b>		<b>1 072 489</b>
50	(50) Other elements of investment.....								
51	(90) Construction work in progress.....								
52	<b>GRAND TOTAL.....</b>		<b>1 080 737</b>		<b>4 231</b>		<b>12 479</b>		<b>1 072 489</b>

**801. PROPRIETARY COMPANIES**

Give particulars called for regarding each inactive proprietary corporation of the respondent (i. e., one all of whose outstanding stocks or obligations are held by or for the respondent without

any accounting to the said proprietary corporation). It may also include such line when the actual title to all of the outstanding stocks or obligations rests in a corporation controlled by or

controlling the respondent; but in the case of any such inclusion, the facts of the relation to the respondent of the corporation holding the securities should be fully set forth in a footnote.

Line No.	Name of proprietary company (a)	MILEAGE OWNED BY PROPRIETARY COMPANY					Investment in transportation property (accounts Nos. 731 and 732) (g)	Capital stock (account No. 791) (h)	Unmatured funded debt (account No. 760) (i)	Debt in default (account No. 768) (j)	Amounts payable to affiliated companies (account No. 769) (k)
		Road (b)	Second and additional main tracks (c)	Passing tracks, crossovers, and turnouts (d)	Way switching tracks (e)	Yard switching tracks (f)					
1											
2											
3											
4											
5											
6											

**901. AMOUNTS PAYABLE TO AFFILIATED COMPANIES**

Give full particulars of the amounts payable by the respondent to affiliated companies, as defined in connection with account No. 769, "Amounts payable to affiliated companies," in the Uniform System of Accounts for Railroad Companies. If

any such debt is evidenced by notes, each note should be separately shown in column (a). Entries in columns (e) and (f) should include interest accruals and interest payments on nonnegotiable debt retired during the year, even though no

portion of the issue remained outstanding at the close of the year. Show, also, in a footnote, particulars of interest charged to cost of property.

Line No.	Name of creditor company (a)	Rate of interest (b)	Balance at beginning of year (c)		Balance at close of year (d)		Interest accrued during year (e)		Interest paid during year (f)	
			\$	%	\$	%	\$	%	\$	%
21	Northwestern Pacific R.R. Co. - Open account		1	633	766	1	633	766		
22	Southern Pacific Transportation Co. - Open account			154	283			154	283	
23										
24										
25										
26										
27										
28										
29										
30										
31										
32										
33										
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100										

**902. EQUIPMENT COVERED BY EQUIPMENT OBLIGATIONS**

Give the particulars called for regarding the equipment obligations included in the balance outstanding in accounts Nos. 764, "Equipment obligations and other debt due within one year," and 766, "Equipment obligations," at the close of the year. In

column (a) show the name by which the equipment obligation is designated and in column (b) show the classes of equipment and the number of units covered by the obligation together with other details of identification. In column (c) show current rate of

interest, in column (d) show the contract price at which the equipment is acquired, and in column (e) the amount of cash paid upon acceptance of the equipment.

Line No.	Designation of equipment obligation (a)	Description of equipment covered (b)	Current rate of interest (c)	Contract price of equipment acquired (d)		Cash paid on acceptance of equipment (e)	Actually outstanding at close of year (f)		Interest accrued during year (g)		Interest paid during year (h)	
				\$	%		\$	%	\$	%	\$	%
41												
42												
43												
44												
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99												
100												

RAILROAD COMPANIES—OPERATING—O.

PSR 1972

## GENERAL INSTRUCTIONS CONCERNING RETURNS IN SCHEDULES 1001 AND 1002

1. Schedules 1001 and 1002 should give particulars of stocks, bonds, other secured obligations, unsecured notes, and investment advances of other corporations held by respondent at the close of the year specifically as investments, including obligations of the United States, of a State or local government, or of an individual, so held, in accounts Nos. 715, "Sinking funds"; 716, "Capital and other reserve funds"; 721, "Investments in affiliated companies"; 722, "Other investments"; and 717, "Insurance and other funds"; investments made, disposed of, or written down during the year; and dividends and interest credited to income. Securities of affiliated companies are reportable in schedule No. 1001, and securities of nonaffiliated companies in schedule No. 1002. For definition of affiliated companies, see the rules governing account No. 721, "Investments in affiliated companies," in the Uniform System of Accounts for Railroad Companies.

2. These investments should be subdivided to show the par value pledged, unpledged, and held in fund accounts. Under "pledged" include the par value of securities recorded in accounts Nos. 721, "Investments in affiliated companies," and 722, "Other investments," which are deposited with some pledgee or other trustee, or held subject to the lien of a chattel mortgage, or subject to any other restriction or condition which makes them unavailable for general corporate purposes. "Unpledged" should include all securities held by or for the respondent free from any lien or restriction, recorded in the accounts mentioned above. Under "In sinking, insurance, and other funds" include the par value of securities recorded in accounts Nos. 715, "Sinking funds"; 716, "Capital and other reserve funds"; and 717, "Insurance and other funds."

3. List the investments in the following order and show a total for each group and each class of investments by accounts in numerical order:

## (A) Stocks:

- (1) Carriers—active.
- (2) Carriers—inactive.
- (3) Noncarriers—active.
- (4) Noncarriers—inactive.

## (B) Bonds (including U. S. Government Bonds):

- (C) Other secured obligations:
- (D) Unsecured notes:
- (E) Investment advances:

4. The subclassification of classes (B), (C), (D), and (E) should be the same as that provided for class (A).

5. By carriers, as the term is here used, is meant companies owning or operating railroads, facilities auxiliary thereto such as bridges, ferries, union depots, and other terminal facilities, sleeping cars, parlor cars, dining cars, freight cars, stockyards, express service and facilities, electric railway, highway motor vehicles, steamboats and other marine transportation equipment, pipe lines (other than those for transportation of water), and other instrumentalities devoted to the transportation of persons or property for hire. Telegraph and telephone companies are not meant to be included.

6. Noncarrier companies should, for the purposes of these schedules, include telephone companies, telegraph companies, mining companies, manufacturing companies, hotel companies, etc. Purely "holding companies" are to be classed as noncarrier companies, even though the securities held by such companies are largely or entirely those issued or assumed by carriers.

7. By an active corporation is meant one which maintains an organization for operating property or administering its financial affairs. An inactive corporation is one which has been practically absorbed in a controlling corporation, and which neither operates property nor administers its financial affairs; if it maintains an organization it does so only for the purpose of complying with legal requirements and maintaining title to property or franchises.

8. In case any adjustments in book values thereof have been made during the year, a detailed statement thereof should be given on page 33 of this form.

9. Classify the securities according to the classification given above, showing the subclass by means of letters and figures in columns (a) and (b). Indicate by means of an arbitrary mark in column (c) the obligation in support of which any security is pledged, mortgaged, or otherwise encumbered, giving names and other important particulars of such obligations in footnotes.

10. Entries in column (c) should show date of maturity of bonds and other evidences of indebtedness. Obligations of the same designation which mature serially may be reported as "Serially 19..... to 19....."

11. For nonpar stock, show the number of shares in lieu of the par value in columns (e), (f), (g), (h), (j), and (i) of schedule No. 1001 and in columns (d), (e), (f), (g), (h), and (k) of schedule No. 1002. In reporting advances, the columns mentioned should be left blank. If any advances are pledged, give particulars in a footnote.

12. If the cost of any investment made during the year differs from the book value reported, explain the matter in a footnote. By "cost" is meant the consideration given minus accrued interest or dividends included therein.

13. These schedules should not include any securities issued or assumed by respondent.

1001. INVESTMENTS IN AFFILIATED COMPANIES (See page 9 for Instructions)

Line No.	Ac- count No.	Class No.	Name of issuing company and description of security held, also lien reference, if any	Extent of control	INVESTMENTS AT CLOSE OF YEAR							
					FAIR VALUE OF AMOUNT HELD AT CLOSE OF YEAR							
					Pledged (e)		Unpledged (f)		In sinking, insurance, and other funds (g)		Total per value (h)	
(a)	(b)	(c)	(d)	\$	%	\$		\$		\$		
1			NONE									
2												
3												
4												
5												
6												
7												
8												
9												
10												

1002. OTHER INVESTMENTS (See page 9 for Instructions)

Line No.	Ac- count No.	Class No.	Name of issuing company or government and description of security held, also lien reference, if any	INVESTMENTS AT CLOSE OF YEAR							
				FAIR VALUE OF AMOUNT HELD AT CLOSE OF YEAR							
				Pledged (d)		Unpledged (e)		In sinking, insurance, and other funds (f)		Total per value (g)	
(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	(i)	(j)		
21	717	C-3	Barclays Bank						282		282
22			Total C						282		282
23			Total Account 717						282		282
24											
25	722	E-3	Pacific Southeast Freight Bureau					175			175
26			Total E					175			175
27			Total Account 722					175			175
28											
29											
30											
31											

1001. INVESTMENTS IN AFFILIATED COMPANIES—Concluded

INVESTMENTS AT CLOSE OF YEAR			INVESTMENTS MADE DURING YEAR			INVESTMENTS DISPOSED OF OR WRITTEN DOWN DURING YEAR			DIVIDENDS OR INTEREST DURING YEAR		Line No.
Total book value (i)	Per value (j)	Book value (k)	Per value (l)	Book value* (m)	Selling price (n)	Rate (o) %	Amount credited to income (p)				
											1
											2
											3
											4
											5
											6
											7
											8
											9
											10

NONE

1002. OTHER INVESTMENTS—Concluded

INVESTMENTS AT CLOSE OF YEAR		INVESTMENTS MADE DURING YEAR		INVESTMENTS DISPOSED OF OR WRITTEN DOWN DURING YEAR			DIVIDENDS OR INTEREST DURING YEAR		Line No.
Total book value (h)	Per value (i)	Book value (j)	Per value (k)	Book value* (l)	Selling price (m)	Rate (n) %	Amount credited to income (o)		
	282	73		73					21
	282	73		73				21	22
	282	73		73					23
									24
	175								25
	175								26
	175								27
									28
									29
									30
									31

\*Identify all entries in this column which represent a reduction in the book value of securities by symbol and give full explanation in a footnote in each case.

**1201. SECURITIES, ADVANCES, AND OTHER INTANGIBLES OWNED OR CONTROLLED THROUGH NONREPORTING CARRIER AND NONCARRIER SUBSIDIARIES**

1. Give particulars of investments represented by securities and advances (including securities issued or assumed by respondent), and of other intangible property, indirectly owned or controlled by respondent through any subsidiary which does not report to the Commission under the provisions of Part I of the Interstate Commerce Act, without regard to any question of whether the company issuing the securities, or the obligor, is controlled by the subsidiary.

2. This schedule should include all securities, open account advances, and other intangible property owned or controlled by nonreporting companies shown in schedule 1001, as well as those owned or controlled by any other organization or individual whose action respondent is enabled to determine.

3. Investments in U. S. Treasury obligations may be combined in a single item.

Line No.	Class No.	Name of issuing company and security or other intangible thing in which investment is made (list on same line in second section and in same order as in first section)	INVESTMENTS AT CLOSE OF YEAR				INVESTMENTS MADE DURING YEAR				
			Total par value (e)		Total book value (d)		Par value (e)		Book value (f)		
			\$		\$		\$		\$		
1											
2											
3											
4											
5											
6											
7		NONE									
8											
9											
10											
11											
12											
13											
14											
15											
16											
17											
18											
19											
20											
21											
22											
23											
24											

Line No.	INVESTMENTS DISPOSED OF OR WRITTEN DOWN DURING YEAR			Names of subsidiaries in connection with things owned or controlled through them
	Par value (g)	Book value (h)	Selling price (i)	
	\$	\$	\$	
1				
2				
3				
4				
5				
6				
7				
8				
9				
10				
11				
12				
13				
14				
15				
16				
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18				
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21				
22				
23				
24				

**1302. DEPRECIATION BASE AND RATES—ROAD AND EQUIPMENT OWNED AND USED AND LEASED FROM OTHERS**

1. Show in columns (b) and (e), for each primary account, the depreciation base used in computing the depreciation charges for the month of January and in columns (c) and (f) show the depreciation base used in computing the depreciation charges for the month of December; in columns (d) and (g) show the composite rates used in computing the depreciation charges for the month of December, and on lines 30 and 39 of these columns show the composite percentage for all road and equipment accounts, respectively, ascertained by applying the primary account composite rates to the depreciation base used in computing the charges for December and dividing the total so computed by the total depreciation base for the same month. The depreciation base should not include the cost of equipment, used but not owned, when the rents therefor are included in the rent for equipment accounts Nos. 536 to 540, inclusive. It should include the cost of equipment owned and leased to others when the rents therefor are included in the rent for equipment accounts Nos. 503 to 507, inclusive. The composite rates used should be those prescribed or otherwise authorized by the Commission, except that where the use of component rates has been authorized, the composite rates to be shown for the respective primary accounts should be recomputed from the December charges developed by the use of the authorized rates. If any changes in rates were effective during the year, give full particulars in a footnote.

2. All leased properties may be combined and one composite rate computed for each primary account, or a separate schedule may be included for each such property.

3. Show in columns (e), (f), and (g), data applicable to property, used but not owned, when the rent therefor is included in account No. 542. Report data applicable to improvements to such property, the cost of which is included in account 732, in columns (b), (c) and (d).

4. If the depreciation base for accounts 1, 2½, 3, 5, and 39 includes non-depreciable property, a statement to that effect should be made in a footnote.

5. If depreciation accruals have been discontinued for any account, the depreciation base should be reported nevertheless in support of depreciation reserves. Authority for the discontinuance of accruals should be shown in a footnote indicating the account(s) affected.

Line No.	Account (a)	OWNED AND USED			LEASED FROM OTHERS		
		DEPRECIATION BASE		Annual composite rate (percent) (d)	DEPRECIATION BASE		Annual composite rate (percent) (g)
		At beginning of year (b)	At close of year (c)		At beginning of year (e)	At close of year (f)	
\$	\$	\$	%	\$	\$	%	
1	<b>ROAD</b>						
2	(1) Engineering.....	41 911	41 443	0 93			
3	(2½) Other right-of-way expenditures.....						
4	(3) Grading.....	106 695	107 575	0 93			
5	(5) Tunnels and subways.....						
6	(6) Bridges, trestles, and culverts.....	73 002	73 215	1 64			
7	(7) Elevated structures.....						
8	(13) Fences, snowbeds, and signs.....	32 234	32 234	4 89			
9	(16) Station and office buildings.....	25 794	17 112	2 55	14 981	14 981	2 55
10	(17) Roadway buildings.....	530	530	1 90			
11	(18) Water stations.....						
12	(19) Fuel stations.....						
13	(20) Shops and enginehouses.....	15 112	15 112	1 83			
14	(21) Grain elevators.....						
15	(22) Storage warehouses.....						
16	(23) Wharves and docks.....				6 400	6 400	2 78
17	(24) Coal and ore wharves.....						
18	(25) TOFC/COFC terminals.....						
19	(26) Communication systems.....						
20	(27) Signals and interlockers.....	5 561	5 561	2 81			
21	(29) Power plants.....						
22	(31) Power-transmission systems.....						
23	(35) Miscellaneous structures.....						
24	(37) Roadway machines.....	3 303	3 303	4 25			
25	(39) Public improvements—Construction.....	48 210	47 670	4 17			
26	(44) Shop machinery.....						
27	(45) Power-plant machinery.....						
28	All other road accounts.....						
29	Amortization (other than defense projects).....						
30	Total road.....	352 352	343 755	2 47	21 381	21 381	2 62
31	<b>EQUIPMENT</b>						
32	(52) Locomotives.....						
33	(53) Freight-train cars.....						
34	(54) Passenger-train cars.....						
35	(55) Highway revenue equipment.....						
36	(56) Floating equipment.....						
37	(57) Work equipment.....						
38	(58) Miscellaneous equipment.....	770	770	7 85			
39	Total equipment.....	770	770	7 85			
40	<b>GRAND TOTAL</b> .....	353 122	344 525	2 47	21 381	21 381	2 62

NOTE: Road depreciation base for 1, 3, and 39 includes depreciable property.



**1501. DEPRECIATION RESERVE—ROAD AND EQUIPMENT OWNED AND USED**

1. Give the particulars called for hereunder with respect to credits and debits to account No. 735, "Accrued depreciation—Road and Equipment," during the year relating to road and equipment owned and used. This schedule should not include any entries for depreciation of equipment, used but not owned, when the rents therefor are included in the rent for equipment accounts Nos. 536 to 540, inclusive. It should include entries for depreciation of equipment owned but not used when the rents therefrom are included in the rent for equipment accounts Nos. 503 to 507, inclusive. (See schedule 1502 for the reserve relating to road and equipment owned but not used by the respondent.) If any

entries are made for "Other credits" or "Other debits," state the facts occasioning such entries. A debit balance in column (b) or (g) for any primary account should be shown in red or designated "Dr."

2. If there is any inconsistency between the credits to the reserve as shown in column (e) and the charges to operating expenses, a full explanation should be given.

3. All credits or debits to the reserve representing amortization other than for defense projects, if a general amortization program has been authorized, should be entered on line 29.

Line No.	Account (a)	Balance at beginning of year (b)		CREDITS TO RESERVE DURING THE YEAR		DEBITS TO RESERVE DURING THE YEAR		Balance at close of year (g)	
		\$		Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)	\$	
1	<b>ROAD</b>								
2	(1) Engineering		7 868		384		418		7 834
3	(2) Other right-of-way expenditures								
4	(3) Grading		2 335		84				2 419
5	(5) Tunnels and subways								
6	(6) Bridges, trestles, and culverts		56 013		3 374				59 387
7	(7) Elevated structures								
8	(12) Fences, snow sheds, and signs		34 823		1 572				36 395
9	(15) Station and office buildings		12 424		523		8 682		4 265
10	(17) Roadway buildings		340		12				352
11	(18) Water stations								
12	(19) Fuel stations								
13	(20) Shops and enginehouses		6 519		276				6 795
14	(21) Grain elevators								
15	(22) Storage warehouses								
16	(23) Wharves and docks		(370)						(370)
17	(24) Coal and ore wharves								
18	(25) TOFC/COFC terminals								
19	(26) Communication systems		(2 472)						(2 472)
20	(27) Signals and interlockers		1 713		156				1 869
21	(29) Power plants								
22	(31) Power-transmission systems								
23	(35) Miscellaneous structures								
24	(37) Roadway machines		4 034		144				4 178
25	(39) Public improvements—Construction		47 619		2 002		540		49 081
26	(44) Shop machinery*		(2 515)						(2 515)
27	(45) Power-plant machinery								
28	All other road accounts								
29	Amortization (other than defense projects)								
30	Total road		168 331		8 527		9 640		167 218
31	<b>EQUIPMENT</b>								
32	(52) Locomotives								
33	(53) Freight-train cars								
34	(54) Passenger-train cars								
35	(55) Highway revenue equipment								
36	(56) Floating equipment								
37	(57) Work equipment								
38	(58) Miscellaneous equipment		733		35				768
39	Total equipment		733		35				768
40	<b>GRAND TOTAL</b>		169 064		8 562		9 640		167 986

\*Charges to account 223.

**1502. DEPRECIATION RESERVE--ROAD AND EQUIPMENT LEASED TO OTHERS**

1. This schedule is to be used in cases where the depreciation reserve is carried in the accounts of the respondent, and the rent therefrom is included in account No. 509.

2. Give the particulars called for hereunder with respect to credits and debits to account No. 735, "Accrued depreciation--Road and Equipment," during the year relating to road and equipment leased to others,

the depreciation charges for which are not includable in operating expenses of the respondent. (See schedule 1501 for the reserve relating to road and equipment owned and used by the respondent.)

3. If any entries are made for "Other credits" and "Other debits," state the facts occasioning such entries. A debit balance in columns (b) or (g) for any primary account should be shown in red or designated "Dr."

Line No.	Account (a)	Balance at beginning of year (b)	CREDITS TO RESERVE DURING THE YEAR		DEBITS TO RESERVE DURING THE YEAR		Balance at close of year (g)
			Charges to others (c)	Other credits (d)	Retirements (e)	Other debits (f)	
1	<b>ROAD</b>						
2	(1) Engineering-----						
3	(2½) Other right-of-way expenditures-----						
4	(3) Grading-----						
5	(5) Tunnels and subways-----						
6	(6) Bridges, trestles, and culverts-----						
7	(7) Elevated structures-----						
8	(12) Fences, snowsheds, and signs-----						
9	(16) Station and office buildings-----						
10	(17) Roadway buildings-----			NONE			
11	(18) Water stations-----						
12	(19) Fuel stations-----						
13	(20) Shops and enginehouses-----						
14	(21) Grain elevators-----						
15	(22) Storage warehouses-----						
16	(23) Wharves and docks-----						
17	(24) Coal and ore wharves-----						
18	(25) TOFC/COFC terminals-----						
19	(26) Communication systems-----						
20	(27) Signals and interlockers-----						
21	(29) Power plants-----						
22	(31) Power-transmission systems-----						
23	(35) Miscellaneous structures-----						
24	(37) Roadway machines-----						
25	(39) Public improvements--Construction-----						
26	(44) Shop machinery-----						
27	(45) Power-plant machinery-----						
28	All other road accounts-----						
29	Total road-----						
30	<b>EQUIPMENT</b>						
31	(52) Locomotives-----						
32	(53) Freight-train cars-----						
33	(54) Passenger-train cars-----			NONE			
34	(55) Highway revenue equipment-----						
35	(56) Floating equipment-----						
36	(57) Work equipment-----						
37	(58) Miscellaneous equipment-----						
38	Total equipment-----						
39	<b>GRAND TOTAL</b> -----						

1503. DEPRECIATION RESERVE—ROAD AND EQUIPMENT LEASED FROM OTHERS

1. Give full particulars called for hereunder with respect to credits and debits to account No. 785, "Accrued depreciation—Leased property," during the year relating to road and equipment leased from others, the depreciation charges for which are includable in operating expenses of the respondent.

2. Show in column (c) amounts which were charged to operating expenses, and in column (f) show payments made to the lessor in settlement thereof. A full explanation should be given of all entries in columns (d) and (f).

3. Any inconsistency between the credits to the reserve as shown in column (c) and the charges to operating expenses should be fully explained.

4. Show in column (e) the debits to the reserve arising from retirements.

5. If settlement for depreciation is made currently between lessee and lessor, and no debits or credits to account No. 785 are made by the accounting company, show in column (c) the charges to operating expenses and in column (f) show payments made to the lessor in settlement thereof.

Line No.	Account (a)	Balance at beginning of year (b)	CREDITS TO RESERVE DURING THE YEAR		DEBITS TO RESERVE DURING THE YEAR		Balance at close of year (g)
			Charges to operating expenses (c)	Other credits (d)	Retirements (e)	Other debits (f)	
1	<b>ROAD</b>						
2	(1) Engineering						
3	(2) Other right-of-way expenditures						
4	(3) Grading						
5	(5) Tunnels and subways						
6	(6) Bridges, trestles, and culverts						
7	(7) Elevated structures						
8	(13) Fences, snowsheds, and signs						
9	(16) Station and office buildings	9,251		384			9,635
10	(17) Roadway buildings						
11	(18) Water stations						
12	(19) Fuel stations						
13	(20) Shops and enginehouses						
14	(21) Grain elevators						
15	(22) Storage warehouses						
16	(23) Wharves and docks	4,470		180			4,650
17	(24) Coal and ore wharves						
18	(25) TOFC/COFC terminals						
19	(26) Communication systems						
20	(27) Signals and interlock						
21	(29) Power plants						
22	(31) Power-transmission systems						
23	(35) Miscellaneous structures						
24	(37) Roadway machines						
25	(39) Public improvements—Construction						
26	(44) Shop machinery*						
27	(45) Power-plant machinery*						
28	All other road accounts						
29	Total road	13,721	564				14,285
30	<b>EQUIPMENT</b>						
31	(52) Locomotives						
32	(53) Freight-train cars						
33	(54) Passenger-train cars						
34	(55) Highway revenue equipment				NONE		
35	(56) Floating equipment						
36	(57) Work equipment						
37	(58) Miscellaneous equipment						
38	TOTAL EQUIPMENT						
39	GRAND TOTAL	13,721	564				14,285

\*Chargeable to account 222.

1605. AMORTIZATION OF DEFENSE PROJECTS—ROAD AND EQUIPMENT OWNED AND LEASED FROM OTHERS

1. Show in columns (b) to (e) the amount of base of road and equipment property for which amortization reserve is provided in account No. 736, "Amortization of defense projects—Road and Equipment" of the respondent. If the amortization base is other than the ledger value stated in the investment account, a full explanation should be given.

2. Show in columns (f) to (i) the balance at the close of the year and all credits and debits during the year in reserve account No. 736, "Amortization of defense projects—Road and Equipment."

3. The information requested for "Road" by columns (b) through (i) may be shown by projects amounting to \$100,000

or more, or by single entries as "Total road" in line 28. If reported by projects, each project should be briefly described, stating kind, location, and authorization date and number. Projects amounting to less than \$100,000 should be combined in a single entry designated "Minor items, each less than \$100,000."

4. Any amounts included in columns (b) and (f), and in column (h) affecting operating expenses, should be fully explained.

Line No.	Description of property or account (a)	BASE											RESERVE												
		Debits during year (b)			Credits during year (c)			Adjustments (d)			Balance at close of year (e)			Credits during year (f)			Debits during year (g)			Adjustments (h)			Balance at close of year (i)		
		\$	XX	XX	\$	XX	XX	\$	XX	XX	\$	XX	XX	\$	XX	XX	\$	XX	XX	\$	XX	XX	\$	XX	XX
1	ROAD:																								
2																									
3																									
4																									
5																									
6																									
7																									
8																									
9																									
10																									
11																									
12																									
13																									
14																									
15																									
16																									
17																									
18																									
19																									
20																									
21																									
22																									
23																									
24																									
25																									
26																									
27																									
28	TOTAL ROAD																								
29	EQUIPMENT:																								
30	(52) Locomotives																								
31	(53) Freight-train cars																								
32	(54) Passenger-train cars																								
33	(55) Highway revenue equipment																								
34	(56) Floating equipment																								
35	(57) Work equipment																								
36	(58) Miscellaneous equipment																								
37	Total equipment																								
38	GRAND TOTAL																								

NONE

NONE

RAILROAD CORPORATIONS—OPERATING—C.

**1607. DEPRECIATION RESERVE—MISCELLANEOUS PHYSICAL PROPERTY**

Give particulars of the credits and debits during the year to account No. 738, "Accrued depreciation—Miscellaneous physical property," for each item or group of property for which depreciation was accrued; also the balances at the beginning of the year and at the close of the year in the reserve for each such item or group of property.

Show in column (f) the percentage or composite rate used by the respondent for computing the amount of depreciation credited to the account. Each item amounting to \$50,000 or more should be stated; items less than \$50,000 may be combined in a single entry designated "Minor items, each less than \$50,000."

Line No.	Item (Kind of property and location) (a)	Balance at beginning of year (b)		Credits during year (c)		Debits during year (d)		Balance at close of year (e)		Rates (percent) (f)	Base (g)
		\$		\$		\$		\$			
1	Minor items less than \$50,000		240		28		121		147	2.00	884
2											
3											
4											
5											
6											
7											
8											
9											
10											
11											
12											
13											
14											
15											
16	TOTAL		240		28		121		147		884

**1608. CAPITAL SURPLUS**

Give an analysis in the form called for below of capital surplus accounts. In column (a) give a brief description of the item added or deducted, and in column (b) insert the contra account number to which the amount stated in column (c), (d), or (e) was charged or credited.

Line No.	Item (a)	Contra account number (b)	ACCOUNT NO.		
			794. Premiums and assessments on capital stock (c)	795. Paid-in surplus (d)	796. Other capital surplus (e)
31	Balance at beginning of year	X X X			
32	Additions during the year (describe):				
33					
34	NONE				
35					
36					
37	Total additions during the year	X X X			
38	Deductions during the year (describe):				
39					
40					
41					
42	Total deductions	X X X			
43	Balance at close of year	X X X			

**1609. RETAINED INCOME—APPROPRIATED**

Give an analysis in the form called for below of account No. 797, "Retained income—Appropriated."

Line No.	Class of appropriation (a)	Credits during year (b)		Debits during year (c)		Balance at close of year (d)	
		\$		\$		\$	
61	Additions to property through retained income						
62	Funded debt retired through retained income						
63	Sinking fund reserves						
64	Miscellaneous fund reserves						
65	Retained income—Appropriated (not specifically invested)						
66	Other appropriations (specify):						
67							
68	NONE						
69							
70							
71							
72							
73							
74	TOTAL						

**1701. LOANS AND NOTES PAYABLE**

Give particulars of the various creditors and the character of the transactions involved in the current liability account No. 751, "Loans and notes payable."  
List every item in excess of \$100,000, giving the information indicated in the column headings.  
For creditors whose balances were severally less than \$100,000, a single

entry may be made under a caption "Minor accounts, each less than \$100,000."  
Entries in columns (g) and (h) should include interest accruals and interest payments on loans and notes payable retired during the year, even though no portion of the issue remained outstanding at the close of the year.

Line No.	Name of creditor (a)	Character of liability or of transaction (b)	Date of issue (c)	Date of maturity (d)	Rate of interest (e)	Balance at close of year (f)	Interest accrued during year (g)	Interest paid during year (h)
1		NONE			%	\$	\$	\$
2								
3								
4								
5								
6								
7								
8								
9								
TOTAL								

**1702. DEBT IN DEFAULT**

Give particulars for amounts included in Balance Sheet Account No. 768, "Debt in default," giving particulars for each security outstanding even though the amount be less than \$100,000.  
Entries in columns (g) and (h) should include interest accruals and

interest payments on matured funded debt retired during the year, even though no portion of the debt remained outstanding at the close of the year.

Line No.	Name of security (a)	Reason for nonpayment at maturity (b)	Date of issue (c)	Date of maturity (d)	Rate of interest (e)	Total par value actually outstanding at close of year (f)	Interest accrued during year (g)	Interest paid during year (h)
21		NONE			%	\$	\$	\$
22								
23								
24								
25								
26								
TOTAL								

**1703. OTHER DEFERRED CHARGES**

Give an analysis of the above-entitled account as of the close of the year, showing in detail each item or subaccount amounting to \$100,000 or more. Items less than \$100,000 may be combined into a single entry

designated "Minor items, each less than \$100,000." In case the character of any item is not fully disclosed by the entries in the columns hereunder, make a full explanation in a footnote.

Line No.	Description and character of item or subaccount (a)	Amount at close of year (b)
41	Minor items, each less than \$100,000	\$ 1,837
42		
43		
44		
45		
46		
47		
48		
49		
50		
TOTAL		1,837

**1704. OTHER DEFERRED CREDITS**

Give an analysis of the above-entitled account as of the close of the year, showing in detail each item or subaccount amounting to \$100,000 or more. Items less than \$100,000 may be combined into a single entry

designated "Minor items, each less than \$100,000." In case the character of any item is not fully disclosed by the entries in the columns hereunder, make a full explanation in a footnote.

Line No.	Description and character of item or subaccount (a)	Amount at close of year (b)
61	Minor items, each less than \$100,000	\$ 24,325
62		
63		
64		
65		
66		
67		
68		
69		
TOTAL		24,325

1301. INCOME ACCOUNT FOR THE YEAR

1. Give the Income Account of the respondent for the year in accordance with the rules prescribed in the Uniform System of Accounts for Railroad Companies. All contra entries hereunder should be indicated in parentheses.

2. Any unusual accruals involving substantial amounts included in columns (b) and (d) on lines 9 to 63, inclusive, should be fully explained in a footnote.

3. Give the particulars called for on lines 71 to 92, inclusive, with respect to net accruals of taxes on railway property and U.S. Government taxes. Substantial adjustments included in the amounts reported should be explained.

4. On page 21A show an analysis and distribution of Federal income taxes.

Line No.	Item (a)	Amount applicable to the year (b)			Line No.	Item (e)	Amount applicable to the year (d)		
		\$					\$		
1	<b>ORDINARY ITEMS</b>				51	<b>FIXED CHARGES</b>			
2	<b>RAILWAY OPERATING INCOME</b>				52	(547) Rent for leased roads and equipment (p. 27)			
3	(501) Railway operating revenues (p. 23)			165,539	53	(546) Interest on funded debt:			
4	(521) Railway operating expenses (p. 24)			150,635	54	(a) Fixed interest not in default			
5	Net revenue from railway operations			14,904	55	(b) Interest in default			
6	(522) Railway tax accruals			28,648	56	(547) Interest on unfunded debt			
7	Railway operating income			(13,744)	57	(548) Amortization of discount on funded debt			
8	<b>RENT INCOME</b>				58	Total fixed charges			
9	(503) Hire of freight cars and highway revenue freight equipment - Credit balance				59	Income after fixed charges (lines 50, 56)			10,627
10	(504) Rent from locomotives				60	<b>OTHER DEDUCTIONS</b>			
11	(506) Rent from passenger-train cars				61	(546) Interest on funded debt:			
12	(506) Rent from floating equipment				62	(c) Contingent interest			
13	(507) Rent from work equipment				63	Ordinary income (lines 59, 62)			10,627
14	(508) Joint facility rent income								
15	Total rent income				64	<b>EXTRAORDINARY AND PRIOR PERIOD ITEMS</b>			
16	<b>RENTS PAYABLE</b>				65	(579) Extraordinary items - Net Cr. (Dr.) (p. 21B)			
17	(536) Hire of freight cars and highway revenue freight equipment - Debit balance			6,732	66	(580) Prior period items - Net Cr. (Dr.) (p. 21B)			
18	(537) Rent for locomotives				67	(590) Federal income taxes on extraordinary and prior period items - Debit (Credit) (p. 21B)			
19	(538) Rent for passenger-train cars				68	Total extraordinary and prior period items - Cr. (Dr.)			
20	(539) Rent for floating equipment				69	Net income transferred to Retained Income			10,627
21	(540) Rent for work equipment					Unappropriated			
22	(541) Joint facility rents			6,732					
23	Total rents payable			(6,732)	70	<b>ANALYSIS OF ACCOUNT 522, RAILWAY TAX ACCRUALS</b>			
24	Net rents (lines 15, 23)			(6,732)	71	United States Government taxes:			
25	Net railway operating income (lines 7, 24)			(20,476)	72	Income taxes			
26	<b>OTHER INCOME</b>				73	Old age retirement			1,593
27	(502) Revenue from miscellaneous operations (p. 24)				74	Unemployment insurance			410
28	(509) Income from lease of road and equipment (p. 27)				75	All other United States taxes			
29	(510) Miscellaneous rent income (p. 25)			20,097	76	Total - U.S. Government taxes			2,003
30	(511) Income from nonoperating property (p. 26)			14,577	77	Other than U.S. Government taxes:			
31	(512) Separately operated properties - Profit				78	California:			
32	(513) Dividend income				79	Ad valorem tax			25,663
33	(514) Interest income				80	Franchise tax			368
34	(516) Income from sinking and other reserve funds			21	81	Other state and local			114
35	(517) Release of premiums on funded debt				82				
36	(518) Contributions from other companies (p. 27)				83				
37	(519) Miscellaneous income (p. 28)			6,273	84				
38	Total other income			40,968	85				
39	Total income (lines 25, 38)			20,492	86				
40	<b>MISCELLANEOUS DEDUCTIONS FROM INCOME</b>				87				
41	(534) Expenses of miscellaneous operations (p. 24)				88				
42	(535) Taxes on miscellaneous operating property (p. 24)				89				
43	(543) Miscellaneous rents (p. 25)			4,620	90				
44	(544) Miscellaneous tax accruals			3,522	91	Total - Other than U.S. Government taxes			26,645
45	(545) Separately operated properties - Loss				92	Grand Total - Railway tax accruals (account 522)			28,648
46	(549) Miscellaneous investment organization								
47	(550) Income transferred to other companies (p. 27)			1,723					
48	(551) Miscellaneous income charges (p. 28)			9,865					
49	Total miscellaneous deductions			10,627					
50	Income available for fixed charges (lines 39, 49)								

\*Enter name of State.

NOTE - See page 21B for explanatory notes, which are an integral part of the Income Account for the Year.

1501. INCOME ACCOUNT FOR THE YEAR -- Continued

ANALYSIS OF FEDERAL INCOME TAXES

Line No.	Item (a)	Amount (b)	
101	Provision for income taxes based on taxable net income recorded in the accounts for the year .....	\$	(952)
102	Net decrease (or increase) in tax because of computing book depreciation under Commission rules and computing tax depreciation using the items listed below .....	\$	(952)
	-Accelerated depreciation under section 167 of the Internal Revenue Code.		
	-Guideline lives pursuant to Revenue Procedure 62-21.		
	-Guideline lives under Class Life System (Asset Depreciation Range) as provided in the Revenue Act of 1971.		
103	Net increase (or decrease) because of accelerated amortization of facilities under section 168 of the Internal Revenue Code for tax purposes and different basis used for book depreciation .....	\$	
104	(a) Indicate method elected by carrier, as provided in the Revenue Act of 1971, to account for the investment tax credit.		
	Flow-through..... Deferral.....		
	(b) If flow-through method was elected, indicate net decrease (or increase) in tax accrual because of investment tax credit .....	\$	
	(c) If deferral method was elected, indicate amount of investment tax credit utilized as a reduction of tax liability for current year .....	\$	
	Deduct amount of current year's investment tax credit applied to reduction of tax liability but deferred for accounting purposes .....	\$	
	Balance of current year's investment tax credit used to reduce current year's tax accrual .....	\$	
	Add amount of prior years' deferred investment tax credits being amortized and used to reduce current year's tax accrual .....	\$	
	Total decrease in current year's tax accrual resulting from use of investment tax credits .....	\$	
105	Net decrease (or increase) because of accelerated amortization of certain rolling stock under section 184 of the Internal Revenue Code and basis use for book depreciation .....	\$	
106	Net decrease (or increase) because of amortization of certain right-of-way investment under section 185 of the Internal Revenue Code .....	\$	
	Tax consequences, material in amount, of other unusual and significant items excluded from the income recorded in the accounts for the year or where tax consequences are disproportionate to related amounts recorded in income accounts: (Describe)		
107	.....		
108	.....		
109	.....		
110	.....		
111	.....		
112	.....		
113	.....		
114	.....		
115	.....		
116	.....		
117	Net applicable to the current year .....		
118	Adjustments applicable to previous years (net debit or credit), except carry-backs and carry-overs .....		
119	Adjustments for carry-backs .....		
120	Adjustments for carry-overs .....		
121	Total .....		
	Distribution:		
122	Account 532 .....		
123	Account 590 .....		
124	Other (Specify) .....		
125	.....		
126	Total .....		

NOTE: The amount shown on line 72 should equal line 122; the amount shown on line 121 should equal line 126.

## INCOME ACCOUNT FOR THE YEAR—EXPLANATORY NOTES

The space below is provided for the purpose of disclosing additional information concerning items of income for the current year. Each carrier shall give the particulars of items herein. Enter in separate notes with suitable explanation, amounts included in income accounts in connection with any unusual and material accrual or changeover in accounting practice; and other matters of the character commonly disclosed in financial statements under generally accepted accounting and reporting principles. Minor items which have no consequential effect on net income for the year need not be reported. If carrier

has nothing to report, insert the word "None." The tax consequences of use of accelerated depreciation and tax guideline service lives, the investment tax credit, as well as other unusual and significant tax items and matters, are to be disclosed in the section below Schedule 1801, "Income account for the year," pertaining to the analysis of Federal income taxes. However, the explanation of items included in accounts 570, "Extraordinary items"; 580, "Prior period items"; and 590 "Federal income taxes on extraordinary and prior period items" are to be disclosed below.

N O N E

## 1901. RETAINED INCOME—UNAPPROPRIATED

1. Show hereunder the items of the Retained Income Accounts of the respondent for the year, classified in accordance with the Uniform System of Accounts for Railroad Companies.

2. All contra entries hereunder should be indicated in parentheses.  
3. Indicate under "Remarks" the amount of assigned Federal income tax consequences, accounts 606 and 616.

Line No.	Item (a)	Amount (b)		Remarks (c)
	<b>CREDITS</b>	\$	10 627	
1	(602) Credit balance transferred from Income (p. 21)-----			
2	(606) Other credits to retained income!-----			Net of Federal income taxes \$-----
3	(622) Appropriations released-----			
4	Total-----		10 627	
	<b>DEBITS</b>			
5	(612) Debit balance transferred from Income (p. 21)-----			
6	(616) Other debits to retained income!-----			Net of Federal income taxes \$-----
7	(620) Appropriations for sinking and other reserve funds-----			
8	(621) Appropriations for other purposes-----			
9	(623) Dividends (p. 23)-----			
10	Total-----			
11	Net increase during year*-----		10 627	
12	Balance at beginning of year (p. 5)*-----	(1 513 161)		
13	Balance at end of year (carried to p. 5)*-----	(1 502 534)		

\* Amount in parentheses indicates debit balance.

† Show principal items in detail.

**1992. DIVIDEND APPROPRIATIONS**

1. Give particulars of each dividend declared. For par value or nonpar stock, show in column (d) the respective total par value or total number of shares on which dividend was declared and the corresponding rate percent or per share in column (b) or (c). If any such dividend was payable in anything other than cash, explain the matter fully in a footnote. 2. If any obligation of any character has been incurred for the purpose of procuring funds for the payment of any dividend or for the purpose of replenishing the treasury of the respondent after payment of any dividend, give full particulars in a footnote. If any class of stock received a return not reportable in this schedule, state the particulars of the case in a footnote. 3. The sum of the dividends stated in column (e) should equal the amount shown in schedule No. 1901.

Line No.	Name of security on which dividend was declared (a)	Rate percent (par value stock) or rate per share (nonpar stock)		Total par value of stock or total number of shares of nonpar stock on which dividend was declared (d)	Dividends (account 620) (e)		DATES (f)	
		Regular (b)	Extra (c)		Declared (f)	Payable (g)		
31	NONE			\$				
32								
33								
34								
35								
36								
37								
38								
39								
40								
41								
42								
43				Total				

**2001. RAILWAY OPERATING REVENUES**

1. State the railway operating revenues of the respondent for the year, classified in accordance with the Uniform System of Accounts for Railroad Companies. The proportion of joint traffic receipts belonging to other carriers should not be included. 2. Any unusual accruals involving substantial amounts included in columns (b) and (d) should be fully explained in a footnote.

Line No.	Class of railway operating revenue (a)	Amount of revenue for the year* (b)		Class of railway operating revenue (c)	Amount of revenue for the year (d)	
		\$			\$	
	<b>TRANSPORTATION--RAIL LINE</b>	\$		<b>INCIDENTAL</b>	\$	
1	(101) Freight*	161	735	(131) Dining and buffet		
2	(102) Passenger*			(132) Hotel and restaurant		
3	(103) Baggage			(133) Station, train, and boat privileges		
4	(104) Sleeping car			(135) Storage--Freight		
5	(105) Parlor and chair car			(137) Demurrage	3	285
6	(106) Mail			(138) Communication		
7	(107) Express			(139) Grain elevator		
8	(108) Other passenger-train			(141) Power		
9	(109) Milk		422	(142) Rents of buildings and other property		95
10	(110) Switching*			(143) Miscellaneous		
11	(113) Water transfers			Total incidental operating revenue	3	381
12	Total rail-line transportation revenue	162	158	<b>JOINT FACILITY</b>		
13				(151) Joint facility--Cr		
14				(152) Joint facility--Dr		
15				Total joint facility operating revenue		
16				Total railway operating revenues	165	539

\*Report hereunder the charges to these accounts representing payments made to others as follows:

1. For terminal collection and delivery services when performed in connection with line-haul transportation of freight on the basis of freight tariff rates \$ 1,080

2. For switching services when performed in connection with line-haul transportation of freight on the basis of switching tariffs and allowances out of freight rates, including the switching of empty cars in connection with a revenue movement \$ 24

3. For substitute highway motor service in lieu of line-haul rail service performed under joint tariffs published by rail carriers (does not include traffic moved on joint rail-motor rates):

(a) Payments for transportation of persons \$ NONE

(b) Payments for transportation of freight shipments \$ 368

2002. RAILWAY OPERATING EXPENSES

1. State the railway operating expenses of the respondent for the year, classifying them in accordance with the Uniform System of Accounts for Railroad Companies.
2. Any unusual accruals involving substantial amounts included in columns (b) and (d) should be fully explained in a footnote.

Line No.	Name of railway operating expense account (a)	Amount of operating expenses for the year (b)			Name of railway operating expense account (c)	Amount of operating expenses for the year (d)		
		\$	XX	XX		\$	XX	XX
	<b>MAINTENANCE OF WAY AND STRUCTURES</b>				<b>TRANSPORTATION—RAIL LINE</b>			
1	(2201) Superintendence.....				(2241) Superintendence and dispatching.....			
2	(2202) Roadway maintenance.....		49	068	(2242) Station service.....		9	433
3	(2203) Maintaining structures.....			512	(2243) Yard employees.....			
4	(2203½) Retirements—road.....		2	116	(2244) Yard switching fuel.....			
5	(2204) Dismantling retired road property.....			428	(2245) Miscellaneous yard expenses.....			
6	(2208) Road property—Depreciation.....			9 091	(2246) Operating joint yards and terminals—Dr.....			
7	(2209) Other maintenance of way expenses.....				(2247) Operating joint yards and terminals—Cr.....			
8	(2210) Maintaining joint tracks, yards, and other facilities—Dr.....				(2248) Train employees.....		44	090
9	(2211) Maintaining joint tracks, yards, and other facilities—Cr.....				(2249) Train fuel.....	*	(1	200)
10	Total maintenance of way and structures.....		61	215	(2251) Other train expenses.....	*	(800)	
	<b>MAINTENANCE OF EQUIPMENT</b>				(2252) Injuries to persons.....	*	(13)	
12	(2221) Superintendence.....				(2253) Loss and damage.....			97
13	(2222) Repairs to shop and power-plant machinery.....				(2254) Other casualty expenses.....			451
14	(2223) Shop and power-plant machinery—Depreciation.....				(2255) Other rail and highway transportation expenses.....	*	(843)	
15	(2224) Dismantling retired shop and power-plant machinery.....				(2256) Operating joint tracks and facilities—Dr.....			
16	(2225) Locomotive repairs.....	*	(2	000)	(2257) Operating joint tracks and facilities—Cr.....			
17	(2226) Car and highway revenue equipment repairs.....				Total transportation—Rail line.....		51	125
18	(2227) Other equipment repairs.....				<b>MISCELLANEOUS OPERATIONS</b>			
19	(2228) Dismantling retired equipment.....				(2258) Miscellaneous operations.....			
20	(2229) Retirements—Equipment.....				(2259) Operating joint miscellaneous facilities—Dr.....			
21	(2234) Equipment—Depreciation.....			35	(2260) Operating joint miscellaneous facilities—Cr.....			
22	(2235) Other equipment expenses.....				<b>GENERAL</b>			
23	(2236) Joint maintenance of equipment expenses—Dr.....				(2261) Administration.....		26	332
24	(2237) Joint maintenance of equipment expenses—Cr.....				(2262) Insurance.....			
25	Total maintenance of equipment.....		(1	965)	(2264) Other general expenses.....		2	337
	<b>TRAFFIC</b>				(2265) General joint facilities—Dr.....			
27	(2240) Traffic expenses.....		11	591	(2266) General joint facilities—Cr.....			
28					Total general expenses.....		28	659
29					<b>GRAND TOTAL RAILWAY OPERATING EXPENSES.....</b>		150	635

\* Adjustment of prior years charges.  
 Operating ratio (ratio of operating expenses to operating revenues), 91.00 percent. (Two decimal places required.)

2003. MISCELLANEOUS PHYSICAL PROPERTIES OPERATED DURING THE YEAR

Give particulars of each class of miscellaneous physical property or plant operated during the year. Group the properties under the heads of the classes of operations to which they are devoted.

In column (a) give the designation used in the respondent's records and the name of the town or city and State in which the property or plant is located, stating whether the respondent's title is that of ownership or whether the property is held under lease or other

incomplete title. All peculiarities of title should be explained in a footnote.

The totals of columns (b), (c), and (d) should agree with the totals of accounts Nos. 502, "Revenue from miscellaneous operations," 534, "Expenses of miscellaneous operations," and 535, "Taxes on miscellaneous operating property" in respondent's Income Account for the Year. If not, differences should be explained in a footnote.

Line No.	Designation and location of property or plant, character of business, and title under which held (a)	Total revenue during the year (Acct. 502) (b)		Total expenses during the year (Acct. 534) (c)		Total taxes applicable to the year (Acct. 535) (d)	
		\$		\$		\$	
33	NONE						
35							
37							
38							
39							
40							
41							
42							
43							
44							
45							
46	TOTAL						

2101. MISCELLANEOUS RENT INCOME					
Line No.	DESCRIPTION OF PROPERTY		Name of lessee (c)	Amount of rent (d)	
	Name (a)	Location (b)			
1	Minor items, each less than \$2,500			\$	20 097
2					
3					
4					
5					
6					
7					
8					
9				TOTAL	20 097

2102. MISCELLANEOUS INCOME						
Line No.	Source and character of receipt (a)	Gross receipts (b)		Expenses and other deductions (c)		Net miscellaneous income (d)
21	Minor items, each less than \$1,000	\$	1 810	\$		\$ 1 810
22	Sale of 0.0462 acres at Oakland, Ca. to U.S. Post Office		1 014			1 014
23	Sale of 0.0677 acres at Petaluma, Ca. to City of					
24	Petaluma		3 449			3 449
25						
26						
27						
28						
29						
30		TOTAL	6 273			6 273

2103. MISCELLANEOUS RENTS					
Line No.	DESCRIPTION OF PROPERTY		Name of lessor (c)	Amount charged to lessee (d)	
	Name (a)	Location (b)			
31	Minor items, each less than \$1,000			\$	4 620
32					
33					
34					
35					
36					
37					
38					
39				TOTAL	4 620

2104. MISCELLANEOUS INCOME CHARGES			
Line No.	Description and purpose of deduction from gross income (a)	Amount (b)	
41	Retirement of building	\$	1 127
42	Minor items, each less than \$1,000		595
43			
44			
45			
46			
47			
48			
49			
50		TOTAL	1 722

2201. INCOME FROM NONOPERATING PROPERTY

Line No.	Designation (a)	Revenues or income (b)		Expenses (c)		Net income or loss (d)		Taxes (e)	
		\$		\$		\$		\$	
1	Crop sales proceeds - Southern Pacific Transportation Co.		14 100				14 100		
2	Minor items, each less than \$1,000		505		28		477		
3	Taxes on nonoperating property								3 522
7	TOTAL		14 605		28		14 577		3 522

2202. MILEAGE OPERATED (ALL TRACKS)†

Give particulars called for concerning all tracks operated by respondent at the close of the year. Way switching tracks include station, team, industry, and other switching tracks for which no separate switching service is maintained. Yard switching tracks include classification, house, team, industry, and other tracks switched by yard locomotives in yards where separate switching services are maintained. Tracks belonging to an industry for which no rent is payable should not be reported. Switching and Terminal Companies report on Line 26 only.

2203. MILEAGE OPERATED—BY STATES

Line Haul Railways show single track only. Switching and Terminal Companies show all tracks.

Line No.	Line in use (a)	Owned (b)		Leased (d)	Operated under contract (e)	Operated under track-age rights (f)	Total operated (g)	State (h)	Owned (i)		Leased (k)	Operated under contract (l)	Operated under track-age rights (m)	Total operated (n)
21	Single or first main track	27	47 8			17 38 744 85 5			27	47 8			17 38 744 85 5	
22	Second and additional main tracks					00 - 09 -								
23	Passing tracks, cross-overs, and turn-outs					1 85 25 51 5								
24	Way switching tracks	3	66 3											
25	Yard switching tracks													
26	TOTAL	31	13 1			19 32 950 45 0			TOTAL	27	47		17 38 44 85	

2215. Show, by States, mileage of tracks owned but not operated by respondent: First main track, None; second and additional main tracks, None; industrial tracks, None; yard track and sidings, None; total, all tracks, None†

2216. Road is completed from (Line Haul Railways only)\* Petaluma to Sagu-Sebastopol to Santa Rosa-West Petaluma Br. Total distance, 27.47 miles

2217. Road located at (Switching and Terminal Companies only)\* N.A.

2218. Gage of track 4 ft. 8 1/2 in. 2219. Weight of rail 70, 75, 80, 90, 110 lb. per yard.

2220. Kind and number per mile of cross-ties 1800 Creos., 1076 untreated (Average)

2221. State number of miles electrified: First main track, None; second and additional main tracks, None; passing tracks, cross-overs, and turn-outs, None; way switching tracks, None; yard switching tracks, None

2222. Ties applied in replacement during year: Number of cross-ties, 2370; average cost per tie, \$ 5.60; number of feet (B. M.) of switch and bridge ties, None; average cost per M feet (B. M.), \$ None

2223. Rail applied in replacement during year: Tons (2,000 pounds), 2.31; weight per yard, 70; average cost per ton, \$ 30.00

\*Insert names of places.

†Mileage should be stated to the nearest hundredth of a mile.

EXPLANATORY REMARKS

**2301. RENTS RECEIVABLE**  
**INCOME FROM LEASE OF ROAD AND EQUIPMENT**

Line No.	Road leased (a)	Location (b)	Name of lessee (c)	Amount of rent during year (d)	
				\$	
1	NONE				
2					
3					
4					
5					
				TOTAL	

**2302. RENTS PAYABLE**  
**RENT FOR LEASED ROAD AND EQUIPMENT**

Line No.	Road leased (a)	Location (b)	Name of lessee (c)	Amount of rent during year (d)	
				\$	
11	NONE				
12					
13					
14					
15					
				TOTAL	

**2303. CONTRIBUTIONS FROM OTHER COMPANIES**

**2304. INCOME TRANSFERRED TO OTHER COMPANIES**

Line No.	Name of contributor (a)	Amount during year (b)		Name of transferee (c)	Amount during year (d)	
		\$			\$	
21	NONE			NONE		
22						
23						
24						
25						
		TOTAL			TOTAL	

**2305.** Describe fully all liens upon any of the property of the respondent at the close of the year, and all mortgages, deeds of trust, and other instruments whereby such liens were created. Describe also all property subject to the said several liens. This inquiry covers judgment liens, mechanics' liens, etc., as well as liens based on contract. If there were no liens of any character upon any of the property of the respondent at the close of the year, state that fact.

There were no liens of any character on any of the property of the respondent at the close of the year.

**2401. EMPLOYEES, SERVICE, AND COMPENSATION**

1. Give particulars of the average number of employees of various classes in the service of the respondent, of service rendered by such employees, and of compensation paid therefor during the year. Employees are to be counted and classified and their service and compensation reported in accordance with the Commission's Rules Governing the Classification of Railroad Employees and Reports of their Service and Compensation, effective January 1, 1951.
2. Averages called for in column (b) should be the average of twelve middle-of-month counts.
3. Pensioners rendering no service are not to be included in the count, nor is any compensation paid them to be included hereunder.

4. If any of the general officers served without compensation or were carried on the payrolls of another company, those facts should be stated in a footnote.
5. If any compensation was paid or is payable under labor awards of the current year, include the amount applicable to the current year in column (d) and show the portion applicable to prior years (back pay) in a footnote, by groups of employees. For purposes of this report, labor awards are intended to cover adjustments resulting from the decisions of Wage Boards and voluntary awards by the respondent incident thereto.
6. This schedule does not include old-age retirement, and unemployment insurance taxes.

Line No.	Class of employees (a)	Average number of employees (b)	Total service hours (c)	Total compensation (d)	Remarks (e)
1	TOTAL (executives, officials, and staff assistants)	*			* Officers were compensated by
2	TOTAL (professional, clerical, and general)				Southern Pacific Transportation
3	TOTAL (maintenance of way and structures)				Company
4	TOTAL (maintenance of equipment and stores)				Ø Employees were compensated by
5	TOTAL (transportation—other than train, engine, and yard)				Southern Pacific Transportation
6	TOTAL (transportation—yardmasters, switch tenders, and hostlers)				Company
7	TOTAL, ALL GROUPS (except train and engine)				
8	TOTAL (transportation—train and engine)	Ø			
9	GRAND TOTAL				

Amount of foregoing compensation (excluding back pay for prior years) that is chargeable to account No. 531, "Railway operating expenses": \$ NCNE

**2402. CONSUMPTION OF FUEL BY MOTIVE-POWER UNITS**

1. Show hereunder the quantities of the various kinds of fuel consumed by locomotives and motor or other self-propelled rail cars in the service of respondent during the year, and the number of kilowatt-hours for such tractive equipment as was propelled by electricity.

2. The ton of 2,000 pounds should be used.

Line No.	Kind of service (a)	A. LOCOMOTIVES (STEAM, ELECTRIC, AND OTHER)				B. RAIL MOTOR CARS (DIESEL, ELECTRIC, ETC.)			
		Diesel oil (gallons) (b)	Gasoline (gallons) (c)	Electricity (kilowatt-hours) (d)	STEAM		Electricity (kilowatt-hours) (g)	Gasoline (gallons) (h)	Diesel oil (gallons) (i)
					Coal (tons) (e)	Fuel oil (gallons) (f)			
31	Freight	This Company contracts with Northwestern Pacific Railroad Company to perform its							
32	Passenger	train service at a rate which includes all expenses of train operation including							
33	Yard switching	fuel.							
34	TOTAL TRANSPORTATION								
35	Work train								
36	GRAND TOTAL								
37	TOTAL COST OF FUEL*				XXXX			XXXX	

\*Show cost of fuel charged to yard and train service (accounts Nos. 224 and 226). The cost stated for the various kinds of fuel should be the total charges in the accounts specified, including freight charges and handling expenses. The cost stated for electric current should be the total charges in the accounts enumerated. Fuel and power consumed by mixed and special trains that are predominantly freight should be included in freight service, but where the service of mixed or special trains is predominantly passenger, the fuel and power used should be included in passenger service.

**2501. COMPENSATION OF OFFICERS, DIRECTORS, ETC.**

Give the name, position, salary, and other compensation, such as bonus, commission, gift, reward, or fee, of each of the five persons named in Sections 5 and 6 of Schedule 300 of this report to whom the respondent paid the largest amount during the year covered by this report as compensation for current or past service over and above necessary expenses incurred in discharge of duties, and in addition, all other officers, directors, pensioners, or employees, if any, to whom the respondent similarly paid \$20,000 or more. If more convenient, this schedule may be filled out for a group of companies considered as one system and shown only in the report of the principal company in the system, with references thereto in the reports of the other companies. Any large "other compensatory"

should be explained in a footnote. If salary of an individual was changed during the year, show salary before each change as well as at close of year. If an officer, director, etc., receives compensation from more than one transportation company (whether a subsidiary or not) or from a subsidiary company, reference to this fact should be made if his aggregate compensation amounts to \$20,000 or more and the detail as to division of the salary should be stated. By salary (column (c)) is meant the annual rate at which an employee is paid, rather than the amount actually paid for a part of a year when the salary is changed. Also when a 10 percent (or other percent) reduction is made, the net rate and not the basic rate should be shown.

Line No.	Name of person (a)	Title (b)	Salary per annum as of close of year (see instructions) (c)		Other compensation during the year (d)		
			\$		\$		
1	D. K. McNear	President					
2	B. D. Spence	Vice President					
3	C. E. Eagan	Vice President & Secretary					
4	C. A. Peter	Treasurer					
5	H. A. Nelson	Auditor					
6	Officers were compensated by Southern Pacific Transportation Company.						
7	Other officers, Directors, Pensioners or employees to whom respondent paid \$20,000 or more.						
8	NOTHING TO REPORT						
9	Other General Officers & Directors listed in sections 5 & 6 of Schedule 300 were compensated by Southern Pacific Transportation Company and received no compensation from the respondent.						
10							
11							
12							
13							
14							
15							

**2502. PAYMENTS FOR SERVICES RENDERED BY OTHER THAN EMPLOYEES**

In the form below give information concerning payments, fees, retainers, commissions, gifts, contributions, assessments, bonuses, pensions, subscriptions, allowances for expenses, or any form of payments amounting in the aggregate of \$20,000 or more during the year to any corporation, institution, association, firm, partnership, committee, or any person (other than one of respondent's employees covered in schedule 2501 in this annual report) for services or as a donation, except that with respect to contributions under \$20,000 which are made in common with other carriers under a joint arrangement in payment for the performance of services or as a donation, each such contribution shall be reported, irrespectively of the amount thereof, if the total amount paid by all contributors for the performance of the particular service, is equal to the sum of \$20,000 or more.

To be included are, among others, payments, directly or indirectly, for legal, medical, engineering, advertising, valuation, accounting, statistical, financial, educational, entertainment, charitable, advisory, defensive, detective, developmental, research, appraisal, registration, purchasing, architectural, and hospital services; payments for expert testimony and for handling wage disputes; and payments for services of banks, bankers, trust companies, insurance companies, brokers, trustees, promoters, solicitors, consultants, actuaries, investigators, inspectors, and efficiency engineers. Payments to the various railway associations, commissions,

committees, bureaus, boards, and other organizations maintained jointly by railways shall also be included. The enumeration of these kinds of payments should not be understood as excluding other payments for services not excluded below.

To be excluded are: Rent of buildings or other property, taxes payable to the Federal, State, or local Governments, payments for heat, light, power, telegraph, and telephone services, and payments to other carriers on the basis of lawful tariff charges or for the interchange of equipment between carriers, as well as other payments for services which both as to their nature and amount may reasonably be regarded as ordinarily connected with the routine operation, maintenance, or construction of a railroad, but any special and unusual payments for services should be reported. Payments of \$20,000 or more to organizations maintained jointly by railways with other railways are not to be excluded even if their services are regarded as routine.

If more convenient, this schedule may be filled out for a group of roads considered as one system and shown only in the report of the principal road in the system, with references thereto in the reports of the other roads.

If any doubt exists in the mind of the reporting officer as to the reportability of any type of payment, request should be made for a ruling before filing this report.

Line No.	Name of recipient (a)	Nature of service (b)	Amount of payment (c)	
			\$	
31	Executive Committee - Western			
32	Railroad Association	Prorata of Expenses of Association		964
33	Pacific Southcoast Freight			
34	Bureau	Prorata of Expenses of Bureau		2,919
35	Transcontinental Freight Bureau	Prorata of Expenses of Bureau and Tariffs		249
36				
37				
38				
39				
40				
41				
42				
43				
44				
45				
46				
47				
48				
49				
50				
51				
52				
53				
54				
55				
56				
57				
58				
59				
60				
			TOTAL	4,132

## 2691. STATISTICS OF RAIL-LINE OPERATIONS. [For Road Haul Traffic Only]

Give the various statistical items called for concerning the rail-line operations of respondent's road during the year. Motor car and trailer miles, if any, should be included. Highway traffic to be excluded. Locomotive unit-miles should include all miles made by each locomotive unit. Use 150 pounds as the average weight per passenger and four tons as the average weight of contents of each head-end car.

Item No.	Item (a)	Freight trains (b)		Passenger trains (c)		Total transportation (d)		Work trains (e)		
1	Average mileage of road operated (whole number required).....		45				45	x	x	x
	TRAIN-MILES									
2	Total (with locomotives).....		4 724				4 724			
3	Total (with motorcars).....									
4	TOTAL TRAIN-MILES.....		4 724				4 724			
	LOCOMOTIVE UNIT-MILES									
5	Road service.....		4 724				4 724	x	x	x
6	Train switching.....		5 676				5 676	x	x	x
7	Yard switching.....							x	x	x
8	TOTAL LOCOMOTIVE UNIT-MILES.....		10 400				10 400	x	x	x
	CAR-MILES									
9	Loaded freight cars.....		3 668				3 668	x	x	x
10	Empty freight cars.....		3 372				3 372	x	x	x
11	Caboose.....		5 959				5 959	x	x	x
12	TOTAL FREIGHT CAR-MILES.....		12 999				12 999	x	x	x
13	Passenger coaches.....							x	x	x
14	Combination passenger cars (mail, express, or baggage, etc., with passenger).....							x	x	x
15	Sleeping and parlor cars.....							x	x	x
16	Dining, grill and tavern cars.....							x	x	x
17	Head-end cars.....							x	x	x
18	TOTAL (lines 13, 14, 15, 16 and 17).....							x	x	x
19	Business cars.....							x	x	x
20	Crew cars (other than cabooses).....							x	x	x
21	GRAND TOTAL CAR-MILES (lines 12, 18, 19 and 20).....		12 999				12 999	x	x	x
	REVENUE AND NONREVENUE FREIGHT TRAFFIC									
22	Tons—Revenue freight.....						67 859	x	x	x
23	Tons—Nonrevenue freight.....							x	x	x
24	TOTAL TONS—REVENUE AND NONREVENUE FREIGHT.....						67 859	x	x	x
25	Ton-miles—Revenue freight.....						128 380	x	x	x
26	Ton-miles—Nonrevenue freight.....							x	x	x
27	TOTAL TON-MILES—REVENUE AND NONREVENUE FREIGHT.....						128 380	x	x	x
	REVENUE PASSENGER TRAFFIC									
28	Passengers carried—Revenue.....							x	x	x
29	Passenger-miles—Revenue.....							x	x	x

NOTES AND REMARKS

**2602. REVENUE FREIGHT CARRIED DURING THE YEAR. (For Road Haul Traffic Only)**

1. Give the particulars called for concerning the commodities carried by the respondent during the year, the revenue from which is includable in account No. 101, *Freight*, on the basis of the 2-digit codes named in 49 C.F.R. 123.52, by order of September 13, 1963. In stating the number of tons received from connecting carriers (c), include all connecting carriers, whether rail or water and whether the freight is received directly or indirectly (as through elevators).

2. Under Order of December 16, 1964, traffic involving less than three shippers reportable in any one commodity class may be excluded from this schedule, but must be submitted unbound in a separate schedule supplemental to this one and marked *Supplemental*. Extra copies of Schedule 2602 may be obtained upon request to the Interstate Commerce Commission, Bureau of Accounts, Washington, D.C., 20423. If a supplemental schedule is filed, check the space provided at the bottom of this schedule. Supplemental reports will be withheld from public inspection.

3. Particulars for Codes 01 to 46 inclusive, should include all traffic moved in lots of 10,000 pounds or more. Forwarder traffic includes freight traffic shipped by or consigned to any forwarder holding a permit under Part IV of the Interstate Commerce Act. Code 47 should include all traffic moved in lots of less than 10,000 pounds.

4. *Gross freight revenue* means respondent's gross freight revenue without adjustment for absorption or corrections.

Item No.	COMMODITY		REVENUE FREIGHT IN TONS (2,000 POUNDS)			Gross freight revenue (dollars) (e)
	Description (a)	Code No.	Originating on respondent's road (b)	Received from connecting carriers (c)	Total carried (d)	
1	Farm Products	01	178	15,614	15,792	33,360
2	Forest Products	08				
3	Fresh Fish and Other Marine Products	09		80	80	203
4	Metallic Ores	10				
5	Coal	11		99	99	169
6	Crude Petro, Nat Gas, & Nat Gas	13				
7	Nonmetallic Minerals, except Fuels	14		754	754	1,544
8	Ordnance and Accessories	19				
9	Food and Kindred Products	20	11,507	34,279	45,786	98,544
10	Tobacco Products	21				
11	Basic Textiles	22				
12	Apparel & Other Finished Tex Prd Inc Knit	23				
13	Lumber & Wood Products, except Furniture	24	1,540	2,012	3,552	7,868
14	Furniture and Fixtures	25		15	15	171
15	Pulp, Paper and Allied Products	26		43	43	201
16	Printed Matter	27				
17	Chemicals and Allied Products	28		51	51	85
18	Petroleum and Coal Products	29		67	67	60
19	Rubber & Miscellaneous Plastic Products	30	45	50	95	244
20	Leather and Leather Products	31				
21	Stone, Clay and Glass Products	32		170	170	513
22	Primary Metal Products	33		333	333	1,332
23	Fabr Metal Prd, Exc Ordn Machy & Transp	34		126	126	1,069
24	Machinery, except Electrical	35		23	23	170
25	Electrical Machy, Equipment & Supplies	36		145	145	1,476
26	Transportation Equipment	37				
27	Instr, Phot & Opt GD, Watches & Clocks	38				
28	Miscellaneous Products of Manufacturing	39				
29	Waste and Scrap Materials	40	84		84	201
30	Miscellaneous Freight Shipments	41	38		38	134
31	Containers, Shipping, Returned Empty	42	483		483	2,473
32	Freight Forwarder Traffic	44				
33	Shipper Assn or Similar Traffic	45		112	112	828
34	Misc Shippers except Forwarder (44) or shipper Assn (45)	46		11	11	226
35	GRAND TOTAL, CARLOAD TRAFFIC		12,875	53,984	67,859	150,582
36	Small Packaged Freight Shipments	47				
37	Grand Total, Carload & LCL Traffic		12,875	53,984	67,859	150,982

This report includes all commodity statistics for the period covered.

A supplemental report has been filed covering traffic involving less than three shippers reportable in any one commodity code.

Supplemental Report NOT OPEN TO PUBLIC INSPECTION.

**ABBREVIATIONS USED IN COMMODITY DESCRIPTIONS**

Assn	Association	Inc	Including	Nat	Natural	Prd	Products
Exc	Except	Instr	Instruments	Opt	Optical	Tex	Textile
Fabr	Fabricated	LCL	Less than carload	grdn	ordnance	Transp	Transportation
Gd	Goods	Machy	Machinery	petro	petroleum		
Gasn	Gasoline	Misc	Miscellaneous	phot	photographic		

**2701. SWITCHING AND TERMINAL TRAFFIC AND CAR STATISTICS**  
 (FOR SWITCHING OR TERMINAL COMPANIES ONLY)

Give particulars of cars handled during the year. With respect to the term "cars handled" it should be observed that, when applied to *switching* operations, the movement of a car from the point at which a switching company receives it, whether loaded or empty, to the point where it is loaded or unloaded or delivered to another connecting line is to be counted as one car handled. The return of a car, whether loaded or empty, from the point where it is loaded or unloaded, to the point of delivery is to be counted as one car handled. No incidental movement

is to be considered, unless such incidental movement involves the receipt of additional revenue. When applied to *terminal* operations, such as union station, bridge, ferry, or other joint facility terminal operations, the term "cars handled" includes all cars for which facilities are furnished.

The number of locomotive-miles in yard-switching service should be computed in accordance with account No. 816, "Yard switching locomotive-miles."

Item No.	Item (a)	Switching operations (b)		Terminal operations (c)		Total (d)	
<b>FREIGHT TRAFFIC</b>							
1	Number of cars handled earning revenue—Loaded.....						
2	Number of cars handled earning revenue—Empty.....						
3	Number of cars handled at cost for tenant companies—Loaded.....						
4	Number of cars handled at cost for tenant companies—Empty.....						
5	Number of cars handled not earning revenue—Loaded.....						
6	Number of cars handled not earning revenue—Empty.....						
7	Total number of cars handled.....			NOT APPLICABLE			
<b>PASSENGER TRAFFIC</b>							
8	Number of cars handled earning revenue—Loaded.....						
9	Number of cars handled earning revenue—Empty.....						
10	Number of cars handled at cost for tenant companies—Loaded.....						
11	Number of cars handled at cost for tenant companies—Empty.....						
12	Number of cars handled not earning revenue—Loaded.....						
13	Number of cars handled not earning revenue—Empty.....						
14	Total number of cars handled.....						
15	Total number of cars handled in revenue service (items 7 and 14).....						
16	Total number of cars handled in work service.....						

Number of locomotive-miles in yard-switching service: Freight, \_\_\_\_\_; passenger, \_\_\_\_\_

2801. INVENTORY OF EQUIPMENT  
INSTRUCTIONS

1. Give particulars of each of the various classes of equipment which respondent owned or leased during the year.
2. In column (c) give the number of units of equipment purchased, built in company shops, or otherwise acquired.
3. Units leased to others for a period of one year or more are reportable in column (b); units temporarily out of respondent's service and rented to others for less than one year are to be included in column (e); units rented from others for a period less than one year should not be included in column (b). Units installed during the year and subsequently leased to others for a year or more should be shown as added in column (c), as retired in column (d), and included in column (f).
4. For reporting purposes, a "locomotive unit" is a self-propelled vehicle generating or converting energy into motion, and designed solely for moving other equipments. (A locomotive tender should be considered as a part of the locomotive unit.) A "self-propelled car" is a rail motor car propelled by electric motors receiving power from third rail or overhead, or internal combustion engines located on the car itself. Trailers equipped for use only in trains of cars that are self-propelled are to be included as self-propelled equipment.
5. A "Diesel" unit includes all units propelled by diesel internal combustion engines irrespective of final drive, and whether power may at times be supplied from an external conductor. An "Electric" unit includes all units which receive electric power from an overhead contact wire or third rail, and use the power to drive one or more electric

motors that propel the vehicle. An "Other" unit includes all units other than diesel or electric, e.g., steam gas turbine. Show the types of other units, service and number, as appropriate, in a brief description sufficient for positive identification.

6. Column (h) should show aggregate capacity for all units reported in column (g), as follows: For locomotive units, report the manufacturers' rated horsepower (the maximum continuous power output from the diesel engine or engines delivered to the main generator or generators for tractive purposes); or tractive effort of steam locomotive units; for freight-train cars report the nominal capacity (in tons of 2,000 lbs.) as provided for in Rule 86 of the AAR Code of Rules Governing Cars in Interchange. Convert the capacity of tank cars to capacity in tons of the commodity which the car is intended to carry customarily; for passenger-train cars report the number of passenger seats available for revenue service, counting one passenger to each berth in sleeping cars.

7. Freight-train car type codes shown in column (a) correspond to the AAR Multilevel Per Diem Master List. Dashes are used in appropriate places to permit a single code to represent several car type codes. Passenger-train car types and service equipment car types correspond to AAR Mechanical Division designations. Descriptions of car codes and designations are published in The Official Railway Equipment Register.

UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No.	Item	Units in service of respondent at beginning of year	Number added during year	Number retired during year	NUMBER AT CLOSE OF YEAR			Aggregate capacity of units reported in col. (h) (See ins. 6)	Number leased to others at close of year
					Owned and used	Leased from others	Total in service of respondent (e+f)		
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	
<b>LOCOMOTIVE UNITS</b>									
1.	Diesel.....				NONE				
2.	Electric.....								
3.	Other.....								
4.	Total (lines 1 to 3).....							XXXX	
<b>FREIGHT-TRAIN CARS</b>									
5.	Box-General service (A-20, A-30, A-40, A-50, all B (except B080) L010, R-00, R-01, R-08, R-07)				NONE				
6.	Box-Special service (A-00, A-10, B080)								
7.	Gondola (All G, J-00, all C, all E)								
8.	Hopper-Open top (All H, J-10, all K)								
9.	Hopper-Covered (L-5)								
10.	Tank (All T)								
11.	Refrigerator-Mechanical (R-04, R-10, R-11, R-12)								
12.	Refrigerator-Non-Mechanical (R-02, R-03, R-05, R-08, R-09, R-13, R-14, R-15, R-16, R-17)								
13.	Stock (All S)								
14.	Autotrack (F-5, F-6)								
15.	Flat (All F (except F-3, F-6, F-7, F-8), L-2, L-3)								
16.	Flat-TOFC (F-7, F-8)								
17.	All other (L-0, L-1, L-4, L080, L090)								
18.	Total (lines 5 to 17).....							XXXX	
19.	Caboose (All N)							XXXX	
20.	Total (lines 18 and 19).....							XXXX	
<b>PASSENGER-TRAIN CARS</b>									
<b>NON-SELF-PROPELLED</b>									
21.	Coaches and combined cars (PA, PB, PRQ, all class C, except CSB)				NONE				
22.	Parlor, sleeping, dining cars (PRC, PC, PL, PO, PS, PT, PAS, PDS, all class D, PD)								
23.	Non-passenger carrying cars (All class B, CSB, PSA, IA, all class M)							XXXX	
24.	Total (lines 21 to 23).....								

2801. INVENTORY OF EQUIPMENT—Concluded

UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

Line No.	Item	Units in service of respondent at beginning of year	Number added during year	Number retired during year	NUMBER AT CLOSE OF YEAR			Aggregate capacity of units reported in col. (g) (See ins. 6)	Number leased to others at close of year
					Owned and used	Leased from others	Total in service of respondent (e+f)		
	(a)	(b)	(c)	(d)	(e)	(f)	(g)	(h)	
PASSENGER-TRAIN CARS - Continued									
SELF-PROPELLED RAIL MOTORCARS									
25.	Electric passenger cars (EC, EP, ET)-----								
26.	Internal combustion rail motorcars (ED, EG)-----				NONE				
27.	Other self-propelled cars (Specify types)-----								
28.	Total (lines 25 to 27)-----								
29.	Total (lines 24 and 28)-----								
COMPANY SERVICE CARS									
30.	Business cars (PV)-----								
31.	Boarding outfit cars (MWX)-----				NONE			XXXX	
32.	Derrick and snow removal cars (MWK, MWU, MWV, MWW)-----							XXXX	
33.	Dump and ballast cars (MVB, MWD)-----							XXXX	
34.	Other maintenance and service equipment cars-----							XXXX	
35.	Total (lines 30 to 34)-----							XXXX	
36.	Grand total (lines 29, 29, and 35)-----							XXXX	
FLOATING EQUIPMENT									
37.	Self-propelled vessels (Tugboats, car ferries, etc.)-----				NONE			XXXX	
38.	Non-self-propelled vessels (Car floats, lighters, etc.)-----							XXXX	
39.	Total (lines 37 and 38)-----							XXXX	

2900. IMPORTANT CHANGES DURING THE YEAR

Hereunder state the following matters, numbering the statements in accordance with the inquiries, and if no changes of the character below indicated occurred during the year, state that fact. Changes in mileage should be stated to the nearest hundredth of a mile. If any changes reportable in this schedule occurred under authority granted by the Commission in certificates of convenience and necessity, issued under paragraphs (18) to (22) of section 1 of the Interstate Commerce Act or otherwise, specific reference to such authority should in each case be made by docket number or otherwise, as may be appropriate.

1. All portions of road put in operation or abandoned, giving (a) termini, (b) length of road, and (c) dates of beginning operations or of abandonment.\*

2. All other important physical changes, including herein *all new tracks built*.\*

3. All leaseholds acquired or surrendered, giving (a) dates, (b) length of terms, (c) names of parties, (d) rents, and (e) other conditions.

4. All agreements for trackage rights acquired or surrendered, giving (a) dates, (b) length of terms, (c) names of parties, (d) rents, and (e) other conditions.

5. All consolidations, mergers, and reorganizations effected, giving particulars.

6. All stocks issued, giving (a) purposes for which issued, (b) names of stocks and (c) amounts issued, and describing (d) the actual consideration realized, giving (e) amounts and (f) values; give similar information concerning all stocks retired (if any).

7. All funded debt issued, giving (a) purposes for which issued, (b) names of securities and (c) amounts issued, and describing (d) the actual consideration realized, giving (e) amounts and (f) values; also give particulars concerning any funded debt paid or otherwise retired, stating (a) date acquired, (b) date retired or canceled, (c) par value of amount retired.

8. All other important financial changes.

9. All changes in and all additions to franchise rights, describing fully (a) the actual consideration given therefor, and stating (b) the parties from whom acquired; if no consideration was given, state that fact.

10. In case the respondent has not yet begun operation, and no construction has been carried on during the year, state fully the reasons therefor.

11. All additional matters of fact (not elsewhere provided for) which the respondent may desire to include in its report.

NONE

\*If returns under items 1 and 2 include any first main track owned by respondent representing new construction or permanent abandonment give the following particulars:

Miles of road constructed ..... Miles of road abandoned .....

The item "Miles of road constructed" is intended to show the mileage of first main track laid to extend respondent's road, and should not include tracks relocated and tracks laid to shorten the distance between two points, without serving any new territory.

VERIFICATION

The foregoing report must be verified by the oath of the officer having control of the accounting of the respondent. It should be verified, also, by the oath of the president or other chief officer of the respondent, unless the respondent states on the last preceding page of this report that such chief officer has no control over the accounting of the respondent. The oath required may be taken before any person authorized to administer an oath by the laws of the State in which the same is taken.

OATH

(To be made by the officer having control of the accounting of the respondent)

State of CALIFORNIA  
County of SAN FRANCISCO

H. A. NELSON makes oath and says that he is Auditor  
(Insert here the name of the affiant) (Insert here the official title of the affiant)

of PETALUMA AND SANTA ROSA RAILROAD COMPANY  
(Insert here the exact legal title or name of the respondent)

that it is his duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Interstate Commerce Commission, effective during the said period; that he has carefully examined the said report, and to the best of his knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken from the said books of account and are in exact accordance therewith; that he believes that all other statements of fact contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period of

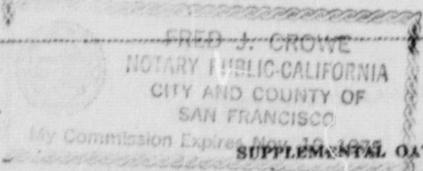
time from and including January 1, 1972, to and including December 31, 1972

H. A. Nelson  
(Signature of affiant)

Subscribed and sworn to before me, a Notary Public, in and for the State and

county above named, this 13th day of March, 1973

My commission expires



Fred J. Crowe  
(Signature of officer authorized to administer oaths)

Use an L. S. Impression seal

SUPPLEMENTAL OATH

(By the president or other chief officer of the respondent)

State of CALIFORNIA  
County of SAN FRANCISCO

D. K. McNEAR makes oath and says that he is President  
(Insert here the name of the affiant) (Insert here the official title of the affiant)

of PETALUMA AND SANTA ROSA RAILROAD COMPANY  
(Insert here the exact legal title or name of the respondent)

that he has carefully examined the foregoing report; that he believes that all statements of fact contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above-named respondent and the operation of its property during

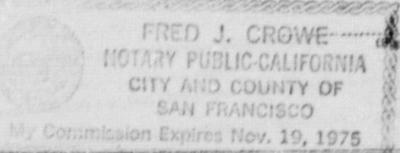
the period of time from and including January 1, 1972, to and including December 31, 1972

D. K. McNear  
(Signature of affiant)

Subscribed and sworn to before me, a Notary Public, in and for the State and

county above named, this 13th day of March, 1973

My commission expires



Fred J. Crowe  
(Signature of officer authorized to administer oaths)

Use an L. S. Impression seal



701. ROAD AND EQUIPMENT PROPERTY

1. Give particulars of changes in accounts Nos. 731, "Road and equipment property" and 732, "Improvements on leased property," classified in accordance with the Uniform System of Accounts for Railroad Companies.

2. Credit items in the entries should be fully explained.

3. Report on line 35 amounts not includable in the primary road accounts. The items reported should be briefly identified and explained in a footnote.

Amounts should be reported on this line only under special circumstances, usually after permission is obtained from the Commission for exceptions to prescribed accounting. Reference to such authority should be made when explaining the amounts reported. Respondents must not make arbitrary changes to the printed stub or column headings without specific authority from the Commission.

Line No.	Account (a)	Balance at Beginning of Year		Total Expenditures During the Year		Balance at Close of Year	
		Entire line (b)	State (c)	Entire line (d)	State (e)	Entire line (f)	State (g)
1	(1) Engineering						
2	(2) Land for transportation purposes						
3	(3) Other right-of-way expenditures						
4	(3) Grading						
5	(5) Tunnels and subways						
6	(6) Bridges, trestles, and culverts						
7	(7) Elevated structures						
8	(8) Ties						
9	(9) Rails						
10	(10) Other track material						
11	(11) Ballast						
12	(12) Track laying and surfacing						
13	(13) Fences, snow sheds, and signs						
14	(14) Station and office buildings						
15	(15) Roadway buildings						
16	(16) Water stations						
17	(17) Fuel stations						
18	(18) Shops and enginehouses						
19	(19) Grain elevators						
20	(20) Storage warehouses						
21	(21) Wharves and docks						
22	(22) Coal and ore wharves						
23	(23) TOFC/COFC terminals						
24	(24) Communication systems						
25	(25) Signals and interlockers						
26	(26) Powerplants						
27	(27) Power-transmission systems						
28	(28) Miscellaneous structures						
29	(29) Roadway machines						
30	(30) Roadway small tools						
31	(31) Public improvements--Construction						
32	(32) Other expenditures--Road						
33	(33) Shop machinery						
34	(34) Powerplant machinery						
35	Other (specify & explain)						
36	Total expenditures for road						
37	(37) Locomotives						
38	(38) Freight-train cars						
39	(39) Passenger-train cars						
40	(40) Highway revenue equipment						
41	(41) Floating equipment						
42	(42) Work equipment						
43	(43) Miscellaneous equipment						
44	Total expenditures for equipment						
45	(45) Organization expenses						
46	(46) Interest during construction						
47	(47) Other expenditures--General						
48	Total general expenditures						
49	Total						
50	(50) Other elements of investment						
51	(51) Construction work in progress						
52	Grand Total						

**2002. RAILWAY OPERATING EXPENSES**

1. State the railway operating expenses of the respondent for the year, classifying them in accordance with the Uniform System of Accounts for Railroad Companies.  
 2. Any unusual accounts involving substantial amounts included in columns (b), (c), (e), and (f), also, to be fully explained in a footnote.

Line No.	Name of railway operating expense account (a)	AMOUNT OF OPERATING EXPENSES FOR THE YEAR						Name of railway operating expense account (d)	AMOUNT OF OPERATING EXPENSES FOR THE YEAR					
		Entire line (b)			State (c)				Entire line (e)			State (f)		
		\$			\$				\$			\$		
1	MAINTENANCE OF WAY AND STRUCTURES	X	X	X	X	X	X	(247) Operating joint yards and terminals—Cr.						
2	(240) Superintendence							(248) Train employees						
3	(242) Roadway maintenance							(249) Train fuel						
4	(243) Maintaining structures							(251) Other train expenses						
5	(243½) Retirements—Road							(252) Injuries to persons						
6	(244) Dismantling retired road property							(253) Loss and damage						
7	(246) Road Property—Depreciation							(254) Other casualty expenses						
8	(249) Other maintenance of way expenses							(255) Other rail and highway transportation expenses						
9	(241) Maintaining joint tracks, yards, and other facilities—Dr.							(256) Operating joint tracks and facilities—Dr.						
10	(241) Maintaining joint tracks, yards, and other facilities—Cr.							(257) Operating joint tracks and facilities—Cr.						
11	Total maintenance of way and struc.							Total transportation—Rail line						
12	MAINTENANCE OF EQUIPMENT	X	X	X	X	X	X	MISCELLANEOUS OPERATIONS	X	X	X	X	X	X
13	(221) Superintendence							(258) Miscellaneous operations						
14	(222) Repairs to shop and power-plant machinery							(259) Operating joint miscellaneous facilities—Dr.						
15	(223) Shop and power-plant machinery—Depreciation							(260) Operating joint miscellaneous facilities—Cr.						
16	(224) Dismantling retired shop and power-plant machinery							Total miscellaneous operating						
17	(225) Locomotive repairs							GENERAL	X	X	X	X	X	X
18	(226) Car and highway revenue equipment repairs							(281) Administration						
19	(227) Other equipment repairs							(282) Insurance						
20	(228) Dismantling retired equipment							(284) Other general expense						
21	(229) Retirements—Equipment							(286) General joint facilities—Dr.						
22	(234) Equipment—Depreciation							(286) General joint facilities—Cr.						
23	(235) Other equipment expenses							Total general expenses						
24	(236) Joint maintenance of equipment expenses—Dr.							RECAPITULATION	X	X	X	X	X	X
25	(237) Joint maintenance of equipment expenses—Cr.							Maintenance of way and structures						
26	Total maintenance of equipment							Maintenance of equipment						
27	TRAFFIC	X	X	X	X	X	X	Traffic expenses						
28	(245) Traffic Expenses							Transportation—Rail line						
29	TRANSPORTATION—RAIL LINE	X	X	X	X	X	X	Miscellaneous operations						
30	(241) Superintendence and dispatching							General expense						
31	(242) Station service							Grand Total Railway Operating Exp.						
32	(243) Yard employees													
33	(244) Yard switching fuel													
34	(245) Miscellaneous yard expenses													
35	(245) Operating joint yard and terminals—Dr.													
36	Operating ratio (ratio of operating expenses to operating revenues), ..... percent. (Two decimal places required.)													

**2003. MISCELLANEOUS PHYSICAL PROPERTIES OPERATED DURING THE YEAR**

Give particulars of each class of miscellaneous physical property or plant operated during the year. Group the properties under the heads of the classes of operations to which they are devoted. In column (a) give the designation used in the respondent's records and the name of the town or city and State in which the property or plant is located, stating whether the respondent's title is that of ownership or whether the property is held under lease or other incomplete title. All peculiarities of title should be explained in a footnote.

The totals of columns (b), (c), and (d) should agree with the totals of accounts Nos. 502, "Revenue from miscellaneous operations," 504, "Expenses of miscellaneous operations," and 505, "Taxes on miscellaneous operating property," in respondent's Income Account for the Year. If not, differences should be explained in a footnote.

Line No.	Designation and location of property or plant, character of business, and title under which held (a)	Total revenue during the year (Acct. 402) (b)		Total expenses during the year (Acct. 404) (c)		Total taxes applicable to the year (Acct. 405) (d)	
		\$		\$		\$	
40							
41							
42							
43							
44							
45							
46							
47							
48							
49							
50							
60							
	TOTAL						



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