PORT OF OAKLAND RAILWAY

RC 632135

R-3

Approved by GAC 8-180230 (R0583) Expires 12-31-81

# annual report

ICC - P.O. 2040

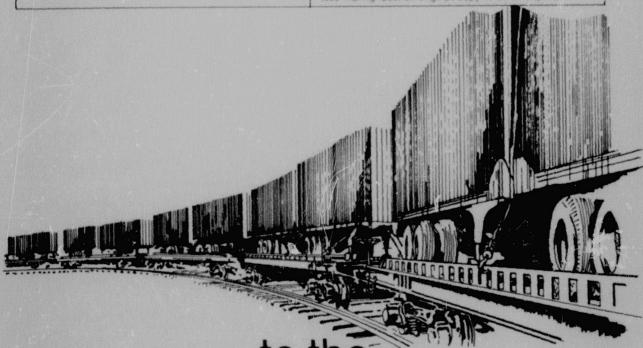
not land in 1978

070301

PORTOR OAKLAND RAILWAY 66 JACK LONDON SQUARE P.O. BOX 2064 OAKLANO, CA 94604

correct name and address if different than shown

full name and address of reporting carrier (use mailing label on original, copy in full on duplicate)



to the Interstate Commerce Commission for the year ended December 31, 1978

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# A. SCHEDULES OMITTED BY RESPONDENT

- 1. The respondent, at it's option, may omit pages from this report provided there is nothing to report or the schedules are not applicable.

  2. Show below the pages excluded and indicate the schedule number and title in this space provided below.

  3. If no relegation were applicables are not applicable.

3. If no schedules were omitted indicate "NONE

Schedule No. Page 200 4

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COMPARATIVE STATEMENT OF FINANCIAL AND EQUIPMENT PROPERTY

Title

#### 101. IDENTITY OF RESPONDENT

- 1. Give the exact name" by which the respondent was known in law at the close of the year PORT OF OAKLAND RAILWAY (HOWARD TERMINAL RAILWAY)
- 2. State whether or rot the respondent made an annual report to the Interstate Commerce Commission for the preceding year, or for any part thereof. If so, in what name was such report made? HOWARD TERM INAL RAILWAY
- 3. If any change was made in the name of the respondent during the year, state all such changes and the dates on which they were made MAY 18, 1978 HOWARD TERMINAL PURCHASED BY PORT OF OAKLAND
- 4. Give the location (including street and number) of the main business office of the respondent at the close of the year LL JACK WOODN SQUARE P.O. BOX ZOOH, OAKLAND, CA 94604
- 5. Give the titles, names, and office addresses of all general officers of the respondent at the close of the year. If there are receivers who are recognized as in the controlling management of the road, give also their names and titles, and the location of their offices.

| Title of general officer     | Name and office address of person holding o | ffice at close of year |
|------------------------------|---|------------------------|
| (a)                          | (b)   |                        |
| President CHIEF ENECUTIVE OF | CRWALTER A. ABERNATHY                       | SEE Trem #4            |
| Vice president LOPERATIONS   | JAMES J. O'BRIEN                            | • •                    |
| ASST VP FOR OPERATION        | BROBERT W. CRAND ML                         |                        |
| BORE YP FOR TRAFFIC          | DENVER MC CRACKEN                           |                        |
| Controller or auditor        |   |                        |
| Attorney or general counsel  | MR. STANLEY HEBERT                          |                        |
| General manager              |   |                        |
| General superintendent       |   |                        |
| General freight agent        |   |                        |
| General passenger agent      | 1   |                        |
| General land agent           |   |                        |
| Chief engineer               |   |                        |
|                              |   |                        |

6. Give the names and office addresses of the several directors of the respondent at the close of the year, and the dates of expiration of their respective terms.

| BOARD OF PORT COMMISSIONERS | Office address    | Term expires |
|-----------------------------|-------------------|--------------|
| (a)                         | (b)               | (c)          |
|                             | DE ITEM # 4 ABOVE | 7/10/79      |
| THOMAS L. BERKLEY 1974P     |                   | 7/10/80      |
| TED CONNOLLY ZEVP           |                   | 7/10/82      |
| HARRY R. LANGE              |                   | 7/10/79      |
| HENRY J. RODRIGUEZ          |                   | 7)10/81      |
| NORVEL SMITH                |                   | 7/10/8!      |
| Y. CHARLES Soda             |                   | 7) 10/80     |

7. Give the date of incorporation of the respondent 8. State the character of motive power used DIESEL 9. Class of switching and terminal company

10. Under the laws of what Government. State, or Territory was the respondent organized? If more than one, name all. Give reference to each statute and all amendments thereof, effected during the year. If previously effected, show the year(s) of the report(s) setting forth details. If in bankruptcy, give court of jurisdiction and dates of beginning of receivership or trusteeship and of appointment of receivers or trustees

# BET OF DAMLAND INDEPENDENT DEPT. OF CITY OF DAKLAND, CALIFORN'IA

1). State whether or not any corporation or association or group of corporations had, at the close of the year, the right to name the major part of the board of directors, managers, or trustees of the respondent; and if so, give the names of all such corporations and state whether such right was derived through (a) title to capital stock or other securities insued or assumed by the respondent, (b) claims for advances of funds made for the construction of the road and equipment of the respondent, or (c) express agreement or some other source SOARD OF PORT

12. Give hereunder a history of the respondent from its inception to date, showing all consolidations, mergers, reorganizations, etc., and if a consolidated or merging corporation give like particulars for all constituent and subconstituent corporations. Describe also the course of construction of the road c5 the respondent, and its financing

\*Use the initial word the when (and only when) it is a part of the name, and distinguish between the words railroad and railway and between company and corporation.

#### 107. STOCKHOLDERS

Give the names of the 30 decurity holders of the respondent who, at the date of the latest closing of the stock book or compilation of list of stockholders of the respondent (if within 1 year prior to the actual filing of this report), had the highest voting powers in the respondent, showing for each his address, the number of votes which he would have had a right to cast on that one, had a meeting then been in order, and the classification of the number of votes to which he was entitled, with respect to securities held by hen, such securities being classified as common streek, second preferred work, first preferred stock, and other securities, stating in a footnote the names of such other securities (if any). If any such holder held in trust, give (in a footnote) the particulars of the trust. In the case of voting trust agreements give, as supplemental information in schedule No. 705, the names and addresses of the 30 largest holders of the voting trust certificates and the amount of their individual holdings, if the stock book was not closed or the list of stockholders compiled within such year, show such 30 security holders as of the close of the year.

| Line  |  |                            | Number of votes  | NUMBER OF VOTES, CLASSIFIED<br>WITH RESPECT TO SECURITIES<br>ON WHICH BASED |           |       |              |  |  |
|-------|--|----------------------------|--|---|-----------|-------|--------------|--|--|
| No.   | Name of security holder  | Address of security holder | to which security  |   | Stocks    |       |              |  |  |
|       |  |                            | holder was entitled  |   | Prefer    |       |              |  |  |
|       |  |                            |  | Common-   | Second    | First | roting power |  |  |
|       | (a)  | (b)                        | (e)  | (d)   | (e)       | (f)   | (g)          |  |  |
|       | PORT OR DAYLAND  | OAKLAND, CA                | None !   | NONE  | Neve      | Nes   | None         |  |  |
| 2     |  |                            | -  |   |           |       |              |  |  |
| 3     |  | -                          |  |   |           |       |              |  |  |
| 4 5   | ***********************  | -                          |  |   | 1         |       |              |  |  |
| 6     |  |                            |  |   |           |       |              |  |  |
| 7     |  |                            |  |   |           |       |              |  |  |
| 8     |  |                            |  |   |           |       |              |  |  |
| 9     |  | 1                          |  |   | 1         |       |              |  |  |
| 10    |  |                            |  |   | -         |       |              |  |  |
| 12    |  |                            |  |   |           |       |              |  |  |
| 13    |  |                            |  |   |           |       |              |  |  |
| 14    |  |                            |  |   |           |       |              |  |  |
| 15    |  |                            |  |   | 1         |       |              |  |  |
| 17    |  |                            |  |   |           |       |              |  |  |
| 18    |  |                            |  |   |           |       |              |  |  |
| 19    |  |                            |  |   |           |       |              |  |  |
| 20    |  | -                          |  |   | 1         |       |              |  |  |
| 21 22 |  |                            | 1.   |   |           |       |              |  |  |
| 23    |  |                            |  |   |           |       |              |  |  |
| 24    |  |                            |  |   |           |       |              |  |  |
| 25    |  | -                          |  |   | 1-1       |       |              |  |  |
| 26    |  |                            | 1  |   |           |       |              |  |  |
| 27 28 | Marie de la Companie de Carlos de Ca |                            |  |   |           |       |              |  |  |
| 29    |  |                            |  |   |           |       |              |  |  |
| 30    |  | 1                          | and a second |   | Louisserd |       |              |  |  |

Footnotes and Remarks

#### STOCKHOLDERS REPORTS

| 1. The respondent i | is required | to send | to the | Bureau   | of Acc | ounts. | immediately | upon | preparation, | two | copies | of i | ts latest | annual | report | to |
|---------------------|-------------|---------|--------|----------|--------|--------|-------------|------|--------------|-----|--------|------|-----------|--------|--------|----|
| stockholders.       |             |         |        | Chark an |        |        |             |      |              |     |        |      |           |        |        |    |

Check appropriate box:

| | Two copies are attached to this report.

[ ] Two copies will be submitted

(date)

No anaual report to stockholders is prepared.

### 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - ASSETS

| Line | Item   | Respondent Only                    |   |  |  |  |
|------|--|------------------------------------|---|--|--|--|
| No.  | (a)  | Balance at Close<br>of Year<br>(b) | Balance at Begin<br>ning of Year<br>(c) |  |  |  |
|      | CURRENT ASSETS   | 5                                  | 3                                       |  |  |  |
|      | Comen askers   |                                    |   |  |  |  |
| 1    | Cash   |                                    |   |  |  |  |
| 2    | Temporary Cash Investments   |                                    |   |  |  |  |
| 3    | Special Deposits   |                                    |   |  |  |  |
| 4    | Accounts Receivable  |                                    |   |  |  |  |
| 5    | Less: Allowance for Uncollectible Accounts                           |                                    |   |  |  |  |
| 6    | Accumulated Deferred Income Tax Charges                              |                                    | <b>-</b>                                |  |  |  |
| 7    | Other Current Assets   |                                    |   |  |  |  |
| 8    | Total Current Assets   |                                    | entropelar i company                    |  |  |  |
|      |  |                                    |   |  |  |  |
|      | OTHER ASSETS   |                                    |   |  |  |  |
|      |  |                                    |   |  |  |  |
|      | Special Funds  |                                    |   |  |  |  |
|      | Other Investments and Advances - (Less Allowances and adjustments \$ |                                    | *****                                   |  |  |  |
| 11   | Other Assets (Less Depreciation and Amortization \$                  |                                    |   |  |  |  |
| 12   | Other Deferred Debits  |                                    |   |  |  |  |
| 13   | Total Other Assets   | · Consumption                      | Commission of the con-                  |  |  |  |
|      |  |                                    |   |  |  |  |
|      | ROAD AND EQUIPMENT   |                                    |   |  |  |  |
|      |  |                                    |   |  |  |  |
|      | Road and Equipment Property and Improvements on Leased Property      |                                    |   |  |  |  |
| 15   | Less: Accumulated Depreciation and Amortization                      |                                    |   |  |  |  |
| 16   | Net Road and Equipment   |                                    |   |  |  |  |
| 17   | Total Assets   |                                    |   |  |  |  |
|      | CURRENT LIABILITIES  |                                    |   |  |  |  |
|      | Loans and Notes Payable  |                                    |   |  |  |  |
|      |  |                                    | and a series of the second              |  |  |  |
|      | Accounts Payable Interest and Dividends Payable                      |                                    |   |  |  |  |
|      | Federal Income Taxes Accrued   |                                    |   |  |  |  |
|      | Other Taxes Accrued  |                                    |   |  |  |  |
|      | Other Current Liabilities  |                                    |   |  |  |  |
|      | Equipment Obligations and Other long-term Debt Due Within One Year   |                                    |   |  |  |  |
| 24   | Total Current Liabilities  |                                    |   |  |  |  |
| 25   | Total Current Embridies  | TO COMPLETE OF A CASE OF THE       |   |  |  |  |
|      | NON CURRENT LIABILITIES  |                                    |   |  |  |  |
|      | NOT COMEST LIMBETTE  |                                    |   |  |  |  |
| 3.   | Funded Debt Unmatured  |                                    |   |  |  |  |
|      | Equipment Obligations  |                                    |   |  |  |  |
|      | Capitalized Lease Obligations  |                                    |   |  |  |  |
| 28   | Accumulated Deferred Income Tax Credits                              |                                    |   |  |  |  |
| 29   | Other Long-term Liabilities and Deferred Credits                     |                                    |   |  |  |  |
| 30   | Total Non current Liabilities  |                                    |   |  |  |  |
| 31   |  |                                    |   |  |  |  |
|      | SHAREHOLDERS EQUITY  |                                    |   |  |  |  |
|      | Capital Stock  |                                    |   |  |  |  |
|      | Common Stock   |                                    |   |  |  |  |
| 32   | Preferred Stock  |                                    |   |  |  |  |
| 2.4  | Discount on Capital Stock  |                                    |   |  |  |  |
| 34   | Additional Capital   |                                    |   |  |  |  |
| 35   | Additional   |                                    |   |  |  |  |

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - LIABILITIES AND SHAREHOLDERS' EQUITY-Continued

| ine | Item   | Respondent Only                        |  |  |  |  |  |
|-----|--|--|--|--|--|--|--|
| No. | ffem (a)   | Balance at Close<br>of Year<br>(b)     | Balance at Begin-<br>ning of Year<br>(c) |  |  |  |  |
|     | SHAREHOLDERS' EQUITY—Continued                                 | 5                                      | 5  |  |  |  |  |
|     | Retained Farnings:   |  |  |  |  |  |  |
| 36  | Appropriated   |  |  |  |  |  |  |
| 37  | Unappropriated   |  |  |  |  |  |  |
| 38  | Net unrealized Loss on Noncurrent Marketable Equity Securities | The second second second second second |  |  |  |  |  |
| 39  | Less: Treasury Stock   |  |  |  |  |  |  |
| 40  | Net Shareholders' Equity                                       |  |  |  |  |  |  |
| 41  | Total Liabilities and Shareholders' Equity                     |  | And the second second second             |  |  |  |  |

## 200. COMPARATIVE STATEMENT OF FINANCIAL POSITION - EXPLANATORY NOTES

The notes listed below are provided for the purpose of disclosing supplementary information concerning matters which have an important effect on the financial condition of the carrier. The carrier shall give the particulars called for herein and where there is nothing to report, insert the word "none", and in addition thereto shall enter in separate notes with suitable particulars other matters involving material amounts of the character commonly disclosed in financial statements under generally accepted accounting and reporting principles, except as shown in other schedules. This includes explanatory statements explaining (1) service interruption insurance policies and indicate the amount of indemnity to which respondent will be entitled for work stoppage losses and the maximum amount of additional premium respondent may be obligated to pay in the event such losses are sustained by other railroads. (2) particulars concerning obligations for stock purchase options granted to officers and employees, and (3) what entries have been made for net income or retained income restricted under provisions of mortgages and other arrangements.

| arrangements.  |
|--|
| I. Amount (estimated, if necessary) of net income or retained income which has to be provided for capital expenditures, and for sinking and other funds pursuant to provisions of reorganization plans, mortgages, deeds of trust, or other contracts. |
| 2. Estimated amount of future earnings which can be realized before paying Federal income taxes because of unused and available net operating loss carryover on January 1 of the year following that for which the report is made                      |
| 3. (a) Explain the procedure in accounting for pension funds and recording in the accounts the current and past service pension costs, indicating whether or not consistent with the prior year.   |
|  |
| (b) State amount, if any, representing the excess of the actuarially computed value of vested benefits over the total of the pension   |
| (c) Is any part of pension plan funded? Specify Yes No  (i) If funding is by insurance, give name of insuring company  |
| Date of trust agreement or latest amendment  If respondent is affiliated if any way with the trustee(s), explain affiliation:  |
| (d) List affiliated companies which are included in the pension plan funding agreement and describe basis for allocating charges under the   |
| agreement (e)(i) Is any part of the pension plan fund invested in stock or other securities of the respondent or any of its affiliates? Specify  |
| If yes, give number of the shares for each class of stock or other security.   |
| (ii) Are voting rights attached to any securities held by the pension plan? Specify Yes  |
| stock is voted?  4. State whether a segregated political fund has been established as provided by the Federal Election Campaign Act of 1971 (18 U.S.C. 610). YES  NO   |

## 210. RESULTS OF OPERATIONS

## INSTRUCTIONS

1. Disclose the requested information for the respondent pertaining to the results of operations for the year. 2. List dividends from investments accounted for under the cost method on the appropriate line, under subsection "Other Income." List dividends a counsed for under the equity method on the appropriate line under the "Income from Affil ated Companies" subsection of this schedule.

3. All contra entries hereunder should be indicated in parenthesis.

NONE

| Line                 |  |                  |
|----------------------|--|------------------|
| No.                  | ltem .   | Amount for       |
|                      | (a)  | Current Year (b) |
|                      | RECONCILIATION OF NET RAILWAY OPERATING INCOME (NRGI)  |                  |
|                      |  |                  |
| 30                   | Net revenues from railway operations   | (822.05          |
| 30<br>31             | Net revenues from railway operations Income taxes on ordinary income   | (822.05)         |
| 31                   | Income taxes on ordinary income  | (822.05)         |
| 31                   | Provisions for deferred income taxes   | (822.05)         |
| 31<br>32<br>33       | Income taxes on ordinary income Provisions for deferred income taxes Income from Lease of Road and Equipment | (822.05)         |
| 31<br>32<br>33<br>34 | Provisions for deferred income taxes   | (822.05)         |

## NO CHANGES 336, ROAD AND EQUIPMENT PROPERTY

1. Give particulars of changes during the year in Road and Equipment property, and Improvements on leased property

2. Gross charges during the year should include disbursements made for the specific purpose of purchasing, constructing, and equipping new lines, extensions of old lines, and for additions and betterments. Both the debit and credit involved in each transfer, adjustment, or clearance, hetween road and equipment accounts, should be included in columns (c) and (d), as may be appropriate, depending on the nature of the item-Adjustments in excess of \$100,000 should be explained

3. Report on line 35 amounts not includable in the primary road accounts. The items reported should be briefly identified and explained in a footnote on page 10.

| Line<br>No. |       | ITEM (a)  | Balance at<br>beginning<br>of year<br>(b) | Gross charges<br>during year   | Credits for<br>property retired<br>during year<br>(d)  | Balance as<br>close of<br>year<br>(e) | Accrued depre<br>ciation at<br>close of year   |
|-------------|-------|---|---|--|--|---------------------------------------|--|
| i           | (1)   | Engineering   | 1   |  |  |                                       |  |
| 2           | (2)   | Land for transportation purposes                          |   |  |  |                                       |  |
| 3           | (2 1/ | 2) Other right-of-way expenditures                        |   | The officer of security to party the state of the  | 1  |                                       |  |
| 4           | (3)   |   |   |  |  |                                       |  |
| 5           | (5)   | Tunnels and subways                                       |   |  |  |                                       |  |
| 6           | (6)   | Bridges, trestles, and culverts                           |   |  |  | A TOTAL THE STATE OF THE SECOND STATE |  |
| 7           | (7)   | Elevated structures                                       |   |  |  |                                       |  |
| 8           | (8)   | Ties  |   | The state of the s |  |                                       |  |
| 9           | (9)   | Rails   |   | 137 7 7 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1  | -  |                                       |  |
| 10          | (10)  | Other track material                                      |   |  |  |                                       |  |
| 11          | (11)  | Ballast   |   |  | remove an exercise property of   |                                       |  |
| 12          | (12)  | Track laying and surfacing                                |   |  |  |                                       |  |
| 13          | (13)  | Fences, snowsheds, and signs                              |   |  | THE STATE OF LINES OF STATE OF |                                       |  |
| 14          | (16)  | Station and office buildings                              |   |  | edition of the same  |                                       |  |
| 15          | (17)  | Roadway buildings   |   | ***********  | Contraction of the state of the |                                       |  |
|             | (18)  | Water stations  |   |  |  |                                       |  |
|             | (19)  | Fuel stations   |   |  |  |                                       |  |
|             | (20)  | Shops and enginehouses                                    |   |  |  |                                       |  |
|             | (21)  | Grain elevators   |   |  |  |                                       |  |
|             | (22)  | Storage warehouses  |   |  |  |                                       |  |
|             | (23)  | Wharves and docks   |   |  |  |                                       |  |
|             | (24)  | Coat and ore wharves                                      |   |  |  |                                       |  |
| 100000      | (25)  | TOFC/COFC terminals                                       |   |  |  |                                       |  |
|             | (26)  |   |   |  |  |                                       | The first of the f |
|             | (27)  | Signals and interlockers                                  |   |  |  |                                       |  |
|             | (29)  | Power plants  |   |  |  |                                       |  |
|             | (31)  | Power-transmission systems                                |   |  |  | +                                     |  |
|             | (35)  | Miscellaneous structures                                  |   |  |  |                                       |  |
|             | (37)  | Roadway mrchines  |   |  |  |                                       |  |
|             | (38)  | Roadway small tools                                       |   |  |  |                                       |  |
|             | (39)  | Public improvements - Construction                        |   |  |  |                                       |  |
|             | (43)  | Other expenditures - Road                                 |   |  |  |                                       |  |
|             | (44)  | Shop machinery  |   |  |  | T TO THE WAY                          |  |
| 91666       | (45)  | Power-plant machinery                                     |   |  |  |                                       |  |
| 15          | (43)  | Other (specify and explain)                               |   |  |  |                                       |  |
| 6           |       |   |   |  |  | ************                          |  |
|             |       | Total Expenditures for Road                               | -   |  | THE PARK TO A SALES  | arrat manuscrate                      |  |
| 1000 E      | (52)  |   |   |  | A  |                                       |  |
| F100        | (53)  | Freight-train cars  |   |  |  |                                       |  |
|             | (54)  | Passenger-train cars                                      |   | The state of the s |  |                                       |  |
|             | 55)   | Highway revenue equipment                                 |   |  |  |                                       |  |
| 55 E        | 56)   | Floating equipment  |   |  |  | -                                     |  |
| 908 B       | 57)   | Work equipment  |   |  |  |                                       |  |
| 3 10        | 58)   | Miscellaneous equipment  Total Expenditures for Equipment |   |  |  |                                       |  |

| 336. ROAD AND EQUIPMENT PROPERTY—Continued |                               |                      |   |                              |                     |  |  |  |  |  |  |
|--|-------------------------------|----------------------|---|------------------------------|---------------------|--|--|--|--|--|--|
| Line<br>No.                                | ITEM                          | Balance at beginning | Gross charges<br>during year            | Credits for property retired | Balance at close of | Accrued depre                          |  |  |  |  |  |
|  | (a)                           | of year (b)          | (c)                                     | during year<br>(d)           | year<br>(e)         | close of year                          |  |  |  |  |  |
| 45 (71)                                    | Organization expenses         |                      |   |                              |                     |  |  |  |  |  |  |
| 46 (76)                                    | Interest during construction  |                      |   |                              | 53                  | The second section of the second       |  |  |  |  |  |
| 47 (77)                                    | Other expenditures - General  |                      |   |                              |                     | 4                                      |  |  |  |  |  |
| 48   | Total General Expenditures    |                      |   |                              |                     |  |  |  |  |  |  |
| 49   | Total                         |                      |   |                              |                     |  |  |  |  |  |  |
| 50 (80)                                    | Other elements of investments |                      |   |                              |                     | to the second property of the party of |  |  |  |  |  |
| 51 (90)                                    | Construction work in progress |                      | and the spine of the spine of the spine |                              |                     |  |  |  |  |  |  |
| 52   | Grand Total                   |                      |   |                              |                     |  |  |  |  |  |  |

## 705. IMPORTANT CHANGES DURING THE YEAR

Hereunder state the following matters, numbering the statements in accordance with the inquiries, and if no changes of the character below indicated occured during the year, state that fact. Changes in mileage should be stated to the nearest hundredth of a mile. If any changes reportable in this schedule occurred under authority granted by the Commission in certificates of convenience and necessity, issued under paragraphs (18) to (22) of section 1 of the Interstate Commerce Act or otherwise, specific reference to such authority should in each case be made by docker number or otherwise, as may be appropriate.

1. All portions of road put in operation or abandoned, giving (a) termini, (b) length of road, and (c) dates of beginning operations or of

abandenment.\*

2. All other important physical changes, including herein all new tracks built."

- 3. All leaseholds acquired or surrendered, giving (a) dates. (b) length of terms, (c) names of parties; (d) rents, and (e) other conditions.
- 4. All agreements for trackage rights acquired or surrendered, giving (a) dates. (b) length of terms. (c) names of parties, (d) rents, and (e) other conditions.

5. All consolidations, mergers, and reorganizations effected, giving particulars.

- 6. All stocks issued, giving (a) purposes for which issued, (b) names of stocks, and (c) amounts issued, and describing (d) the actual consideration realized, giving (e) amounts and (f) values: give similar information concerning all stocks retired (if any).
- 7. All funded debt issued, giving (a) purposes for which issued. (b) names of securities and (c) amounts issued, and describing (d) the actual consideration realized, giving (e) amounts and (f) values; also give particulars concerning any funded debt paid or otherwise retired, stating (a) date acquired. (b) date retired or canceled. (c) par value of amount retired

8. All other important financial changes

- 9. All changes in and all additions to franchise rights, describing fully (a) the actual consideration given therefor, and stating (b) the parties from whom acquired; if no consideration was given, state that fact
  - 10. In case the respondent has not yet begun operation, and no construction has been carried on during the year, state fully the reasons therefor,

11. All additional matters of fact (not elsewhere provided for) which the respondent may desire to include in its report.

3. HOWARD TERMINAL AND HOWARD TERMINAL RAILWAY PROPERTY AND RIGHTS ACQUIRED THROUGH PUR-CHASE BY THE PORT OF OAKLAND, CA, EFFECTIVE 19 MAY 1978 .

\*If returns under items 1 and 2 include any first main track owned by respondent representing new construction or permanent abandonment give the following particulars:

Miles of road constructed

Miles of road abandoned

The item "Miles of road constructed" is intended to show the mileage of first main track laid to extend respondence road, and should not include tracks relocated and tracks laid to shorten the distance between two points, without serving any new territory.

## 716. INVENTORY OF EQUIPMENT

#### INSTRUCTIONS

- 1. Give particulars of each of the various classes of equipment which respondent owned or leased during the year.
- 2. In column (c) give the number of units of equipment purchased, built in company shops, or otherwise acquired.
- 3. Units leased to others for a period of one year or more are reportable in column (i); units temporarily out of respondent's service and rented to others for less than one year are to be included in column (e), units rented from others for a period less than one year should not be included in column (f). Units installed during the year and subsequently leased to others for a year or more should be shown as added in column (c), as retired n column (d), and included in column (i).
- 4. For reporting purposes, a "locomotive unit" is a self-propelled vehicle generating or converting energy into motion, and designed solely for moving other equipment. An "A" unit is the least number of wheel bases with superstructure designed for use singly or as a lead locomotive unit in combination with other locomotive units. A "B" unit is similar to an "A" unit, but not equipped for use singly or as a lead locomotive unit. A "B" unit may be equipped with hostler controls for independent operating at terminals.
- 5. A "self-propelled car" is a rail motor car propelled by electric motors receiving power from third rail or overhead, or internal combustion engines located on the car itself. Trailers equipped for use only in trains of cars that are self-propelled are to be included as self-propelled
- 6. A "Diesel" unit includes all units propelled by diesel internal combustion engines irrespective of final drive, and whether power may at times be supplied from external conductor. Units other than diesel-electric, e.g., diesel-hydraulic, should be identified in a footnote giving the number and a brief description. An "Electric" unit includes all units which receive electric power from an overhead contact wire or third rail, and use the power to drive one or more electric motors that propel the vehicle. An "Other self-powered unit" includes all units other than diesel or electric. e.g., steam, gas turbine. Show the type of unit, service and number, as appropriate, in a brief description sufficient for positive identification. An "Auxifiary unit" inleudes all units used in conjunction with locomotives but which draw their powr from the "mother" unit, e.g., boosters, slugs,
- 7. Column (h) should show aggregate capacity for all units reported in column (g), as follows: For locomotive units, report the manufacturers' rated horsepower (the maximum continuous power output from the diese; engine or engines delivered to the main generator or generators for tractive purposes); or tractive effort of steam locomotive units; for freight-train cars report the nominal capacity (in tons of 2,000 lbs.) as provided for in Rule 86 of the AAR Code of Rules Governing Cars in Interchange. Convert the capacity of tank cars to capacity in tons of the commodity which the car is intended to carry customarily; for passenger-train cars report the number of passenger seats available for revenue service, counting one passenger to each berth in sleeping cars.

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS

|   | 1                                |                                     | Units in<br>service of         | Number                           | Number                      | Numb                     | er at clos   | se of year  | Aggregate                                  | Number |
|---|----------------------------------|-------------------------------------|--------------------------------|----------------------------------|-----------------------------|--------------------------|--|---|--|--------|
| No.                                     | Item (a)                         | at begin-<br>ning of<br>year<br>(b) | added<br>during<br>year<br>(c) | retired<br>during<br>year<br>(d) | Owned<br>and<br>used<br>(e) | Leased<br>from<br>others | Total in<br>service of<br>respondent<br>(e+f)<br>(g) | capacity of<br>units re-<br>ported in<br>col. (g)<br>(see ins. 7) | leased to<br>others at<br>close of<br>year |        |
|   | LOCOMOTIVE UNITS                 |                                     |                                |                                  |                             |                          |  |   |  |        |
|   | Diesel-Freight                   | - A units                           |                                |                                  |                             |                          | -  |   | (h.p.)                                     |        |
| 2                                       | Diesel-Freight                   |                                     |                                |                                  |                             |                          |  | The second contract of the second                                 |  |        |
| 3                                       | Diesel-Passenger                 |                                     |                                |                                  |                             |                          |  |   |  |        |
| 4                                       | Diesei-Passenger                 |                                     |                                |                                  |                             |                          |  |   |  |        |
|   | Diesel-Multiple purpose          |                                     | - $        -$                  |                                  | -                           |                          |  |   |  |        |
| 000000000000000000000000000000000000000 | Diesel-Multiple purpose          |                                     |                                |                                  |                             |                          |  |   |  |        |
| 19201012123                             | Diesel-Switching                 |                                     |                                | B                                | 0                           | 1                        | a  | 1   | 2330.6                                     | 8      |
| 8                                       | Diesel-Switching                 |                                     |                                |                                  |                             |                          |  |   |  | 1      |
| 9                                       | Total (lines 1-8)                |                                     |                                | 1                                |                             |                          |  |   | XXXXXX                                     |        |
| 10                                      | Electric-Locomotives             |                                     |                                |                                  |                             |                          |  |   | 1  |        |
| 11                                      | Other self-powered units         | 1                                   |                                |                                  |                             | 1                        | 1  |   |  |        |
| 12                                      | Total (lines 9, 10 and 11)       |                                     |                                |                                  |                             |                          | 1  |   | XXXXXX                                     |        |
| 13                                      | Auxiliary units                  |                                     |                                |                                  |                             | 1                        | +  |   |  |        |
| 14                                      | Total Locomotive Units (lines 12 | ind 13)                             |                                | 0                                | 00                          | 1                        | 0  | 1   | XXXXXX                                     | 0      |

## UNITS OWNED, INCLUDED IN INVESTMENT ACCOUNT, AND LEASED FROM OTHERS-Cont.

| Line<br>No. |  | Units in<br>service of<br>respondent<br>at begin-<br>ning of<br>year<br>(b) | Number<br>added<br>during<br>year | Number<br>retired<br>during<br>year<br>(d) | Number at close of year     |                          |  | Aggregate capacity of                                     | Number<br>leased to           |
|-------------|--|---|-----------------------------------|--|-----------------------------|--------------------------|--|---|-------------------------------|
|             | Item (a)   |   |                                   |  | Owned<br>and<br>used<br>(e) | Leased<br>from<br>others | Total in<br>service of<br>respondent<br>(e+f)<br>(g) | units re-<br>ported in<br>col. (g)<br>(see ins. 7)<br>(h) | others at<br>close of<br>year |
|             | FREIGHT TRAINCARS  |   |                                   |  |                             |                          |  |   | +                             |
|             | TRAINCARS  |   |                                   |  |                             |                          |  | Tons  |                               |
| 15          | Plain Box Cars - 40' (B100-129)  |   |                                   |  |                             |                          |  |   |                               |
| 16          | Plain Box Cars - 50' (B200-229; B300-329)  |   |                                   |  |                             |                          |  |   |                               |
| 17          | Equipped Box Cars (All Code A)   |   |                                   |  |                             |                          |  |   |                               |
| 18          | Plain Gondola Cars (G092-392; G401-492)  |   |                                   |  |                             |                          |  |   |                               |
| 19          | Equipped Gondola Cars (All Codes C and E)  |   |                                   |  |                             |                          |  |   |                               |
| 20          | Covered Hoppe, Cars (L 151-154; 251-254; 351-354; 451-454; 551-554; 651-654; 751-754)  |   |                                   |  |                             |                          |  |   |                               |
| 21          | Open Top Hopper Cars - General Service (All Code H)  |   |                                   |  |                             |                          |  |   |                               |
| 22          | Open Top Hopper Cars - Special Service (All Codes J and K)   |   |                                   |  |                             |                          |  |   |                               |
| 23          | Refrigerator Cars. Non Mechanical (R 100, 101, 102, 103, 105, 106, 107, 108, 109, 113, 114, 115, 116, R 200, 201, 202, 203, 205, 206, 207, 208, 209, 213, 2;4, 215, 216) |   |                                   |  |                             |                          |  |   |                               |
| 24          | Refrigerator Cars - Mechanical (R 104, 110, 112, 117, 118, R 204, 210, 211, 212, 217, 218)   |   |                                   |  |                             |                          |  |   |                               |
| 25          | Flat Cars - TOFC/COFC (F 071-078 F 871-<br>978)  |   |                                   |  |                             |                          |  |   |                               |
| 26          | Flat Cars - Multi-level (All Code V)   |   |                                   |  |                             |                          | err with record to the best discussion               |   |                               |
| 27          | Flat Cars - General Service (F 101-109;<br>F 201-209)  |   |                                   |  |                             |                          |  |   |                               |
| 28          | Flat Cars - Other (F 11-189, 211-289, 301-389, 401-540)  |   |                                   |  |                             |                          |  | •   |                               |
| 29          | Tank Cars - Under 22,000 Gallons (T-0,<br>T-1, T-2, T-3, T-4, T-5)   |   |                                   |  |                             |                          |  |   |                               |
| 30          | Tank Cars - 22,000 Gallons and over (T-6, T-7, T-8, T-9)   |   |                                   |  |                             |                          |  |   |                               |
| 31          | All Other Freight Cars (F 191-199; 291-299; 391-399; L 006-048; L 070, L 080 L 090 - All "L" with second numeric 6; L 161-   |   |                                   |  |                             |                          |  |   |                               |
|             | L 764)   |   |                                   |  |                             | 1                        |  |   |                               |
| 32          | Total (lines 15-31)  |   |                                   |  | 1                           | 1                        |  | XXXXXX  |                               |
| 33          | Caboose (All N)  Total (lines 32-33)   | 8   | 0                                 | 8  | 0                           | 0                        | 0  | XXXXXX  | 8                             |

| 720. TRACKS  |
|--|
| (1) Show, by State, total mileage of tracks owned and operated by respondent CALIFORNIA 1.16 MILES (2) Show, by State, mileage of tracks owned but not operated by respondent: First main track, NONE second and additional main tracks. NONE (3) Road is completed from (Line Haul Railways only)* N/A (4) Road loca ed at (Switching and Terminal Companies only)* (5) Gage of track |
| (6) Weight of ra 1 70 = 1b. per yard.  (7) Kind and null ber per mile of crossties REDWOOD, CEDAR, FIR 7"x8"x8" x8" 3000 TIES PERMILE  (8) State number of miles electrified: First main track. NONE ; second and additional main tracks. NONE  passing tracks, cross-overs, and turn-outs, NONE ; way switching tracks.  NONE yard switching tracks.                                  |
| (9) Ties applied in replacement during year: Number of crossties, average cost per tie. 5 number of fe (B.M.) of switch and bridge ties. average cost per M feet (B.M.), 5 (10) Rail applied in replacement during year: Tons (2,000 pounds). Weight per year, average cost per ton, 5   |

\*Insert names of places.

(t)Mileage should be stated to the nearest whole mile.

## MEMORANDA

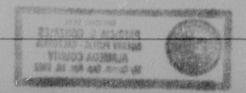
(For use of Commission only)

### Correspondence

|           |          |                               |     |      |                   |  |          | Answer                           |  |
|-----------|----------|-------------------------------|-----|------|-------------------|--|----------|----------------------------------|--|
| Officer a | ódressed | Date of letter<br>or telegram |     |      | Subject<br>(Page) | Answer<br>needed -   | Date of— | File number of letter or telgram |  |
| Name      | Title    | Month                         | Day | Year |                   | Month  | Day Year |                                  |  |
|           |          |                               |     |      | *                 |  |          |                                  |  |
|           |          |                               |     |      |                   |  |          |                                  |  |
|           |          |                               |     |      |                   |  |          |                                  |  |
|           |          |                               |     |      |                   |  |          |                                  |  |
|           |          |                               |     |      |                   |  |          |                                  |  |
|           |          |                               |     |      |                   |  |          |                                  |  |
| •         |          |                               |     |      |                   |  |          |                                  |  |
|           |          |                               |     |      |                   | Control of the Contro |          |                                  |  |

## Corrections

| Date of correction |     |      | Page                                  |       | er or to |   | Authority Officer sending letter or telegram |       | Clerk making<br>correction<br>(Name) |
|--------------------|-----|------|---------------------------------------|-------|----------|---|--|-------|--------------------------------------|
| Month Day          | Day | Year |                                       | Month | Day      | Year                                    | Name   | Title | NAME OF STREET                       |
|                    |     |      |                                       |       |          |   |  |       |                                      |
|                    |     |      |                                       |       |          |   |  |       |                                      |
|                    |     |      |                                       |       |          |   |  |       |                                      |
|                    |     |      |                                       |       |          |   |  | γ     |                                      |
|                    |     |      |                                       |       |          |   |  |       |                                      |
|                    |     |      |                                       |       |          |   |  |       |                                      |
|                    |     |      |                                       |       |          |   |  |       |                                      |
|                    | }   |      |                                       |       |          |   |  |       |                                      |
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|                    | -8  |      |                                       |       |          | 100000000000000000000000000000000000000 |  |       |                                      |



### VERTIFICATION

The foregoing report must be verified by the oath of the officer having control of the accounting of the respondent. It should be verified, also by the oath of the president or other chief officer of the respondent, unless the respondent states on the last preceding page of this report that such chief officer has no control over the accounting of the respondent. The oath required may be taken before any person authorized to administer an oath by the laws of the State in which the same is taken.

| OATR   |
|--|
| (To be made by the officer having control of the accounting of the respondent)   |
| // 1:1   |
| (Insisted)   |
| County Robert W. Crandall makes outh and says that he is Fresident for Operations  |
| (Insert here the name of the affiant)  |
| of Sort of Cabland Nachway   |
| (Insert here the exact legal title or name of the respondent)  |
| that it is his duty to have supervision over the books of account of the respondent and to control the manner in which such books are kept; that he knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and knows that such books have, during the period covered by the foregoing report, been kept in good faith in accordance with the accounting and other orders of the Interstate Commerce Commission, effective during the said period; that he has carefully examined the said report, and to the best of his knowledge and belief the entries contained in the said report have, so far as they relate to matters of account, been accurately taken best of his knowledge and belief the entries contained in the said report have, so far as they relate to matters of fact contained in the said report from the said books of account and are in exact accordance cerewith; that he believes that all other statements of fact contained in the said report are true, and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period are true, and that the said report is a correct and complete statement of the business and affairs of the above-named respondent during the period |
| of time from and including MAY 20 1978, to and including DECEMBER 31 1978  |
| of time from and including   |
| (Signature of affiant)   |
| Subscribed and sworn to before me. a Motary Public in and for the State and county above named, this day of November, 1980.  |
| Subscribed and sworn to before me. a florary of which in and for the State and   |
| subscribed and sworn to before me. a 14th day of November, 1980.   |
| 1 (1001 10.110)  |
| My commission expires (Totricia XI. Yourales   |
| PATRICIA G. GONZALES NOTARY PUBLIC - CALIFORNIA ALAMEDA COUMTY By Compa. Cog. Agr. 18, 1880 Use president or other chief officer of the respondent)  |
| State of California  |
| alangeda " Fresident Cules   |
| County of alter O. alternathy makes oath and says that he is Executive of sicer (Insert here the name of the affiant)  |
| of South of Oakland Railway (Insert here the official fire of the strain)  |
| of (Insert here the exact legal title or name of the respondent)   |
| that he has carefully examined the foregoing report; that he believes that all statements of fact contained in the said report are true, and that the  |
| the period of time from and including MAY 20 1978, to and including DECEMBER 31  |
| the period of time from and including  |
| (Signature of affiant)   |
| SARING CANTINO AND TO before me, a Motary Stublic in and for the State and   |
| Subscribed, and Hovember, 1980.  |
| Ewing apper remedition and Capiel 18, 1983.  |
| Myjeognission expires Corrected Statistica X. Xorreles   |
| PATRICIA G. CONZALES (Signature of officer authorized to adminisfer paths)   |