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1. Waybill Data Reporting for Toxic Inhalation Hazards

Popular Title: Waybill Data Reporting for Toxic Inhalation Hazards

RIN 2140-AA97

Stage: Proposed Rule

Previous Stage: NPRM: Decision Served 01/28/2010; Federal Register Publication Date 02/02/2010; End of Comment Period 03/04/2010; End of Reply Comment Period 04/05/2010.

Abstract: The Board proposed to amend its rules with respect to Waybill Sample information that railroads are required to submit to the Board pursuant to 49 C.F.R. § 1244. Currently, railroads that are required to file Waybill Sample information report a random sample of as little as 1% of carloads on a waybill. The amendment would expand the carload Waybill Sample information submitted to include all traffic movements designated as a Toxic Inhalation Hazard.

Effects:

Paperwork Reduction Act Information Collection

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 01/28/2010

Docket Number: EP 385 (Sub-No. 7)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	08/2016	N/A	N/A

Explanation for any delay: N/A

2. Review of the General Purpose Costing System

Popular Title: Review of the General Purpose Costing System

RIN 2140-AB14

Stage: Proposed Rule

Previous Stage: NPRM: Publication Date 02/04/2013; End of Comment Period 06/20/2013; End of Reply Comment Period 09/05/2013.

Abstract: The Board proposed certain changes to its general purpose costing system—the Uniform Railroad Costing System (URCS). Specifically, the Board proposed to adjust how URCS calculates certain system-average unit costs in Phase II, thereby obviating the need for URCS to apply a separate makewhole adjustment in Phase III. The Board also proposed other related changes to URCS that would result in more accurate movement costs, as well as changes to two of its reporting requirements, that would help to support the proposed changes.

Effects:

Paperwork Reduction Act

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 02/04/2013

Docket Number: EP 431 (Sub-No. 4)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	04/2016	08/2016	N/A

Explanation for any delay: Complexity of issues requiring further analysis.

3. Rail Fuel Surcharges (Safe Harbor)

Popular Title: Rail Fuel Surcharges (Safe Harbor)

RIN 2140-AB17

Stage: Pre-Rule

Previous Stage: ANPRM: Publication Date 05/29/2014; End of Comment Period 08/04/2014; End of

Reply Comment Period 10/15/2014.

Abstract: The Board instituted this proceeding to give shippers, rail carriers, and other interested parties the opportunity to comment on the Board's "safe harbor" standard, which allows carriers to rely on a Board-approved fuel index to measure changes in fuel prices for purposes of their fuel surcharge programs.

Effects:

None

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 05/29/2014

Docket Number: EP 661 (Sub-No. 2)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	09/2016	N/A	N/A

Explanation for any delay: N/A

4. Petition of the Western Coal Traffic League to Institute a Rulemaking Proceeding to Abolish the use of the Multi-Stage Discounted Cash Flow Model in Determining the Railroad's Cost of Capital

Popular Title: Petition of the Western Coal Traffic League

RIN 2140-AB20

Stage: Pre-Rule

Previous Stage: Petition Filed 08/27/2013; Decision Opening Rulemaking Proceeding 12/20/2013; End of Comment Period 09/05/2014; End of Reply Comment Period 11/04/2014; Public Hearing 07/22/2015 and 07/23/2015.

Abstract: The Board instituted a proceeding to address how it calculates the railroad industry's cost of equity capital, in response to a request that the Board abolish the use of the multi-stage discounted cash flow model in making that calculation.

Effects:

None

Prompting action: Petition from the Western Coal Traffic League

Legal Deadline: None

Rulemaking Project Initiated: Pet. filed 08/27/2013

Docket Number: EP 664 (Sub-No. 2)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	10/2016	N/A	N/A

Explanation for any delay: N/A

5. Rail Transportation of Grain, Rate Regulation Review

Popular Title: Rail Transportation of Grain, Rate Regulation Review

RIN 2140-AB16

Stage: Pre-Rule

Previous Stage: ANPRM 12/12/2013; End of ANPRM Comment Period 06/26/2014; End of Reply

Comment Period 08/25/2014; Public Hearing 06/10/2015.

Abstract: The Board opened this proceeding to obtain input from interested parties on grain shippers' ability to effectively seek relief for unreasonable rates, as well as proposals for modifying existing procedures, or new alternative rate relief methodologies, should they be necessary.

Effects:

None

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 12/12/2013

Docket Number: EP 665 (Sub-No. 1)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	08/2016	N/A	N/A

Explanation for any delay: N/A

6. Class I Railroad Accounting and Financial Reporting—Transportation of Hazardous Materials

Popular Title: Transportation of Hazardous Materials

RIN 2140-AA95

Stage: Pre-Rule

Previous Stage: ANPRM 01/05/2009; End of ANPRM Comment Period 02/04/2009.

Abstract: The Board sought comment on whether it should update its accounting and financial reporting for Class I rail carriers and refine the Uniform Railroad Costing System (URCS) to better capture the operating cost of transporting hazardous materials.

Effects:

Paperwork Reduction Act Information Collection

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 01/05/2009

Docket Number: EP 681

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	10/2016	N/A	N/A

Explanation for any delay: N/A

7. Review of Commodity, Boxcar, and TOFC/COFC Exemptions

Popular Title: Review of Commodity, Boxcar, and TOFC/COFC Exemptions

RIN 2140-AB29

Stage: Proposed Rule

Previous Stage: NPRM served 03/23/2016; Order granting the Association of American Railroads' request for extension of time served 05/06/2016.

Abstract: In this proceeding, the Board seeks public comment on its proposal to revoke the existing class exemptions under 49 C.F.R. Part 1039 for (1) crushed or broken stone or rip rap; (2) hydraulic cement; and (3) coke produced from coal, primary iron or steel products, and iron or steel scrap, wastes or tailings.

Effects:

Regulatory Flexibility Act

Prompting action: Board Initiative

Legal Deadline: None

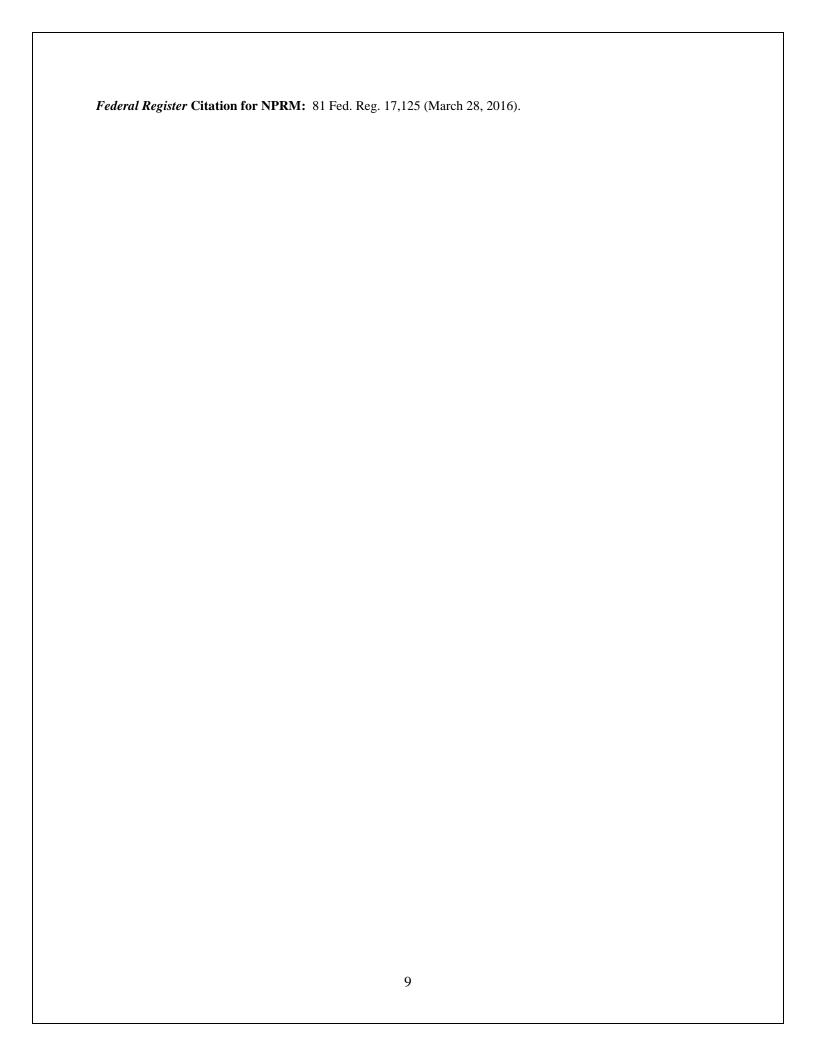
Rulemaking Project Initiated: 03/23/2016

Docket Number: EP 704 (Sub-No. 1)

Dates for NPRM:

Milestone	Scheduled Date	New Projected Date	Actual Date
NPRM	N/A	N/A	03/23/2016
Federal Register Publication Date	N/A	N/A	03/28/2016
End of Comment Period	06/27/2016	08/26/2016	N/A

Explanation for any delay: The Board granted the Association of American Railroads' request for a 60-day extension of the comment period.



8. Petition for Rulemaking to Adopt Revised Competitive Switching Rules

Popular Title: Competitive Switching Rules

RIN 2140-AB10

Stage: Pre-Rule

Previous Stage: Comment Period Opened 07/31/2012; End of Comment Period 05/30/2013; Hearing 03/25/2014 and 03/26/2014; Vote Deadline 06/29/2016 (extended until 07/25/2016).

Abstract: The National Industrial Transportation League (NITL) proposes that certain captive shippers located in terminal areas be granted access to a competing railroad, if there is a working interchange within a reasonable distance (30 miles under NITL's proposal).

Effects:

None

Prompting action: Petition from the National Industrial Transportation League.

Legal Deadline: None

Rulemaking Project Initiated: 07/07/2011 (petition filed)

Docket Number: EP 711

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	06/2016	07/2016	N/A

Explanation for any delay: Decision is in voting, but additional time is needed to reach a consensus on proposal.

9. Small Entity Size Standards Under the Regulatory Flexibility Act (RFA)

Popular Titles: Small Entity Size Standards, Reg. Flex.

RIN # N/A

Stage: Final Statement of Agency Policy (Completed Action)

Previous Stage: NPRM 07/16/2013; End of Comment Period 08/15/2013; Final Statement of Agency Policy 06/30/2016.

Abstract: The Board defined "small business" for the purpose of Regulatory Flexibility Act analyses as including only those rail carriers with revenues that would bring them within the definition of a Class III rail carrier.

Effects:

Regulatory Flexibility Act

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 07/16/2013

Docket Number: EP 719

Dates for Final Statement of Agency Policy:

Milestone	Scheduled Date	New Projected Date	Actual Date
Final Statement of Agency Policy	07/2016	N/A	06/30/2016
Federal Register Publication Date	N/A	N/A	06/30/2016

Explanation for any delay: N/A

Federal Register Citation for Final Statement of Agency Policy: 81 Fed. Reg. 42,566 (June 30, 2016).

10. Accounting and Reporting of Business Combinations, Security Investments, Comprehensive Income, Derivative Instruments and Hedging Activities

Popular Title: Accounting and Reporting of Business Combinations

RIN 2140-AB18

Stage: Final Rule (Completed Action)

Previous Stage: NPRM 07/08/2015; End of NPRM Comment Period 08/21/2015; End of Reply Comment

Period 09/22/2015.

Abstract: The Board revised its regulations to update the accounting and reporting requirements under its Uniform System of Accounts for Class I Railroads to be more consistent with current generally accepted accounting principles and revise the schedules and instructions for the Annual Report for Class I Railroads (Form R-1) to better meet regulatory requirements and industry needs. The intent of the revisions is to promote sound and uniform accounting and financial reporting.

Effects:

Paperwork Reduction Act Information Collection

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 07/08/2015

Docket Number: EP 720

Dates for Final Rule:

Milestone	Scheduled Date	New Projected Date	Actual Date
Final Rule	04/2016	N/A	04/06/2016
Federal Register Publication Date	N/A	N/A	04/06/2016

Explanation for any delay: N/A

Federal Register Citation for Final Rule: 81 Fed. Reg. 19,904 (Apr. 6, 2016).

11. Railroad Revenue Adequacy

Popular Title: Revenue Adequacy

RIN 2140-AB19

Stage: Pre-Rule

Previous Stage: Notice 04/02/2014; End of Comment Period 09/05/2014; End of Reply Comment Period 11/04/2014; Hearing 07/22/2015 and 07/23/2015.

Abstract: The Board instituted a proceeding to explore its methodology for determining railroad revenue adequacy, as well as the revenue adequacy component used in judging the reasonableness of rail freight rates.

Effects:

None

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 04/02/2014

Docket Number: EP 722

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	10/2016	N/A	N/A

Explanation for any delay: N/A

12. United States Rail Service Issues

Popular Title: United States Rail Service Issues

RIN # N/A

Stage: Hearings

Previous Stage: Notice 04/01/2014; Hearings 04/10/2014 and 09/04/2014.

Abstract: The Board opened this proceeding to address service problems in the rail industry.

Effects:

None

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 04/01/2014

Docket Number: EP 724

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Hearing Notice	N/A	N/A	04/01/2014
Federal Register Publication Date	N/A	N/A	04/04/2014
Hearing	N/A	N/A	04/10/2014
Hearing	N/A	N/A	09/04/2014

Explanation for any delay: N/A

Federal Register Citation for Hearings: 79 Fed. Reg. 18,948 (Apr. 4, 2014); 79 Fed. Reg. 49,560 (Aug. 21, 2015).

13. United States Rail Service Issues

Popular Title: United States Rail Service Issues

RIN # N/A

Stage: Status Report Order Issued

Previous Stage: Notice of Hearing 04/01/2014; Hearings 04/10/2014 and 09/04/2014.

Abstract: The Board opened this proceeding to direct two Class I railroads to provide information regarding shipments of fertilizer.

Effects:

None

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 04/01/2014

Docket Number: EP 724 (Sub-No. 1)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Status Report Order	N/A	N/A	04/15/2014

Explanation for any delay: N/A

Federal Register Citation for Hearings: 79 Fed. Reg. 18,948 (Apr. 4, 2014); 79 Fed. Reg. 49,560 (Aug. 21, 2015).

14. United States Rail Service Issues—Grain

Popular Title: United States Rail Service Issues—Grain

RIN # N/A

Stage: Status Report Order Issued

Previous Stage: N/A

Abstract: The Board opened this proceeding to require reporting by two Class I railroads on backlogged grain car orders, improving service to grain shippers, and addressing service and car supply issues across the rail network.

Effects:

None

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 06/20/2014

Docket Number: EP 724 (Sub-No. 2)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Status Report Order	N/A	N/A	06/20/2014

Explanation for any delay: N/A

Federal Register Citation for Status Report Order: N/A

15. United States Rail Service Issues—Data Collection

Popular Title: United States Rail Service Issues—Data Collection

RIN # N/A

Stage: Status Report Order Issued

Previous Stage: Notice of weekly status reports 10/08/2014.

Abstract: Following the 2014 hearings addressing rail service problems, the Board opened this proceeding to collect data on an interim basis and promote industry-wide transparency, accountability, and improvements in rail service.

Effects:

Paperwork Reduction Act Information Collection

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 10/08/2014

Docket Number: EP 724 (Sub-No. 3)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Status Report Order	N/A	N/A	10/08/2014

Explanation for any delay: N/A

Federal Register Citation for Status Report Order: N/A

16. United States Rail Service Issues—Performance Data Reporting

Popular Title: United States Rail Service Issues—Performance Data Reporting

RIN 2140-AB21

Stage: Proposed Rule

Previous Stage: NPRM 12/30/2014; End of Comment Period 03/02/2015; End of Reply Comment Period 04/29/2015; Beginning Ex Parte Comment Period 11/16/2015; End of Ex Parte Comment Period 12/07/2015; Supplemental Notice of Proposed Rulemaking 4/29/2016 (corrected decision served 5/13/2016).

Abstract: The Board proposed new regulations requiring all Class I railroads and the Chicago Transportation Coordination Office, through its Class I members, to report certain service performance metrics on a weekly basis.

Effects:

Paperwork Reduction Act Information Collection

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 12/30/2014

Docket Number: EP 724 (Sub-No. 4)

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Next Action	11/2016	N/A	N/A

Explanation for any delay: N/A

Federal Register Citation for Supplemental Notice of Proposed Rulemaking: (corrected decision) 81 Fed. Reg. 32,268 (May 23, 2016).

17. On-Time Performance Under Section 213 of the Passenger Rail Investment and Improvement Act of 2008

Popular Title: Amtrak OTP (Section 213)

RIN 2140-AB22

Stage: Proposed Rule

Previous Stage: Petition for rulemaking received 01/15/2015; Board institutes a rulemaking proceeding 05/15/2015; NPRM is served 12/28/2015; End of Comment Period 02/08/2016; End of Reply Comment Period 03/30/2016.

Abstract: The Board opened this proceeding to define "on-time performance" for purposes of Section 213 of the Passenger Rail Investment and Improvement Act of 2008, 49 U.S.C. § 24308(f).

Effects:

None

Prompting action: Petition from the Association of American Railroads.

Legal Deadline: None

Rulemaking Project Initiated: 01/15/2015

Docket Number: EP 726

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	07/2016	N/A	N/A

Explanation for any delay: N/A

Federal Register Citation for NPRM: N/A

18. Policy Statement on Implementing Intercity Passenger Train On-Time Performance and Preference Provisions of 49 U.S.C. § 24308(c) and (f)

Popular Title: Amtrak OTP (Policy Statement)

RIN # N/A

Stage: Notice of proposed statement of Board policy.

Previous Stage: A notice of proposed statement of Board policy was served on 12/28/2015; End of Comment Period 02/22/2016.

Abstract: The Board opened this proceeding to consider a proposed Policy Statement that would provide guidance regarding complaint proceedings under Section 213 of the Passenger Rail Investment and Improvement Act of 2008, 49 U.S.C. § 24308(f), and related issues under 49 U.S.C. § 24308(c).

Effects:

None

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 12/28/2015

Docket Number: EP 728

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
End of Reply Comment Period	04/13/2016	N/A	N/A
Service Date	07/2016	N/A	N/A

Explanation for any delay: N/A

Federal Register Citation for notice of proposed statement of Board policy: N/A

19. Offers of Financial Assistance

Popular Title: Offers of Financial Assistance

RIN 2140-AB27

Stage: Pre-Rule

Previous Stage: ANPRM issued 12/11/2015; End of Comment Period 02/12/2016; End of Reply

Comment Period 03/14/2016.

Abstract: The Board is considering whether and how it should update its rules pertaining to offers of financial assistance under 49 U.S.C. § 10904 in order to improve that process and protect it against abuse.

Effects:

None

Prompting action: Board Initiative

Legal Deadline: None

Rulemaking Project Initiated: 12/11/2015

Docket Number: EP 729

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	08/2016	09/2016	N/A

Explanation for any delay: Balancing workload and case priorities.

 $\textbf{\textit{Federal Register Citation for Next Action:}} \ \ N/A$

20. Revisions to Arbitration Procedures

Popular Title: Revisions to Arbitration Procedures

RIN 2140-AB24

Stage: Proposed Rule

Previous Stage: NPRM served 05/12/2016.

Abstract: The Board proposes modifications to its arbitration procedures so that its regulations conform to the requirements under Section 13 of the Surface Transportation Board Reauthorization Act, P.L. 114-110.

Effects:

None

Prompting action: Surface Transportation Board Reauthorization Act of 2015

Legal Deadline: Statutory (Final Rules) 12/18/2016

Rulemaking Project Initiated: 12/18/2015

Docket Number: EP 730

Dates for NPRM:

Milestone	Scheduled Date	New Projected Date	Actual Date
NPRM Service Date	05/2016	N/A	05/12/2016
Federal Register Publication Date	N/A	N/A	05/16/2016
End of Comment Period	07/01/2016	N/A	N/A

Explanation for any delay: N/A

Federal Register Citation for NPRM: 81 Fed. Reg. 30,229 (May 16, 2016).

21. Rules Relating to Board-Initiated Investigations

Popular Title: Independent Investigations by the Board

RIN 2140-AB23

Stage: Proposed Rule

Previous Stage: NPRM served 05/16/2016; order granting an extension request by the Association of American Railroads served 06/06/2016.

Abstract: The Board is developing regulations to implement its authority to begin certain investigations on its own initiative pursuant to Section 12 of the Surface Transportation Board Reauthorization Act of 2015, P.L. 114-110.

Effects:

None

Prompting action: Surface Transportation Board Reauthorization Act of 2015

Legal Deadline: Statutory (Final Rules) 12/18/2016

Rulemaking Project Initiated: 12/18/2015

Docket Number: EP 731

Dates for NPRM:

Milestone	Scheduled Date	New Projected Date	Actual Date
NPRM Service Date	05/2016	N/A	05/16/2016
Federal Register Publication Date	N/A	N/A	05/17/2016
End of Comment Period	07/15/2016	08/12/2016	N/A

Explanation for any delay: The Association of American Railroads requested (and was granted) a 30-day extension.

Federal Register Citation for NPRM: 81 Fed. Reg. 30,510 (May 17, 2016).

22.	Expediting Rate Cases

Popular Title: Expediting Rate Cases

RIN # TBD

Stage: Pre-Rule

Previous Stage: ANPRM served 06/15/2016.

Abstract: As mandated by the Surface Transportation Board Reauthorization Act of 2015, P.L. 114-110, the Board will initiate a proceeding to assess procedures that are available to parties in litigation before courts to expedite rate litigation and consider the potential application of any such procedures to rate cases. The Board will also assess whether there are additional changes to the SAC case process that could help the Board meet the expedited timeline for a final decision established under the Reauthorization Act.

Effects:

None

Prompting action: Surface Transportation Board Reauthorization Act of 2015

Legal Deadline: 06/15/2016 (Initiate Proceeding)

Rulemaking Project Initiated: 12/18/2015

Docket Number: EP 733

Dates for ANPRM:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	06/15/2016	N/A	06/15/2016
Federal Register Publication Date	06/15/2016	N/A	N/A
End of Comment Period	08/29/2016	N/A	N/A

Explanation for any delay: N/A

Federal Register Citation for ANPRM: 81 Fed. Reg. 40,250 (June 21, 2016).

23.	FAST Act Regulations

Popular Title: FAST Act Regulations

RIN # TBD

Stage: Proposed Rule

Previous Stage: None

Abstract: The Board is developing regulations establishing procedures for resolving disputes brought to it under Title XI of the Fixing America's Surface Transportation Act of 2015, P.L. 114-94.

Effects:

None

Prompting action: Fixing America's Surface Transportation Act of 2015

Legal Deadline: None

Rulemaking Project Initiated: December 4, 2015

Docket Number: EP 734

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Service Date	07/2016	N/A	N/A
Federal Register Publication Date	N/A	N/A	N/A
End of Comment Period	N/A	N/A	N/A

Explanation for any delay: N/A

24. Revision to the Surface Transportation Board's CFR Chapter Heading Pursuant to the Surface Transportation Board Reauthorization Act of 2015

Popular Title: n/a

RIN # n/a

Stage: Final Rule (Completed Action)

Previous Stage: None

Abstract: The Board revised the heading to its Code of Federal Regulations chapter, pursuant to the Surface Transportation Board Reauthorization Act of 2015, P.L. 114-110, 129 Stat. 2228 (2015).

Effects:

None

Prompting action: Surface Transportation Board Reauthorization Act of 2015

Legal Deadline: None

Rulemaking Project Initiated: 12/18/2015

Docket Number: EP 735

Dates for Next Action:

Milestone	Scheduled Date	New Projected Date	Actual Date
Final Rules	N/A	N/A	05/26/2016
Federal Register Publication Date	N/A	N/A	05/26/2016
End of Comment Period	N/A	N/A	N/A

Explanation for any delay: N/A

Federal Register Citation for Final Rule: 81 Fed. Reg. 33,416 (May 26, 2016).